

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1	February 18, 2013	Email from J. Lhote to A. La Rosa, copying M. Stein, R. Markowitz and J. van Merkensteijn, re Raj	WH_MDL_00471728	WH_MDL_00471729		H, IR, 403
2	June 10, 2014	Email from R. Markowitz to J. van Merkensteijn and P. Wells, copying M. Ben-Jacob, re Argre/KS Call	WH_MDL_00297405	WH_MDL_00297408	2265	
3	June 19, 2014	Email from J. van Merkensteijn to P. Wells, copying R. Markowitz, R. Klugman, M. Ben-Jacob and A. Gregory, re names for plans	KLUGMAN00007264	KLUGMAN00007270	2188	F, H
4	July 14, 2014	Email from R. Markowitz to A. Gregory, copying P. Wells, J. van Merkensteijn, M. Ben-Jacob and M. Piasek, re Pension Plan Formation Forms	WH_MDL_00227861	WH_MDL_00227864	2266	
5	July 14, 2014	Email from R. Markowitz to J. van Merkensteijn and R. Klugman, copying A. Gregory, M. Ben-Jacob and P. Wells, re Call with Solo (Jessica and Omar)	KLUGMAN00006705	KLUGMAN00006705	2196	F, H
6	July 31, 2014	Email from R. Markowitz to P. Wells, copying R. Klugman, J. van Merkensteijn, R. Veillette and A. Gregory, re Reclaims	KLUGMAN00008108	KLUGMAN00008109	2267	F, H
7	August 4, 2014	Email from R. Klugman to A. La Rosa, copying I. Reibesen, M. Cooper, R. Markowitz and J. van Merkensteijn, re Arbitrage instructions and questoins [sic], attaching CALIFORNIA- STOCK LOAN - MAERSKE AAA, CALIFORNIA-STOCK LOAN - MAERSKE BBB and Old Park Lane Arbitrage Instructions	JHVM_0004863	JHVM_0004867	1777	H
8	August 4, 2014	Email from R. Markowitz to J. van Merkensteijn, R. Klugman, M. Cooper and I. Reibesen, re Preliminary TDC Trade Allocations, attaching Markowitz TDC DC spreadsheet	JHVM_0004868	JHVM_0004869	1785	H
9	September 22, 2015	Email from S. Furr to J. van Merkensteijn, re Invoices b/t you and Rich, attaching Invoices to be done	GUNDERSON 00009570	GUNDERSON 00009571	2311	
10	March 11, 2010	Email from R. Klugman to R. Markowitz, re [No Subject]	KLUGMAN00067391	KLUGMAN00067391	1739	
11	December 3, 2010	Letter Agreement from Argre Management LLC to Solo Capital Limited and Stor Capital Consulting LLC re Understandings With Respect to Equity Arbitrage Transactions	ELYSIUM-00105084	ELYSIUM-00105091	1740	AUTH; F; H; 403
12	December 10, 2010	Email from S. Shah to R. Markowitz, copying R. Shah and G. Grant-Klar, re Broadgate Ireland Funds plc (the "Company"), attaching December 10, 2010 Letter from Solo Capital LTD to PricewaterhouseCoopers re Audit of Broadgate Ireland Funds plc Financial Statements	ELYSIUM-00094076	ELYSIUM-00094077		AUTH; F; H; 403
13	December 17, 2010	Email from S. Shah to R. Markowitz and M. Stein, copying R. Shah and G. Grant-Klar, re Update, attaching Central Bank letter	ELYSIUM-06029464	ELYSIUM-06029465		AUTH; F; H; 403
14	2011	2011 Most Recent Date on Resume - David B. Zelman, Ph.D. Resume	MPSKAT00004784	MPSKAT00004786	1414	IR, F, H
15	March 21, 2011	Email from G. Grant-Klar to R. Markowitz, M. Stein and R. Klugman, copying R. Shah and S. Shah, re Model, attaching Germany Argre 501c3	ELYSIUM-00119839	ELYSIUM-00119840		AUTH; F; H; 403
16	August 11, 2011	Email from R. Markowitz to G. Grant-Klar, copying S. Shah, R. Shah, G. Horn, M. Stein, J. Lhote, J. van Merkensteijn, and A. LaRosa, re Updates	ELYSIUM-00194065	ELYSIUM-00194065	2115	AUTH; F; H; 403
17	April 9, 2012	Email from S. Shah to Markowitz, re Pension fund	ELYSIUM-00389317	ELYSIUM-00389317	2116	AUTH; F; H; 403
18	April 9, 2012	Email from J. van Merkensteijn to R. Markowitz, M. Stein, J. Lhote and A. La Rosa, re Solo Capital	WH_MDL_00460200	WH_MDL_00460200		Duplicate of PX-647
19	April 10, 2012	Email from R. Markowitz to M. Stein, J. Lhote, A. La Rosa and J. van Merkensteijn, re FSA information	JHVM_0031308	JHVM_0031309		H
20	April 17, 2012	R. Markowitz Handwritten Notes from April 9, 2012, and April 17, 2012 Calls re Solo	WH_MDL_00340226	WH_MDL_00340229		
21	April 18, 2012	Email from R. Markowitz to M. Ben-Jacob, copying M. Stein, J. Lhote, A. La Rosa, P. Wells, L. Tuchman and J. van Merkensteijn, re Comments on Solo Documents	WH_MDL_00282534	WH_MDL_00282537		IR, 403, H
22	April 18, 2012	Email from R. Markowitz to M. Ben-Jacob, P. Wells, R. Veillette, L. Tuchman, M. Stein, J. Lhote, A. La Rosa and J. van Merkensteijn, re GMSLA [attachments not included with parent email on Relativity]	WH_MDL_00282560	WH_MDL_00282561		H
23	April 19, 2012	Email from R. Markowitz to M. Stein, A. La Rosa, J. Lhote, J. van Merkensteijn, M. Ben-Jacob, P. Wells and R. Veillette, re Custody Fees	WH_MDL_00218650	WH_MDL_00218651		H, IR, 403
24	April 20, 2012	Email from M. Ben-Jacob to P. Wells, re GMSLA, attaching Ezra Academy of Queens Side Letter [other attachments not included with family on Relativity]	WH_MDL_00362092	WH_MDL_00362095		IR, 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
25	April 21, 2012	Email from N. Teraiya (aquila.cayman@gmail.com) to A. La Rosa, copying R. Markowitz, re Sample Confirm From Bloomberg	WH_MDL_00461828	WH_MDL_00461832		IR, H
26	April 24, 2012	Email from R. Markowitz to A. La Rosa re Statements	WH_MDL_00462041	WH_MDL_00462041		H, IR, 403
27	April 25, 2012	Email from R. Markowitz to M. Ben-Jacob, re US Treasury Reporting	WH_MDL_00283333	WH_MDL_00283333		Duplicate of PX-643
28	April 26, 2012	Email from S. Shah to R. Markowitz, copying A. La Rosa, re Belgium 2012.xlsm	WH_MDL_00462282	WH_MDL_00462283		
29	May 10, 2012	Email from T. Jesch to M. Ben-Jacob and L. Tuchman, re Ex-Dividend	WH_MDL_00362847	WH_MDL_00362851		F, H, 403
30	May 16, 2012	Email from M. Ben-Jacob to A. La Rosa, copying R. Markowitz, re Changes to Argre Management LLC	WH_MDL_00284150	WH_MDL_00284154		
31	June 19, 2012	Email from J. van Merkensteijn to M. Stein, R. Markowitz, A. La Rosa and J. Lhote, re Argon and BMC Capital 53112 Account Statements, attaching BMC Capital Luxembourg Sari 31-05-12 and Argon Holding Sari 31-05-12	WH_MDL_00518115	WH_MDL_00518126		IR, 403, H, INC
32	July 9, 2012	Email from R. Markowitz to M. Stein, J. Lhote and A. La Rosa, copying J. van Merkensteijn, re Update from Solo	WH_MDL_00464384	WH_MDL_00464384		H, IR, 403
33	October 4, 2012	Email from R. Markowitz to A. La Rosa, re Accounts at Solo for Michelle and California	WH_MDL_00464904	WH_MDL_00464904		IR, 403
34	October 4, 2012	Email from R. Markowitz to A. La Rosa, re California Catalog Company Pension Plan 31-08-12	WH_MDL_00464909	WH_MDL_00464910		IR, 403, H
35	October 10, 2012	Email from A. La Rosa to M. Stein, R. Markowitz, J. van Merkensteijn, J. Lhote and A. Colodner, re Goal and Denmark	JHVM_0005616	JHVM_0005616		H, IR, 403
36	October 13, 2012	Email from A. Woodard to M. Ben-Jacob, copying K. Wachter, re Tax Reclaim Advisory Services Agreements	WH_MDL_00367352	WH_MDL_00367353		
37	October 14, 2012	Email from A. La Rosa to R. Markowitz, re Free Street Partners LP 28-09-12, attaching Ezra Academy of Queens 28-09-12 and Free Street Partners LP 28-09-12	WH_MDL_00465077	WH_MDL_00465181		H, IR, 403
38	November 8, 2012	Email from K. Mason to P. Wells and M. Ben-Jacob, copying P. Danias and M. Tan, re revised analysis under 1934 Act, attaching Memo on Potential Required Regulation	WH_MDL_00367435	WH_MDL_00367440	4523	F, H, INC
39	November 19, 2012	Email from R. Markowitz to P. Wells, copying J. Lhote, J. van Merkensteijn, M. Stein, A. La Rosa and M. Ben-Jacob, re Call with Duet	WH_MDL_00288076	WH_MDL_00288079		H, IR
40	December 6, 2012	Email from A. La Rosa to M. Ben-Jacob, copying P. Wells, re General Partnership	WH_MDL_00219806	WH_MDL_00219807		
41	December 11, 2012	Email from A. La Rosa to R. Markowitz, J. van Merkensteijn, M. Stein and J. Lhote, re Today's Trades - Coloplast A/S, attaching Danish Trade Model spreadsheet	JHVM_0006907	JHVM_0006908	2153	H, IR, 403
42	December 18, 2012	Email from P. Wells to A. La Rosa and R. Markowitz, copying M. Ben-Jacob, J. van Merkensteijn, J. Lhote and M. Stein, re General Partnership, attaching General Partnership Agreement	WH_MDL_00219952	WH_MDL_00219962	2130	Duplicate of PX-646
43	December 20, 2012	Email from M. Stein to R. Markowitz copying J. Lhote, A. LaRose, J. van Merkensteijn re: Call with Duet	WH_MDL_00471207	WH_MDL_00471207		H; 401; 403
44	December 26, 2012	Email from J. van Merkensteijn to A. La Rosa, copying M. Stein, J. Lhote, R. Markowitz, M. Ben-Jacob, and P. Wells, re General Partnership	WH_MDL_00220034	WH_MDL_00220037		
45	2013	Client Custody Agreement between Solo Capital Partners LLP and The Batavia Capital Pension Plan, executed by A. La Rosa, includes Securities Custody and Clearing Pricing Schedule and Online Trading Portal Services Agreement	MPSKAT00000961	MPSKAT00000989	2138	F, H, IR, 403
46	January 11, 2013	Email from P. Wells to A. La Rosa, copying M. Ben-Jacob, re Raubritter LLC Pension Plan	WH_MDL_00220082	WH_MDL_00220084		
47	January 18, 2013	Email from A. La Rosa to J. Lhote and R. Markowitz, copying M. Stein and J. van Merkensteijn, re Denmark	JHVM_0006349	JHVM_0006349		H, IR, 403
48	January 29, 2013	Email from J. Lhote to R. Markowitz, copying A. La Rosa, J. van Merkensteijn, A. O'Donnell and M. Stein, re US pension funds	WH_MDL_00471413	WH_MDL_00471414		H, IR, 403
49	February 1, 2013	Guarantee Deed among Solo, Batavia Plan, and Novus; Give-up Agreement among Solo, Batavia Plan, and Novus, signed by A. La Rosa for the Bernina Plan	MPSKAT00001004	MPSKAT00001017	2143	
50	February 21, 2013	Limited Power of Attorney (David Zelman as Trustee of The Vanderlee Technologies Pension Plan appoints Adam La Rosa)	MPSKAT00056985	MPSKAT00056985	1435	IR, H, 403
51	February 28, 2013	Indemnity Agreement between Argre Management, LLC (Indemnitor) and Laegeler Asset Management Pension Plan Trust (Indemnitee)	MPSKAT00026170	MPSKAT00026174	2134	H; AUTH; IR; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
52	March 5, 2013	Email from R. Markowitz to A. La Rosa, re Trades tomorrow and Forms for EDF	WH MDL 00472966	WH MDL 00472966		
53	March 7, 2013	Email from J. van Merkensteijn to adam@LAEGELERASSETMANAGEMENT.COM, R. Markowitz, J. Lhote and M. Stein, re FGC Securities - Setup Confirmation / Contact Details	WH MDL_00123554	WH MDL_00123557	2139	H, IR, 403
54	March 7, 2013	Email from R. Markowitz to adam@DavinInvestmentsp.com, M. Stein, J. Lhote, and J. van Merkensteijn re New Account - Davin Investments Pension Plan	MPSKAT00072043	MPSKAT00072046	2155	
55	March 13, 2013	Email from R. Veillette to A. O'Donnell, re Bernina LLC	WH MDL 00518473	WH MDL 00518474		H, IR, 403
56	April 4, 2013	Email from G. Williams to gmwjr01@earthlink.net, re Partnerships, attaching Structure of Entities and Transaction PowerPoint presentation	WH MDL_00294342	WH MDL_00294345		IR, H, F
57	June 18, 2013	Email from J. van Merkensteijn to P. Wells, copying R. Markowitz, J. Lhote, M. Stein, A. La Rosa, M. Ben-Jacob and R. Veillette, re FBARS	WH MDL_00295827	WH MDL_00295828		
58	July 29, 2013	Limited Power of Attorney for E. van Merkensteijn, as Trustee of Azalea Pension Plan, appointing A. LaRosa as Authorized Representative	MPSKAT00000725	MPSKAT00000725	1634	
59	July 31, 2013	Email from P. Wells to J. van Merkensteijn, J. Lhote, M. Stein, R. Markowitz and A. La Rosa, copying M. Ben-Jacob, re Pension Plans, attaching Memo - Pension Plan Issues and Structure Charts for Memo	JHVM_0009829	JHVM_0009838		H
60	July 31, 2013	Email from J. van Merkensteijn to J. Lhote and P. Wells, copying M. Stein, R. Markowitz, A. La Rosa and M. Ben-Jacob, re Pension Plans	WH MDL_00223631	WH MDL_00223632		H, IR
61	August 2, 2013	Email from P. Wells to J. Lhote, copying J. van Merkensteijn, M. Stein, R. Markowitz, A. La Rosa and M. Ben-Jacob, re Pension Plans, attaching Memo - Pension Plan Issues [other attachment not included with family on Relativity]	JHVM_0027336	JHVM_0027341		
62	September 4, 2013	Email from M. Ben-Jacob to R. Markowitz and P. Wells, re Opinion	WH MDL 00296096	WH MDL 00296097		H
63	September 6, 2013	Email from A. La Rosa to R. Markowitz, copying M. Stein, J. Lhote and J. van Merkensteijn, re Danish tax refunds	WH MDL_00479241	WH MDL_00479245		
64	September 10, 2013	Email from P. Wells to M. Ben-Jacob, J. van Merkensteijn, M. Stein, J. Lhote, R. Markowitz and A. La Rosa, copying A. Woodard and K. Wechter, re Fact Memo	WH MDL_00224266	WH MDL_00224299		
65	September 23, 2013	Date from Metadata - Jocelyn Markowitz Resume	ELYSIUM-02188420	ELYSIUM-02188420		AUTH; F; H; 403
66	October 10, 2013	Email from D. Sausen to M. Stein, A. La Rosa and J. Lhote, copying M. Ben-Jacob and G. Gartner, re Sample Trade - Revised	WH MDL_00296398	WH MDL_00296402		F, H, IR
67	October 24, 2013	Email from K. Wechter to M. Ben-Jacob, copying P. Wells, A. Woodard and J. London, re where are we on the arge memo?, attaching Scan Job	WH MDL_00369477	WH MDL_00369483		F, H
68	November 4, 2013	Email from Unity Messaging System (J. van Merkensteijn) to M. Ben-Jacob, re Voice Message from ARGRE MGMT LLC (2122472600), attaching VoiceMessage.wav	WH MDL_00368145	WH MDL_00368146		
69	November 12, 2013	Email from A. La Rosa to J. Lhote, copying M. Stein, R. Markowitz and J. van Merkensteijn, re pension plan bank accounts	JHVM_0006271	JHVM_0006271	1892	IR, 403, H
70	November 22, 2013	Email from R. Markowitz to A. La Rosa, J. Lhote, M. Stein and J. van Merkensteijn, re Wire Transfer Request	WH MDL_00480875	WH MDL_00480879		AUTH, F, H
71	November 22, 2013	Email from A. La Rosa to R. Markowitz, copying J. Lhote, M. Stein and J. van Merkensteijn, re Wire Transfer Request	WH MDL_00480885	WH MDL_00480889		AUTH, F, H
72	December 3, 2013	Email from A. La Rosa to R. Markowitz, copying J. van Merkensteijn, J. Lhote, M. Stein and A. O'Donnell, re Cash Shortfalls	WH MDL_00481655	WH MDL_00481656		IR, 403
73	December 5, 2013	Email from A. La Rosa to R. Markowitz, J. van Merkensteijn, M. Stein and J. Lhote, re Today - All Approved	JHVM_0029850	JHVM_0029852		IR, H
74	December 31, 2013	Dec. 1 - 31, 2013 First Republic Checking Account Statement for Quartet Investment Partners LLC (Dec. 2013)	MPSKAT00057113	MPSKAT00057116	1653	
75	2014	Calendar year is 2014 - Form 8802 Application for United States Residency Certification on behalf of Bareroot Capital Investments LLC Roth 401(K) Plan	WH MDL_00026778	WH MDL_00026780	1440	IR, F, H
76	February 13, 2014	Email from Custody@solo.com to adam@Xiphiasp.com, copying Custody@solo.com and Legal@solo.com, re Updated Custody Agreement/Notice of termination, attaching US pension plan Onboarded-Xiphias	MPSKAT00104035	MPSKAT00104057		
77	February 18, 2014	Email from A. La Rosa to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re New Brokers	JHVM_0005930	JHVM_0005930	2147	H, IR, 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
78	February 19, 2014	Email from A. La Rosa to J. Lhote, M. Stein, R. Markowitz and J. van Merkensteijn, re Forwards	JHVM_0027086	JHVM_0027086	2206	H, IR, 403
79	March 10, 2014	Limited Power of Attorney (David Zelman as Trustee of The Vanderlee Technologies Pension Plan appoints Donald Donaldson)	MPSKAT00004752	MPSKAT00004752	1437	
80	March 25, 2014	Email from J. van Merkensteijn to A. La Rosa, copying R. Markowitz, J. Lhote and M. Stein, re Please eSign TJM Onboarding Pack	MPSKAT00191080	MPSKAT00191081		
81	April 30, 2014	Letters from A. Stratford-Martin at Solo Capital Partners LLP (CEO) to several pension plans, re Client Custody Agreement	WH_MDL_00097253	WH_MDL_00097281		
82	April 30, 2014	Email from Adam@CaliforniaCatCo.com to R. Markowitz, re Important Notification Regarding Client Money	WH_MDL_00297213	WH_MDL_00297214		
83	May 1, 2014	Email from S. Shah to R. Klugman re Are you available to talk	ELYSIUM-03044627	ELYSIUM-03044627		AUTH; F; H; 403
84	May 8, 2014	Email from A. Kaufman to R. Lehman and R. Klugman, copying O. Arti, re The BF Solo 401K and LLC for CT	ELYSIUM-03063168	ELYSIUM-03063168		AUTH; F; H; 403
85	May 8, 2014	Email from R. Klugman to S. Shah re Hello Again	ELYSIUM-03063643	ELYSIUM-03063643		AUTH; F; H; 403
86	May 23, 2014	Email from R. Markowitz to S. Shah, copying J. van Merkensteijn, P. Shah and gerry@agrius-capital.com, re Call With You	ELYSIUM-03109492	ELYSIUM-03109492		Auth; F; H; 403
87	May 31, 2014	Email from S. Shah to R. Klugman, attaching spreadsheet of Reclaim, Costs and Net P&L calculations	KLUGMAN00067640	KLUGMAN00067640		
88	June 18, 2014	Email from R. Klugman to J. van Merkensteijn, copying R. Markowitz, re Update	JHVM_0004821	JHVM_0004822		
89	June 19, 2014	Email from J. van Merkensteijn to D. Zelman, re pension plans	DZ000001450	DZ000001450	1418	
90	June 20, 2014	Memorandum from M. Ben-Jacob to J. van Merkensteijn, M. Stein, J. Lhote, R. Markowitz, and A. LaRosa re Michelle Investments: Pension Plan Trades: Transactions with "Disqualified Persons"	KLUGMAN00067808	KLUGMAN00067843		
91	June 26, 2014	Email from R. Klugman to R. Markowitz and J. van Merkensteijn, copying A. Gregory, re Afternoon Tea Confirmation: July 2, attaching Africa SUMMARY ITINERARY ROB KLUGMAN 7	JHVM_0013485	JHVM_0013490		F, H, IR (as to attachment)
92	July 8, 2014	Date Resume was Notarized on - J. van Merkensteijn Resume (pin cite MBJ_0035797)	MBJ_0035575	MBJ_0035797	2272	INC
93	July 9, 2014	Date from Parent Email - R. Markowitz Resume	WH_MDL_00227810	WH_MDL_00227810	2107	
94	July 10, 2014	2013 Form 5500-EZ (Annual Return of One-Participant Retirement Plan) for Azalea Pension Plan	MPSKAT00000899	MPSKAT00000900	1669	
95	July 15, 2014	Email from J. van Merkensteijn to R. Carlen, copying A. La Rosa, R. Markowitz and M. Ben-Jacob, re Quartet	WH_MDL_00301571	WH_MDL_00301573		IR
96	July 17, 2014	Email from S. Furr to R. Markowitz, copying J. van Merkensteijn, re Some Partnership Funds	GUNDERSON 00000757	GUNDERSON 00000758	2163	F, H
97	July 17, 2014	Email from P. Wells to D. Zelman, copying R. Markowitz, J. van Merkensteijn, R. Veillette and A. Gregory, re Power of Attorney for Plan, attaching David Zelman - Power of Attorney	DZ000001057	DZ000001060	1438	
98	July 17, 2014	Email from P. Wells to R. Altbach, re Plan Documents for Signature, attaching Ronald Altbach - Crucible Ventures Pension Plan, Ronald Altbach - True Wind Investments Pension Plan, Ronald Altbach - Roadcraft Technologies Pension Plan, Ronald Altbach - Plumrose Industries Pension Plan, Ronald Altbach - Limelight Global Pension Plan and Ronald Altbach - Old Park Lane-Appendix A & B Signature Pages	WH_MDL_00228515	WH_MDL_00228545		
99	July 17, 2014	Battu Holdings LLC Roth 401K Plan / Battu Plan Formation Document, signed by D. Zelman	MBJ_0000106	MBJ_0000207	1429	F, H
100	July 18, 2014	Email from P. Wells to S. Furr, J. van Merkensteijn and D. Zelman, re Plan Documents for Signature	GUNDERSON 00008624	GUNDERSON 00008627		UNABLE TO LOCATE
101	July 18, 2014	Email from J. van Merkensteijn to S. Furr, copying D. Zelman, re Plan Documents for Signature, attaching David Zelman - Bareroot Capital Pension Plan, David Zelman - Cantata Industries Pension Plan, David Zelman - Dicot Technologies Pension Plan, David Zelman - Old Park Lane - Old Park Lane Appendix A & B Signature Pages, David Zelman - Power of Attorney, Dvaid [sic] Zelman - Battu Holdings Pension Plan and Dvaid [sic] Zelman - Vanderlee Technologies - W-9	GUNDERSON 00008653	GUNDERSON 00008690	1434	F, H

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
102	July 18, 2014	Email from K. Martin to J. van Merkensteijn, copying K. Martin, re Old Park Lane Capital, attaching Old Park Lane Presentation RS 14	JHVM_0011408	JHVM_0011423		INC
103	July 18, 2014	Email from J. van Merkensteijn to R. Markowitz and R. Veillette, copying P. Wells, R. Klugman and S. Furr, re Elite Pension Consultants - additional payment	KLUGMAN00047673	KLUGMAN00047674		F, H
104	July 19, 2014	Email from S. Everard to P. Wells, copying Client Services (SG), M. Ben-Jacob, R. Veillette, A. Gregory and Goal EMEA Sales re Tax Reclaim Services	KLUGMAN00052709	KLUGMAN00052710		F, H
105	July 20, 2014	Email from P. Wells to S. Lambersy, copying M. Ben-Jacob, R. Veillette and A. Gregory, re Tax Reclaim Services	KLUGMAN00052713	KLUGMAN00052715		F, H
106	July 21, 2014	Email from V. Dean to P. Wells, copying Goal EMEA Sales, re Tax Reclaim Services, attaching BENEFICIAL OWNERSHIP QUESTIONNAIRE, GTB Standard SLA - SOLO Clients only and GTB POWER OF ATTORNEY	WH_MDL_00447360	WH_MDL_00447389		F, H
107	July 22, 2014	Email from R. Markowitz to J. van Merkensteijn, copying A. Gregory, P. Wells, and R. Klugman, re Trust Account Documentation	KLUGMAN00054108	KLUGMAN00054109	1754	
108	July 23, 2014	Limited Power of Attorney (Solo Capital Limited, Solo Capital Partners LLP and Old Park Lane Capital PLC - Principal D. Zelman, Agent M. Ben-Jacob), notarized by P. Wells on July 23, 2014	MBJ_0005095	MBJ_0005097	1439	
109	July 24, 2014	Date from Metadata - P. Lerner Resume	ELYSIUM-08941244	ELYSIUM-08941244	570	AUTH; F; H; 403
110	July 24, 2014	Email from M. Freed to N. Sockett, re New Bank Accounts (Altbach's trust accounts), attaching Crucible, Limelight, Plumrose, Roadcraft and True Wind	MBJ_0006519	MBJ_0006554	1059	IR, H
111	July 24, 2014	Email from A. La Rosa to R. Markowitz, J. van Merkensteijn, M. Stein, and J. Lhote, copying A. Colodner, re Quartet [Attachment Omitted]	WH_MDL_00308203	WH_MDL_00308208	2246	H
112	July 31, 2014	Email from R. Klugman to admin@RouttPension.com re OPL Custody Agreement	WH_MDL_00030094	WH_MDL_00030094		
113	July 31, 2014	Email from J. van Merkensteijn to admin@RouttPension.com, P. Wells, R. Veillette, A. Gregory and R. Klugman, copying R. Markowitz and S. Furr, re OPL Custody Agreement	WH_MDL_00101929	WH_MDL_00101930	2197	H, IR
114	July 31, 2014	Email from J. van Merkensteijn to M. Stein, J. Lhote, A. LaRosa, and R. Markowitz, re Long form reclaim amount received from Belgian tax authorities, attaching 20140729_Argre Management_Reclaim Report Long Form Refunds Belgium_Raubritter	WH_MDL_00488100	WH_MDL_00488103		
115	July 31, 2014	Email from T. Kellam to P. Lerner, re bank accounts	PL00000440	PL00000441	596	Duplicate of 1619
116	August 1, 2014	Email from P. Wells to R. Markowitz, copying R. Klugman, J. van Merkensteijn, R. Veillette and A. Gregory, re Reclaims	KLUGMAN00049044	KLUGMAN00049046		F, H
117	August 1, 2014	Form 8802 for the Avani Management Plan, signed by R. Markowitz	WH_MDL_00115119	WH-MDL-00115121		
118	April 8, 2014	Email from E. Barac to R. Klugman, re Agreement, attaching Copella Presentation	ELYSIUM-02978217	ELYSIUM-02978218	1749	DNM
119	August 5, 2014	Email from M. Ward to R. Markowitz, copying N. Patel and O. Arti, re Payment details for OPL, attaching RM Clients spreadsheet	WH_MDL_00090870	WH_MDL_00090873		F, H, IR, 403
120	August 5, 2014	Email from P. Wells to Custody [at OPL], copying R. Veillette, A. Gregory, admin@RouttPension.com, C. Sidoli and ph@oldplc.com, re Routt Capital Trust	WH_MDL_00064497	WH-MDL-00064525		
121	August 5, 2014	Email from R. Markowitz to M. Cooper and I. Reibeisen, copying R. Klugman and J. van Merkensteijn, re Payment details for OPL, attaching RM Clients spreadsheet	JHVM_0004858	JHVM_0004862	4597	Duplicate of PX-644
122	August 6, 2014	Email from R. Markowitz to M. Cooper, I. Reibeisen, R. Klugman and J. van Merkensteijn, re Emailing: TDC August Forward Template, TDC August Equity Template, attaching TDC August Equity Template spreadsheet and TDC August Forward Template spreadsheet	JHVM_0004799	JHVM_0004803	1786	
123	August 6, 2014	Email from R. Markowitz to M. Ward, copying N. Patel, O. Arti, J. van Merkensteijn and R. Klugman, re Funding into the OPL Accounts	ELYSIUM-03286126	ELYSIUM-03286126	595	AUTH; F; H; 403
124	August 13, 2014	Email from R. Altbach to P. Wells, re Partnership Agreements for your Signature	RA00000548	RA00000549	982	F, H, IR
125	August 13, 2014	Email from R. Klugman to J. van Merkensteijn, copying R. Markowitz, re sanjay	JHVM_0009000	JHVM_0009001		H
126	August 15, 2014	Email from A. Colodner to J. Lhote and D. Zelman, re Vanderlee, attaching Zelman BofA Account	DZ000001848	DZ000001849	1488	AUTH, F, H
127	August 27, 2014	Email from M. Ben-Jacob to R. Markowitz, copying P. Wells, re Payments to Adam	WH_MDL_00241798	WH_MDL_00241799	3118	
128	September 2, 2014	Email from J. van Merkensteijn to P. Wells, copying R. Veillette, R. Markowitz and R. Klugman, re namaes [sic]	KLUGMAN00055617	KLUGMAN00055618		F, H, IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
129	September 3, 2014	Email from R. Markowitz to J. van Merkensteijn, copying R. Klugman and S. Furr, re Invoices	GUNDERSON 00001522	GUNDERSON 00001523		
130	September 9, 2014	Email from A. La Rosa to M. Ben-Jacob, re Invoices to Quartet Investment Partners LLC, attaching \$964,988.47 and \$285,000	WH_MDL_00162828	WH-MDL-00162833		IR
131	September 16, 2014	Email from J. van Merkensteijn to P. Wells, copying M. Ben-Jacob, re Automatic Reply: Talking Today	WH_MDL_00314696	WH_MDL_00314698		
132	September 18, 2014	Email from M. Freed (Wells Fargo) to D. Zelman, re LLC Checking Accounts, attaching Bareroot, Battu, Cantata, Dicot and Vanderlee (Business Account Applications for all 5 of Zelman's plans)	DZ000001503	D2000001538	1492	F, H
133	September 18, 2014	Email from M. Freed to P. Lerner, re LLC Checking Accounts, attaching Business Account Applications for Lerner's plans	PL00000918	PL00000953	718	F, H, IR, 403
134	September 19, 2014	Email from R. Altbach to J. van Merkensteijn, re Wells Fargo Account	RA00000545	RA00000547		F, H
135	October 22, 2014	Email from R. Markowitz to J. van Merkensteijn, re what	JHVM_0005900	JHVM_0005901		
136	October 29, 2014	Email from J. van Merkensteijn to M. Ben-Jacob, re Belgium Trading, Insurance and New Plans	WH_MDL_00315666	WH_MDL_00315667	2260	IR, 403
137	November 6, 2014	Email from R. Altbach to P. Wells, copying R. Veillette and A. Gregory, re Bank Accounts	RA00001932	RA00001934		UNABLE TO LOCATE
138	December 1, 2014	Email from R. Markowitz to I. Reibeisen and M. Cooper, copying R. Klugman and J. van Merkensteijn, re Trading This Week	JHVM_0004899	JHVM_0004900		
139	December 5, 2014	Email from R. Markowitz to R. Klugman and J. van Merkensteijn, re Reclaims from TDC Trade in August, attaching TDC Reclaims Paid To Date	JHVM_0004780	JHVM_0004781		
140	December 9, 2014	Packet of Invoices from various plans to various partnerships for capital consulting services	WH_MDL_00036939	WH_MDL_00036952		
141	December 11, 2014	Email from J. van Merkensteijn to P. Lerner and M. Ben-Jacob, re European Probe Widens Into Tax Maneuver - WSJ	WH_MDL_00317286	WH_MDL_00317292		IR, 403, H
142	December 12, 2014	Email from A. Colodner to A. La Rosa, re David Zelman	MPSKAT00147316	MPSKAT00147317	1478	H
143	December 12, 2014	Email from M. Ben-Jacob to R. Markowitz and P. Wells, copying J. van Merkensteijn and R. Klugman, re Danish Securities Law Question	KLUGMAN00006326	KLUGMAN00006332	1852	H, 403
144	December 12, 2014	Email from J. Lhote to A. Colodner, copying D. Zelman and J. van Merkensteijn, re Vanderlee	DZ000001544	DZ000001544		H, 403
145	December 31, 2014	Bareroot Capital LLC Roth 401(K) Plan (January 1, 2014 through December 31, 2014)	MPSKAT00010472	MPSKAT00010473	1493	
146	January 9, 2015	Email from tradeapprovals@oldplc.com to Trading@HadronPension.com, copying custody@oldplc.com, re HAD01 Q4 2014 Invoice attaching Old Park Lane Capital PLC Q4 2014 Account Statement/Invoice for Hadron Industries LLC Roth 401(K) Plan	WH_MDL_00037301	WH_MDL_00037303		DNM
147	January 12, 2015	Email from M. Ben-Jacob to J. van Merkensteijn, R. Markowitz and R. Klugman, copying P. Wells, re Danish Securities Law Question, attaching invoice from Danish counsel	KLUGMAN00006305	KLUGMAN00006317	3135	
148	January 12, 2015	Email from R. Markowitz to P. Wells, R. Veillette and A. Gregory, re Plans with Telestro	WH_MDL_00317911	WH_MDL_00317911		
149	January 12, 2015	Email from R. Markowitz to P. Wells, R. Veillette and A. Gregory, re Plans with Solo	WH_MDL_00317912	WH_MDL_00317912		
150	January 12, 2015	Email from R. Markowitz to P. Wells, A. Gregory and R. Veillette, re Plans staying with OPL	WH_MDL_00317913	WH_MDL_00317913		
151	January 12, 2015	Email from R. Markowitz to P. Wells, A. Gregory and R. Veillette, re Plans with West Point	WH_MDL_00317914	WH_MDL_00317914		
152	January 14, 2015	WSJ article titled "Pressure Rises on Tax-Trading Strategies"	N/A	N/A		IR, 403
153	January 15, 2015	Email from J. van Merkensteijn to M. Ben-Jacob, re Pressure rises on tax-trading strategies	WH_MDL_00246930	WH_MDL_00246930	2304	H, IR
154	January 21, 2015	Email from R. Markowitz to P. Wells, R. Veillette and A. Gregory, copying J. van Merkensteijn and R. Klugman, re New Custodians for Existing Plans	KLUGMAN00051387	KLUGMAN00051388		
155	January 21, 2015	Email from P. Wells to admin@BasaltPension.com, re Reclaim Agents for the 6 Newer Plans	WH_MDL_00317982	WH_MDL_00317982		IR, H, F

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
156	January 23, 2015	Email from J. van Merkensteijn to R. Carlen, copying A. La Rosa, S. Furr and M. Ben-Jacob, re Omimeca Pension Plan trust	GUNDERSON 00005662	GUNDERSON 00005663		
157	January 23, 2015	Email from P. Lerner to J. van Merkensteijn, re pension plans	PL00000506	PL00000506	603	
158	January 24, 2015	Email from D. Zelman to J. van Merkensteijn, R. Altbach, P. Lerner, E. Miller and R. Markowitz, copying M. Ben-Jacob, re pension plans	DZ000001890	DZ000001890	1465	
159	January 30, 2015	Email from J. van Merkensteijn to S. Furr, re New Brokerage Relationships	GUNDERSON 00003727	GUNDERSON 00003729	1782	
160	January 30, 2015	Email from P. Lerner to T. Kellam, re con call	PL00000503	PL00000504	604	IR
161	February 6, 2015	Email from admin@RouttPension.com to J. van Merkensteijn and R. Klugman, re Cash Statements From OPL as of Jan 31st, attaching The Routt Capital Pension Plan Cash Statement[1] spreadsheet	JHVM_0005683	JHVM_0005684	1812	
162	February 12, 2015	Email from The TJM Partnership PLC (echosign@echosign.com) to The Dealing Desk @ TJM (trading@tjmpartners.com), M. Ben-Jacob, Attorney in fact (trading@vojoopension.com), re TJM On boarding Pack between The TJM Partnership PLC and Michael Ben-Jacob, Attorney in fact is Signed and Filed!, attaching TJM Onboarding Pack - signed	WH_MDL_00074414	WH_MDL_00074433	2298	H
163	February 19, 2015	Email from R. Veillette to P. Wells, re About 120 GMSLAs to Sign and Send Back	WH_MDL_00444829	WH_MDL_00444832		H, 403
164	February 19, 2015	Email from R. Veillette to P. Wells, re Principle Markets GMSLA	WH_MDL_00444841	WH_MDL_00444844		H, 403
165	February 23, 2015	Email from R. Markowitz to P. Wells, A. Gregory and R. Veillette, copying R. Klugman and J. van Merkensteijn, re Software License and New Custody Agreement, attaching Octave Software Licence Agreement and OPL Standalone Custody Document	JHVM_0004738	JHVM_0004779	1780	
166	February 25, 2015	Email from trading@RouttPension.com to J. van Merkensteijn, R. Klugman, M. Cooper and I. Reibeisen, re Automated Trading	KLUGMAN00009216	KLUGMAN00009216	4601	
167	March 3, 2015	Email from J. Lhote to A. La Rosa, R. Markowitz, J. van Merkensteijn and M. Stein, copying A. Colodner, re Trade Confirm Request	WH_MDL_00493251	WH_MDL_00493253		IR, H
168	March 3, 2015	Email from J. van Merkensteijn to R. Markowitz and A. La Rosa, re Belgium update from solo	WH_MDL_00493270	WH_MDL_00493272		IR, H
169	March 11, 2015	Email from P. Wells to R. Klugman, copying trading@RouttPension.com, re Traders for Plans	KLUGMAN00009261	KLUGMAN00009262		IR, H
170	March 16, 2015	Email from R. Markowitz to M. Stein, J. Lhote, A. La Rosa and J. van Merkensteijn, re A Call With Sanjay	JHVM_0011067	JHVM_0011067		H, IR, 403
171	April 9, 2015	Email from R. Markowitz to P. Wells, copying J. van Merkensteijn, R. Klugman and M. Ben-Jacob, re Fed Reporting, attaching Securities Bought or Sold, Settled as of March 31, 2015	WH_MDL_00321560	WH_MDL_00321561		
172	April 13, 2015	Email from J. van Merkensteijn to R. Markowitz, copying R. Klugman and S. Furr, re Lunch with Sanjay and his team tomorrow	GUNDERSON 00001249	GUNDERSON 00001249		H, IR
173	May 7, 2015	Notes From Argre/Solo Discussions: Oct. 7, 2012 - May 7, 2015	KLUGMAN00069051	KLUGMAN00069057		UNABLE TO LOCATE
174	May 8, 2015	Email from P. Wells to D. Zelman, copying J. van Merkensteijn, re Documents for Signature - Form TIC S, attaching Form TIC S - Signature Pages - Zelman	DZ000001088	DZ000001093	1549	
175	May 18, 2015	Email from J. Herman to R. Markowitz re Signature Pages	JH00000020	JH00000021	358	H
176	May 29, 2015	Email from J. van Merkensteijn to P. Lerner, R. Altbach, E. Miller and D. Zelman, copying R. Klugman, R. Markowitz, S. Furr and P. Wells, re bank accounts	KLUGMAN00000054	KLUGMAN00000054		403
177	May 29, 2015	Email from J. van Merkensteijn to P. Lerner, re bank accounts	PL00000623	PL00000623	641	403
178	May 31, 2015	Email from R. Markowitz to R. Klugman and J. van Merkensteijn, re Partnership Summaries, attaching Balance Sheet as of May 31, 2015 and Summary of Equity Value and Cash as of May 31, 2015	JHVM_0005676	JHVM_0005682	1813	AUTH, F, H, MIL
179	June 1, 2015	Email from J. van Merkensteijn to S. Furr re Reclaims	GUNDERSON 00008365	GUNDERSON 00008366		
180	June 11, 2015	Email from R. Markowitz to P. Wells, copying J. van Merkensteijn and R. Klugman, re Form S Information for May, attaching Securities Bought or Sold, Settling During May, 2015	KLUGMAN00056889	KLUGMAN00056890	1798	
181	June 11, 2015	Email from S. Furr to J. van Merkensteijn, re Transfers of Funds	GUNDERSON 00000474	GUNDERSON 00000476	1815	H
182	June 16, 2015	Email from P. Lerner to J. van Merkensteijn, re deposits	PL00000906	PL00000907	635	

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
183	June 22, 2015	Letter from M. Ben-Jacob to Solo Capital Partners, re Battu Holdings LLC Roth 401(k) Plan (the "Plan") [confirming that USD \$575,000 is properly due and owed to the RAK Investment Trust]	MPSKAT00010779	MPSKAT00010779		
184	June 22, 2015	M. Ben-Jacob (Bareroot Capital Investments LLC Roth 401(k) Plan) letter to Telesto Markets LLP, confirming \$212,500 is properly due and owed to the RAK Investment Trust from the account of the Plan at account number BAR01 at Telesto Markets LLP	MPSKAT00010795	MPSKAT00010795	1515	
185	June 22, 2015	Email from admin@RouttPension.com (R. Markowitz) to P. Wells, re Form SLT (Holdings), attaching Securities Held as of May 31, 2015	WH_MD_L_00322923	WH_MD_L_00322924	3123	Duplicate of PX-645
186	July 6, 2015	Wells Fargo Account Statement for Plumrose Industries LLC Roth 401K Plan (June 5, 2015 - July 6, 2015)	PR00000093	PR00000095	1090	
187	July 10, 2015	Email from R. Altbach to J. van Merkensteijn, re forgot to ask you about dividend trading	RA00000516	RA00000516	1079	
188	July 16, 2015	Email from J. van Merkensteijn to R. Markowitz, re Azelea Pension Plan Trust, attaching Azelea Financials	JHVM_0010434	JHVM_0010443	2318	C
189	July 17, 2015	Email from J. van Merkensteijn to R. Carlen, copying R. Goicochea and S. Furr, re Azelea Pension Plan Trust	JHVM_0010422	JHVM_0010425	2319	IR, 403
190	July 27, 2015	Email from J. van Merkensteijn to R. Goicochea and R. Carlen, copying M. Ben-Jacob and S. Furr, re Azelea Pension Plan Trust	GUNDERSON 00009847	GUNDERSON 00009851		IR
191	July 28, 2015	Email from R. Markowitz to R. Jones, re Capital Contributions to Your Companies' 401 K Plans	WH_MD_L_00007707	WH_MD_L_00007708	1203	IR
192	August 3, 2015	Email from J. Lhote to J. van Merkensteijn, R. Markowitz, M. Stein and A. La Rosa, re Plans that were fully paid up	JHVM_0010974	JHVM_0010974		
193	August 10, 2015	Email from D. Zelman to depot@northchannelbank.de, copying A. La Rosa, re Account balance	MPSKAT00211903	MPSKAT00211905	1542	
194	August 17, 2015	Email from R. Altbach to J. van Merkensteijn, re plan payment	RA00000515	RA00000515		
195	August 21, 2015	Email from J. van Merkensteijn to R. Markowitz, copying R. Klugman and S. Furr, re Distribution Amounts	GUNDERSON 00002187	GUNDERSON 00002189	1479	
196	August 27, 2015	Email from J. van Merkensteijn to R. Markowitz, re Do you want to join me at 9 am with Sanjay?	JHVM_0017163	JHVM_0017163	2250	H, IR, 403
197	August 27, 2015	Email from J. Lhote to M. Ben-Jacob, copying M. Stein, L. McGee, A. La Rosa, R. Markowitz, J. van Merkensteijn and J. Lhote, re eNews, August 2015: Temporary suspension of Danish dividend withholding tax reclaim processing due to suspected tax fraud	WH_MD_L_00324776	WH_MD_L_00324779	2249	H, IR
198	August 28, 2015	Email from R. Altbach to J. van Merkensteijn and R. Markowitz, re Roadcraft	RA00000507	RA00000507		403, H, F
199	August 31, 2015	Email from S. Furr to N. Parissi and Client Service Group New York, re Wire Transfer Requests, attaching Azalea to EHvM IRA ML \$270,000	GUNDERSON 00000369	GUNDERSON 00000370	1657	H
200	September 10, 2015	Email from trading@BarerootPension.com to legal@hydracapitallimited.com, copying R. Marsh, re Trading Program	WH_MD_L_00088195	WH_MD_L_00088195		Insufficient description to identify document
201	September 10, 2015	Email from trading@EdgepointPension.com to legal@hydracapitallimited.com, copying B. Johal, re Trading Program	WH_MD_L_00088204	WH_MD_L_00088204		
202	September 11, 2015	Email from trading@HeadsailPension.com to legal@hydracapitallimited.com, copying GSS-Solo, re Trading Program	ELYSIUM-04812502	ELYSIUM-04812502		AUTH; F; H; 403
203	September 27, 2015	Email from R. Markowitz to J. van Merkensteijn and A. La Rosa, copying S. Furr and A. Colodner, re Question: Azalea LLC 2013, attaching 12.2013 [account statement]	JHVM_0020461	JHVM_0020467		IR, 403
204	October 1, 2015	Packet of Invoices from Various Entities to Various Pension Plans for Administrative Services Rendered from 2014 through December 31, 2015	MPSKAT00010574	MPSKAT00010600		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
205	October 1, 2015	Richard Markowitz c/o RJM Capital LLC Invoice to Cantata Industries LLC Roth 401(K) Plan for Administrative Services	MPSKAT00010605	MPSKAT00010605	1501	
206	October 6, 2015	Email from GSSOPL to undisclosed recipients, re Custody terms and conditions, attaching AMENDMENT TO OLD PARK LANE CAPITAL LIMITED TERMS AND CONDITIONS FORCUST... and OPL Standalone Custody Document	WH_MDL_00088273	WH_MDL_00088307		
207	October 13, 2015	Email from R. Goicochea to J. van Merkensteijn, copying S. Furr and R. Carlen, re Azalea Pension Plan Trust	GUNDERSON 00009781	GUNDERSON 00009786	4496	
208	November 9, 2015	Email from M. Ben-Jacob to D. Zelman, copying B. Bielawski, A. Zdenek and K. Wechter, re David Zelman Roth 401(k) rollovers	MBJ_0000001	MBJ_0000002	1511	H
209	November 12, 2015	Email from Solo Capital Partners LLP (GSS@solo.com) to R. Markowitz (Trading@VanderleePension.com), re Custody Services and Account Closure	ELYSIUM-05037224	ELYSIUM-05037224		Auth; F; H; 403
210	November 12, 2015	Email from GSS-Solo to trading@HeadsailPension.com re Custody Services and Account Closure	ELYSIUM-05037239	ELYSIUM-05037239		AUTH; F; H; 403
211	November 12, 2015	Email from GSS-Solo to Trading@AlbedoPension.com, re Custody Services and Account Closure	WH_MDL_00007777	WH_MDL_00007777		IR, 403
212	November 12, 2015	Email from GSS-Telesto to Trading@BallastPension.com, re Client Services and Account Closure	WH_MDL_00007780	WH_MDL_00007780		IR, 403
213	November 12, 2015	Email from GSS-Westpoint to Trading@FairliePension.com, re Custody Services and Account Closure	WH_MDL_00007784	WH_MDL_00007784		Insufficient description to identify document
214	November 13, 2015	Email from R. Altbach to J. van Merkensteijn, re wires	RA00000502	RA00000504	1081	403, H
215	November 13, 2015	Email from GSS-OPL to Trading@FairliePension.com, re Custody Services and Account Closure	WH_MDL_00007783	WH_MDL_00007783		IR, 403
216	November 18, 2015	Email from S. Furr to R. Altbach and R. Markowitz, re Signature Pages for Filing Fed Forms [Attachments Omitted]	GUNDERSON 00007366	GUNDERSON 00007366	1132	
217	November 18, 2015	Email from J. van Merkensteijn to D. Zelman, B. Bielawski and R. Markowitz, copying A. Zdenek, re Zelman LLC income info & Vanderlee	WH_MDL_00020070	WH_MDL_00020072	1491	IR
218	December 6, 2015	Email from R. Altbach to J. van Merkensteijn, re summary, attaching plans' activities summary spreadsheet	RA00000452; RA00001298	RA00000452; RA00001298	1111	H, F, 403
219	December 10, 2015	Email from S. Furr to D. Zelman, copying R. Markowitz, re Wire Payment Instructions for Trustee, attaching David Zelman Trustee	GUNDERSON 00007300	GUNDERSON 00007305	1509	
220	March 11, 2016	Email from R. Goicochea to J. van Merkensteijn and S. Furr, copying R. Carlen, re Azalea Pension Plan Trust - 2014, attaching 4273_001 (Azalea Pension Plan Trust Profit & Loss chart, Balance Sheet and General Ledger for 2014)	GUNDERSON 00009321	GUNDERSON 00009328		H; INC
221	March 11, 2016	Email from R. Goicochea to J. van Merkensteijn and S. Furr, copying R. Carlen, re Azalea Pension Plan Trust - 2013, attaching 4272_001 (Azalea Pension Plan Trust Profit & Loss chart, Balance Sheet and General Ledger for 2013)	GUNDERSON 00009329	GUNDERSON 00009332		
222	March 18, 2016	Email from R. Altbach to R. Altbach, re bookkeeping for LCs, attaching Invoice Crucible 1-15, Invoice Crucible 2-15, Invoice Limelight 1-15, Invoice Limelight 2-15, Invoice Plumrose 1-15, Invoice Plumrose 2-15, Invoice Roadcraft 1-15, Invoice Roadcraft 2-15, Invoice True Wind 1-15, Invoice True Wind 2-15 [attachment plans' activities summary 2014 and 2015 spreadsheet not included with family on Relativity]	RA00001959	RA00001970		UNABLE TO LOCATE
223	April 11, 2016	Email from R. Markowitz to R. Altbach, re Payments to Roadcraft Pension Plan	WH_MDL_00020076	WH_MDL_00020077	1104	IR, H
224	May 5, 2016	Email from R. Goicochea to J. van Merkensteijn and R. Carlen, copying R. Markowitz, A. Rojas and S. Furr, re LLC and Plan accounts	JHVM_0026086	JHVM_0026089		H
225	June 6, 2016	Email from J. van Merkensteijn to S. Furr, re bookkeeping for LCs - reference van Merkensteijn, attaching Battu Holdings LLC - General Partnership Agreement and Plan Management Spreadsheet - Partnership Agreements	GUNDERSON 00007845	GUNDERSON 00007864		
226	June 6, 2016	Email from R. Carlen to B. Pollak, copying J. van Merkensteijn, R. Markowitz, S. Furr and R. Goicochea, re bookkeeping for LCs - reference van Merkensteijn	MBJ_STOR-0002719	MBJ_STOR-0002728	1112	
227	June 21, 2016	Email from R. Carlen to R. Markowitz, re W2s for Jocelyn Markowitz	WH_MDL_00094227	WH_MDL_00094229	1903	H
228	September 11, 2016	Date from R. Carlen (Citrin Cooperman & Co., LLP) Email - Letter from R. Carlen to J. van Merkensteijn, attaching 2015 PAB Facilities Global General Partnership Tax Returns [Parent Email and Other Attachments Omitted]	GUNDERSON 00002938	GUNDERSON 00002986		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
229	October 26, 2016	Email from P. Lerner to R. Carlen, re Status of LLC and pension plan accounts-Eclouge 2015 statements	PL00000913	PL00000915		AUTH, F, H, IR
230	October 31, 2016	Email from R. Carlen to J. van Merkensteijn, re Wells Fargo Accounts	JHVM_0024106	JHVM_0024107	2320	H, 403, IR
231	December 6, 2016	Wells Fargo Account Statement for Plumrose Industries LLC Roth 401K Plan (November 5, 2016 - December 6, 2016)	PR00000015	PR00000018		
232	December 28, 2016	Consent to Transfer Partnership Interest Loggerhead Services General Partnership, signed by P. Lerner, Trustee (Loggerhead Services LLC Roth 401(k) Plan), R. Markowitz, Trustee (Routt Capital Trust) and Robert Klugman, Trustee (RAK Investment Trust) and Individually as Assignee	MBJ_STOR-0000344	MBJ_STOR-0000348	649	H, 403, IR
233	December 28, 2016	Consent to Transfer Partnership Interest - Vanderlee Technologies General Partnership	MBJ_STOR-0000384	MBJ_STOR-0000388		
234	January 17, 2017	Email from B. Pollak to R. Carlen, copying R. Klugman, J. van Merkensteijn, R. Markowitz and M. Ben-Jacob, re Partnerships, attaching 26 Dissolution and Termination of Partnerships	MBJ_0001811	MBJ_0001917		H, IR
235	January 23, 2017	State of Delaware Certificate of Cancellation for Azalea LLC, signed by E. van Merkensteijn	VCS00000020	VCS00000020	1671	
236	February 22, 2017	Email from R. Markowitz to R. Carlen, re Additional Documents for Routt Capital LLC, attaching Invoice from Routt to Azalea Q2 2014, True Wind Invoice from Routt, Tumba Invoice from Routt LLC and Vanderlee Invoiced [sic] from Routt LLC	WH_MDL_00095908	WH_MDL_00095912	1655	IR
237	May 1, 2018	Invoice from Vcorp Services to Arnold & Porter Kaye Scholer for fees related to a number of LLCs, including Herman's LLCs Albedo Management LLC, Ballast Ventures LLC, and Fairlie Investments LLC	VCS00000331	VCS00000337	427	
238	June 11, 2013	Certified Copy of the Certificate of Formation of Azalea LLC (Certified on August 15, 2013)	JHVM_0012972	JHVM_0012973		
239	June 20, 2013	The Azalea Pension Plan Formation Document	WH_MDL_00212578	WH_MDL_00212714		
240	June 20, 2013	Azalea Pension Plan Trust Formation Document (Certified July 1, 2013)	MPSKAT00000506	MPSKAT00000525		
241	February 28, 2014	February 01, 2014, through February 28, 2014, Azalea Pension Plan Trust (account ending 98050) Simplified Business Checking Account Statement with First Republic Bank	MPSKAT00000773	MPSKAT00000774		
242	March 31, 2014	March 01, 2014, through March 31, 2014, Azalea Pension Plan Trust (account ending 98050) Simplified Business Checking Account Statement with First Republic Bank	MPSKAT00000775	MPSKAT00000776		
243	May 31, 2014	May 01, 2014, through May 31, 2014, Azalea Pension Plan Trust (account ending 98050) Simplified Business Checking Account Statement with First Republic Bank	MPSKAT00000777	MPSKAT00000778		
244	June 30, 2014	June 01, 2014, through June 30, 2014, Azalea Pension Plan Trust (account ending 98050) Simplified Business Checking Account Statement with First Republic Bank	MPSKAT00000781	MPSKAT00000782		
245	July 31, 2014	July 01, 2014 through July 31, 2014, Azalea Pension Plan Trust (account ending 98050) Simplified Business Checking Account Statement with First Republic Bank	MPSKAT00000783	MPSKAT00000784		
246	August 31, 2014	August 01, 2014 through August 31, 2014, Azalea Pension Plan Trust (account ending 98050) Simplified Business Checking Account Statement with First Republic Bank	MPSKAT00000785	MPSKAT00000786		
247	September 30, 2014	September 01, 2014, through September 30, 2014, Azalea Pension Plan Trust (account ending 98050) Simplified Business Checking Account Statement with First Republic Bank	MPSKAT00000787	MPSKAT00000788		
248	March 31, 2015	March 01, 2015, through March 31, 2015, Azalea Pension Plan Trust (account ending 98050) Simplified Business Checking Account Statement with First Republic Bank	MPSKAT00000799	MPSKAT00000800		
249	March 16, 2015	Azalea Pension Plan Custodial Statement with Solo Capital	GUNDERSON 00001174	GUNDERSON00001182		
250	December 5, 2013	Email from 'solotradeapprovals' to dai@ldwconsultants.net, copying sp@novus-cap.com and 'solotradeapprovals', re Account (LDW01) - Trade Approved, with Details of Trade	ELYSIUM-02549836	ELYSIUM-02549836		AUTH; F; H; 403; IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
251	December 5, 2013	Email from 'solotradeapprovals' to adam@Azaleapp.com, copying sp@novus-cap.com and 'solotradeapprovals', re Account (AZA01) - Trade Approved with Details of Trade	ELYSIUM-02549839	ELYSIUM-02549839		AUTH; F; H; 403
252	December 10, 2013	Email from 'solotradeapprovals' to adam@Azaleapp.com, copying 'solotradeapprovals', re Account (AZA01) - Trade Approved, with Details of Stock Loan Transaction	ELYSIUM-02568364	ELYSIUM-02568364		AUTH; F; H; 403
253	December 10, 2013	Email from 'solotradeapprovals' to M. Smith, copying 'solotradeapprovals' re Account (COL01) - Trade Approved, with Details of Stock Loan Transaction	ELYSIUM-02568365	ELYSIUM-02568365		AUTH; F; H; 403; IR
254	October 12, 2013	Reclaim application to SKAT from Azalea Pension Plan with Acupay	SKAT_MDL_001_00060171	SKAT_MDL_001_00060175		
255	January 6, 2014	Reclaim application to SKAT from Azalea Pension Plan with Acupay	SKAT_MDL_001_00060176	SKAT_MDL_001_00060180		
256	March 20, 2014	Letter from K. Jones (Acupay) to Skattecenter Hoje-Taastrup attaching the Azalea Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Azalea Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT_MDL_001_00060181	SKAT_MDL_001_00060186		
257	April 17, 2014	Letter from K. Jones (Acupay) to Skattecenter Hoje-Taastrup attaching the Azalea Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Azalea Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT_MDL_001_00060187	SKAT_MDL_001_00060197		
258	May 27, 2014	Letter from K. Jones (Acupay) to Skattecenter Hoje-Taastrup attaching the Azalea Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Azalea Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT_MDL_001_00060198	SKAT_MDL_001_00060202		
259	August 19, 2014	Letter from S. Hurst (Acupay) to Skattecenter Hoje-Taastrup attaching the Azalea Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Azalea Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT_MDL_001_00060203	SKAT_MDL_001_00060207		
260	June 16, 2015	Email from J. van Merkensteijn to A. La Rosa, copying A. Rojas, R. Markowitz, R. Carlen, J. Lhote, D. Donaldson and S. Furr, re Rottt and Omineca 2014 Indigo Statements	GUNDERSON 00001224	GUNDERSON 00001226		
261	June 16, 2014	Certificate of Formation of Basalt Ventures LLC (Delivered and Filed on June 17, 2014)	JHVM_0001927	JHVM_0001927		
262	July 22, 2014	Basalt Ventures LLC Roth 401(k) Plan Formation Document (Established as of January 01, 2014), with W-9 (Signed July 23, 2014)	WH_MDL_00339896	WH_MDL_00339997		
263	December 28, 2015	Email from S. Furr to R. Carlen and R. Goicochea, re Basalt Ventures LLC Roth 401 k Bank Statements 2015, attaching Wells Fargo Account Statements for Basalt Ventures LLC Roth 401K Plan (December 27, 2014 - December 28, 2015)	GUNDERSON 00003142	GUNDERSON 00003179		
264	December 31, 2015	Jan. 1, 2015 - Dec. 31, 2015, Telesto Markets LLP Custodial Statement for Basalt Ventures LLC Roth 401(K) Plan (BVL01)	GUNDERSON 00009434	GUNDERSON 00009460		
265	August 7, 2014	Email from tradeapprovals@oldplc.com to brokerage@ballygatecapital.com, copying frontoffice@aronexpartners.com and tradeapprovals@oldplc.com, re Account (BCL01) - trade approved, with Details of Trade	ELYSIUM-03294559	ELYSIUM-03294559		AUTH; F; H; 403
266	August 8, 2014	Basalt Sample Trade TDC A/S, Eclouge Industry LLC Roth 401(K) Plan - TDC A/S and Roadcraft Technologies LLC Roth 401(K) Plan - TDC A/S spreadsheet	ELYSIUM-03497621	ELYSIUM-03497621		AUTH; F; H; 403
267	August 7, 2014	Email from tradeapprovals@oldplc.com to P. Milne and A. Milne, copying brokerage@ballygatecapital.com and tradeapprovals@oldplc.com, re Account (BAS01) - trade approved, with Details of Trade	ELYSIUM-03294580	ELYSIUM-03294580		AUTH; F; H; 403
268	August 7, 2014	Email from tradeapprovals@oldplc.com to trading@basaltension.com, copying P. Milne, A. Milne and tradeapprovals@oldplc.com, re Account (BVL01) - trade approved, with Details of Trade	ELYSIUM-03294464	ELYSIUM-03294464		AUTH; F; H; 403
269	August 12, 2014	Email from tradeapprovals@oldplc.com to trading@basaltension.com, copying bhupendra@gnosis-capital.com and tradeapprovals@oldplc.com, re Account (BVL01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308563	ELYSIUM-03308563		AUTH; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
270	August 12, 2014	Email from tradeapprovals@oldplc.com to bhuwendra@gnosis-capital.com, copying trading@esengi.net and tradeapprovals@oldplc.com, re Account (GNO01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308429	ELYSIUM-03308429		AUTH; F; H; 403
271	August 12, 2014	Email from tradeapprovals@oldplc.com to trading@esengi.net, copying frontoffice@aronexpartners.com and tradeapprovals@oldplc.com, re Account (ESE01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308581	ELYSIUM-03308581		AUTH; F; H; 403
272	March 30, 2015	Email from tradeapprovals@telesto.com to cashequity@arianfinancial.co.uk, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (ARF01) - trade approved, with Details of Trade	ELYSIUM-04028325	ELYSIUM-04028325		AUTH; F; H; 403
273	March 30, 2015	Email from tradeapprovals@telesto.com to sunrise@sunrisebrokers.com, copying cashequity@arianfinancial.co.uk and tradeapprovals@telesto.com, re Account (SUN01) - trade approved, with Details of Trade	ELYSIUM-04030897	ELYSIUM-04030897		AUTH; F; H; 403
274	March 30, 2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com, copying sunrise@sunrisebrokers.com and tradeapprovals@telesto.com, re Account (BVL01) - trade approved, with Details of Trade	ELYSIUM-04029726	ELYSIUM-04029726		AUTH; F; H; 403
275	April 1, 2015	Email from tradeapprovals@telesto.com to trading@basaltpension.com, copying info@tranceservices.com and tradeapprovals@telesto.com, re Account (BVL01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-04053345	ELYSIUM-04053345		AUTH; F; H; 403
276	April 1, 2015	Email from tradeapprovals@telesto.com to info@tranceservices.com, copying info@rvtgmhb.de and tradeapprovals@telesto.com, re Account (TRS01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-04052784	ELYSIUM-04052784		AUTH; F; H; 403
277	April 1, 2015	Email from tradeapprovals@telesto.com to info@rvtgmhb.de, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (RVT01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-04052746	ELYSIUM-04052746		AUTH; F; H; 403
278	November 27, 2014	Reclaim application to SKAT from Basalt Ventures LLC Roth 401(K) Plan with Goal Taxback	SKAT_MDL_001_00057103	SKAT_MDL_001_00057108		
279	April 14, 2015	Reclaim application to SKAT from Basalt Ventures LLC Roth 401(K) Plan with Goal Taxback	SKAT_MDL_001_00057109	SKAT_MDL_001_00057116		
280	April 16, 2014	Letter from M. Rodrigues to SKAT re A/C Basalt Ventures LLC Roth 401(K) Plan BVL01, attaching the Basalt Tax Reclaim Application	SKAT_MDL_001_00057117	SKAT_MDL_001_00057122		
281	April 21, 2015	Letter from M. Rodrigues to SKAT re A/C Basalt Ventures LLC Roth 401(K) Plan BVL01, attaching the Basalt Tax Reclaim Application	SKAT_MDL_001_00057090	SKAT_MDL_001_00057096		
282	April 23, 2015	Letter from M. Rodrigues to SKAT re A/C Basalt Ventures LLC Roth 401(K) Plan BVL01, attaching the Basalt Tax Reclaim Application	SKAT_MDL_001_00057073	SKAT_MDL_001_00057081		
283	April 27, 2015	Letter from M. Rodrigues to SKAT re A/C Basalt Ventures LLC Roth 401(K) Plan BVL01, attaching the Basalt Tax Reclaim Application	SKAT_MDL_001_00057082	SKAT_MDL_001_00057089		
284	May 15, 2015	Letter from M. Rodrigues to SKAT re A/C Basalt Ventures LLC Roth 401(K) Plan BVL01, attaching the Basalt Tax Reclaim Application	SKAT_MDL_001_00057097	SKAT_MDL_001_00057102		
285	July 16, 2016	Telesto Cash Statement for Basalt Ventures, Jan. 1, 2015 - July 16, 2016	MPSKAT00007256	MPSKAT00007258		
286	March 15, 2012	Certificate of Formation of Bernina LLC	JHVM_0020353	JHVM_0020353		
287	February 7, 2013	The Bernina Pension Plan Formation Documents	WH_MDL_00252474	WH_MDL_00252610		
288	February 7, 2013	February 7, 2013 Bernina Pension Plan Trust Agreement	MPSKAT00001793	MPSKAT00001812		
289	December 31, 2013	J.P. Morgan Account Statements for Bernina Pension Plan Trust from Mar. 6, 2013 - Dec. 31, 2013	GUNDERSON 00000248	GUNDERSON 00000274		
290	December 31, 2014	Jan. 1, 2014 - Dec. 31, 2014 JP Morgan Business Checking Account Statements for Bernina Pension Plan Trust	JHVM_0013063	JHVM_0013092		
291	October 30, 2015	JP Morgan Account Statements for Bernina Pension Plan Trust (Jan. 1 - Oct. 30, 2015)	JHVM_0012348	JHVM_0012372		
292	March 16, 2015	Bernina Pension Plan (Account No. BER01) Custodial Statement with Solo Capital	GUNDERSON 00001183	GUNDERSON 00001191		
293	August 7, 2014	Email from solotradeapprovals@solo.com to P. Milne and A. Milne, copying info@procaptrading.com, poakley@procaptrading.com, rcelotto@procaptrading.com, O. Mitchell and solotradeapprovals@solo.com, re Account (BAS01) - trade approved, with Details of Trade	ELYSIUM-03293435	ELYSIUM-03293435		AUTH; F; H; 403; IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
294	August 8, 2014	Bernina Sample Trade TDC A/S spreadsheet / Trade log listing various parties (Mar. 5, 2015)	ELYSIUM-04051799	ELYSIUM-04051799		
295	August 7, 2014	Email from solotradeapprovals@solo.com to brokerage@ballygatecapital.com, copying P. Milne, A. Milne and solotradeapprovals@solo.com, re Account (BCL01) - trade approved, with Details of Trade	ELYSIUM-03293465	ELYSIUM-03293465		AUTH; F; H; 403; IR
296	August 7, 2014	Email from solotradeapprovals@solo.com to adam@berminap.com, copying brokerage@ballygatecapital.com and solotradeapprovals@solo.com, re Account (BER01) - trade approved, with Details of Trade	ELYSIUM-03293599	ELYSIUM-03293599		AUTH; F; H; 403
297	August 12, 2014	Email from solotradeapprovals@solo.com to adam@berminap.com, copying M. Smith and solotradeapprovals@solo.com, re Account (BER01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308919	ELYSIUM-03308919		AUTH; F; H; 403
298	August 12, 2014	Email from solotradeapprovals@solo.com to M. Smith, copying info@rock-capital-private-fund.com and solotradeapprovals@solo.com, re Account (COL01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308847	ELYSIUM-03308847		AUTH; F; H; 403; IR
299	August 12, 2014	Email from solotradeapprovals@solo.com to info@rock-capital-private-fund.com, copying info@procaptrading.com, poakley@procaptrading.com, rcelotto@procaptrading.com, O. Mitchell and solotradeapprovals@solo.com, re Account (ROC01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03309042	ELYSIUM-03309042		AUTH; F; H; 403; IR
300	March 21, 2013	Email from solotradeapprovals@solo.com to omitchell@ddccayman.com and poakley@ddccayman.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (DDC01) - Trade Approved, with Details of Trade	ELYSIUM-01463929	ELYSIUM-01463929		AUTH; F; H; 403
301	March 21, 2013	Email from solotradeapprovals@solo.com to adam@berminap.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com, and solotradeapprovals@solo.com, re Account (BER01) - Trade Approved, with Details of Trade	ELYSIUM-01463894	ELYSIUM-01463894		AUTH; F; H; 403
302	March 26, 2013	Email from solotradeapprovals@solo.com to adam@berminap.com, copying solotradeapprovals@solo.com, re Account (BER01) - Trade Approved, with Details of Stock Loan Transaction	ELYSIUM-01487961	ELYSIUM-01487961		AUTH; F; H; 403
303	March 26, 2013	Email from solotradeapprovals@solo.com to M. Smith, copying solotradeapprovals@solo.com, re Account (COL01) - Trade Approved, with Details of Stock Loan Transaction	ELYSIUM-01487958	ELYSIUM-01487958		AUTH; F; H; 403
304	April 19, 2013	Reclaim application to SKAT from Bernina Pension Plan with Acupay	SKAT MDL 001 00059498	SKAT MDL 001 00059505		
305	April 23, 2013	Reclaim application to SKAT from Bernina Pension Plan with Acupay	SKAT MDL 001 00059506	SKAT MDL 001 00059514		
306		Letter from S. Lambersy (Acupay) to Skattecenter Hoje-Taastrup attaching the Bernina Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Bernina Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT MDL 001 00059515	SKAT MDL 001 00059519		
307	December 10, 2013	Letter from S. Lambersy (Acupay) to Skattecenter Hoje-Taastrup attaching the Bernina Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Bernina Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT MDL 001 00059520	SKAT MDL 001 00059524		
308	January 6, 2014	Letter from S. Lambersy (Acupay) to Skattecenter Hoje-Taastrup attaching the Bernina Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Bernina Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT MDL 001 00059525	SKAT MDL 001 00059529		
309	March 20, 2014	Letter from K. Jones (Acupay) to Skattecenter Hoje-Taastrup attaching the Bernina Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Bernina Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT MDL 001 00059530	SKAT MDL 001 00059535		
310	April 17, 2014	DWT Refund Application for Bernina Pension Plan	SKAT MDL 001 00059536	SKAT MDL 001 00059546		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
311	May 27, 2014	Letter from K. Jones (Acupay) to Skattecenter Hoje-Taastrup attaching the Bernina Pension Plan reclaim application, including the Claim to Relief from Danish Dividend Tax Form, Dividend Credit Advice, Bernina Pension Plan Power of Attorney, and Certificate of Residence Form 6166	SKAT_MDL_001_00059547	SKAT_MDL_001_00059551		
312	September 4, 2014	Reclaim application to SKAT from Bernina Pension Plan with Acupay	SKAT MDL 001 00059552	SKAT MDL 001 00059556		
313	N/A	Undated Bernina Pension Plan (BER01) Account Balance of DKK and USD Accounts with Solo Capital	MPSKAT00000080	MPSKAT00000081		
314	June 10, 2013	Certified Copy of the Certificate of Formation of Calypso Investments LLC (Certified August 15, 2013)	MPSKAT00234853	MPSKAT00234854		
315	June 19, 2013	The Calypso Investments Pension Plan Formation Document, with Amendments dated February 10, 2014	WH_MDL_00000732	WH_MDL_00000883		
316	March 31, 2014	March 01, 2014, through March 31, 2014, Calypso Investments Pension Plan Trust (attn J. Markowitz) Simplified Business Checking Account Statement (Account No. Ending 96286) with First Republic Bank	MPSKAT00002047	MPSKAT00002048		
317	April 30, 2014	April 01, 2014, through April 30, 2014, Calypso Investments Pension Plan Trust (attn J. Markowitz) Simplified Business Checking Account Statement (Account No. Ending 96286) with First Republic Bank	MPSKAT00002051	MPSKAT00002052		
318	May 31, 2014	May 01, 2014, through May 31, 2014, Calypso Investments Pension Plan Trust (attn J. Markowitz) Simplified Business Checking Account Statement (Account No. Ending 96286) with First Republic Bank	MPSKAT00002049	MPSKAT00002050		
319	June 30, 2014	June 01, 2014, through June 30, 2014, Calypso Investments Pension Plan Trust (attn J. Markowitz) Simplified Business Checking Account Statement (Account No. Ending 96286) with First Republic Bank	MPSKAT00002053	MPSKAT00002054		
320	August 31, 2014	August 01, 2014, through August 31, 2014, Calypso Investments Pension Plan Trust (attn J. Markowitz) Simplified Business Checking Account Statement (Account No. Ending 96286) with First Republic Bank	MPSKAT00002057	MPSKAT00002060		
321	October 31, 2014	October 01, 2014, through October 31, 2014, Calypso Investments Pension Plan Trust (attn J. Markowitz) Simplified Business Checking Account Statement (Account No. Ending 96286) with First Republic Bank	MPSKAT00002063	MPSKAT00002064		
322	January 31, 2014	January 01, 2014, through January 31, 2014, Calypso Investments Pension Plan Trust (attn J. Markowitz) Simplified Business Checking Account Statement (Account No. Ending 96286) with First Republic Bank	MPSKAT00002043	MPSKAT00002044		
323	March 31, 2015	March 01, 2015, through March 31, 2015, Calypso Investments Pension Plan Trust (attn J. Markowitz) Simplified Business Checking Account Statement (Account No. Ending 96286) with First Republic Bank	MPSKAT00002073	MPSKAT00002074		
324	December 5, 2013	Email from solotradeapprovals@solo.com to info@rock-capital-private-fund.com, copying execution@fgsecurities.com, operations@fgsecurities.com, and solotradeapprovals@solo.com, re Account (ROC01) - Trade Approvals, with Details of Trade	ELYSIUM-02549691	ELYSIUM-02549691		AUTH; F; H; 403; IR
325	December 5, 2013	Email from solotradeapprovals@solo.com to adam@calypsoinvestments.com, copying execution@fgsecurities.com, operations@fgsecurities.com and solotradeapprovals@solo.com, re Account (CIP01) - Trade Approved, with Details of Trade	ELYSIUM-02549701	ELYSIUM-02549701		AUTH; F; H; 403
326	December 10, 2013	Email from solotradeapprovals@solo.com to adam@calypsoinvestments.com, copying solotradeapprovals@solo.com, re Account (CIP01) - Trade Approved, with Details of Stock Loan Transaction	ELYSIUM-02569216	ELYSIUM-02569216		AUTH; F; H; 403
327	December 10, 2013	Email from solotradeapprovals@solo.com to M. Smith and solotradeapprovals@solo.com, re Account (COL01) - Request for Trade Approval, with Details of Trade	ELYSIUM-02565665	ELYSIUM-02565665		AUTH; F; H; 403; IR
328	December 6, 2013	Letter from C. Bengé to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060023	SKAT_MDL_001_00060031		
329	December 13, 2013	Reclaim application to SKAT from Calypso Investments Pension Plan with Goal Taxback	SKAT_MDL_001_00060032	SKAT_MDL_001_00060038		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
330	March 20, 2014	Letter from C. Benge to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060068	SKAT_MDL_001_00060075		
331	March 24, 2014	Letter from C. Benge to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060039	SKAT_MDL_001_00060045		
332	March 25, 2014	Reclaim application to SKAT from Calypso Investments Pension Plan with Goal Taxback	SKAT_MDL_001_00060053	SKAT_MDL_001_00060059		
333	March 26, 2014	Letter from C. Benge to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060046	SKAT_MDL_001_00060052		
334	March 28, 2014	Letter from C. Benge to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060060	SKAT_MDL_001_00060067		
335	April 8, 2014	Letter from C. Benge to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060077	SKAT_MDL_001_00060084		
336	April 10, 2014	Letter from C. Benge to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060076; SKAT_MDL_001_00060085	SKAT_MDL_001_00060090		
337	May 19, 2014	Letter from C. Benge to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060091	SKAT_MDL_001_00060096		
338	September 1, 2014	Letter from C. Benge to SKAT re A/C Calypso Investments Pension Plan CIP01, attaching Calypso Tax Reclaim Form	SKAT_MDL_001_00060097	SKAT_MDL_001_00060102		
339	March 16, 2015	Calypso Investments Pension Plan (Account No. CIP01) Open Position Statement with Solo Capital	MPSKAT00000104	MPSKAT00000112		
340	2013 - 2015	Solo Capital transaction report for Calypso Investments Pension Plan October 2013-February 2015	MPSKAT00000102	MPSKAT00000103		
341	June 16, 2014	Certificate of Formation of Headsail Manufacturing LLC (Delivered and Filed on June 17, 2014) and Management Resolution of Headsail Manufacturing LLC (Dated June 18, 2014)	WH_MDL_00340989	WH_MDL_00340990		
342	January 1, 2014	Certified Copy of Headsail Manufacturing LLC Roth 401(k) Plan Formation Document with Amendment Addendums dated July 16, 2014 (Certified on July 18, 2014)	KLUGMAN00041003	KLUGMAN00041103		
343	November 14, 2016	November 15, 2014, through November 14, 2016, Headsail Manufacturing LLC Roth 401(K) Business Checking Account No. 1359394234 Account Summary with Wells Fargo	WELLSFARGO_00004033	WELLSFARGO_00004107		
344	December 31, 2015	Jan. 1, 2015 - Dec. 31, 2015, Statement for Headsail Manufacturing LLC Roth 401(K) Plan (HEA01) with Solo Capital Partners LLP	KLUGMAN00005343	KLUGMAN00005369		
345	March 19, 2015	Email from solotradeapprovals@solo.com to cashequity@arianfinancial.co.uk, copying admin@schmetinvestments.com and solotradeapprovals@solo.com, re Account (ARF01) - trade approved, with Details of Trade	ELYSIUM-03958141	ELYSIUM-03958141		AUTH; F; H; 403
346	March 19, 2015	Email from solotradeapprovals@solo.com to trading@tjmpartners.com, copying cashequity@arianfinancial.co.uk and solotradeapprovals@solo.com, re Account (TJM01) - trade approved, with Details of Trade	ELYSIUM-03957758	ELYSIUM-03957758		AUTH; F; H; 403
347	March 19, 2015	Email from solotradeapprovals@solo.com to Trading@HeadsailPension.com, copying trading@tjmpartners.com and solotradeapprovals@solo.com, re Account (HEA01) - trade approved, with Details of Trade	ELYSIUM-03957822	ELYSIUM-03957822		AUTH; F; H; 403
348	March 23, 2015	Email from solotradeapprovals@solo.com to trading@headsailpension.com, copying info@principlemarkets.com and solotradeapprovals@solo.com, re Account (HEA01) trade approved, with Details of Stock Loan Transaction	ELYSIUM-03978391	ELYSIUM-03978391		AUTH; F; H; 403
349	March 23, 2015	Email from solotradeapprovals@solo.com to info@principlemarkets.com, copying ak@ceka-invest.com and solotradeapprovals@solo.com, re Account (PML01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03977972	ELYSIUM-03977972		AUTH; F; H; 403; IR
350	March 23, 2015	Email from solotradeapprovals@solo.com to ak@ceka-invest.com, copying admin@schmetinvestments.com and solotradeapprovals@solo.com, re Account (CEK01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03977991	ELYSIUM-03977991		AUTH; F; H; 403; IR
351	November 13, 2014	Reclaim application to SKAT from Headsail Manufacturing LLC Roth 401(K) Plan with Acupay	SKAT_MDL_001_040659	SKAT_MDL_001_040663		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
352	December 15, 2014	Letter from K. Jones (Acupay) to SKAT attaching the Headsail Manufacturing LLC Roth 401(K) Plan Reclaim Application, with the Claim to Relief Form, Power of Attorney, Dividend Credit Advice and Certificate of Residence Form 6166	SKAT_MDL_001_040681	SKAT_MDL_001_040685		
353	June 24, 2015	Reclaim application to SKAT from Headsail Manufacturing LLC Roth 401(K) Plan with Acupay	SKAT_MDL_001_040664	SKAT_MDL_001_040680		
354	N/A	Undated Headsail Manufacturing LLC Roth 401(K) Plan (Account No. HEA01) Account Balance of DKK, EUR, GBP, and USD Accounts with Solo Capital	MPSKAT00007183	MPSKAT00007184		
355	November 2, 2015	Headsail Manufacturing Cash Statements (Account No. HEA01) for DKK, EUR, GBP and USD Accounts (Feb. 27 - Nov. 2, 2015)	WH_MDL_00088951	WH_MDL_00088955		
356	July 17, 2007	RJM Capital LLC Certificate of Formation	WH_MDL_00358608	WH_MDL_00358609		
357	February 1, 2013	The RJM Capital Pension Plan Formation Documents	WH_MDL_00331778	WH_MDL_00331915		
358	April 30, 2013	Apr. 1 - 30, 2013, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004015	MPSKAT00004018		
359	May 31, 2013	May 1 - 31, 2013, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004019	MPSKAT00004022		
360	June 30, 2013	June 1 - 30, 2013, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004023	MPSKAT00004026		
361	October 31, 2013	October 1 - 31, 2013, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004033	MPSKAT00004034		
362	November 30, 2013	Nov. 1 - 30, 2013, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004035	MPSKAT00004038		
363	January 31, 2014	Jan. 1 - 31, 2014, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004131	MPSKAT00004132		
364	April 30, 2014	Apr. 1 - 30, 2014, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004139	MPSKAT00004140		
365	May 31, 2014	May 1 - 31, 2014, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004141	MPSKAT00004142		
366	June 30, 2014	June 1 - 30, 2014, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004143	MPSKAT00004146		
367	August 31, 2014	Aug. 1 - 31, 2014, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004151	MPSKAT00004152		
368	September 30, 2014	Sept. 1 - 30, 2014, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004153	MPSKAT00004154		
369	October 31, 2014	Oct. 1 - 31, 2014, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004155	MPSKAT00004158		
370	March 31, 2015	Mar. 1 - 31, 2015, RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004239	MPSKAT00004240		
371	N/A	Undated RJM Capital LLC Pension Plan (RJM01) Account Balance of DKK, and USD Accounts with Solo Capital	MPSKAT00271257	MPSKAT00271258		
372	April 11, 2013	Email from solotradeapprovals@solo.com to O. Mitchell and poakley@ddccayman.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (DDC01) - Trade Approved, with Details of Trade	ELYSIUM-01552936	ELYSIUM-01552936		AUTH; F; H; 403
373	April 11, 2013	Email from solotradeapprovals@solo.com to adam@RJMCapitalp.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (RJM01) - Trade Approved, with Details of Trade	ELYSIUM-01552935	ELYSIUM-01552935		AUTH; F; H; 403
374	April 16, 2013	Email from solotradeapprovals@solo.com to adam@RJMCapitalp.com, copying solotradeapprovals@solo.com, re Account (RJM01) - Trade Approved, with Details of Stock Loan Transaction	ELYSIUM-01574029	ELYSIUM-01574029		AUTH; F; H; 403
375	April 16, 2013	Email from solotradeapprovals@solo.com to M. Smith, copying solotradeapprovals@solo.com, re Account (COL01) - Trade Approved, with Details of Stock Loan Transaction	ELYSIUM-01574028	ELYSIUM-01574028		AUTH; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
376	April 3, 2013	Reclaim application to SKAT from RJM Capital Pension Plan with Goal Taxback	SKAT MDL_001_00059293	SKAT MDL_001_00059298		
377	April 8, 2013	Letter from M. Nimmo (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059299	SKAT MDL_001_00059304		
378	April 8, 2013	RJM Capital Pension Plan RJM01 Tax Reclaim Application	SKAT MDL_001_00059305	SKAT MDL_001_00059310		
379	April 12, 2013	Letter from M. Nimmo (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059317	SKAT MDL_001_00059322		
380	April 17, 2013	Letter from M. Nimmo (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059311	SKAT MDL_001_00059316		
381	April 26, 2013	Letter from M. Nimmo (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059330	SKAT MDL_001_00059335		
382	April 30, 2013	Letter from M. Nimmo (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059336	SKAT MDL_001_00059341		
383	August 21, 2013	Letter from M. Nimmo (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059342	SKAT MDL_001_00059347		
384	December 6, 2013	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059348	SKAT MDL_001_00059353		
385	Decembr 13, 2013	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059354	SKAT MDL_001_00059359		
386	March 14, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059360	SKAT MDL_001_00059366		
387	March 24, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059367	SKAT MDL_001_00059373		
388	March 25, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059381	SKAT MDL_001_00059387		
389	March 26, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059374	SKAT MDL_001_00059380		
390	March 28, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059388	SKAT MDL_001_00059395		
391	April 8, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059396	SKAT MDL_001_00059403		
392	April 10, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059404	SKAT MDL_001_00059410		
393	May 19, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059411	SKAT MDL_001_00059416		
394	August 21, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059417	SKAT MDL_001_00059422		
395	September 15, 2014	Letter from C. Benge (Goal Group) to SKAT re A/C RJM Capital Pension Plan RJM01, attaching RJM's Tax Reclaim Form	SKAT MDL_001_00059423	SKAT MDL_001_00059428		
396	March 16, 2015	RJM Capital Pension Plan Open Position Statement for Account Number RJM01 with Solo Capital	MPSKAT00000357	MPSKAT00000365		
397	April 11, 2007	Certified Copy of the Certificate of Formation of Xiphias LLC (Certified April 12, 2007)	WH MDL_00255254	WH MDL_00255255		
398	July 20, 2015	Xiphias Pension Plan Form 5500-EZ - Annual Return of One-Participant (Owners and Their Spouses) Retirement Plan	WH MDL_00051276	WH MDL_00051277		
399	May 16, 2012	May 16, 2012 Xiphias LLC Trust Agreement	MPSKAT00005241	MPSKAT00005259		
400	April 30, 2013	Apr. 1- 30, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005100	MPSKAT00005101		
401	May 31, 2013	May 1- 31, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005102	MPSKAT00005105		
402	June 30, 2013	June 1- 30, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005106	MPSKAT00005109		
403	October 31, 2013	Oct. 1- 31, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005116	MPSKAT00005119		
404	November 30, 2013	Nov. 1- 30, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005120	MPSKAT00005121		
405	December 31, 2013	Dec. 1- 31, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005122	MPSKAT00005123		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
406	January 31, 2014	January 01, 2014, through January 31, 2014, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005124	MPSKAT00005125		
407	February 28, 2014	February 01, 2014, through February 28, 2014, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005126	MPSKAT00005127		
408	March 31, 2014	March 01, 2014, through March 31, 2014, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005128	MPSKAT00005129		
409	May 31, 2014	May 01, 2014, through May 31, 2014, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005130	MPSKAT00005131		
410	June 30, 2014	June 01, 2014, through June 30, 2014, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005134	MPSKAT00005135		
411	July 31, 2014	July 01, 2014, through July 31, 2014, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005136	MPSKAT00005137		
412	August 31, 2014	August 01, 2014, through August 31, 2014, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005138	MPSKAT00005139		
413	October 31, 2014	October 01, 2014, through October 31, 2014, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005143	MPSKAT00005144		
414	March 31, 2015	March 01, 2015, through March 31, 2015, Xiphias LLC Trust Simplified Business Checking Account Statement (Account No. 800-0110-9967) with First Republic Bank	MPSKAT00005153	MPSKAT00005154		
415	November 30, 2012	Xiphias LLC Pension Plan (Account No. XIP01) Open Position Statement with Solo Capital	MPSKAT00000427	MPSKAT00000438		
416	January 31, 2013	Xiphias LLC Pension Plan (Account No. XIP01) Open Position Statement with Solo Capital	MPSKAT00000453	MPSKAT00000467		
417	December 11, 2012	Email from custody@solo.com to poakley@ddccayman.com and custody@solo.com, copying O. Mitchell re TRADE APPROCVAL REQUEST (A/C DDC01)	ELYSIUM-00978243	ELYSIUM-00978243		AUTH; F; H; 403; IR
418	December 11, 2012	Novus Capital Markets Sale to Xiphias LLC Pension Plan (Coloplast-B), trader A. LaRosa	ELYSIUM-00979631	ELYSIUM-00979631		AUTH; F; H; 403
419	December 14, 2012	Email from Xiphias LLC Pension Plan to Aquila (Cayman) Limited, copying Solo Capital Partners LLP, re Terms of Stock Loan	ELYSIUM-00994563	ELYSIUM-00994563		AUTH; F; H; 403
420	January 31, 2013	Xiphias LLC Pension Plan (Account No. XIP01) Open Position Statement with Solo Capital	MPSKAT000063476	MPSKAT000063490		
421	December 14, 2012	Email from custody@solo.com to O. Mitchell and custody@solo.com, copying poakley@orcapension.com and aquila.cayman@gmail.com, re DDC (Cayman) A/C DDC01 Trade Request Authorisation) stockborrow for S/D 17/12	ELYSIUM-00995458	ELYSIUM-00995458		AUTH; F; H; 403; IR
422	December 17, 2012	Reclaim application to SKAT from Xiphias LLC Pension Plan with Acupay	SKAT MDL 001 00058172	SKAT MDL 001 00058176		
423	N/A	Reclaim application to SKAT from Xiphias LLC Pension Plan with Acupay	SKAT MDL 001 00058182	SKAT MDL 001 00058187		
424	N/A	Reclaim application to SKAT from Xiphias LLC Pension Plan with Acupay	SKAT MDL 001 00058188	SKAT MDL 001 00058196		
425	N/A	Reclaim application to SKAT from Xiphias LLC Pension Plan with Acupay	SKAT MDL 001 00058197	SKAT MDL 001 00058203		
426	N/A	Reclaim application to SKAT from Xiphias LLC Pension Plan with Acupay	SKAT MDL 001 00058204	SKAT MDL 001 00058208		
427	December 10, 2013	Letter from S. Lambersy to SKAT, attaching Xiphias LLC Pension Plan Reclaim Application	SKAT_MDL_001_00058209	SKAT_MDL_001_00058213		
428	January 6, 2014	Letter from S. Lambersy to SKAT, attaching Xiphias LLC Pension Plan Reclaim Application	SKAT_MDL_001_00058214	SKAT_MDL_001_00058218		
429	March 20, 2014	Letter from S. Hurst to SKAT, attaching Xiphias LLC Pension Plan Reclaim Application	SKAT_MDL_001_00058219	SKAT_MDL_001_00058224		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
430	April 17, 2014	Letter from K. Menzies to SKAT, attaching Xiphias LLC Pension Plan Reclaim Application	SKAT_MDL_001_00058225	SKAT_MDL_001_00058235		
431	May 28, 2014	Letter from K. Menzies to SKAT, attaching Xiphias LLC Pension Plan Reclaim Application	SKAT_MDL_001_00058236	SKAT_MDL_001_00058240		
432	September 4, 2014	Letter from K. Jones to SKAT, attaching Xiphias LLC Pension Plan Reclaim Application	SKAT_MDL_001_00058241	SKAT_MDL_001_00058245		
433	N/A	Reclaim application to SKAT from Xiphias LLC Pension Plan with Acupay	SKAT MDL 001 00058177	SKAT MDL 001 00058181		
434	March 16, 2015	Xiphias LLC Pension Plan (Account No. XIP01) Open Position Statement with Solo Capital	MPSKAT00000495	MPSKAT00000503		
435	N/A	Undated Xiphias LLC Pension Plan (XIP01) Account Balances for DKK and USD Accounts with Solo Capital	MPSKAT00000493	MPSKAT00000494		
436	August 25, 2014	Certified Copy of the Certificate of Formation of Albedo Management LLC (Certified on April 9, 2015)	WH_MDL_00028478	WH_MDL_00028479		
437	January 1, 2014	Certified Copy of Albedo Management LLC Roth 401(K) Plan (Certified on October 20, 2014)	MBJ_0010780	MBJ_0010854	350	H, F
438	October 1, 2014	October 2014 General Partnership Agreement of Albedo Management General Partnership	WH_MDL_00008217	WH_MDL_00008226	431	
439	N/A	General Partnership Agreement Of Albedo Management General Partnership (becomes effective October 2014)	KLUGMAN00060004	KLUGMAN00060013		
440	December 31, 2016	Resolution by Partners of Albedo Management General Partnership signed by Klugman, Herman, and Markowitz	KLUGMAN00046616	KLUGMAN00046619		
441	August 31, 2015	August 1, 2015 through August 31, 2015 Avani Management LLC Statement of J.P. Morgan Banking Accounts (# 000000559778027)	WH_MDL_00114976	WH_MDL_00114983		
442	December 31, 2015	Wells Fargo Account Statement for RAK Investment Trust (Dec. 1, 2015 - Dec. 31, 2015)	KLUGMAN00003260	KLUGMAN00003265		
443	August 31, 2015	August 1 - 31, 2015 RAK Investment Trust Activity Summary and Transaction History of Wells Fargo Account (#2942729928)	KLUGMAN00003362	KLUGMAN00003367		
444	December 31, 2015	Jan. 1, 2015 - Dec. 31, 2015 Statement Summary for Albedo Management LLC Roth 401 (K) Plan for Account (ALB01) from Solo Capital Partners LLP	MPSKAT00008166	MPSKAT00008192		
445	December 31, 2015	December 1, 2015 through December 31, 2015 Avani Management LLC Statement of J.P. Morgan Banking Accounts (#000000559778027)	WH_MDL_00114970	WH_MDL_00114975		
446	December 31, 2015	City National Bank Account Statements for Albedo Management LLC Roth 401 (K) Plan (from August - December 2015, account no. ending in 3619)	AB00000013	AB00000021	399	
447	May 29, 2015	Claim Submission by Acupay to SKAT on behalf of Albedo Management LLC Roth 401(K) Plan	SKAT_MDL_001_00060965	SKAT_MDL_001_00060969	383	
448	May 22, 2015	Reclaim application to SKAT from Albedo Management LLC Roth 401(K) Plan with Acupay	SKAT_MDL_001_00060970	SKAT_MDL_001_00060987		
449	March 26, 2015	Email from solotradeapprovals@solo.com to deltaone@mako.com, copying info@ddccayman.com and solotradeapprovals@solo.com, re Account (MAK01) - trade approved, with Details of Trade	ELYSIUM-04003835	ELYSIUM-04003835		AUTH; F; H; 403; IR
450	March 26, 2015	Email from solotradeapprovals@solo.com to trading@tjpartners.com, copying deltaone@mako.com and solotradeapprovals@solo.com, re Account (TJM01) - trade approved, with Details of Trade	ELYSIUM-04004411	ELYSIUM-04004411		AUTH; F; H; 403; IR
451	March 26, 2015	Email from solotradeapprovals@solo.com to Trading@AlbedoPension.com, copying trading@tjpartners.com and solotradeapprovals@solo.com, re Account (ALB01) - trade approved, with Details of Trade	ELYSIUM-04004805	ELYSIUM-04004805		AUTH; F; H; 403
452	March 30, 2015	Email from solotradeapprovals@solo.com to Trading@AlbedoPension.com, copying info@equalservices.com and solotradeapprovals@solo.com, re Account (ALB01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-04031790	ELYSIUM-04031790		AUTH; F; H; 403
453	March 30, 2015	Email from solotradeapprovals@solo.com to info@equalservices.com, copying trading@tehvhah-global.com and solotradeapprovals@solo.com, re Account (EQU01) trade approved, with Details of Stock Loan Transaction	ELYSIUM-04032622	ELYSIUM-04032622		AUTH; F; H; 403; IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
454	March 30, 2015	Email from solotradeapprovals@solo.com to trading@tehvah-global.com, copying info@ddccayman.com and solotradeapprovals@solo.com, re Account (TGL01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-04032327	ELYSIUM-04032327		AUTH; F; H; 403; IR
455	N/A	Undated Albedo Management LLC Roth 401(K) Plan (Account No. ALB01) Account Balance of DKK, EUR, GBP and USD Accounts with Solo Capital	WH_MDL_00004364	WH_MDL_00004365		
456	June 16, 2024	Certificate of Formation of Bareroot Capital Investment LLC	WH_MDL_00225982	WH_MDL_00225982		
457	July 17, 2014	Certified Copy of Bareroot Capital Investments LLC Roth 401(K) Plan (Certified on July 22, 2014)	MBJ_0000005	MBJ_0000105		
458	August 1, 2014	August 2014 General Partnership Agreement of Bareroot Capital Investments General Partnership	WH_MDL_00029366	WH_MDL_00029376	1448	F, H
459	December 31, 2016	Resolution by Partners of Bareroot Capital Investments General Partnership signed by Klugman, Zelman, and Markowitz	KLUGMAN00046632	KLUGMAN00046635		
460	June 30, 2015	June 1, 2015 through June 30, 2015, Rott Capital Trust (attn Richard Markowitz) Business MMDA Account Statement (#800-0198-0631)	WH_MDL_00090788	WH_MDL_00090788		
461	June 30, 2015	June 1 - 30, 2015 RAK Investment Trust Activity Summary and Transaction History of Wells Fargo Account (#2942729928)	KLUGMAN00003351	KLUGMAN00003355		
462	July 2, 2015	January 1, 2015 through July 2, 2015 Bareroot Capital Investment Cash Statement of BAR01 DKK Account from Telesto	MPSKAT00007055	MPSKAT00007057		
463	December 31, 2015	December 1, 2015 through December 31, 2015, Rott Capital Trust (attn Richard Markowitz) Business MMDA Account Statement (#800-0198-0631)	WH_MDL_00090783	WH_MDL_00090783		
464	December 31, 2015	Wells Fargo Bank Account Statements for Bareroot Capital LLC Roth 401(K) Plan (January 1, 2015 - December 31, 2015)	BR00000044	BR00000084		
465	October 28, 2014	Reclaim application to SKAT from Bareroot Capital Investments LLC Roth 401(K) Plan with Syntax	SKAT_MDL_001_00048083	SKAT_MDL_001_00048088		
466	April 16, 2015	Tax Reclaim Application for Bareroot Capital Investments LLC 401(K) Plan	SKAT_MDL_001_00048063	SKAT_MDL_001_00048066		
467	April 21, 2015	Letter from C. Vargas (Syntax) to S. Nielsen (SKAT) re Danish Dividend Tax Reclaim for Bareroot Capital Investments LLC 401(K) Plan, attaching the Reclaim Application	SKAT_MDL_001_00048046	SKAT_MDL_001_00048053		
468	April 23, 2015	Reclaim application to SKAT from Bareroot Capital Investments LLC Roth 401(K) Plan with Syntax	SKAT_MDL_001_00048054	SKAT_MDL_001_00048062		
469	April 28, 2015	Reclaim application to SKAT from Bareroot Capital Investments LLC 401(K) Plan with Acupay	SKAT_MDL_001_00048067	SKAT_MDL_001_00048076		
470	May 15, 2015	Letter from C. Vargas (Syntax) to S. Nielsen (SKAT) re Danish Dividend Tax Reclaim for Bareroot Capital Investments LLC 401(K) Plan, attaching the Reclaim Application	SKAT_MDL_001_00048077	SKAT_MDL_001_00048082		
471	March 19, 2015	Email from tradeapprovals@telesto.com to deltaone@mako.com, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (MAK01) - trade approved, with Details of Trade	ELYSIUM-03960270	ELYSIUM-03960270		AUTH; F; H; 403; IR
472	March 19, 2015	Email from tradeapprovals@telesto.com to trading@tjpartners.com, copying deltaone@mako.com and tradeapprovals@telesto.com, re Account (TJM01) - trade approved, with Details of Trade	ELYSIUM-03960576	ELYSIUM-03960576		AUTH; F; H; 403
473	March 19, 2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com, copying trading@tjpartners.com and tradeapprovals@telesto.com, re Account (BAR01) - trade approved, with Details of Trade	ELYSIUM-03960706	ELYSIUM-03960706		AUTH; F; H; 403
474	March 23, 2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com, copying info@tranceservices.com and tradeapprovals@telesto.com, re Account (BAR01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03979607	ELYSIUM-03979607		AUTH; F; H; 403
475	March 23, 2015	Email from tradeapprovals@telesto.com to info@tranceservices.com, copying info@rvtgmhb.de and tradeapprovals@telesto.com, re Account (TRS01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03980239	ELYSIUM-03980239		AUTH; F; H; 403; IR
476	March 23, 2015	Email from tradeapprovals@telesto.com to info@rvtgmhb.de, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (RVT01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03979789	ELYSIUM-03979789		AUTH; F; H; 403; IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
477	December 31, 2015	January 1, 2015 through December 31, 2015 Statement Summary for Bareroot Capital Investments LLC 401 (K) Plan for Account (BAR01) from Telesto	MPSKAT00008967	MPSKAT00008993		
478	June 16, 2014	Certificate of Formation of Eclouge Industry LLC registered by Vcorp Services, LLC and signed by F. Moiso	WH_MDL_00143271	WH_MDL_00143271		
479	July 18, 2014	Certificate of Formation of Ecolouge Industry LLC (Certified on July 22, 2015)	WH_MDL_00240152	WH_MDL_00240305		
480	August 1, 2014	August 2014 General Partnership Agreement of Eclouge Industry, RAK Investment Trust, Roult Capital Trust	WH_MDL_00012540	WH_MDL_00012550		
481	December 31, 2016	Resolution by Partners of Eclouge Industry General Partnership signed by Klugman, Lerner, and Markowitz	KLUGMAN00046744	KLUGMAN00046747		
482	July 31, 2015	July 1, 2015 through July 31, 2015, Roult Capital Trust (attn Richard Markowitz) Business MMDA Account Statement (#800-0198-0631)	WH_MDL_00090795	WH_MDL_00090796		
483	January 31, 2016	Jan. 1 - 31, 2016 RAK Investment Trust Activity Summary and Transaction History of Wells Fargo Account (#2942729928)	KLUGMAN00003266	KLUGMAN00003270		IR
484	July 31, 2015	July 1 - 31, 2015 RAK Investment Trust Activity Summary and Transaction History of Wells Fargo Account (#2942729928)	KLUGMAN00003356	KLUGMAN00003361		
485	December 31, 2015	January 1, 2015 through December 31, 2015 Statement Summary for Eclouge Industry LLC Roth 401 (K) Plan (ECL01) from Old Park Lane Capital	MPSKAT00008386	MPSKAT00008405		
486	January 31, 2016	January 1, 2016 through January 31, 2016 Roult Capital Trust (attn Richard Markowitz) Business MMDA Account Statement (#800-0198-0631)	WH_MDL_00090797	WH_MDL_00090798		
487	February 29, 2016	Wells Fargo Bank Account Statements for Eclouge Industry LLC Roth 401(K) Plan (July 23, 2014 - Oct. 31, 2014, Dec. 1 - 31, 2014, Jan. 1, 2015 - Feb. 29, 2016)	JHVM_0004160	JHVM_0004223		
488	November 27, 2014	Reclaim application to SKAT from Eclouge Industry LLC Roth 401(K) Plan with Goal Taxback	SKAT_MDL_001_00053458	SKAT_MDL_001_00053463		
489	March 13, 2015	Letter from M. Rodrigues to SKAT re RE: A/C Eclouge Industry LLC Roth 401(K) Plan ECL01, attaching the Tax Reclaim Application	SKAT_MDL_001_00053464	SKAT_MDL_001_00053470		
490	March 24, 2015	Letter from M. Rodrigues to SKAT re RE: A/C Eclouge Industry LLC Roth 401(K) Plan ECL01, attaching the Tax Reclaim Application	SKAT_MDL_001_00053471	SKAT_MDL_001_00053478		
491	March 24, 2015	Letter from M. Rodrigues to SKAT re RE: A/C Eclouge Industry LLC Roth 401(K) Plan ECL01, attaching the Tax Reclaim Application	SKAT_MDL_001_00053479	SKAT_MDL_001_00053485		
492	April 14, 2015	Letter from M. Rodrigues to SKAT re RE: A/C Eclouge Industry LLC Roth 401(K) Plan ECL01, attaching the Tax Reclaim Application	SKAT_MDL_001_00053486	SKAT_MDL_001_00053492		
493	April 16, 2015	Reclaim application to SKAT from Eclouge Industry LLC Roth 401(K) Plan with Goal Taxback	SKAT_MDL_001_00053493	SKAT_MDL_001_00053499		
494	April 23, 2015	Letter from M. Rodrigues to SKAT re RE: A/C Eclouge Industry LLC Roth 401(K) Plan ECL01, attaching the Tax Reclaim Application	SKAT_MDL_001_00053500	SKAT_MDL_001_00053505		
495	April 27, 2015	Letter from M. Rodrigues to SKAT re RE: A/C Eclouge Industry LLC Roth 401(K) Plan ECL01, attaching the Tax Reclaim Application	SKAT_MDL_001_00053506	SKAT_MDL_001_00053517		
496	May 14, 2015	Letter from M. Rodrigues to SKAT re RE: A/C Eclouge Industry LLC Roth 401(K) Plan ECL01, attaching the Tax Reclaim Application	SKAT_MDL_001_00053518	SKAT_MDL_001_00053523		
497	August 7, 2014	Email from tradeapprovals@oldplc.com to brokerage@ballygatecapital.com, copying execution@miralty.net and tradeapprovals@oldplc.com, re Account (BCL01) - trade approved, with Details of Trade	ELYSIUM-03294561	ELYSIUM-03294561		AUTH; F; H; 403
498	August 7, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com; copying Patrickmilne@bastioncapital.co.uk, AdrianMilne@totemjlt.com and tradeapprovals@oldplc.com; re Account (ECL01) - trade approved, with Details of Trade	ELYSIUM-03294546	ELYSIUM-03294546		AUTH; F; H; 403
499	August 12, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying bhupendra@gnosis-capital.com and tradeapprovals@oldplc.com, re Account (ECL01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308594	ELYSIUM-03308594		AUTH; F; H; 403
500	August 12, 2014	Email from tradeapprovals@oldplc.com to bhupendra@gnosis-capital.com, copying trading@esengi.net and tradeapprovals@oldplc.com, re Account (GNO01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308555	ELYSIUM-03308555		AUTH; F; H; 403; IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
501	August 12, 2014	Email from tradeapprovals@oldplc.com to trading@esengi.net, copying execution@miralty.net and tradeapprovals@oldplc.com, re Account (ESE01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308342	ELYSIUM-03308342		AUTH; F; H; 403; IR
502	November 6, 2015	August 1, 2014 through November 6, 2015 Eclouge Ind LLC Roth 401(K) Plan ECL01 DKK Account Cash Statement	MPSKAT00006985	MPSKAT00006988		
503	April 3, 2012	Certificate of Incorporation of Lion Advisory Inc.	Publicly Available	Publicly Available		
504	May 23, 2012	The Lion Advisory Inc. Pension Plan signed by L.B. McGee	MPSKAT00026540	MPSKAT00026646		
505	May 17, 2012	General Partnership Agreement of Lion Advisory General Partnership between Lion Advisory Inc. Pension Plan and Quartet Investment Partners LLC	MPSKAT00026442	MPSKAT00026449		
506	February 1, 2013	General Partnership Agreement of Lion Advisory General Partnership between Lion Advisory Inc. Trust, AOI Pension Plan Trust, Ganesha Industries Pension Plan Trust, Bernina Pension Plan Trust, and RJM Capital Pension Plan Trust	MPSKAT00056736	MPSKAT00056745		
507	May 23, 2012	May 23, 2012 Trust Agreement Under The Lion Advisory Inc. Pension Plan	MPSKAT00027015	MPSKAT00027034		
508	July 31, 2013	June 29, 2013 through July 31, 2013 Lion Advisory Inc. Trust (attn L.B. McGee) Consolidated Account Summary from JP Morgan Chase Bank (#000000422830120)	MPSKAT00026741	MPSKAT00026746		F, H, IR, 403
509	July 31, 2013	July 1 - 31, 2013 RJM Capital Pension Plan Trust Simplified Business Checking Account Statement from First Republic Bank	MPSKAT00004027	MPSKAT00004028		
510	December 31, 2013	November 30, 2013 through December 31, 2013 Lion Advisory Inc. Trust (attn L.B. McGee) Consolidated Account Summary from JP Morgan Chase Bank (#000000422830120)	MPSKAT00026767	MPSKAT00026772		F, H, IR, 403
511	December 31, 2013	Dec. 1 - 31, 2013 RJM Capital Pension Plan Trust Simplified Business Checking Account Statement (Account No. 800-0142-5793) with First Republic Bank	MPSKAT00004039	MPSKAT00004042		
512	March 31, 2014	March 1, 2014 through March 31, 2014 Lion Advisory Inc. Trust (attn L.B. McGee) Consolidated Account Summary from JP Morgan Chase Bank (#000000422830120)	MPSKAT00026787	MPSKAT00026792		F, H, IR
513	March 31, 2014	Mar. 1 - 31, 2014 RJM Capital Pension Plan Trust Simplified Business Checking Account Statement from First Republic Bank	MPSKAT00004135	MPSKAT00004138		
514	June 30, 2014	May 31, 2014 through June 30, 2014 Lion Advisory Inc. Trust (attn L.B. McGee) Consolidated Account Summary from JP Morgan Chase Bank (#000000422830120)	MPSKAT00026803	MPSKAT00026808		F, H, IR
515	July 31, 2014	July 1, 2014 through July 31, 2014 Lion Advisory Inc. Trust (attn L.B. McGee) Consolidated Account Summary from JP Morgan Chase Bank (#000000422830120) (Hold-Return Mail)	MPSKAT00026809	MPSKAT00026814		F, H, IR
516	September 30, 2015	September 1, 2015-September 30, 2015 Lion Advisory Inc Trust Chase Account Summary (#000000422830120)	MPSKAT00026879	MPSKAT00026884		
517	October 31, 2014	Oct. 1 - 31, 2014 Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057151	MPSKAT00057154		
518	September 30, 2014	Sept. 1 - 30, 2014 Quartet Investment Partners LLC First Republic Bank Account Statement	MPSKAT00057181	MPSKAT00057182		
519	October 31, 2012	October 31, 2012 Solo Open Position Statement Lion Advisory Inc. Pension Plan	MPSKAT00080959	MPSKAT00080979		
520	N/A	Excel Spreadsheet Partnership Income Split (P&L)	MPSKAT00169069	MPSKAT00169069		
521	December 31, 2013	December 31, 2013 Solo Open Position Statement Lion Advisory Inc. Pension Plan	MPSKAT00201104	MPSKAT00201182		
522	July 31, 2014	July 1, 2014 through July 31, 2014 RJM Capital Pension Plan Trust Simplified Business Checking Account Statement from First Republic Bank	MPSKAT00004147	MPSKAT00004150		
523	Ocotber 31, 2014	October 1, 2014 through Ocotober 31, 2014 Lion Advisory Inc. Trust (attn L.B. McGee) Consolidated Account Summary from JP Morgan Chase Bank (#000000422830120)	MPSKAT00026825	MPSKAT00026830		
524	August 31, 2012	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077254	SKAT_MDL_001_00077261		
525	December 14, 2012	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077262	SKAT_MDL_001_00077267		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
526	December 21, 2012	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077268	SKAT_MDL_001_00077272		
527	April 11, 2013	Reclaim application to SKAT from Lion Advisory Inc. Pension Plan with Goal Taxback	SKAT_MDL_001_00077273	SKAT_MDL_001_00077278		
528	April 11, 2013	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077279	SKAT_MDL_001_00077284		
529	April 11, 2013	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077285	SKAT_MDL_001_00077290		
530	April 11, 2013	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077291	SKAT_MDL_001_00077295		
531	April 17, 2013	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077302	SKAT_MDL_001_00077307		
532	April 17, 2013	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077296	SKAT_MDL_001_00077301		
533	April 23, 2013	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077308	SKAT_MDL_001_00077314		
534	April 26, 2013	Letter from M. Nimmo to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077315	SKAT_MDL_001_00077321		
535	August 28, 2013	Reclaim application to SKAT from Lion Advisory Inc, Pension Plan with Goal Taxback	SKAT_MDL_001_00077322	SKAT_MDL_001_00077329		
536	December 6, 2013	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077330	SKAT_MDL_001_00077337		
537	December 13, 2013	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077338	SKAT_MDL_001_00077344		
538	April 1, 2014	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077352	SKAT_MDL_001_00077359		
539	April 1, 2014	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077360	SKAT_MDL_001_00077368		
540	April 1, 2014	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077345	SKAT_MDL_001_00077351		
541	April 8, 2014	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077369	SKAT_MDL_001_00077376		
542	April 10, 2014	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077377	SKAT_MDL_001_00077383		
543	April 24, 2014	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077384	SKAT_MDL_001_00077389		
544	May 19, 2014	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077390	SKAT_MDL_001_00077395		
545	August 15, 2014	Letter from C. Bengé to SKAT RE: A/C Lion Advisory Inc. Pension Plan LIO01, attaching the Tax Reclaim Application	SKAT_MDL_001_00077396	SKAT_MDL_001_00077401		
546	August 7, 2013	Email from solotradeapprovals@solo.com to stuart@chem-capital.com, info@chem-capital.com; copying execution@fgcsecurities.com, operations@fgcsecurities.com, solotradeapprovals@solo.com; re Account (CHE01) - trade approved, with Details of Trade	ELYSIUM-01997384	ELYSIUM-01997384		AUTH; F; H; 403; IR
547	August 7, 2013	Email from solotradeapprovals@solo.com to Adam@LionAdvisory.com; copying execution@fgcsecurities.com, operations@fgcsecurities.com, solotradeapprovals@solo.com; re Account (LIO01) - trade approved, with Details of Trade	ELYSIUM-01997381	ELYSIUM-01997381		AUTH; F; H; 403
548	August 12, 2013	Email from solotradeapprovals@solo.com to Adam@LionAdvisory.com, copying solotradeapprovals@solo.com, re Account (LIO01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-02010454	ELYSIUM-02010454		AUTH; F; H; 403
549	August 12, 2013	Email from solotradeapprovals@solo.com to info@aquila-grp.com, copying solotradeapprovals@solo.com, re Account (AQU01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-02010456	ELYSIUM-02010456		AUTH; F; H; 403; IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
550	March 16, 2015	January 1, 2014 through March 16, 2015 Lion Advisory Inc. Pension Plan Open Position Statement (Account # LIC01) from Solo Capital	WH_MDL_00039433	WH_MDL_00039441		
551	February 3, 2015	October 1, 2013 through February 3, 2015 Lion Advisory Inc. Pension Plan Cash Statements (LIO01 DKK, EUR, USD accounts) from Solo Capital	MPSKAT00149820	MPSKAT00149821		
552	February 7, 2014	Certified Copy of the Certificate of Formation of Omineca LLC (certified on February 10, 2014)	WH_MDL_00143366	WH_MDL_00143367		
553	July 23, 2015	2014 Annual Return Filing of One-Participant Form 5500-EZ Prepared for J. van Merkensteijn by Citrin Cooperman & Co (multiple plan filings)	JHVM_0026064	JHVM_0026072		
554	February 14, 2014	February 14, 2014 Omineca Trust Agreement	GUNDERSON 00002155	GUNDERSON 00002174		
555	August 31, 2014	August 4, 2014, through August 31, 2014, Omineca Pension Plan Business Analyzed Checking Account Statement (Account No. Ending 8-0623) with First Republic Bank	GUNDERSON 00000675	GUNDERSON 00000676		
556	December 31, 2015	First Republic Bank Account Statements for Omineca Pension Plan (account no. ending 0623) (Jan. 1 - Dec. 31, 2015)	GUNDERSON 00007168	GUNDERSON 00007183		
557	December 31, 2015	Jan. 1, 2015 - Dec. 31, 2015, Statement for the Omineca Pension Plan (OMI01) with Solo Capital Partners LLP	JHVM_0005764	JHVM_0005789		
558	June 27, 2014	Reclaim application to SKAT from Omineca Pension Plan with Goal Taxback	SKAT_MDL_001_00060281	SKAT_MDL_001_00060295		
559	October 16, 2014	Letter from K. Jones (Acupay) to SKAT, attaching the Omineca Pension Plan Tax Reclaim Form and Application	SKAT_MDL_001_00060296	SKAT_MDL_001_00060300		
560	April 24, 2015	Letter from E. Pappoe (Acupay) to SKAT, attaching the Omineca Pension Plan Tax Reclaim Form and Application	SKAT_MDL_001_00060301	SKAT_MDL_001_00060307		
561	May 1, 2015	Letter from E. Pappoe (Acupay) to SKAT, attaching the Omineca Pension Plan Tax Reclaim Form and Application	SKAT_MDL_001_00060308	SKAT_MDL_001_00060321		
562	May 22, 2015	Letter from K. Jones (Acupay) to SKAT, attaching the Omineca Pension Plan Tax Reclaim Form and Application	SKAT_MDL_001_00060322	SKAT_MDL_001_00060326		
563	March 20, 2014	Omineca Pension Plan (NCB Trade) - Pandora A/S (March 20, 2014)	SKAT_MDL_001_00195467	SKAT_MDL_001_00195468		F; H; IR
564	March 19, 2014	Email from depot@northchannelbank.de to A. Milne and depot@northchannelbank.de, copying P. Milne, re Liquidity Approval, including details of trades for Omineca Pension Plan and for Castle Rock Capital Investments Limited	SKAT_MDL_001_00195483	SKAT_MDL_001_00195486		
565	March 25, 2014	Bastion Capital London Ltd Equities Trade Confirmation for Omineca Pension Plan for trade dated March 19, 2014	SKAT_MDL_001_00195940	SKAT_MDL_001_00195940		
566	March 20, 2014	Omineca Pension Plan (NCB Trade) - Pandora A/S (March 20, 2014)	SKAT_MDL_001_00196039			F; H; IR
567	March 25, 2014	Trade Confirmation from Sherwood Enterprises LTD for Borrower, Castle Rock Capital Investments LTD, payment to North Channel Bank GmbH	SKAT_MDL_001_00196089	SKAT_MDL_001_00196089		F; H; IR
568	N/A	Undated Omineca Pension Plan (Account No. OMI01) Account Balance of DKK, EUR, GBP and USD Accounts with Solo Capital	WH_MDL_00088017	WH_MDL_00088018		
569	June 16, 2014	Certificate of Formation of Roadcraft Technologies LLC, Registered by Vcorp and signed by Farah Moiso	WH_MDL_00029159	WH_MDL_00029160		
570	July 21, 2014	Certified Copy of Roadcraft Technologies LLC Roth 401(K) Plan (Certified on July 22, 2014)	WH_MDL_00024858	WH_MDL_00024958	977	F, H
571	August 1, 2014	August 2014 General Partnership Agreement of Roadcraft Technologies General Partnership, RAK Investment Trust, Routt Capital Trust	WH_MDL_00029401	WH_MDL_00029411		
572	December 31, 2016	Resolution by Partners of Roadcraft Technologies General Partnership signed by Klugman, Altbach, and Markowitz	KLUGMAN00046919	KLUGMAN00046922		
573	August 31, 2015	August 1, 2015 through August 31, 2015, Routt Capital Trust (attn Richard Markowitz) Business MMDA Account Statement (#800-0198-0631)	WH_MDL_00090784	WH_MDL_00090784		
574	December 31, 2015	January 1, 2015 through December 31, 2015 Statement Summary for Roadcraft Technologies LLC Roth 401 (K) Plan for Account (ROA01) from Solo Capital Partners LLP	MPSKAT00008759	MPSKAT00008785		
575	January 27, 2015	Dec. 24, 2014 - Jan. 27, 2015 Roadcraft Technologies LLC Roth 401K Plan Activity Summary and Transaction History of Wells Fargo Account	RA00001734	RA00001772		
576	November 27, 2014	Reclaim application to SKAT re A/C Roadcraft Technologies LLC Roth 401(K) Plan ROA01 with Goal Taxback	SKAT_MDL_001_00767152	SKAT_MDL_001_00767157		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
577	April 28, 2015	Reclaim application to SKAT from Roadcraft Technologies LLC Roth 401(K) Plan with Goal Taxback	SKAT_MDL_001_00056852	SKAT_MDL_001_00056869		
578	November 27, 2014	Letter from M. Rodrigues to SKAT re RE: A/C Roadcraft Technologies LLC Roth 401(K) Plan ROA01, attaching the Tax Reclaim Application	SKAT_MDL_001_00056870	SKAT_MDL_001_00056874		
579	May 15, 2015	Letter from M. Rodrigues to SKAT re RE: A/C Roadcraft Technologies LLC Roth 401(K) Plan ROA01, attaching the Tax Reclaim Application	SKAT_MDL_001_00056875	SKAT_MDL_001_00056880		
580	August 7, 2014	Email from tradeapprovals@oldplc.com to Trading@RoadcraftPension.com; copying Patrickmilne@bastioncapital.co.uk, AdrianMilne@totemjlt.com and tradeapprovals@oldplc.com; re Account (ROA01) - trade approved, with Details of Trade	ELYSIUM-03294498	ELYSIUM-03294498		AUTH; F; H; 403
581	August 12, 2014	Email from tradeapprovals@oldplc.com to Trading@RoadcraftPension.com; copying bhupendra@gnosis-capital.com and tradeapprovals@oldplc.com; re Account (ROA01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308557	ELYSIUM-03308557		AUTH; F; H; 403
582	August 12, 2014	Email from tradeapprovals@oldplc.com to bhupendra@gnosis-capital.com, copying trading@esengi.net and tradeapprovals@oldplc.com, re Account (GNO01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308489	ELYSIUM-03308489		AUTH; F; H; 403
583	August 12, 2014	Email from tradeapprovals@oldplc.com to trading@esengi.net, copying execution@miralty.net and tradeapprovals@oldplc.com, re Account (ESE01) - trade approved, with Details of Stock Loan Transaction	ELYSIUM-03308490	ELYSIUM-03308490		AUTH; F; H; 403
584	N/A	Cash Statements of Roadcraft Technologies LLC Roth 401(K) Plan ROA01 DKK Account (July 3, 2015 through July 20, 2015), ROA01 EUR Account, ROA1 GBP Account, and ROA01 USD Account (February 27, 2015 through June 30, 2015) from Solo Capital	WH_MDL_00023194	WH_MDL_00023196		
585	February 10, 2014	Certified Copy of the Certificate of Formation of Routt Capital LLC (Certified on February 11, 2014) registered by Vcorp Services, LLC and Signed by F. Moiso	WH_MDL_00115030	WH_MDL_00115031		
586	February 19, 2014	The Routt Capital Pension Plan Signed by Richard Markowitz	WH_MDL_00208005	WH_MDL_00208141		
587	February 19, 2014	February 19, 2014 Routt Capital Trust Agreement	JHVM_0011723	JHVM_0011742		
588	July 31, 2014	July 30, 2014 through July 31, 2014 Routt Capital Trust (attn Richard Markowitz) Simplified Business Checking Account Statement from First Republic Bank	MPSKAT00265064	MPSKAT00265065		
589	August 13, 2014	Indigo Securities Account Summary and Custodian Statements for Routt Capital Pension Plan (ROU01) (July 1 - 31, 2014)	GUNDERSON 00000099	GUNDERSON 00000110		F, H, IR
590	December 31, 2015	Jan. 1, 2015 - Dec. 31, 2015 Statement Summary for The Routt Capital Pension Plan (ROU01) from Old Park Lane Capital	MPSKAT00008126	MPSKAT00008145		
591	November 30, 2015	November 1, 2015 through November 30, 2015 Routt Capital Trust (attn Richard Markowitz) Business MMDA Account Statement (#800-0198-0631)	WH_MDL_00090793	WH_MDL_00090794		
592	May 8, 2014	Reclaim application to SKAT from Routt Capital Pension Plan with Syntax	SKAT_MDL_001_00060395	SKAT_MDL_001_00060406		
593	May 15, 2014	Letter from G. Avak to S. Nielsen (SKAT), re Re: Danish Dividend Tax Reclaim for: Routt Capital Pension Plan {TIN: 46-4821325}, attaching Tax Reclaim Application	SKAT_MDL_001_00060407	SKAT_MDL_001_00060411		
594	October 16, 2014	Letter from K. Jones to SKAT, re Routt Capital Pension Plan {TIN: 46-4821325}, attaching Tax Reclaim Application	SKAT_MDL_001_00060412	SKAT_MDL_001_00060416		
595	December 15, 2014	Letter from K. Jones to SKAT, re Routt Capital Pension Plan {TIN: 46-4821325}, attaching Tax Reclaim Application	SKAT_MDL_001_00060417	SKAT_MDL_001_00060421		
596	March 31, 2015	Letter from K. Jones to SKAT, re Routt Capital Pension Plan {TIN: 46-4821325}, attaching Tax Reclaim Application	SKAT_MDL_001_00060422	SKAT_MDL_001_00060428		
597	April 17, 2015	Letter from E. Pappoe to SKAT, re Routt Capital Pension Plan {TIN: 46-4821325}, attaching Tax Reclaim Application	SKAT_MDL_001_00060429	SKAT_MDL_001_00060433		
598	May 1, 2015	Letter from E. Pappoe to SKAT, re Routt Capital Pension Plan {TIN: 46-4821325}, attaching Tax Reclaim Application	SKAT_MDL_001_00060434	SKAT_MDL_001_00060446		
599	May 22, 2015	Letter from K. Jones to SKAT, re Routt Capital Pension Plan {TIN: 46-4821325}, attaching Tax Reclaim Application	SKAT_MDL_001_00060447	SKAT_MDL_001_00060451		
600	November 6, 2015	August 1, 2014 through November 6, 2015 The Routt Cap Pension Plan ROU01 DKK Account Cash Statement	MPSKAT00006972	MPSKAT00006975		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
601	August 25, 2014	Certified Copy of the Certificate of Formation of Sternway Logistics LLC (certified on May 20, 2015)	WH_MDL_00029214	WH_MDL_00029215		
602	January 1, 2014	Certified Copy of the Sternway Logistics LLC Roth 401(K) Plan Formation Document (certified October 14, 2014)	WH_MDL_00008656	WH_MDL_00008728		
603	October 1, 2014	October 2014 General Partnership Agreement of Sternway Logistics General Partnership, signed by R. Jones, R. Klugman and J. van Merkensteijn as Trustees	WH_MDL_00029420	WH_MDL_00029431	1176	
604	December 31, 2016	Resolution by Partners of Sternway Logistics General Partnership signed by Klugman, Jones and van Merkensteijn	KLUGMAN00046929	KLUGMAN00046932		
605	December 31, 2015	January through December 2015, Omineca Pension Plan Trust Profit & Loss , Balance Sheet and General Ledger	WH_MDL_00108822	WH_MDL_00108832		
606	December 30, 2015	City National Bank Checking and Savings Account Statements for the Sternway Plan (April 30, 2015 - Dec. 30, 2016)	ST00000001	ST00000046	1202	
607		Sternway Reclaim Application	SKAT_MDL_001_00049897	SKAT_MDL_001_00049901		
608	May 21, 2015	Reclaim application to SKAT from Sternway Logistics LLC Roth 401(K) Plan with Syntax	SKAT_MDL_001_00049880	SKAT_MDL_001_00049896		
609	May 21, 2015	Letter from C. Vargas (Syntax) to S. Nielsen (SKAT) re Danish Dividend Tax Reclaim for Sternway Logistics LLC Roth 401(K) Plan, attaching the Reclaim Application	MBJ_0026506	MBJ_0026511		
610	June 10, 2015	Reclaim application to SKAT from Sternway Logistics LLC Roth 401(K) Plan with Syntax	SKAT_MDL_001_00049902	SKAT_MDL_001_00049907		
611	February 25, 2015	Email to cashequity@arianfinancial.co.uk, copying admin@nissus-financial.com, stuart.ervine@solo.com and tas.audit@hydracapitallimited.com, re Account (ARF01) - trade approved, with Details of Trade	ELYSIUM-07430496	ELYSIUM-07430496		AUTH; F; H; 403; IR
612	February 25, 2015	Email to trading@tjmpartners.com, copying cashequity@arianfinancial.co.uk, stuart.ervine@solo.com, and tas.audit@hydracapitallimited.com, re Account (TJM01) - trade approved, with Details of Trade	ELYSIUM-07430220	ELYSIUM-07430220		AUTH; F; H; 403; IR
613	February 25, 2015	Email from trading@sternwaypension.com, copying trading@tjmpartners.com, stuartervine@solo.com, and tas.audit@hydracapitallimited.com, re Account (SLL01) trade approved, with Details of Trade	ELYSIUM-07430409	ELYSIUM-07430409		AUTH; F; H; 403
614	February 26, 2015	Sternway Logistics LLC Roth 401(K) Plan - Novozymes A/S - B (February 26, 2015) spreadsheet	ELYSIUM-04084007	ELYSIUM-04084007		AUTH; F; H; 403
615	December 31, 2015	January 01, 2015, through December 31, 2015, Statement for Sternway Logistics LLC Roth 401(K) Plan (SLL01) with West Point Derivatives Ltd	MPSKAT00008812	MPSKAT00008838		
616	N/A	Undated Sternway Logistics LLC Roth 401(K) Plan (SLL01) Account Balance of DKK, EUR, and USD Accounts with West Point	MPSKAT00006960	MPSKAT00006960		F, H, IR, 403
617	August 1, 2014	August 2014 General Partnership Agreement of Crucible Ventures General Partnership	KF_MDL_13662	KF_MDL_13672	983	
618	August 1, 2014	August 2014 General Partnership Agreement of Limelight Global Productions General Partnership	KF_MDL_13674	KF_MDL_13684		
619	August 1, 2014	August 2014 General Partnership Agreement of Plumrose Industries General Partnership	KF_MDL_13685	KF_MDL_13695		
620	August 1, 2014	August 2014 General Partnership Agreement of True Wind Investments General Partnership	KF_MDL_13696	KF_MDL_13706		
621	October 1, 2014	October 2014 General Partnership Agreement of Ballast Ventures General Partnership	WH_MDL_00008227	WH_MDL_00008236	432	
622	October 1, 2014	October 2014 General Partnership Agreement of Fairlie Investments General Partnership	WH_MDL_00008237	WH_MDL_00008246	433	
623	October 1, 2014	October 2014 General Partnership Agreement of Monomer Industries General Partnership, signed by R. Jones, R. Klugman and J. van Merkensteijn as Trustees	WH_MDL_00029377	WH_MDL_00029388	1174	
624	October 1, 2014	October 2014 General Partnership Agreement of Pinax Holdings General Partnership, signed by R. Jones, R. Klugman and J. van Merkensteijn as Trustees	WH_MDL_00029389	WH_MDL_00029400	1175	
625	August 1, 2014	August 2014 General Partnership Agreement of First Ascent Worldwide General Partnership	WH_MDL_00012555	WH_MDL_00012566		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
626	August 1, 2014	August 2014 General Partnership Agreement of Loggerhead Services General Partnership	WH_MDL_00012567	WH_MDL_00012578	712	
627	August 1, 2014	August 2014 General Partnership Agreement of PAB Facilities Global General Partnership	WH_MDL_00012587	WH_MDL_00012598		
628	August 1, 2014	August 2014 General Partnership Agreement of Trailing Edge Productions General Partnership	WH_MDL_00012603	WH_MDL_00012614		
629	August 1, 2014	August 2014 General Partnership Agreement of Cedar Hill Capital Investments General Partnership	KF_MDL_11480	KF_MDL_11489		
630	August 1, 2014	August 2014 General Partnership Agreement of Fulcrum Productions General Partnership	KF_MDL_11490	KF_MDL_11499		F, H, IR
631	August 1, 2014	August 2014 General Partnership Agreement of Green Scale Management General Partnership	KF_MDL_00964	KF_MDL_00973		F, H
632	August 1, 2014	August 2014 General Partnership Agreement of Keystone Technologies General Partnership	MBJ_0037511	MBJ_0037530		
633	August 1, 2014	August 2014 General Partnership Agreement of Tumba Systems General Partnership	KF_MDL_00954	KF_MDL_00963		F, H
634	August 1, 2014	August 2014 General Partnership Agreement of Battu Holdings General Partnership	MBJ_STOR-0002765	MBJ_STOR-0002774	1444	
635	August 1, 2014	August 2014 General Partnership Agreement of Cantata Industries General Partnership	KF_MDL_13708	KF_MDL_13717	1445	F, H
636	August 1, 2014	August 2014 General Partnership Agreement of Dicot Technologies General Partnership	KF_MDL_13718	KF_MDL_13727		F, H
637	August 1, 2014	August 2014 General Partnership Agreement of Vanderlee Technologies General Partnership	KF_MDL_13728	KF_MDL_13737		F, H
638	February 6, 2013	General Partnership Agreement of 2321 Capital General Partnership	MPSKAT00056535	MPSKAT00056544		
639	June 11, 2013	General Partnership Agreement of Bowline Management General Partnership	MPSKAT00061415	MPSKAT00061424		
640	May 18, 2012	General Partnership Agreement of California Catalog General Partnership between California Catalog Company Pension Plan and Quartet Investment Partners LLC	MPSKAT00022143	MPSKAT00022150		
641	February 1, 2013	General Partnership Agreement of California Catalog General Partnership between California Catalog Company LLC Trust, AOI Penison Plan Trust, Ganesha Industries Pension Plan Trust, Bernina Pension Plan Trust and RJM Capital Pension Plan Trust	MPSKAT00056595	MPSKAT00056604		
642	June 11, 2013	General Partnership Agreement of Clove General Partnership	MPSKAT00061425	MPSKAT00061434		
643	February 1, 2013	General Partnership Agreement of Davin Investments General Partnership	MPSKAT00056628	MPSKAT00056637		
644	May 22, 2012	General Partnership Agreement of Delvian General Partnership between Delvian LLC Pension Plan and Quartet Investment Partners LLC	MPSKAT00023949	MPSKAT00023956		
645	February 1, 2013	General Partnership Agreement of Delvian General Partnership between Delvian LLC Pension Plan Trust, AOI Pension Plan Trust, Ganesha Industries Pension Plan Trust, Bernina Pension Plan Trust and RJM Capital Pension Plan Trust	MPSKAT00056658	MPSKAT00056667		
646	February 1, 2013	General Partnership Agreement of DFL Investments General Partnership	MPSKAT00056688	MPSKAT00056697		
647	February 28, 2013	General Partnership Agreement of LAM General Partnership	MPSKAT00056698	MPSKAT00056705		
648	May 21, 2012	General Partnership Agreement of Mill River General Partnership	MPSKAT00057263	MPSKAT00057270		
649	February 5, 2013	General Partnership Agreement of Next Level General Partnership	MPSKAT00056805	MPSKAT00056814		
650	August 14, 2012	General Partnership Agreement of Rajan Investments General Partnership between Rajan Investments LLC Pension Plan and Quartet Investment Partners LLC	MPSKAT00029558	MPSKAT00029565		
651	February 1, 2013	General Partnership Agreement of Rajan Investments General Partnership between Rajan Investments Trust, AOI Pension Plan Trust, Ganesha Industries Pension Plan Trust, Bernina Pension Plan Trust and RJM Capital Pension Plan Trust	MPSKAT00056835	MPSKAT00056844		
652	August 23, 2012	General Partnership Agreement of Raubritter General Partnership between Raubritter LLC Pension Plan and Quartet Investment Partners LLC	MPSKAT00002816	MPSKAT00002823		
653	February 1, 2013	General Partnership Agreement of Raubritter General Partnership between Raubritter LLC Pension Plan Trust, AOI Pension Plan Trust, Ganesha Industries Pension Plan Trust, Bernina Pension Plan Trust, and RJM Capital Pension Plan Trust	MPSKAT00056875	MPSKAT00056884		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
654	February 4, 2013	General Partnership Agreement of Spirit on the Water General Partnership	MPSKAT00056945	MPSKAT00056954		
655	February 1, 2013	General Partnership Agreement of Traden Investments General Partnership	MPSKAT00056965	MPSKAT00056974		
656	April 19, 2012	Operating Agreement of Quartet Investment Partners LLC	MPSKAT00057018	MPSKAT00057043		
657	N/A	List of Control Procedures / SKAT Internal Guidelines	SKAT_MDL_001_00459146	SKAT_MDL_001_00459148	4006	IC
658	June 16, 2015	Email from C. Ekstrand to A. Munksgaard, copying L. Drost, L. Haslev and V. Larsen, re Dividend tax payments fraud	SKAT_MDL_001_00411777	SKAT_MDL_001_00411778	3062	F, H
659	June 17, 2015	Email from A. Munksgaard to C. Ekstrand, L. Drost, L. Haslev and V. Larsen, re Dividend tax payments fraud	SKAT_MDL_001_00437466	SKAT_MDL_001_00437468	3063	F, H
660	June 18, 2015	Email from A. Munksgaard to C. Ekstrand and L. Drost, re Dividend tax payments fraud	SKAT_MDL_001_00411550	SKAT_MDL_001_00411553	3064	F, H
661	July 29, 2015	Letter from HMRC to L. Birkemose, re Double Taxation Convention - Exchange of Information Council Directive 2011/16/EU, Denmark & United Kingdom - Sanjay Shah Solo Capital Partners	SKAT_MDL_001_00680772	SKAT_MDL_001_00680774		
662	August 6, 2015	Email from I. Collett to C. Ekstrand, copying taz.johal@hmrc.gsi.gov.uk and denis.kerr@hmrc.gsi.gov.uk, re URGENT: OP FLYPAST 15 - Dividend Arbitrage Fraud (Solo) - Denmark Next, attaching list of pension plans	SKAT_MDL_001_00680817	SKAT_MDL_001_00680832		H, F, 403, AUTH
663	August 10, 2015	Letter from HMRC to L. Birkemose, re Double Taxation Convention - Exchange of Information Council Directive 2011/16/EU, Denmark & United Kingdom - Sanjay Shah Solo Capital Partners, enclosing "Excel spreadsheet which provides details of funds, pension plans and trusts that may be involved in fraudulent tax rebate claims in relation to Danish Stocks"	SKAT_MDL_001_00680858	SKAT_MDL_001_00680862		
664	N/A	Bruce Dubinsky CV	N/A	N/A		H
665	N/A	Bruce Dubinsky listing of Trial and Deposition Testimonies	N/A	N/A		H; IR
666	September 10, 2012	Reclaim application to SKAT from Xiphias LLC Pension Plan with Acupay	SKAT_MDL_001_00058167	SKAT_MDL_001_00058171		
667	November 27, 2014	Reclaim application to SKAT from Cedar Hill Capital Investments LLC Roth 401(K) Plan with Goal Taxback	SKAT_MDL_001_00053048	SKAT_MDL_001_00053053		
668	June 4, 2019	Sanjay Shah Defendants' Response to Claimant's Request Dated 4 June 2019 for Further Information Under CPR 18	N/A	N/A		H; IR; NP
669	December 15, 2021	JPMorgan Declaration - Declaration of Matthew J. Totman	N/A	N/A		AUTH, F, H
670	May 17, 2021	SEB Declaration - Declaration of Anders Peter Bryde Rasmussen	N/A	N/A		AUTH, F, H
671	March 11, 2016	Letter from Reed Smith LLP to Solo Capital, West Point, Old Park Lane, and Telesto re FCA Investigation	ELYSIUM-05327063	ELYSIUM-05327063		AUTH; F; H; 403
672	October 20, 2020	Hague Request to Société Générale	N/A	N/A		IR
673	November 26, 2021	Declaration of Rana Shashaa with exhibits	N/A	N/A		AUTH, F, H, MIL
674	April 3, 2013	Reclaim application to SKAT from RJM Capital Pension Plan with Goal Taxback	SKAT_MDL_001_00059323	SKAT_MDL_001_00059329		
675	May 6, 2021	FCA Sapien Decision - Financial Conduct Authority, Final Notice to Sapien Capital Limited	N/A	N/A		IR; 403; H; C (Duplicate of PX-646)
676	November 12, 2021	FCA Sunrise Decision - Financial Conduct Authority, Final Notice to Sunrise Brokers LLP	N/A	N/A		IR; 403; H; C (Duplicate of PX-646)
677	July 12, 2023	FCA Bastion Decision - Financial Conduct Authority, Final Notice to Bastion Capital London Ltd.	N/A	N/A		IR; 403; H; C (Duplicate of PX-646)
678	September 22, 2023	FCA Indigo Decision - Financial Conduct Authority, Decision Notice to Nailesh Manubhai Teraiya	N/A	N/A		IR; 403; H; C (Duplicate of PX-646)
679	July 15, 2022	FCA TJM Decision - Financial Conduct Authority, Final Notice to the TJM Partnership	N/A	N/A		IR; 403; H; C (Duplicate of PX-646)
680	N/A	Solo Capital Trading Diagram	ELYSIUM-06020028	ELYSIUM-06020028		AUTH; F; H; 403
681	April 26, 2016	Email from M. Murphy to A. Frett copying J. Jagessar re "Liquidation of Baja Ventures, Sciron Capital, and Nisus Financial"	BVI_00011951	BVI_00011954		AUTH; F; H; IR; 403
682	May 11, 2015	Email from G. Richardson to M. Smith re "Closure of companies"	BVI_00004769	BVI_00004770		AUTH; F; H; IR; 403
683	December 23, 2014	Email from N. Teraiya to S. Primeau re "Aquila (Cayman) Limited - Dissolution of Company"	CAYMAN_00004283	CAYMAN_00004287		AUTH; F; H; IR; 403
684	May 12, 2014	Reclaim application to SKAT from Vanderlee Technologies Pension Plan with Goal Taxback	SKAT_MDL_001_00059631	SKAT_MDL_001_00059645		
685	December 18, 2020	Ganymede Cayman Limited - Register of Members	CAYMAN_00002806	CAYMAN_00002807		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
686	August 23, 2013	Ganymede Cayman Limited - Register of Directors and Officers	CAYMAN 00003369	CAYMAN 00003369		
687	July 7, 2015	Invoice from Ganymede Cayman Limited to Roadcraft Technologies LLC Roth 401(K) Plan	MPSKAT00010288	MPSKAT00010288		
688	January 8, 2015	Invoice from Ganymede Cayman Limited to Basalt Ventures LLC Roth 401(K) Plan	JHVM_0001777	JHVM_0001777		
689	December 5, 2014	Invoice from Ganymede Cayman Limited to Headsail Manufacturing LLC Roth 401(K) Plan	KLUGMAN00005301	KLUGMAN00005301		
690	January 20, 2016	Barclays Bank Statement for Solo Capital Partners	SCPADMINISTRATORS 00003319	SCPADMINISTRATORS 00003325		
691	April 22, 2013	Invoice from Ganymede Cayman Limited to RJM Capital Pension Plan	MPSKAT00079268	MPSKAT00079268		
692	May 20, 2013	Invoice from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00081360	MPSKAT00081360		
693	March 12, 2015	Sapient Capital Limited Customer Information Disclosure Form	KLUGMAN00015419	KLUGMAN00015431		
694	October 31, 2014	Email from R. Markowitz to P. Wells, R. Veillette, and A. Gregory re Bank Accounts for LLCs	WH_MDL_00315953	WH_MDL_00315954		
695	May 27, 2014	First Republic Bank Account Application for Routt Capital Trust, signed by Richard Markowitz	MPSKAT00049636	MPSKAT00049649		F, H, 403
696	February 1, 2013	RJM Capital Pension Plan Trust Agreement, signed by R. Markowitz	MPSKAT00004327	MPSKAT00004346		
697	July 25, 2014	Email from S. Furr to P. Wells, copying J. van Merkensteijn, R. Veillette and M. Freed re Omineca Bank Account- van Merkensteijn, attaching Omineca 1, Omineca 2, Omineca 3, Omineca 4, Omineca 5, Omineca 6 and Omineca 7	GUNDERSON 00004188	GUNDERSON 00004198		
698	February 7, 2013	Bernina Pension Plan Trust, signed by J. van Merkensteijn	JHVM_0017740	JHVM_0017759		
699	January 1, 2013	2013 Annual Return of One-Participant Retirement Plan for Michelle Investments Pension Plan	JHVM_0013122	JHVM_0013123		
700	August 1, 2014	General Partnership Agreement of Bareroot Capital Investments, RAK Investment Trust, Routt Capital Trust	KLUGMAN00051873	KLUGMAN00051883		
701	October 1, 2014	General Partnership Agreement of Albedo Management, RAK Investment Trust, Routt Capital Trust	WH_MDL_00265518	WH_MDL_00265527		
702	October 1, 2014	October 2014 General Partnership Agreement of Sternway Logistics LLC Roth 401(K) Plan, RAK Investment Trust, and Omineca Trust	KLUGMAN00057159	KLUGMAN00057170		
703	February 1, 2013	General Partnership Agreement of Lion Advisory Inc. Trust, AOI Pension Plan Trust, Ganesha Industries Pension Plan Trust, Bernina Pension Plan Trust, and RJM Capital Pension Plan Trust	WH_MDL_00097117	WH_MDL_00097126		
704	May 25, 2015	Invoice from Ganymede Cayman Limited to Basalt Ventures LLC Roth 401(K) Plan	JHVM_0001781	JHVM_0001781		
705	January 2, 2014	Invoice from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00101955	MPSKAT00101955		
706	August 18, 2015	Invoice from Ganymede Cayman Limited to Headsail Manufacturing LLC Roth 401(K) Plan	WH_MDL_00051870	WH_MDL_00051870		
707	May 12, 2015	Invoice from Ganymede Cayman Limited to Bareroot Capital Investments LLC Roth 401(K) Plan	MPSKAT00010257	MPSKAT00010257		
708	May 25, 2015	Invoice from Ganymede Cayman Limited to Eclouge Industry LLC Roth 401(K) Plan	MPSKAT00010279	MPSKAT00010279		
709	July 8, 2015	Invoice from Ganymede Cayman Limited to Albedo Management LLC Roth 401(K) Plan	MPSKAT00010323	MPSKAT00010323		
710	July 16, 2015	Invoice from Ganymede Cayman Limited to Sternway Logistics LLC Roth 401(K) Plan	MPSKAT00010412	MPSKAT00010412		
711	February 6, 2013	Invoice from Ganymede Cayman Limited to Lion Advisory Inc. Pension Plan	WH_MDL_00032183	WH_MDL_00032183		
712	N/A	Excel Spreadsheet with Country, Plan, and Reclaim Amount	JHVM_0005903	JHVM_0005903		
713	February 22, 2015	Elysium Global Group Structure Chart	ELYSIUM-05295222	ELYSIUM-05295222		AUTH; F; H; 403
714	August 5, 2014	Email from M. Ward to R. Markowitz, copying N. Patel and O. Arti, re Payment details for OPL	ELYSIUM-03283228	ELYSIUM-03283228		AUTH; F; H; 403
715	April 30, 2013	Apr. 1 - 30, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003971	MPSKAT00003974		
716	May 31, 2013	May 1 - 31, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003975	MPSKAT00003978		
717	December 31, 2013	Solo Capital Open Position Statement for RJM Capital Pension Plan	MPSKAT00135581	MPSKAT00135651		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
718	December 31, 2014	Solo Capital Partners open position statement for RJM Capital Pension Plan	MPSKAT00158808	MPSKAT00158816		
719	March 31, 2013	Solo Capital Open Position Statement for Bernina Pension Plan	MPSKAT00077968	MPSKAT00077978		
720	August 14, 2022	Novus Capital Markets Sale to Mill River Capital Mangement Pension Plan, trader A. LaRosa	ELYSIUM-00604753	ELYSIUM-00604753		Auth; F; H; 403
721	August 14, 2022	Novus Capital Markets Sale to Xiphias LLC Pension Plan, trader A. LaRosa	ELYSIUM-00604746	ELYSIUM-00604746		Auth; F; H; 403
722	March 31, 2013	Solo Capital Partners open position statement for D.D.C. (Cayman)	ELYSIUM-08526869	ELYSIUM-08526869		AUTH; F; H; 403
723	April 30, 2013	Solo Capital Partners Open Position Statement for D.D.C. (Cayman)	ELYSIUM-01744925	ELYSIUM-01744925		AUTH; F; H; 403
724	March 29, 2013	JPMorgan checking account summary for Bernina Pension Plan Trust	MPSKAT00001580	MPSKAT00001583		F, H
725	July 30, 2014	Email from A. LaRosa to R. Klugman and R. Markowitz, copying J. van Merkensteijn, re Thank you!! (discussing meetings with LaRosa and Pogo)	KLUGMAN00006114	KLUGMAN00006114	4593	
726	February 28, 2013	Feb. 12 - 28, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003967	MPSKAT00003968		
727	March 31, 2013	Mar. 1 - 31, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003969	MPSKAT00003970		
728	N/A	Register of Members for Colbrook Limited	CAYMAN_00002695	CAYMAN_00002697		
729	N/A	Register of Directors and Officers for Colbrook Limited	CAYMAN_00002694	CAYMAN_00002694		
730	N/A	2008 International Uniform Brokerage Execution Services Agreement: Customer Version with Novus Capital Markets, Solo Capital Partners, and Azalea Pension Plan	JHVM_0005041	JHVM_0005045		
731	February 27, 2015	Michael Stephen Murphy Curriculum Vitae	ELYSIUM-05443528	ELYSIUM-05443528		Auth; F; H; 403; IR
732	May 8, 2014	Caledonian Global Financial Services Shareholders Register - Michael Stephen Murphy (Novus)	BVI_00016296	BVI_00016296		
733	May 8, 2014	Caledonian Global Financial Services Register of Directors - Michael Stephen Murphy (Novus)	BVI_00016297	BVI_00016297		
734	October 21, 2014	Certificate of Incorporation for Principle Markets Limited in British Virgin Islands	BVI_00010854	BVI_00010856		
735	December 2, 2015	Email from J. Spoto to Compliance KYC re "CEKA & RVT Consult"	ELYSIUM-09085951	ELYSIUM-09085951		IR; AUTH; F; H; 403
736	N/A	Metis Cayman Limited Register of Directors & Officers	CAYMAN_00002983	CAYMAN_00002983		
737	N/A	Metis Cayman Limited Register of Members	CAYMAN_00002984	CAYMAN_00002986		
738	April, 2011	Solo Capital Group description and biography	ELYSIUM-00150387	ELYSIUM-00150387		AUTH; F; H; 403
739	N/A	Solo Capital Elective Professional File Review Checklist	ELYSIUM-00801486	ELYSIUM-00801486		AUTH; F; H; 403
740	October 21, 2014	Certificate of Incorporation for Trance Services Limited in British Virgin Islands	BVI_00013858	BVI_00013860		
741	January 23, 2015	German document, signed by Alexander Koerner	ELYSIUM-03790513	ELYSIUM-03790513		AUTH; F; H; 403
742	March 31, 2012	Director's Report and Financial Statements for Solo Capital Partners LLP 2012	N/A	N/A		
743	March 31, 2013	Director's Report and Financial Statements for Solo Capital Partners LLP 2013	N/A	N/A		
744	March 31, 2014	Director's Report and Financial Statements for Solo Capital Partners LLP 2014	N/A	N/A		
745	March 31, 2015	Director's Report and Financial Statements for Solo Capital Partners LLP 2015	N/A	N/A		
746	March 31, 2010	Director's Report and Financial Statements for Solo Capital Limited 2010	N/A	N/A		
747	March 31, 2011	Director's Report and Financial Statements for Solo Capital Limited 2011	N/A	N/A		
748	March 31, 2012	Director's Report and Financial Statements for Solo Capital Limited 2012	N/A	N/A		
749	March 31, 2013	Director's Report and Financial Statements for Solo Capital Limited 2013	N/A	N/A		
750	March 31, 2014	Director's Report and Financial Statements for Solo Capital Limited 2014	N/A	N/A		
751	March 31, 2015	Director's Report and Financial Statements for Solo Capital Limited 2015	N/A	N/A		
752	December 31, 2012	Report and Financial Statements for West Point Derivatives Limited 2012	N/A	N/A		IR; NP; Insufficient description to identify document
753	December 31, 2013	Report and Financial Statements for West Point Derivatives Limited 2013	N/A	N/A		IR; NP; Insufficient description to identify document
754	December 31, 2014	Report and Financial Statements for West Point Derivatives Limited 2015	N/A	N/A		IR; NP; Insufficient description to identify document
755	December 31, 2012	Directors' Report and Financial Statements for Old Park Lane Capital PLC 2012	N/A	N/A		F, H, IR, 403
756	December 31, 2013	Directors' Report and Financial Statements for Old Park Lane Capital PLC 2013	N/A	N/A		F, H, IR, 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
757	March 31, 2015	Report and Financial Statements for Telesto Markets LLP 2015	N/A	N/A		IR; NP; Insufficient description to identify document
758	N/A	Tax Reclaim Advisory Services Agreement between Ganymede Cayman Limited and California Catalog Company Pension Plan	MPSKAT00022222	MPSKAT00022226		
759	October 1, 2013	Invoice from Ganymede Cayman Limited to RJM Capital Pension Plan	MPSKAT00090314	MPSKAT00090314		
760	Multiple Dates	Barclays account statements obtained from Special Administrators of Solo Capital Partners LLP and Administrators of the Associated Entities	SCPADMINISTRATORS_00002941; SCPADMINISTRATORS_00062286	SCPADMINISTRATORS_00005864; SCPADMINISTRATORS_00062382		
761	November 7, 2012	Invoice from Ganymede Cayman Limited to Adam La Rosa, Xiphias LLC Pension Plan	MPSKAT00164700	MPSKAT00164700		
762	January 2, 2014	Invoice No. 00126 from Ganymede Cayman Limited to A. LaRosa (Azalea Pension Plan) for Fee Due Under Services Agreement	MPSKAT00101981	MPSKAT00101981		
763	November 25, 2014	Invoice from Ganymede Cayman Limited to J. van Merkensteijn, The Omineca Pension Plan	JHVM_0001766	JHVM_0001766		
764	November 25, 2014	Invoice from Ganymede Cayman Limited to R. Markowitz, The Routt Capital Pension Plan	MPSKAT00010214	MPSKAT00010214		
765	N/A	Tax Reclaim Advisory Services Agreement between Ganymede Cayman Limited and Lion Advisory Inc. Pension Plan	MPSKAT00243977	MPSKAT00243981		
766	N/A	Tax Reclaim Advisory Services Agreement between Ganymede Cayman Limited and Xiphias LLC Trust	MPSKAT00243967	MPSKAT00243971		
767	October 31, 2015	Wells Fargo Bank statement for Bareroot Capital LLC Roth 401(k) Plan (Oct. 1 - 31, 2015)	DZ000001140	DZ000001179		
768	January 29, 2015	July 24, 2014, through January 29, 2015, Wells Fargo Bank statements for Basalt Ventures LLC Roth 401(k) Plan	JHVM_0002039	JHVM_0002051		
769	July 31, 2014	Wells Fargo Bank statement for Eclouge Industry LLC Roth 401(k) Plan	EC000000089	EC000000092		
770	August 31, 2014	Wells Fargo Combined Statement of Accounts for Eclouge Industry LLC Roth 401(k) Plan (Aug. 1 - 31, 2014)	EC000000138	EC000000143	643	
771	December 11, 2014	Wells Fargo Account Statements for Headsail Manufacturing LLC Roth 401(k) (July 2014 - Dec. 2014)	WELLSFARGO_00004016	WELLSFARGO_00004032		
772	December 31, 2014	First Republican Bank Business Analyzed Checking Account Statements for Omineca Pesion Plan (Aug. 4, 2014 - Dec. 31, 2014)	JHVM_0002167	JHVM_0002177		F, H, IR, 403
773	July 24, 2014	Wells Fargo Bank statement for Roadcraft Technologies LLC Roth 401(k) Plan	RC000000046	RC000000048		
774	August 25, 2014	Wells Fargo Bank statement for Roadcraft Technologies LLC Roth 401(k) Plan	RC000000056	RC000000058		
775	July 31, 2014	First Republican Bank account summary for Routt Capital Trust	WH MDL 00002706	WH MDL 00002707		F, H, IR, 403
776	August 31, 2014	Routt Capital Trust Transaction History	WH MDL 00090767	WH MDL 00090769		F, H
777	December 31, 2013	First Republican Bank account summary for Azalea Pension Plan Trust	MPSKAT00000769	MPSKAT00000770		F, H, IR, 403
778	December 31, 2013	First Republican Bank account summary for Calpyso Investments Pension Plan Trust	MPSKAT00002041	MPSKAT00002042		F, H, IR, 403
779	December 12, 2012	Chase Bank account summary for Lion Advisory Inc Trust, November 14, 2012 to December 12, 2012	MPSKAT00026717	MPSKAT00026718		F, H, IR, 403
780	February 28, 2013	First Republic Bank account summary for RJM Capital Pension Plan Trust	MPSKAT00004011	MPSKAT00004012		F, H, IR, 403
781	March 21, 2013	First Republic Bank account summary for RJM Capital Pension Plan Trust	MPSKAT00004013	MPSKAT00004014		F, H, IR, 403
782	Jan. 2010	2010 GMSLA between Colbrook Limited and Bernina Pension Plan	MPSKAT00068415	MPSKAT00068456		
783	March 11, 2016	Letter from Reed Smith LLP to Solo Capital, West Point, Old Park Lane, and Telesore FCA Investigation	SCPADMINISTRATORS_00056787	SCPADMINISTRATORS_00056791		
784	August 17, 2015	Wells Fargo Bank Account Statements for Aerovane Logistics LLC Roth 401(k) Plan (July 2014 - Aug. 2015)	KLUGMAN00003439	KLUGMAN00003479		
785	December 31, 2015	Statement for Aerovane Logistics LLC Roth 401(k) Plan from Old Park Lane Capital (Jan. - Dec. 2015)	KLUGMAN00003104	KLUGMAN00003123		
786	June 30, 2015	Wells Fargo Bank statement for Cavus Systems LLC Roth 401(k)	WH MDL 00089574	WH MDL 00089576		
787	December 31, 2015	Jan. 1, 2015 - Dec. 31, 2015 Statement for Cavus Systems LLC Roth 401(k) Plan from Telesto Markets LLP	MPSKAT00008292	MPSKAT00008318		
788	March 31, 2013	Solo Capital open position statement for Remece Investments LLC Pension Plan	MPSKAT00078914	MPSKAT00078923		
789	2014-2015	Basalt Ventures LLC Roth 401(K) transaction log for August 2014-June 2015	GUNDERSON 00009337	GUNDERSON 00009338		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
790	February 3, 2015	Solo Capital transaction report for Azalea Pension Plan October 2013-February 2015	MPSKAT00000014	MPSKAT00000015		
791	2014-2015	Bareroot Capital LLC Roth 401(K) transaction log for August 2014-June 2015	MPSKAT00007323	MPSKAT00007324		
792	2014-2015	Eclouge Industries LLC Roth 401(K) transaction log for August 2014 - November 2015	WH_MDL_00023434	WH_MDL_00023438		
793	2014-2015	Roadcraft Technologies LLC Roth 401(K) transaction log for August 2014 - June 2015	WH_MDL_00022067	WH_MDL_00022068		
794	2014-2015	The Omineca Pension Plan transaction log for August 2014-June 2015	GUNDERSON 00009339	GUNDERSON 00009340		
795	March 31, 2013	Solo Capital Open Position Statement for DFL Investments Pension Plan	MPSKAT00078049	MPSKAT00078059		
796	March 31, 2013	Solo Capital Open Position Statement for Ganesha Industries Pension Plan	MPSKAT00078063	MPSKAT00078071		
797	N/A	D.D.C (Cayman) Register of Members	CAYMAN 00002759	CAYMAN 00002760		
798	N/A	D.D.C (Cayman) Register of Directors and Officers	CAYMAN 00002758	CAYMAN 00002758		
799	March 31, 2013	Solo Capital Open Position Statement for RJM Capital Pension Plan	MPSKAT00078902	MPSKAT00078912		
800	August 31, 2012	Solo Capital Open Position Statement for Xiphias LLC Pension Plan	WH_MDL_00031493	WH_MDL_00031513		
801	August 31, 2012	Solo Capital Open Position Statement for Lion Advisory Inc. Pension Plan	WH_MDL_00031415	WH_MDL_00031428		
802	November 30, 2012	Solo Capital Open Position Statement for D.D.C (Cayman)	ELYSIUM-01001172	ELYSIUM-01001172		AUTH; F; H; 403
803	January 31, 2013	Solo Capital Open Position Statement for D.D.C (Cayman)	ELYSIUM-01324467	ELYSIUM-01324467		AUTH; F; H; 403
804	March 31, 2013	Solo Capital Open Position Statement for D.D.C (Cayman)	ELYSIUM-01578669	ELYSIUM-01578669		AUTH; F; H; 403
805	April 30, 2013	Solo Capital Open Position Statement for Colbrook Limited	ELYSIUM-01744945	ELYSIUM-01744945		AUTH; F; H; 403
806	May 31, 2013	Solo Capital Open Position Statement for D.D.C (Cayman)	ELYSIUM-01851041	ELYSIUM-01851041		AUTH; F; H; 403
807	September 30, 2014	Solo Capital Open Position Statement for Colbrook Limited	ELYSIUM-03478220	ELYSIUM-03478220		AUTH; F; H; 403
808	March 2013 - December 2013	Excel sheet with monthly trade log	FGC_SKAT-0002825	FGC_SKAT-0002825		
809	May 31, 2023	Bloomberg Dividend + Volume Data spreadsheet	Publicly Available	Publicly Available		Insufficient description to identify document
810	2014	2014 Old Park Lane Capital PLC Custody Agreements - Robert Klugman	MBJ_STOR-0006363	MBJ_STOR-0006388		
811	February 4, 2013	First Republic Bank Account Application for RJM Capital Pension Plan Trust, signed by Richard Markowitz	MPSKAT00003956	MPSKAT00003966		F, H, IR, 403
812	June 30, 2013	June 1 - 30, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003979	MPSKAT00003982		
813	July 31, 2013	July 1 - 31, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003983	MPSKAT00003984		
814	August 31, 2013	Aug. 1 - 31, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003985	MPSKAT00003986		
815	September 30, 2013	Sept. 1 - 30, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003987	MPSKAT00003988		
816	October 31, 2013	Oct. 1 - 31, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003989	MPSKAT00003990		
817	November 30, 2013	Nov. 1 - 30, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003991	MPSKAT00003994		
818	December 31, 2013	Dec. 1 - 31, 2013 First Republic Bank Account Statement for RJM Capital Pension Plan Trust	MPSKAT00003995	MPSKAT00003998		
819	2013	<i>Month and Day Unknown</i> - Client Custody Agreement between Solo Capital Partners LLP and RJM Capital Pension Plan	MPSKAT00003776	MPSKAT00003784		
820	January 1, 2013	<i>Month and Day Unknown</i> - 2013 Online Trading Portal Services Agreement between Solo Capital Partners LLP and RJM Capital Pension Plan, signed by A. La Rosa	MPSKAT00003795	MPSKAT00003804		
821	February 26, 2013	Solo Capital Partners LLP (Custodian) Securities Custody and Clearing Pricing Schedule with RJM Capital Pension Plan (Client), signed by A. La Rosa	MPSKAT00003785	MPSKAT00003792		
822	April 17, 2013	Solo Capital Dividend Credit Advice to RJM Capital Pension Plan, re AP MOELLER MAERSK A/S -B SHARES and AP MOELLER-MAERSK A/S - A SHARES	WH_MDL_00268445	WH_MDL_00268446		
823	January 30, 2013	EIN Individual Request - Online Application for RJM Capital Pension Plan Trust	FGC_SKAT-0001646	FGC_SKAT-0001647		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
824	February 1, 2013	Limited Power of Attorney (R. Markowitz, as Trustee of RJM Capital Pension Plan appoints Adam La Rosa)	FGC_SKAT-0001619	FGC_SKAT-0001619		
825	February 1, 2013	Trust Agreement by and between RJM Capital LLC, as "Employer" and R. Markowitz, as "Trustee"	FGC_SKAT-0001626	FGC_SKAT-0001645		
826	February 28, 2013	Date from Metadata - Schedule re Authorized Trader, A. La Rosa for RJM Capital Pension Plan	FGC_SKAT-0001625	FGC_SKAT-0001625		
827	March 1, 2013	Date from Metadata - FGC Securities, LLC Institutional Customer Account Form for RJM Capital Pension Plan	FGC_SKAT-0001648	FGC_SKAT-0001648		F, H, IR, 403
828	March 2, 2013	FGC Securities, LLC New Institutional Account Form Supplement for RJM Capital Pension Plan	FGC_SKAT-0001650	FGC_SKAT-0001651		F, H, IR, 403
829	March 4, 2013	FINRA OFAC Search Tool re RJM Capital Pension Plan, Adam LaRosa, Adam La Rosa, RJM Capital LLC and Richard J. Markowitz (0 hits)	FGC_SKAT-0001649	FGC_SKAT-0001649		IR, F, H
830	March 7, 2013	FGC Securities, LLC New Account Form for RJM Capital Pension Plan, signed by FGC representatives, attaches documents	FGC_SKAT-0001652	FGC_SKAT-0001661		F, H, IR, 403
831	February 5, 2013	Solo Capital Questionnaire for RJM Capital Pension Plan; Section A Client / Investor Information, Section C (Global Securities Services) Due Diligence Information, Templates 2 (Feb. 1, 2013) and 3, Template 4 List of Authorized Signatories and Template 5 List of Authorized Traders / Persons (Feb. 5, 2013), Template 6a Board Resolution - Investment in Funds and Template 6b Board Resolution - Global Securities Services (Feb. 5, 2013) and the Ownership Structure Chart for RJM Capital Pension Plan (Feb. 2, 2013)	ELYSIUM-01203936	ELYSIUM-01203936		AUTH; F; H; 403
832	February 5, 2013	Documents from RJM Capital Pension Plan Provided to Solo Capital; Certification of Trust for the RJM Capital Pension Plan Trust (Feb. 1, 2013), Trust Agreement by and between RJM Capital LLC, as "Employer" and R. Markowitz (Feb. 1, 2013), Ownership Structure Chart for RJM Capital Pension Plan (Feb. 2, 2013), Templates 2 (Feb. 1, 2013) and 3, Template 4 List of Authorized Signatories and Template 5 List of Authorized Traders / Persons (Feb. 5, 2013), R. Markowitz CV, R. Markowitz passport, R. Markowitz Verizon bill (Mar. 30, 2012), Limited Power of Attorney - R. Markowitz, as Trustee of RJM Capital Pension Plan appoints Adam La Rosa (Feb. 1, 2013), A. LaRosa NJ Driver License, A. La Rosa passport, A. LaRosa CV, A. La Rosa Merrill Lynch bill	ELYSIUM-09889375	ELYSIUM-09889375		AUTH; F; H; 403
833	February 5, 2013	Email from J. Spoto to A. La Rosa, D. Vyas and Custody@solo.com, re RJM Capital Pension Plan	MPSKAT00239849	MPSKAT00239850		
834	February 26, 2013	Solo Capital Partners LLP Notice of Classification as an Elective Professional Client Letter to RJM Capital Pension Plan	MPSKAT00003793	MPSKAT00003794		
835	March 3, 2013	Date from Parent Email - Global Master Securities Lending Agreement between Colbrook Ltd. and RJM Capital Pension Plan	MPSKAT00068151	MPSKAT00068192		
836	March 1, 2013	Date from Parent Email - Give-Up Agreement by and among FGC Securities, LLC, Solo Capital Partners LLP and RJM Capital Pension Plan, includes FGC letter confirming brokerage rates (Feb. 28, 2013)	MPSKAT00066945	MPSKAT00066960		
837	March 6, 2013	Give-Up Agreement by and among FGC Securities, LLC, Solo Capital Partners LLP and RJM Capital Pension Plan, includes FGC letter confirming brokerage rates (Feb. 28, 2013)	FGC_SKAT-0001620	FGC_SKAT-0001624		
838	March 6, 2013	Guarantee Deed among Solo Capital Partners LLP, RJM Capital Pension Plan and FGC Securities, LLC (not fully executed)	FGC_SKAT-0001610	FGC_SKAT-0001618		
839	July 12, 2014	RJM Capital Pension Plan Form 5500-EZ for 2013, Annual Return of One-Participant (Owners and Their Spouses) Retirement Plan	MPSKAT00004303	MPSKAT00004304		
840	April 11, 2013	Email from solotradeapprovals@solo.com to omitchell@ddccayman.com and poakley@ddccayman.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (DDC01) - Trade Approved	ELYSIUM-01552933	ELYSIUM-01552933		AUTH; F; H; 403
841	April 11, 2013	Email from solotradeapprovals@solo.com to adam@RJMCapitalp.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (RJM01) - Trade Approved	ELYSIUM-01552940	ELYSIUM-01552940		AUTH; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
842	June 13, 2013	Email from solotradeapprovals@solo.com to adam@RJMCapitalp.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (RJM01) - Trade Approved	ELYSIUM-01823054	ELYSIUM-01823054		AUTH; F; H; 403
843	June 13, 2013	Email from solotradeapprovals@solo.com to omitchell@ddccayman.com and poakley@ddccayman.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (DDC01) - Trade Approved	ELYSIUM-01823066	ELYSIUM-01823066		AUTH; F; H; 403
844	June 13, 2013	Email from solotradeapprovals@solo.com to omitchell@ddccayman.com and poakley@ddccayman.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (DDC01) - Trade Approved	ELYSIUM-01823082	ELYSIUM-01823082		AUTH; F; H; 403
845	June 13, 2013	Email from solotradeapprovals@solo.com to adam@RJMCapitalp.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (RJM01) - Trade Approved	ELYSIUM-01823083	ELYSIUM-01823083		AUTH; F; H; 403
846	June 25, 2013	Email from solotradeapprovals@solo.com to adam@RJMCapitalp.com, copying solotradeapprovals@solo.com, re Account (RJM01) - Trade Approved	ELYSIUM-01860759	ELYSIUM-01860759		AUTH; F; H; 403
847	June 25, 2013	Email from solotradeapprovals@solo.com to martin.smith@colbrooklimited.com, copying solotradeapprovals@solo.com, re Account (COL01) - Trade Approved	ELYSIUM-01860774	ELYSIUM-01860774		AUTH; F; H; 403
848	February 25, 2015	Email from solotradeapprovals@solo.com to deltaone@mako.com, copying admin@schmetinvestments.com and solotradeapprovals@solo.com, re Account (MAK01) - trade approved	ELYSIUM-03853915	ELYSIUM-03853915		AUTH; F; H; 403; IR
849	February 25, 2015	Email from solotradeapprovals@solo.com to trading@tjpartners.com, copying deltaone@mako.com and solotradeapprovals@solo.com, re Account (TJM01) - trade approved	ELYSIUM-03854199	ELYSIUM-03854199		AUTH; F; H; 403; IR
850	February 27, 2015	Email from solotradeapprovals@solo.com to info@princesolutionsltd.com, copying Trading@HeadsailPension.com and solotradeapprovals@solo.com, re Account (PSL01) - trade approved	ELYSIUM-03864391	ELYSIUM-03864391		AUTH; F; H; 403
851	February 27, 2015	Email from solotradeapprovals@solo.com to info@princesolutionsltd.com, copying info@rvtgmhb.de and solotradeapprovals@solo.com, re Account (PSL01) - trade approved	ELYSIUM-03864398	ELYSIUM-03864398		AUTH; F; H; 403; IR
852	February 27, 2015	Email from solotradeapprovals@solo.com to info@rvtgmhb.de, copying admin@schmetinvestments.com and solotradeapprovals@solo.com, re Account (RVT01) - trade approved	ELYSIUM-03864411	ELYSIUM-03864411		AUTH; F; H; 403; IR
853	November 30, 2012	Email from A. La Rosa to N. Teraiya, copying Custody@solo.com, re Xiphias LLC Pension Plan - STOCK LOAN - CHR DC, attaching Xiphias - STOCK LOAN - CHR	MPSKAT00239208	MPSKAT00239210		
854	March 8, 2013	Email from execution@fgcsecurities.com to A. La Rosa (Adam@MillRiverCapital.com), re Request for Liquidity - Coloplast A/S	MPSKAT00072484	MPSKAT00072484		H; F
855	May 26, 2013	Email from A. La Rosa (adam@Xiphiasp.com) to N. Teraiya, copying Solo cap custody (custody@solo.com), re Rates	MPSKAT00082949	MPSKAT00082950		
856	April 11, 2013	Email from A. La Rosa to execution@fgcsecurities.com, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00076964	MPSKAT00076964		
857	April 11, 2013	Email from A. La Rosa to execution@fgcsecurities.com, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00076965	MPSKAT00076965		
858	April 11, 2013	Email from execution@fgcsecurities.com to A. La Rosa, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00077049	MPSKAT00077049		
859	April 11, 2013	Email from execution@fgcsecurities.com to A. La Rosa, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00077050	MPSKAT00077050		
860	April 11, 2013	Email from A. La Rosa to execution@fgcsecurities.com, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00077088	MPSKAT00077088		
861	June 13, 2013	Email from A. La Rosa to execution@fgcsecurities.com, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00085108	MPSKAT00085108		
862	June 13, 2013	Email from A. La Rosa to execution@fgcsecurities.com, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00085109	MPSKAT00085109		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
863	June 13, 2013	Email from execution@fgcsecurities.com to A. La Rosa, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00085149	MPSKAT00085149		
864	June 13, 2013	Email from execution@fgcsecurities.com to A. La Rosa, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00085150	MPSKAT00085150		
865	June 13, 2013	Email from A. La Rosa to execution@fgcsecurities.com, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00085175	MPSKAT00085176		
866	June 13, 2013	Email from A. La Rosa to execution@fgcsecurities.com, re Request for Liquidity - AP MOELLER-MAERSK A/S-B	MPSKAT00085180	MPSKAT00085180		
867	June 13, 2013	Email from execution@fgcsecurities.com to A. La Rosa, re Request for Liquidity - AP MOELLER-MAERSK A/S-B, attaching RJMC_MAERS_20130613_0003_A2	MPSKAT00085288	MPSKAT00085289		
868	June 13, 2013	Email from execution@fgcsecurities.com to A. La Rosa, re Request for Liquidity - AP MOELLER-MAERSK A/S-B, attaching RJMC_MAERS_20130613_0003_A1	MPSKAT00085290	MPSKAT00085291		
869	December 31, 2013	Bernina LLC Balance Sheet, Profit & Loss chart and General Ledger as of December 31, 2013	GUNDERSON 00003635	GUNDERSON 00003639		F, H, IR, 403
870	December 31, 2013	Bernina Pension Plan Trust Balance Sheet, Profit & Loss chart and General Ledger as of December 31, 2013 (printed Nov. 17, 2015)	GUNDERSON 00003640	GUNDERSON 00003645		F, H, IR, 403
871	December 31, 2013	Bernina Pension Plan Trust Profit & Loss chart, Balance Sheet and General Ledger as of December 31, 2013 (printed March 9, 2016)	GUNDERSON 00009377	GUNDERSON 00009385		
872	2013	Client Custody Agreement between Solo Capital Partners LLP and Bernina Pension Plan	MPSKAT00001324	MPSKAT00001332		
873	2013	Online Trading Portal Services Agreement between Solo Capital Partners LLP and Bernina Pension Plan	MPSKAT00001350	MPSKAT00001359		
874	February 26, 2013	Solo Capital Partners LLP (Custodian) Securities Custody and Clearing Pricing Schedule for Bernina Pension Plan (Client)	MPSKAT00001333	MPSKAT00001340		
875	March 27, 2013	Solo Capital Dividend Credit Advice to Bernina Pension Plan, re Carlsberg A/S - B Share	ELYSIUM-01498168	ELYSIUM-01498168		AUTH; F; H; 403
876	February 7, 2013	Trust Agreement by and between Bernina LLC "Employer" and J. van Merkensteijn "Trustee"	MPSKAT00001813	MPSKAT00001832		
877	February 26, 2013	Email from S. Wheeler to A. La Rosa (adam@Berninap.com), copying Custody@solo.com, re The Bernina Pension Plan - New Account, attaching FGC Account Opening Form, FGC Disclosure Packet 20130129, FGC Institutional Customer Suitability Certification	MPSKAT00062929	MPSKAT00062940		
878	February 28, 2013	Date from Metadata - Schedule of Authorized Trader, A. La Rosa	FGC_SKAT-0000336	FGC_SKAT-0000336		
879	March 1, 2013	Email from A. La Rosa (adam@Berninap.com) to S. Wheeler, copying Custody@solo.com and J. Foley, re The Bernina Pension Plan - New Account, attaching Bernina Account Form FGC (FGC Securities, LLC Institutional Customer Account Form for The Bernina Pension Plan)	MPSKAT00066485	MPSKAT00066487		
880	March 1, 2013	Email from S. Wheeler to A. La Rosa (adam@Berninap.com), copying Custody@solo.com and J. Foley, re The Bernina Pension Plan - New Account, attaching FGC New Institutional Account Form Supplement	MPSKAT00066488	MPSKAT00066492		
881	March 1, 2013	Email from A. La Rosa (adam@Berninap.com) to S. Wheeler, copying Custody@solo.com and J. Foley, re The Bernina Pension Plan - New Account, attaching Bernina POA (executed Feb. 21, 2013 Limited Power of Attorney, J. van Merkensteijn, as Trustee of Bernina Pension Plan appoints A. La Rosa of c/o Arge Management LLC)	MPSKAT00066506	MPSKAT00066509		
882	March 1, 2013	Email from J. Hammers to A. La Rosa (adam@Berninap.com), copying Custody@solo.com, re Bernina Pension Plan, attaching GUA - Bernina Pension Plan - FGC and Guarantee - Bernia [sic] - FGC - Solo	MPSKAT00066795	MPSKAT00066809		
883	March 1, 2013	Email from A. La Rosa (adam@Berninap.com) to J. Hammers, copying Custody@solo.com, re Bernina Pension Plan, attaching executed Bernina FGC Give Up and executed Bernina FGC Guarantee Deed	MPSKAT00066961	MPSKAT00066976		
884	March 2, 2013	Email from A. La Rosa (adam@Berninap.com) to J. van Merkensteijn, re Bernina Pension Plan, attaching Bernina Institutional Account Sup Form	MPSKAT00067038	MPSKAT00067040		H; IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
885	March 2, 2013	Email from A. La Rosa (adam@Berninap.com) to J. van Merkensteijn, re Bernina Pension Plan	MPSKAT00067179	MPSKAT00067180		
886	March 4, 2013	Email from A. La Rosa (adam@Berninap.com) to S. Wheeler, copying Custody@solo.com and J. Foley, re The Bernina Pension Plan - New Account, attaching FGC New Institutional /sic/ Account Form - Bernina and Bernina POA	MPSKAT00070059	MPSKAT00070064		
887	March 4, 2013	FGC Securities, LLC New Institutional Account Form supplement for The Bernina Pension Plan, signed by J. van Merkensteijn	MPSKAT00001408	MPSKAT00001409		F, H, IR, 403
888	March 7, 2013	FGC Securities, LLC New Account Form for The Bernina Pension Plan, signed by FGC representatives, attached documents	FGC_SKAT-0000360	FGC_SKAT-0000369		F, H, IR, 403
889	February 12, 2013	Email from J. Spoto to B. Simkova, copying G. Pitts, J. Hammers and D. Vyas, re Bernina LLC, attaching Questionnaire, 1. Banking Information, 2. Certification of Trust, 3. Bernina Pension Plan Trust, 4. Federal ID Number and 5. Approval Letter	ELYSIUM-01237021	ELYSIUM-01237027		AUTH; F; H; 403
890	February 26, 2013	Letter from Solo Capital to FAO The Trustee - Bernina Pension Plan, re Notice of Classification as an Elective Professional Client, signed by A. La Rosa	MPSKAT00001322	MPSKAT00001323		
891	March 3, 2013	Email from A. La Rosa (adam@Berninap.com) to M. Smith (CEO Colbrook Limited), re GMSLA, attaching 18 GMSLA - Colbrook - Bemina (Global Master Securities Lending Agreement between Colbrook Ltd. and Bernina Pension Plan, signed by A. La Rosa)	MPSKAT00068413	MPSKAT00068456		
892	March 6, 2013	Give-Up Agreement by and among FGC Securities, LLC, Solo Capital Partners LLP and Bernina Pension Plan (signed by FGC and A. La Rosa), includes Addendum and FGC letter confirming brokerage rates dated Feb. 28, 2013	ELYSIUM-01376695	ELYSIUM-01376695		AUTH; F; H; 403
893	March 6, 2013	Give-Up Agreement by and among FGC Securities, LLC, Solo Capital Partners LLP and Bernina Pension Plan (signed by FGC), includes Addendum and FGC letter confirming brokerage rates dated Feb. 28, 2013	FGC_SKAT-0000375	FGC_SKAT-0000379		
894	December 31, 2013	Solo Capital Open Position Statement for Bernina Pension Plan	MPSKAT00200656	MPSKAT00200769		
895	July 10, 2014	Bernina Pension Plan Form 5500-EZ for 2013, Annual Return of One-Participant (Owners and Their Spouses) Retirement Plan	MPSKAT00001764	MPSKAT00001765		
896	March 21, 2013	Email from solotradeapprovals@solo.com to adam@Berninap.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (BER01) - Trade Approved	ELYSIUM-01463914	ELYSIUM-01463914		AUTH; F; H; 403
897	March 21, 2013	Email from solotradeapprovals@solo.com to omitchell@ddccayman.com and poakley@ddccayman.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (DDC01) - Trade Approved	ELYSIUM-01463889	ELYSIUM-01463889		AUTH; F; H; 403; IR
898	August 21, 2013	Email from solotradeapprovals@solo.com to adam@Berninap.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (BER01) - Trade Approved	ELYSIUM-02047239	ELYSIUM-02047239		AUTH; F; H; 403
899	August 21, 2013	Email from solotradeapprovals@solo.com to omitchell@ddccayman.com and poakley@ddccayman.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (DDC01) - Trade Approved	ELYSIUM-02047236	ELYSIUM-02047236		AUTH; F; H; 403; IR
900	August 21, 2013	Email from solotradeapprovals@solo.com to adam@Berninap.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (BER01) - Trade Approved	ELYSIUM-02047241	ELYSIUM-02047241		AUTH; F; H; 403
901	August 21, 2013	Email from solotradeapprovals@solo.com to omitchell@ddccayman.com and poakley@ddccayman.com, copying execution@fgcsecurities.com, operations@fgcsecurities.com and solotradeapprovals@solo.com, re Account (DDC01) - Trade Approved	ELYSIUM-02047226	ELYSIUM-02047226		AUTH; F; H; 403; IR
902	August 21, 2013	Email from solotradeapprovals@solo.com to adam@Berninap.com, copying solotradeapprovals@solo.com, re Account (BER01) - Trade Approved	ELYSIUM-02047561	ELYSIUM-02047561		AUTH; F; H; 403
903	August 21, 2013	Email from solotradeapprovals@solo.com to martin.smith@colbrooklimited.com, copying solotradeapprovals@solo.com, re Account (COL01) - Trade Approved	ELYSIUM-02047553	ELYSIUM-02047553		AUTH; F; H; 403; IR
904	March 14, 2013	Email from A. La Rosa (adam@Berninap.com) to Custody@solo.com, re Flex Future Trade Approval Request	MPSKAT00074222	MPSKAT00074222		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
905	March 18, 2013	Email from Custody@solo.com to A. La Rosa (adam@Berninap.com), copying Custody@solo.com, re Flex Future Trade Approval Request	MPSKAT00074455	MPSKAT00074457		
906	March 21, 2013	Email from A. La Rosa (adam@Berninap.com) to execution@fgcsecurities.com, re Request for Liquidity - Carlsberg-B	MPSKAT00074842	MPSKAT00074842		
907	March 21, 2013	Email from execution@fgcsecurities.com to A. La Rosa (adam@Berninap.com), re Request for Liquidity - Carlsberg-B	MPSKAT00075172	MPSKAT00075173		
908	March 21, 2013	Email from A. La Rosa (adam@Berninap.com) to execution@fgcsecurities.com, re Request for Liquidity - Carlsberg-B	MPSKAT00075180	MPSKAT00075181		
909	August 21, 2013	Email from A. La Rosa (adam@Berninap.com) to execution@fgcsecurities.com, re Request for Liquidity - Carlsberg-B	MPSKAT00088045	MPSKAT00088045		
910	August 21, 2013	Email from A. La Rosa (adam@Berninap.com) to execution@fgcsecurities.com, re Request for Liquidity - Carlsberg-B	MPSKAT00088058	MPSKAT00088058		
911	August 21, 2013	Email from execution@fgcsecurities.com to A. La Rosa (adam@Berninap.com), re Request for Liquidity - Carlsberg-B	MPSKAT00088088	MPSKAT00088088		
912	August 21, 2013	Email from execution@fgcsecurities.com to A. La Rosa (adam@Berninap.com), re Request for Liquidity - Carlsberg-B	MPSKAT00088093	MPSKAT00088093		
913	August 21, 2013	Email from A. La Rosa (adam@Berninap.com) to execution@fgcsecurities.com, re Request for Liquidity - Carlsberg-B	MPSKAT00088111	MPSKAT00088112		
914	August 21, 2013	Email from A. La Rosa (adam@Berninap.com) to execution@fgcsecurities.com, re Request for Liquidity - Carlsberg-B	MPSKAT00088114	MPSKAT00088114		
915	July 24, 2014	Wells Fargo Authorization for Information in connection with a Business Account Application for Basalt Ventures LLC Roth 401k Plan (J. van Merkensteijn)	GUNDERSON 00004200	GUNDERSON 00004206		F, H
916	December 31, 2014	Basalt Ventures LLC Roth 401(k) Plan Balance Sheet, Profit & Loss chart and General Ledger as of Dec. 31, 2014 (printed Nov. 18, 2015)	GUNDERSON 00003685	GUNDERSON 00003687		
917	December 31, 2014	Basalt Ventures LLC Balance Sheet, Profit & Loss chart and General Ledger as of Dec. 31, 2014 (printed Nov. 19, 2015)	GUNDERSON 00003688	GUNDERSON 00003690		
918	December 31, 2014	Basalt Ventures LLC Roth 401(k) Plan Balance Sheet, Profit & Loss chart and General Ledger as of Dec. 31, 2014 (printed Nov. 23, 2015), includes Currency Converter and excerpts from Wells Fargo Account Statements dated Nov. 29, 2014 - Dec. 26, 2014, Oct. 29, 2014 - Nov. 28, 2014, Sept. 27, 2014 - Oct. 28, 2014, Aug. 28, 2014 - Sept. 26, 2014, July 29, 2014 - Aug. 27, 2014 and July 24, 2014 - July 28, 2014)	GUNDERSON 00001006	GUNDERSON 00001022		
919	December 31, 2015	Basalt Ventures LLC Roth 401(k) Plan Balance Sheet, Profit & Loss chart and General Ledger as of Dec. 31, 2015 (printed Aug. 19, 2016), includes Telesto Markets LLP Statement for Basalt Ventures LLC Roth 401(K) Plan (BVL01), dated Jan. 1 - Dec. 31, 2015	GUNDERSON 00006355	GUNDERSON 00006362		
920	December 31, 2015	Basalt Ventures LLC Roth 401(k) Plan Profit & Loss chart, Balance Sheet and General Ledger as of Dec. 31, 2015 (printed Sept. 26, 2016)	WH_MDL_00096016	WH_MDL_00096021		
921	August 6, 2014	Email from P. Wells to Custody, copying A. Gregory, R. Veillette, admin@BasaltPension.com and C. Sidoli, re Basalt Ventures LLC Roth 401(K) Plan, attaching executed Basalt Ventures LLC-Custody Agreement and OPL Give-up Agreement - Basalt	JHVM_0001243	JHVM_0001271		
922	April 7, 2015	Telesto Dividend Credit Advice for Basalt Ventures LLC Roth 401(K) Plan	JHVM_0001761	JHVM_0001761		
923	July 27, 2014	Email from trading@BasaltPension.com to A. Milne and P. Milne, copying custody@oldplc.com, km@oldplc.com and rs@oldplc.com	WH_MDL_00034560	WH_MDL_00034560		
924	August 8, 2014	Email from A. Milne to trading@BasaltPension.com, copying A. Milne and P. Milne, re Bastion Capital account opening, attaching Basalt Per se Professional Client categorisation 080814	WH_MDL_00035200	WH_MDL_00035201		
925	January 29, 2015	Email from trading@BasaltPension.com to A. Milne and P. Milne, copying custody@telesto.com, re New Custodian - Telesto Markets LLP	WH_MDL_00037790	WH_MDL_00037790		
926	January 30, 2015	Email from A. Milne to trading@BasaltPension.com, copying custody@telesto.com, P. Milne and A. Milne, re New Custodian - Telesto Markets LLP	WH_MDL_00037861	WH_MDL_00037861		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
927	February 17, 2015	Email from trading@BasaltPension.com to S. Park and J. Chester, copying Telesto Capital Ltd., re On-Boarding for Brokerage Services	WH_MDL_00038080	WH_MDL_00038080		
928	February 18, 2015	Email from S. Park to trading@BasaltPension.com, re On-Boarding for Brokerage Services	WH_MDL_00038105	WH_MDL_00038105		
929	February 24, 2015	Email from B. Horton to trading@BasaltPension.com, re Onboarding with Sunrise Brokers LLP, attaching Basalt Ventures LLC Roth 401(K) Plan Elective Professional Client Notice and Sunrise Brokers LLP Terms of Business FCA Version	WH_MDL_00084089	WH_MDL_00084154		
930	August 5, 2014	Email from T. Leigh-Hunt (Solo) to PatrickMilne@bastioncapital.co.uk and AdrianMilne@bastioncapital.co.uk, copying custody@oldplc.com, re KYC2, attaching Plan Formation Document for Basalt Ventures LLC Roth 401(K) Plan (signed by JvM on July 22, 2014), Post EGTRRA Addendum, PPA Amendment Addendum, 2011 Required Amendment Addendum, 2012 Interim Amendment Addendum (signed by JvM on July 22, 2014); Operating Agreement of Basalt Ventures LLC (signed by JvM), with Appendices A - B; Certificate of Formation of Basalt Ventures LLC (dated July 22, 2014); Management Resolution of Basalt Ventures LLC (dated June 18, 2014), JvM DirectTV Account Statement and Certification of Trust (dated July 22, 2014)	ELYSIUM-09913137	ELYSIUM-09913138		AUTH; F; H; 403
931	January 12, 2015	Email from admin@BasaltPension.com to Telesto Capital Ltd, copying P. Wells, A. Gregory and R. Veillette, re Custody Account	JHVM_0001221	JHVM_0001239		
932	January 13, 2015	Email from admin@BasaltPension.com to Telesto Capital Ltd, copying P. Wells, A. Gregory and R. Veillette, re Custody Account	JHVM_0001220	JHVM_0001220		
933	February 21, 2015	Starfish Capital Management LLC Roth 401(K) Plan - Minutes of a Meeting of the Board of Trustees of the Trust	JHVM_0002931	JHVM_0002939		
934	February 21, 2015	Email from J. van Merkensteijn to S. Furr, re Telesto - POA/Traders list/Board Mins, attaching JHvM - Signature Pages (unsigned)	GUNDERSON 00003720	GUNDERSON 00003725		
935	January 27, 2015	Email from Connaught Global to trading@BasaltPension.com, re Counterparty for Forward Contracts, attaching Forward Confirm (draft)	WH_MDL_00067969	WH_MDL_00067973		
936	January 28, 2015	Email from trading@BasaltPension.com to Connaught Global, re Counterparty for Forward Contracts	WH_MDL_00068530	WH_MDL_00068531		
937	January 30, 2015	Email from trading@BasaltPension.com to Trance Services, copying custody@telesto.com, re On-Boarding for Stock Loan Services	WH_MDL_00068989	WH_MDL_00068989		
938	February 19, 2015	Email from info@tranceservices.com to trading@BasaltPension.com, re On-Boarding for Stock Loan Services, attaching Basalt Ventures LLC Roth 401(K) Plan, GMSLA, Trance Services Limited	WH_MDL_00077938	WH_MDL_00077982		
939	May 31, 2015	Email from admin@BasaltPension.com to K. Martin, copying V. Bhimjiani, re Transfer of Funds from Our Account at Old Park Lane Capital	WH_MDL_00044274	WH_MDL_00044274		
940	June 1, 2015	Email from GSSOPL to admin@BasaltPension.com, re Transfer of Funds from Our Account at Old Park Lane Capital	WH_MDL_00044332	WH_MDL_00044333		
941	June 8, 2015	Email from GSSOPL to admin@BasaltPension.com, re Transfer of Funds from Our Account at Old Park Lane Capital - Basalt Venture	WH_MDL_00045342	WH_MDL_00045343		
942	June 12, 2015	Email from GSSOPL to admin@BasaltPension.com, re Transfer of Funds from Our Account at Old Park Lane Capital, attaching Basalt Ventures 120615 (BVL01 Account Statement)	WH_MDL_00030709	WH_MDL_00030712		
943	October 28, 2015	Email from GSSOPL to admin@BasaltPension.com, re Transfer of Funds from Our Account at Old Park Lane Capital - Basalt Venture	WH_MDL_00053917	WH_MDL_00053918		
944	November 12, 2015	Email from GSS-Telesto to Trading@BasaltPension.com, re Client Services and Account Closure	WH_MDL_00054554	WH_MDL_00054554		
945	December 31, 2015	Telesto Markets LLP Statement for Basalt Ventures LLC Roth 401(K) Plan (BVL01), Jan. 1, 2015 - Dec. 31, 2015	WH_MDL_00056693	WH_MDL_00056719		
946	March 30, 2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com, copying execution@connaught-global.com and tradeapprovals@telesto.com, re Account (BVL01) - trade matched	ELYSIUM-04029719	ELYSIUM-04029719		AUTH; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
947	March 30, 2015	Email from tradeapprovals@telesto.com to execution@connaught-global.com, copying dai@ystwythtrading.com and tradeapprovals@telesto.com, re Account (CON01) - trade matched	ELYSIUM-04031204	ELYSIUM-04031204		AUTH; F; H; 403
948	March 30, 2015	Email from tradeapprovals@telesto.com to dai@ystwythtrading.com, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (YST01) - trade matched	ELYSIUM-04028304	ELYSIUM-04028304		AUTH; F; H; 403
949	June 17, 2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com, copying execution@connaught-global.com and tradeapprovals@telesto.com, re Account (BVL01) - trade matched	ELYSIUM-04450211	ELYSIUM-04450211		AUTH; F; H; 403
950	June 17, 2015	Email from tradeapprovals@telesto.com to execution@connaught-global.com, copying dai@ystwythtrading.com and tradeapprovals@telesto.com, re Account (CON01) - trade matched	ELYSIUM-04443605	ELYSIUM-04443605		AUTH; F; H; 403
951	June 17, 2015	Email from tradeapprovals@telesto.com to dai@ystwythtrading.com, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (YST01) - trade matched	ELYSIUM-04450704	ELYSIUM-04450704		AUTH; F; H; 403
952	June 26, 2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com, copying brokerageaudit@bastioncapital.co.uk and tradeapprovals@telesto.com, re Account (BVL01) - trade approved	ELYSIUM-04520662	ELYSIUM-04520662		AUTH; F; H; 403
953	June 26, 2015	Email from tradeapprovals@telesto.com to brokerageaudit@bastioncapital.co.uk, copying deltaone@mako.com and tradeapprovals@telesto.com, re Account (BAS01) - trade approved	ELYSIUM-04520288	ELYSIUM-04520288		AUTH; F; H; 403
954	June 26, 2015	Email from tradeapprovals@telesto.com to deltaone@mako.com, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (MAK01) - trade approved	ELYSIUM-04520295	ELYSIUM-04520295		AUTH; F; H; 403
955	June 17, 2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com, copying execution@connaught-global.com and tradeapprovals@telesto.com, re Account (BVL01) - trade matched	ELYSIUM-04450231	ELYSIUM-04450231		AUTH; F; H; 403
956	June 17, 2015	Email from tradeapprovals@telesto.com to execution@connaught-global.com, copying dai@ystwythtrading.com and tradeapprovals@telesto.com, re Account (CON01) - trade matched	ELYSIUM-04443886	ELYSIUM-04443886		AUTH; F; H; 403
957	June 17, 2015	Email from tradeapprovals@telesto.com to dai@ystwythtrading.com, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (YST01) - trade matched	ELYSIUM-04450770	ELYSIUM-04450770		AUTH; F; H; 403
958	June 26, 2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com, copying info@tranceservices.com and tradeapprovals@telesto.com, re Account (BVL01) - trade approved	ELYSIUM-04520674	ELYSIUM-04520674		AUTH; F; H; 403
959	June 26, 2015	Email from tradeapprovals@telesto.com to info@tranceservices.com, copying info@rvtgmhb.de and tradeapprovals@telesto.com, re Account (TRS01) - trade approved	ELYSIUM-04520612	ELYSIUM-04520612		AUTH; F; H; 403
960	June 26, 2015	Email from tradeapprovals@telesto.com to info@rvtgmhb.de, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (RVT01) - trade approved	ELYSIUM-04520438	ELYSIUM-04520438		AUTH; F; H; 403
961	June 26, 2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com, copying execution@connaught-global.com and tradeapprovals@telesto.com, re Account (BVL01) - trade matched	ELYSIUM-04520623	ELYSIUM-04520623		AUTH; F; H; 403
962	June 26, 2015	Email from tradeapprovals@telesto.com to execution@connaught-global.com, copying dai@ystwythtrading.com and tradeapprovals@telesto.com, re Account (CON01) - trade matched	ELYSIUM-04520595	ELYSIUM-04520595		AUTH; F; H; 403
963	June 26, 2015	Email from tradeapprovals@telesto.com to dai@ystwythtrading.com, copying trading@metiscayman.net and tradeapprovals@telesto.com, re Account (YST01) - trade matched	ELYSIUM-04520417	ELYSIUM-04520417		AUTH; F; H; 403
964	March 30, 2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com, copying sunrise@sunrisebrokers.com, re Equity Liquidity Order Filled for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00041359	WH_MDL_00041359		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
965	March 31, 2015	Email from J. Chester to Trading@BasaltPension.com, re Sunrise Trade Confirmation 30th March 2015	WH_MDL_00041903	WH_MDL_00041904		
966	June 26, 2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk, copying Trading@BasaltPension.com, re New Equity Order for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00048256	WH_MDL_00048256		
967	June 26, 2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com, copying brokerageaudit@bastioncapital.co.uk, re Equity Liquidity Order Filled for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00048257	WH_MDL_00048257		
968	June 26, 2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com, copying Trading@BasaltPension.com, re New Forward Order for 7,877 of underlying AP MOELLER-MAERSK A/S-B	WH_MDL_00048258	WH_MDL_00048258		
969	June 26, 2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com, copying execution@connaught-global.com, re Forward Order Filled for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00048260	WH_MDL_00048260		
970	June 26, 2015	Bastion Capital London Ltd. Equities Trade Confirmation for Basalt Ventures LLC Roth 401(K) Plan	WH_MDL_00048326	WH_MDL_00048326		
971	N/A	Headsail Manufacturing Cash Statement	KLUGMAN00002428	KLUGMAN00002430		
972	July 18, 2014	Operating Agreement of Headsail Manufacturing LLC	ELYSIUM-09371064	ELYSIUM-09371064		AUTH; F; H; 403
973	March 24, 2015	Dividend Credit Advice from Solo Capital Partners LLC to Headsail Manufacturing LLC Roth 401(K) Plan	WH_MDL_00057048	WH_MDL_00057048		
974	July 16, 2014	Headsail Manufacturing LLC Roth 401(K) Plan on-boarding package for Solo Capital Partners LLP	ELYSIUM-07405825	ELYSIUM-07405826		AUTH; F; H; 403
975	May 19, 2015	Email from trading@HeadsailPension.com to Mzobbio@acupay.com re: Fwd: Headsail KYC Pack.	WH_MDL_00322069	WH_MDL_00322070		
976	February 17, 2015	Email from trading@HeadsailPension.com to Simon.Park@Sunrisebrokers.com, jon.chester@sunrisebrokers.com, custody@solo.com re: Brokerage Services.	WH_MDL_00038091	WH_MDL_00038091		
977	February 18, 2015	Email from Simon.Park@sunrisebrokers.com to trading@HeadsailPension.com re: RE: Brokerage Services.	WH_MDL_00038120	WH_MDL_00038120		
978	February 24, 2015	Sunrise Brokers Client Classification Notification Elective Professional Client to Headsail Manufacturing LLC Roth 401(K) Plan	WH_MDL_00084881	WH_MDL_00084884		
979	February 9, 2015	Email from trading@HeadsailPension.com to Veillette, Rebecca; Gregory, Amy; Wells, Peter re: Fwd: TJM On-boarding Pack between The TJM Partnership PLC and Michael Ben-Jacob, Attorney in fact is Signed and Filed!	HEADSAIL00001083	HEADSAIL00001084		
980	September 2, 2015	The TJM Partnership Fact Find Headsail Manufacturing LLC Roth 401(K) Plan	HEADSAIL00001085	HEADSAIL00001103		
981	January 29, 2015	Email from trading@tjmpartners.com to trading@HeadsailPension.com and trading@tjmpartners.com copying custody@solo.com re: Brokerage Services.	WH_MDL_00068771	WH_MDL_00068772		
982	January 28, 2015	Email from rmarkowitz@argremgt.com to admin@RouttPension.com re: Brokers	WH_MDL_00318618	WH_MDL_00318619		
983	January 27, 2015	Email from execution@allitsenasset.com to trading@HeadsailPension.com re: Counterparty for Forward Contracts attaching documents.	WH_MDL_00067864	WH_MDL_00067868		
984	N/A	Month and Day Unknown - Global Master Securities Lending Agreement between Principle Markets Limited and Headsail Manufacturing LLC Roth 401(K) Plan	HEADSAIL00000895	HEADSAIL00000937		NP (SKAT has not provided this document to defendants.)
985	February 19, 2015	Email from info@principlemarkets.com to trading@HeadsailPension.com re: FWD: RE: On-Boarding for Stock Loan Services	WH_MDL_00076948	WH_MDL_00076992		
986	March 19, 2015	Email from solotradeapprovals@solo.com to Trading@HeadsailPension.com copying execution@allitsenasset.com, solotradeapprovals@solo.com re: Account (HEA01) - trade matched	ELYSIUM-03959114	ELYSIUM-03959114		AUTH; F; H; 403
987	March 19, 2015	Email from solotradeapprovals@solo.com to execution@allitsenasset.com copying dai@rheidoltrading.com, solotradeapprovals@solo.com re: Account (ALL01) - trade matched	ELYSIUM-03959461	ELYSIUM-03959461		AUTH; F; H; 403
988	March 19, 2015	Email from solotradeapprovals@solo.com to dai@rheidoltrading.com copying admin@schmetinvestments.com, solotradeapprovals@solo.com re: Account (RHE01) - trade matched	ELYSIUM-03959185	ELYSIUM-03959185		AUTH; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
989	June 17, 2015	Email from solotradeapprovals@solo.com to trading@HeadsailPension.com copying execution@allitsenasset.com, solotradeapprovals@solo.com re: Account (HEA01) - trade matched.	ELYSIUM-04440649	ELYSIUM-04440649		AUTH; F; H; 403
990	June 17, 2015	Email from solotradeapprovals@solo.com to execution@allitsenasset.com copying dai@rheidoltrading.com, solotradeapprovals@solo.com re: Account (ALL01) - trade matched	ELYSIUM-04441629	ELYSIUM-04441629		AUTH; F; H; 403
991	June 17, 2015	Email from solotradeapprovals@solo.com to dai@rheidoltrading.com copying admin@schmetinvestments.com, solotradeapprovals@solo.com re: Account (RHE01) - trade matched	ELYSIUM-04438189	ELYSIUM-04438189		AUTH; F; H; 403
992	June 25, 2015	Email from solotradeapprovals@solo.com to Trading@HeadsailPension.com copying sunrise@sunrisebrokers.com, solotradeapprovals@solo.com re: Account (HEA01) - trade approved	ELYSIUM-04514089	ELYSIUM-04514089		AUTH; F; H; 403
993	June 25, 2015	Email from solotradeapprovals@solo.com to sunrise@sunrisebrokers.com copying deltaone@mako.com, solotradeapprovals@solo.com re: Account (SUN01) - trade approved	ELYSIUM-04513760	ELYSIUM-04513760		AUTH; F; H; 403
994	June 25, 2015	Email from solotradeapprovals@solo.com to deltaone@mako.com copying admin@schmetinvestments.com, solotradeapprovals@solo.com re: Account (MAK01) - trade approved	ELYSIUM-04513755	ELYSIUM-04513755		AUTH; F; H; 403
995	June 17, 2015	Email from solotradeapprovals@solo.com to Trading@HeadsailPension.com copying execution@allitsenasset.com, solotradeapprovals@solo.com re: Account (HEA01) - trade matched	ELYSIUM-04440838	ELYSIUM-04440838		AUTH; F; H; 403
996	June 17, 2015	Email from solotradeapprovals@solo.com to execution@allitsenasset.com copying dai@rheidoltrading.com, solotradeapprovals@solo.com re: Account (ALL01) - trade matched	ELYSIUM-04441343	ELYSIUM-04441343		AUTH; F; H; 403
997	June 17, 2015	Email from solotradeapprovals@solo.com to dai@rheidoltrading.com copying admin@schmetinvestments.com, solotradeapprovals@solo.com re: Account (RHE01) - trade matched	ELYSIUM-04438817	ELYSIUM-04438817		AUTH; F; H; 403
998	June 25, 2015	Email from solotradeapprovals@solo.com to Trading@HeadsailPension.com copying info@principlemarkets.com, solotradeapprovals@solo.com re: Account (HEA01) - trade approved	ELYSIUM-04514071	ELYSIUM-04514071		AUTH; F; H; 403
999	June 25, 2015	Email from solotradeapprovals@solo.com to info@principlemarkets.com copying ak@ceka-invest.com, solotradeapprovals@solo.com re: Account (PML01) - trade approved	ELYSIUM-04514085	ELYSIUM-04514085		AUTH; F; H; 403
1000	June 25, 2015	Email from solotradeapprovals@solo.com to ak@ceka-invest.com copying admin@schmetinvestments.com, solotradeapprovals@solo.com re: Account (CEK01) - trade approved	ELYSIUM-04513843	ELYSIUM-04513843		AUTH; F; H; 403
1001	June 25, 2015	Email from solotradeapprovals@solo.com to Trading@HeadsailPension.com copying execution@allitsenasset.com, solotradeapprovals@solo.com re: Account (HEA01) - trade matched	ELYSIUM-04514054	ELYSIUM-04514054		AUTH; F; H; 403
1002	June 25, 2015	Email from solotradeapprovals@solo.com to execution@allitsenasset.com copying dai@rheidoltrading.com, solotradeapprovals@solo.com re: Account (ALL01) - trade matched	ELYSIUM-04513855	ELYSIUM-04513855		AUTH; F; H; 403
1003	June 25, 2015	Email from solotradeapprovals@solo.com to dai@rheidoltrading.com copying admin@schmetinvestments.com, solotradeapprovals@solo.com re: Account (RHE01) - trade matched	ELYSIUM-04513977	ELYSIUM-04513977		AUTH; F; H; 403
1004	March 19, 2015	Email from octave@hydracapitallimited.com to trading@tjpartners.com copying Trading@HeadsailPension.com re: New Equity Order for 6,711,894 of NOVO NORDISK A/S-B	KLUGMAN00001650	KLUGMAN00001650		
1005	March 19, 2015	Email from octave@hydracapitallimited.com to Trading@HeadsailPension.com copying trading@tjpartners.com re: Equity Liquidity Order Filled for 6,711,894 of NOVO NORDISK A/S-B	KLUGMAN00001651	KLUGMAN00001651		
1006	June 25, 2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com copying Trading@HeadsailPension.com re: New Forward Order for 6,711,894 of underlying NOVO NORDISK A/S-B	WH_MDL_00048106	WH_MDL_00048106		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1007	June 25, 2015	Email from octave@hydracapitallimited.com to Trading@HeadsailPension.com copying execution@allitsenasset.com re: Forward Order Filled for 6,711,894 of NOVO NORDISK A/S-B	WH_MDL_00087171	WH_MDL_00087171		
1008	October 31, 2012	Oct. 1 - 31, 2012 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005080	MPSKAT00005081		
1009	September 30, 2012	Sept. 1 - 30, 2012 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005082	MPSKAT00005083		
1010	August 31, 2012	Aug. 1 - 31, 2012 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005084	MPSKAT00005085		
1011	July 31, 2012	July 1 - 31, 2012 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005086	MPSKAT00005087		
1012	June 30, 2012	June 21- 30, 2012 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005088	MPSKAT00005089		
1013	November 30, 2012	Nov. 1 - 30, 2012 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005090	MPSKAT00005091		
1014	December 31, 2012	Dec. 1 - 31, 2012 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005092	MPSKAT00005093		
1015	January 31, 2013	Jan. 1 - 31, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005094	MPSKAT00005095		
1016	February 28, 2013	Feb. 1 - 28, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005096	MPSKAT00005097		
1017	March 31, 2013	Mar. 1 - 31, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005098	MPSKAT00005099		
1018	July 31, 2013	July 1 - 31, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005110	MPSKAT00005111		
1019	August 31, 2013	Aug. 1 - 31, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005112	MPSKAT00005113		
1020	September 30, 2013	Sept. 1 - 30, 2013 First Republic Bank Account Statement for Xiphias LLC Trust	MPSKAT00005114	MPSKAT00005115		
1021	2012	<i>Month and Day Unknown</i> - Client Custody Agreement between Solo Capital Partners LLP and Xiphias LLC Trust	MPSKAT00004902	MPSKAT00004910		
1022	May 16, 2012	May 16, 2012 Securities Custody and Clearing Pricing Schedule between Solo Capital Partners LLP and Xiphias LLC Trust	MPSKAT00004920	MPSKAT00004934		
1023	March 26, 2013	Mar. 26, 2013 Solo Capital Partners LLP Dividend Credit Advice for Xiphias LLC Pension Plan Novo Nordisk A/S-B Dividend	ELYSIUM-06288766	ELYSIUM-06288766		AUTH; F; H; 403
1024	February 7, 2013	Email from adam@Xiphiasp.com to swheeler@fgcsecurities.com copying Custody (Custody@solo.com) re: Xiphias LLC Pension Plan - New Account, attaching Institutional Customer Account Form	MPSKAT00061803	MPSKAT00061807		
1025	February 14, 2013	Email from swheeler@fgcsecurities.com to adam@Xiphiasp.com re: Xiphias LLC Pension Plan - New Account	MPSKAT00061878	MPSKAT00061880		
1026	February 26, 2013	Email from adam@Xiphiasp.com to swheeler@fgcsecurities.com re: Xiphias POA, attaching Xiphias POA	MPSKAT00063168	MPSKAT00063169		
1027	March 2, 2013	Email from adam@Xiphiasp.com to swheeler@fgcsecurities.com copying Custody (Custody@solo.com) re: Xiphias LLC Pension Plan, attaching Xiphias Guarantee Deed FGC and Xiphias Give Up FGC	MPSKAT00067181	MPSKAT00067196		
1028	N/A	<i>Jan. 2010</i> - Global Master Services Lending Agreement between Aquila (Cayman) Limited and Xiphias LLC Trust	MPSKAT00004937	MPSKAT00004979		
1029	May 16, 2012	Email from aquila.cayman@gmail.com to alarosa@argremgt.com re: The Xiphias Pension Plan	MPSKAT00237677	MPSKAT00237677		
1030	May 17, 2012	May 17, 2012 Side Letter between Aquila (Cayman) Limited and Xiphias Pension Plan	MPSKAT00238066	MPSKAT00238069		
1031	January 1, 2008	<i>Month and Day Unknown</i> - International Uniform Brokerage Execution Services ("Give-Up") Agreement, Trader Version between Novus Capital Markets Limited, Solo Capital Partners LLP, and Xiphias LLC Trust	MPSKAT00004897	MPSKAT00004901		
1032	January 1, 2008	<i>Month and Day Unknown</i> - International Uniform Brokerage Execution Services ("Give-Up") Agreement, Trader Version between FGC Securities LLC, Solo Capital Partners LLP, and Xiphias LLC Trust	MPSKAT00067192	MPSKAT00067196		
1033	2012	<i>Month and Day Unknown</i> - Guarantee Deed between Novus Capital Markets Limited, Solo Capital Partners LLP, and Xiphias LLC Trust	MPSKAT00004911	MPSKAT00004919		
1034	January 1, 2013	<i>Month and Day Unknown</i> - Guarantee Deed between FGC Securities LLC, Solo Capital Partners LLP, and Xiphias LLC Trust	MPSKAT00067183	MPSKAT00067191		
1035	March 20, 2013	Email from solotradeapprovals@solo.com to adam@Xiphiasp.com copying sp@novus-cap.com, solotradeapprovals@solo.com re: Account (XIP01) - Trade Approved	ELYSIUM-01456195	ELYSIUM-01456195		AUTH; F; H; 403
1036	March 20, 2013	Email from solotradeapprovals@solo.com to info@abrahamholdings.com copying sp@novus-cap.com, solotradeapprovals@solo.com re: Account (ABR01) - Trade Approved	ELYSIUM-01456162	ELYSIUM-01456162		AUTH; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1037	March 20, 2013	Email from solotradeapprovals@solo.com to adam@Xiphiasp.com copying sp@novus-cap.com, solotradeapprovals@solo.com re: Account (XIP01) - Trade Approved	ELYSIUM-01456193	ELYSIUM-01456193		AUTH; F; H; 403
1038	March 20, 2013	Email from solotradeapprovals@solo.com to info@abrahamholdings.com copying sp@novus-cap.com, solotradeapprovals@solo.com re: Account (ABR01) - Trade Approved	ELYSIUM-01456194	ELYSIUM-01456194		AUTH; F; H; 403
1039	March 25, 2013	Email from solotradeapprovals@solo.com to adam@Xiphiasp.com re: Account (XIP01) - Trade Approved	ELYSIUM-01482581	ELYSIUM-01482581		AUTH; F; H; 403
1040	March 25, 2013	Email from solotradeapprovals@solo.com to info@aquila-grp.com re: Account (AQU01) - Trade Approved	ELYSIUM-01482597	ELYSIUM-01482597		AUTH; F; H; 403
1041	May 18, 2012	Notice of Classification as a Professional Client between Novus Capital Markets Limited and Xiphias LLC Trust	MPSKAT00004980	MPSKAT00004981		
1042	May 18, 2012	MiFID Order Execution Policy between Novus Capital Markets Limited and Xiphias Pension Plan	MPSKAT00004982	MPSKAT00004984		
1043	May 16, 2012	Xiphias Pension Plan Authorized Trader List	MPSKAT00004985	MPSKAT00004986		
1044	February 1, 2013	Letter from Rob Carney to Xiphias LLC Trust	MPSKAT000061986	MPSKAT000061988		
1045	December 31, 2013	Solo Capital Partners LLP Open Position Statement for Xiphias LLC Pension Plan	MPSKAT00201399	MPSKAT00201481		
1046	May 16, 2012	Solo Capital Partners LLP Notice of Classification as an Elective Professional Client	MPSKAT00004895	MPSKAT00004896		
1047	June 30, 2018	Xiphias Pension Plan Ownership Structure Chart	MPSKAT00004991	MPSKAT00004991		
1048	May 17, 2012	Xiphias Pension Plan Form 8802 - Application for United States Residency for Certification	MPSKAT00004995	MPSKAT00004999		
1049	2013	<i>Month and Day Unknown</i> - Online Trading Portal Services Agreement between Solo Capital Partners LLP and Xiphias LLC Pension Plan	MPSKAT00005017	MPSKAT00005027		
1050	May 16, 2012	Limited Power of Attorney between Richard Marowitz as Trustee of Xiphias LLC Pension Plan and Adam LaRosa	MPSKAT00005037	MPSKAT00005037		
1051	May 16, 2012	Xiphias LLC Trust Agreement	MPSKAT00055705	MPSKAT00055723		
1052	February 19, 2013	Email from Custody <Custody@solo.com> to RMarkowitz@Argremgt.com copying Custody <Custody@solo.com>; adam@Xiphiasp.com re: Custody Trade Approval System (TAS) attaching 2. TAS User Guide, 10. Online Portal Services Agreement - Xiphias LLC Pension Plan	MPSKAT00062057	MPSKAT00062081		
1053	December 31, 2013	Xiphias LLC 2013 Tax Return	JHVM0033370	JHVM 0033428		
1054	July 12, 2014	Xiphias Pension Plan Form 5500-EZ - Annual Return of One-Participant (Owners and Their Spouses) Retirement Plan	MPSKAT00005226	MPSKAT00005227		
1055	March 20, 2013	Email from Mike Murphy <MikeM@novus-cap.com> to adam@Xiphiasp.com; sp@novus-cap.com re: Request for Liquidity - Novo Nordisk-B	MPSKAT00074499	MPSKAT00074499		
1056	March 20, 2013	Email from adam@Xiphiasp.com to Mike Murphy' <MikeM@novus-cap.com>, sp@novus-cap.com re: Request for Liquidity - Novo Nordisk-B	MPSKAT00074510	MPSKAT00074511		
1057	March 20, 2013	Email from Mike Murphy <MikeM@novus-cap.com> to adam@Xiphiasp.com; sp@novus-cap.com re: Request for Liquidity - Novo Nordisk-B	MPSKAT00074703	MPSKAT00074703		
1058	March 25, 2013	Email from Xiphias LLC Pension Plan to Aquila (Cayman) Limited, copying Solo Capital Partners LLP, re Terms of Stock Loan	MPSKAT00075633	MPSKAT00075634		
1059	December 2, 2013	Email from James Burge <JamesB@novus-cap.com> to adam@Xiphiasp.com, sp@novus-cap.com re: Request for Liquidity - Novo Nordisk-B	MPSKAT00099237	MPSKAT00099237		
1060	December 2, 2013	Email from FGC Execution <execution@fgsecurities.com> to adam@Xiphiasp.com re: RE: Request for Liquidity - Novo Nordisk-B	MPSKAT00099277	MPSKAT00099277		
1061	December 2, 2013	Email from adam@Xiphiasp.com to FGC Execution' <execution@fgsecurities.com> re: Request for Liquidity - Novo Nordisk-B	MPSKAT00099285	MPSKAT00099285		
1062	December 2, 2013	Email from solotradeapprovals@solo.com to adam@Xiphiasp.com copying sp@novus-cap.com, solotradeapprovals@solo.com re: Account (XIP01) - Trade Approved	ELYSIUM-02533783	ELYSIUM-02533783		AUTH; F; H; 403
1063	December 2, 2013	Email from solotradeapprovals@solo.com to info@abrahamholdings.com copying sp@novus-cap.com, solotradeapprovals@solo.com re: Account (ABR01) - Trade Approved	ELYSIUM-02533788	ELYSIUM-02533788		AUTH; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1064	December 2, 2013	Email from solotradeapprovals@solo.com to adam@Xiphiasp.com copying execution@fgcsecurities.com, operations@fgcsecurities.com, solotradeapprovals@solo.com re: Account (XIP01) - Trade Approved.	ELYSIUM-02533791	ELYSIUM-02533791		AUTH; F; H; 403
1065	December 2, 2013	Email from solotradeapprovals@solo.com to info@abrahamholdings.com copying execution@fgcsecurities.com, operations@fgcsecurities.com, solotradeapprovals@solo.com re: Account (ABR01) - Trade Approved.	ELYSIUM-02533796	ELYSIUM-02533796		AUTH; F; H; 403
1066	December 2, 2013	Email from solotradeapprovals@solo.com to adam@Xiphiasp.com copying solotradeapprovals@solo.com re: Account (XIP01) - Trade Approved	ELYSIUM-02534209	ELYSIUM-02534209		AUTH; F; H; 403
1067	December 2, 2013	Email from solotradeapprovals@solo.com to nfo@aquila-grp.com copying solotradeapprovals@solo.com re: Account (AQU01) - Trade Approved	ELYSIUM-02534205	ELYSIUM-02534205		AUTH; F; H; 403
1068	July 24, 2014	Authorization for Information in Connection with a Business Account Application to Wells Fargo and Business Account Application for Crucible Ventures LLC Roth 401(k) Plan	MBJ_0006520	MBJ_0006526		F, H
1069	July 24, 2014	Authorization for Information in Connection with a Business Account Application to Wells Fargo and Business Account Application for Limelight Global Productions LLC	MBJ_0006527	MBJ_0006533	1059	F, H
1070	July 24, 2014	Authorization for Information in Connection with a Business Account Application and Business Account Application to Wells Fargo for Plumrose Industries LLC Roth 401(k)	MBJ_0006534	MBJ_0006540	1059	F, H
1071	July 24, 2014	Authorization for Information in Connection with a Business Account Application and Business Account Application to Wells Fargo for Roadcraft Technologies LLC Roth 401(k)	MBJ_0006541	MBJ_0006547	1059	F, H
1072	July 24, 2014	Authorization for Information in Connection with a Business Account Application and Business Account Application to Wells Fargo for True Wind Investments LLC Roth 401(k)	MBJ_0006548	MBJ_0006554	1059	F, H
1073	September 17, 2014	Business Account Application to Wells Fargo for the Cedar Hill Capital Investments LLC	WELLSFARGO_00009395	WELLSFARGO_00009398		F, H
1074	September 17, 2014	Business Account Application to Wells Fargo for the Fulcrum Productions LLC	WELLSFARGO_00009435	WELLSFARGO_00009438		F, H
1075	September 17, 2014	Business Account Application to Wells Fargo for Green Scale Management LLC	WELLSFARGO_00009423	WELLSFARGO_00009426		F, H
1076	September 17, 2014	Business Account Application to Wells Fargo for Keystone Technologies LLC	WELLSFARGO_00009382	WELLSFARGO_00009385		F, H
1077	September 17, 2014	Business Account Application to Wells Fargo for Tumba Systems LLC	WELLSFARGO_00009411	WELLSFARGO_00009414		F, H
1078	September 18, 2014	Business Account Application to Wells Fargo for Bareroot Capital Investments LLC	WELLSFARGO_00009427	WELLSFARGO_00009430		F, H
1079	September 18, 2014	Business Account Application to Wells Fargo for Battu Holdings LLC	WELLSFARGO_00009399	WELLSFARGO_00009402		F, H
1080	September 18, 2014	Business Account Application to Wells Fargo for Cantata Industries LLC	WELLSFARGO_00009390	WELLSFARGO_00009393		F, H
1081	September 18, 2014	Business Account Application to Wells Fargo for Dicot Technologies LLC	WELLSFARGO_00009415	WELLSFARGO_00009418		F, H
1082	September 18, 2014	Business Account Application to Wells Fargo for Vanderlee Technologies LLC	WELLSFARGO_00009455	WELLSFARGO_00009458		F, H
1083	September 18, 2014	Business Account Application to Wells Fargo for Eclouge Industry LLC	WELLSFARGO_00009407	WELLSFARGO_00009410		F, H
1084	September 18, 2014	Business Account Application to Wells Fargo for First Ascent Worldwide LLC	WELLSFARGO_00009378	WELLSFARGO_00009381		F, H
1085	September 18, 2014	Business Account Application to Wells Fargo for Loggerhead Services LLC	WELLSFARGO_00009386	WELLSFARGO_00009389		F, H
1086	September 18, 2014	Business Account Application to Wells Fargo for PAB Facilities Global LLC	WELLSFARGO_00009447	WELLSFARGO_00009450		F, H
1087	July 23, 2014	Authorization for Information in Connection with a Business Account Application to Wells Fargo and Business Account Application for Trailing Edge Productions LLC	PL00000552	PL00000559		F, H
1088	July 24, 2014	Email from michael.freed@wellsfargo.com to P. Lerner re Trust Account Information, attaching Business Account Applications for all 5 of Lerner's plans	PL00000521	PL00000559		
1089	October 11, 2021	Screenshot from the deposition of M. Ben-Jacob	N/A	N/A		
1090	April 8, 2021	Screenshot from the deposition of R. Markowitz	N/A	N/A		
1091	February 3, 2021	Screenshot from the deposition of J. Markowitz	N/A	N/A		
1092	April 19, 2021	Screenshot from the deposition of J. van Merkensteijn	N/A	N/A		
1093	January 20, 2021	Screenshot from the deposition of E. van Merkensteijn	N/A	N/A		
1094	January 28, 2021	Screenshot from the deposition of R. Klugman	N/A	N/A		
1095	November 4, 2020	Screenshot from the deposition of R. Jones	N/A	N/A		
1096	July 9, 2020	Screenshot from the deposition of J. Herman	N/A	N/A		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1097	September 16, 2020	Screenshot from the deposition of P. Lerner	N/A	N/A		
1098	December 11, 2020	Screenshot from the deposition of D. Zelman	N/A	N/A		
1099	October 30, 2020	Screenshot from the deposition of R. Altbach	N/A	N/A		
1100	November 20, 2014	Email from Rebecca Veillette to Peter Wells re FW: Trust Account Documentation, Zelman Wells Fargo Accounts	WH_MDL_00439780	WH_MDL_00439781		
1101	July 22, 2014	Email from R. Markowitz to J. van Merkensteijn, copying A. Gregory, P. Wells, and R. Klugman, re Trust Account Documentation	KLUGMAN00047745	KLUGMAN0004 7746		
1102	July 22, 2014	Email from JvM to D. Zelman copying S. Furr, A. Gregory, P. Wells and R. Markowitz re Trust Account Documentation	DZ000001824	DZ000001824		
1103	June 18, 2015	Email from P. Wells to P. Lerner re Bank Accounts	PL00001413	PL00001417		
1104	June 30, 2014	Limited Power of Attorney for Principal, R. Altbach, appointing M. Ben- Jacob, notarized by Peter Wells on July 23, 2014	MBJ_0005107	MBJ_0005109	965	F, H
1105	June 16, 2014	Certificate of Formation of Crucible Ventures LLC, Registered by Vcorp and signed by Farah Moiso	VCS00000081	VCS00000081	966	F, H, IR
1106	June 16, 2014	Certificate of Formation of Limelight Global Productions LLC, Registered by Vcorp and signed by Farah Moiso	VCS00000172	VCS00000172	967	F, H, IR
1107	June 16, 2014	Certificate of Formation of Plumrose Industries LLC, Registered by Vcorp and signed by Farah Moiso	VCS00000210	VCS00000210	968	F, H, IR
1108	June 16, 2014	Certificate of Formation of Roadcraft Technologies LLC, Registered by Vcorp and signed by Farah Moiso	VCS00000216	VCS00000216	969	IR, F, H
1109	June 16, 2014	Certificate of Formation of True Wind Investments LLC, Registered by Vcorp and signed by Farah Moiso	VCS00000240	VCS00000240	970	IR, F, H
1110	June 18, 2014	Management Resolution of Crucible Ventures LLC, appointing John van Merkensteijn the "initial member" of the company, signed by Farah Moiso	VCS00000082	VCS00000082	971	IR, F, H
1111	July 21, 2014	Crucible "Authorized Traders of Trust", signed by R. Altbach and R. Markowitz	ELYSIUM-08942218	ELYSIUM-08942218	997	Auth; F; H; 403
1112	July 21, 2014	Roadcraft "Authorized Traders of Trust", signed by R. Altbach and J. van Merkensteijn	ELYSIUM-08942231	ELYSIUM-08942231	998	Auth; F; H; 403
1113	Multiple Dates	Roadcraft Claim Submissions to SKAT by Goal TaxBack Ltd. (April 28, 2015, Nov. 27, 2014 and May 15, 2015)	SKAT_MDL_001_00056852	SKAT_MDL_001_00056880	1033	
1114	Multiple Dates	Crucible Claim Submissions to SKAT (Excerpt of SKAT MDL 001 00053805)	SKAT MDL 001 00053898	SKAT MDL 001 00053940	1034	
1115	Multiple Dates	Limelight Claim Submissions to SKAT	SKAT_MDL_001_00056489 / SKAT_MDL_001_00056460	SKAT_MDL_001_00056522	1035	F; H; IC; IR
1116	Multiple Dates	Plumrose Claim Submissions to SKAT	SKAT MDL 001 00061244	SKAT MDL 001 00061282	1036	
1117	July 24, 2014	Email from R. Altbach to J. van Merkensteijn re no call from the Wells Fargo guy	RA00000552	RA00000553	1058	
1118	June 18, 2015	Wells Fargo Account Statement for Crucible Ventures LLC Roth 401K Plan (May 21, 2015 - June 18, 2015)	CB00000073	CB00000076	1071	
1119	July 20, 2015	Wells Fargo Account Statement for Crucible Ventures LLC Roth 401K Plan (June 19, 2015 - July 20, 2015)	CB00000077	CB00000079	1072	
1120	November 20, 2015	Wells Fargo Account Statement for Crucible Ventures LLC Roth 401K Plan (October 22, 2015 - November 20, 2015)	CB00000083	CB00000085	1073	
1121	December 18, 2015	Wells Fargo Account Statement for Crucible Ventures LLC Roth 401K Plan (November 21, 2015 - December 18, 2015)	CB00000080	CB00000082	1074	
1122	January 22, 2016	Wells Fargo Account Statement for Crucible Ventures LLC Roth 401K Plan (December 19, 2015 - January 22, 2016)	CB00000043	CB00000046	1075	
1123	July 7, 2015	Wells Fargo Account Statement for Limelight Global Productions LLC (June 6, 2015 July 7, 2015)	LL00000078	LL00000080	1082	
1124	December 7, 2015	Wells Fargo Account Statement for Limelight Global Productions LLC (November 7, 2015 - December 7, 2015)	LL00000063	LL00000065	1085	
1125	January 8, 2016	Wells Fargo Account Statement for Limelight Global Productions LLC (December 8, 2015 - January 8, 2016)	LL00000042	LL00000045	1086	
1126	December 4, 2015	Wells Fargo Account Statement for Plumrose Industries LLC Roth 401K Plan (November 6, 2015 - December 4, 2015)	PR00000090	PR00000092	1093	

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1127	January 7, 2016	Wells Fargo Account Statement for Plumrose Industries LLC Roth 401K Plan (December 5, 2015 - January 7, 2016)	PR00000027	PR00000030	1094	
1128	September 24, 2015	Wells Fargo Account Statement for Roadcraft Technologies LLC Roth 401K Plan (August 26, 2015 - September 24, 2015)	RC00000097	RC00000099	1095	
1129	November 25, 2015	Wells Fargo Account Statement for Roadcraft Technologies LLC Roth 401K Plan (October 27, 2015 - November 25, 2015)	RC00000069	RC00000071	1097	
1130	December 23, 2015	Wells Fargo Account Statement for Roadcraft Technologies LLC Roth 401K Plan (November 26, 2015 - December 23, 2015)	RC00000100	RC00000102	1098	
1131	August 28, 2015	Email from R. Altbach to J. van Merkensteijn re In Europe	RA00000509	RA00000509	1102	
1132	July 10, 2015	Wells Fargo Account Statement for True Wind Investments LLC Roth 401K (June 11, 2015 - July 10, 2015)	TW00000067	TW00000069	1105	
1133	September 11, 2015	Wells Fargo Account Statement for True Wind Investments LLC Roth 401K (August 13, 2015 - September 11, 2015)	TW00000091	TW00000093	1106	
1134	November 12, 2015	Wells Fargo Account Statement for True Wind Investments LLC Roth 401K (October 14, 2015 - November 12, 2015)	TW00000082	TW00000082	1107	
1135	January 13, 2016	Wells Fargo Account Statement for True Wind Investments LLC Roth 401K (December 11, 2015 - January 13, 2016)	TW00000024	TW00000027	1108	
1136	May 23, 2016	Email from R. Altbach to S. Furr re the lles	RA00000015	RA00000015	1113	
1137	June 30, 2016	Record of Authorization to Electronically File FBARs, signed by R. Altbach as Trustee for filing year 2015	MBJ_0001802	MBJ_0001807	1130	
1138	July 31, 2014	Email from P. Wells to I. Reibeisen, et al. re Trading Training Meeting, attaching authorized trader signature pages	JHVM_0028560	JHVM_0028571	4592	
1139	November 20, 2014	Email from R. Markowitz to R. Klugman, I. Reibeisen and M. Cooper, copy J. van Merkensteijn re Denmark Shares, attaching Trading Schedule for 2015 spreadsheet	JHVM_0004850	JHVM_0004851	4598	
1140	October 28, 2014	Email from P. Wells to I. Reibeisen, M. Cooper, et al. re Authorized Trader Forms, attaching HermanJHvM and Robin Jones - Appendix B	JHVM_0004847	JHVM_0004849	4599	
1141	August 5, 2014	Email from R. Markowitz to I. Reibeisen, et al. re Access to test trading platform	JHVM_0031459	JHVM_0031462	4604	
1142	September 3, 2014	Email from M. Cooper to J. van Merkensteijn, et al. re Invoices, attaching Ira Invoice for John and Matthew Invoice for John	JHVM_0011182	JHVM_0011184	4619	
1143	August 25, 2014	Certificate of Formation of Albedo Management LLC	VCS00000011	VCS00000011	341	IR, F, H
1144	August 25, 2014	Certificate of Formation of Ballast Ventures LLC	VCS00000027	VCS00000027	342	IR, F, H
1145	August 25, 2014	Certificate of Formation of Fairlie Investments LLC	VCS00000126	VCS00000126	343	IR, F, H
1146	October 2, 2014	Certified Copy of the Certification of Trust for the Albedo Management LLC Roth 401(K) Plan, signed by Joseph Herman, certified October 20, 2014	ELYSIUM-03471982	ELYSIUM-03471982	351	Auth; F; H; 403
1147	October 2, 2014	Certified Copy of the Certification of Trust for the Ballast Ventures LLC Roth 401(K) Plan, signed by Joseph Herman, certified October 20, 2014	ELYSIUM-03472058	ELYSIUM-03472058	352	Auth; F; H; 403
1148	October 2, 2014	Certified Copy of the Certification of Trust for the Fairlie Investments LLC Roth 401(K) Plan, signed by Joseph Herman, certified October 20, 2014	ELYSIUM-03472067	ELYSIUM-03472067	353	Auth; F; H; 403
1149	April 3, 2015	City National Bank Business Account Agreements for Albedo Management LLC Roth 401 (K) Plan Pension Plan, Ballast Ventures LLC Roth 401 (K) Plan and Fairlie Investments LLC Roth 401 (K) Plan	MPSKAT00005424	MPSKAT00005432	354	
1150	December 31, 2015	City National Bank Account Statements for Albedo Management LLC Roth 401 (K) Plan (from August - December 2015, account no. ending in 3600)	AB00000022	AB00000027	355	
1151	December 31, 2015	City National Bank Account Statements for Ballast Ventures LLC Roth 401 (K) Plan (from August - December 2015, account no. ending in 3589)	BL00000013	BL00000018	356	
1152	December 31, 2015	City National Bank Account Statements for Fairlie Investments LLC Roth 401 (K) Plan (from August - December 2015, account no. ending in 3627)	FR00000021	FR00000026	357	
1153	July 28, 2015	Email from R. Markowitz to J. Herman, copying J. Markowitz, re Transfer Funds to 401K Accounts	JH00000004	JH00000005	359	
1154	October 2, 2014	Appendix A, Authorized Signatories of Trust: Joseph Herman and Michael Ben-Jacob (unclear which trust) signed by Joseph Herman as trustee	ELYSIUM-03585665	ELYSIUM-03585665	360	Auth; F; H; 403
1155	October 2, 2014	Appendix B, Authorized Traders of Trust: John H. van Merkensteijn, III, Matthew Cooper and Ira Reibeisen (unclear which trust) signed by Joseph Herman as trustee	ELYSIUM-03582578	ELYSIUM-03582578	361	Auth; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1156	January 13, 2015	Email from Custody@solo.com to Compliance@solo.com re Custody Account	ELYSIUM-03703412	ELYSIUM-03703412	362	Auth; F; H; 403
1157	March 3, 2015	Email from J. Herman to R. Markowitz re Signatures Needed, attaching "Sapien-JH-Signed" (signed March 3, 2015)	JH00000008	JH00000011	369	
1158	March 31, 2015	TJM Partnership Statement of Commissions for Albedo Management LLC Roth 401(K) Plan (Jan. 1, 2015 - March 31, 2015)	MPSKAT00005859	MPSKAT00005859	370	
1159	December 30, 2014	Tax Reclamation Service Agreement between Goal TaxBack Limited and Fairlie Investments LLC Roth 401(K) Plan	MBJ_0020815	MBJ_0020843	385	
1160	December 31, 2015	City National Bank Account Statements for Ballast Ventures LLC Roth 401 (K) Plan (from August - December 2015, account no. ending in 3597)	BL00000019	BL00000026	400	
1161	December 31, 2015	City National Bank Account Statements for Fairlie Investments LLC Roth 401 (K) Plan (from August - December 2015, account no. ending in 3635)	FR00000013	FR00000020	401	
1162	December 19, 2015	Email from R. Markowitz to J. Herman, re Information (from jherman@legendanimation.com to szh185@gmail.com)	JH00000022	JH00000022	402	
1163	2014	2014 Client Custody Agreement between Old Park Lane Capital, PLC and the Albedo Management LLC Roth 401(K) Plan	WH_MDL_00007793	WH_MDL_00007816	428	
1164	August 25, 2014	Certificate of Formation of Monomer Industries LLC, registered by Vcorp and signed by Juliette Nelson	VCS00000185	VCS00000185	1165	IR, F, H
1165	August 25, 2014	Certificate of Formation of Pinax Holdings LLC, registered by Vcorp and signed by Juliette Nelson	VCS00000205	VCS00000205	1166	IR, F, H
1166	August 25, 2014	Certificate of Formation of Sternway Logistics LLC, registered by Vcorp and signed by Juliette Nelson	VCS00000229	VCS00000229	1167	IR, F, H
1167	October 2, 2014	Monomer Plan Formation Document, signed by Robin Jones	MBJ_0010630	MBJ_0010702	1168	F, H
1168	October 14, 2014	Certification of Trust for Monomer, signed by Michael Ben-Jacob and notarized by Peter Wells	WH_MDL_00008181	WH_MDL_00008181	1169	F, H
1169	October 14, 2014	Certification of Trust for Pinax, signed by Michael Ben-Jacob and notarized by Peter Wells	MBJ_0008094	MBJ_0008094	1170	F, H
1170	October 14, 2014	Certification of Trust for Sternway, signed by Michael Ben-Jacob and notarized by Peter Wells	MBJ_0008055	MBJ_0008055	1171	F, H
1171	June 30, 2014	Limited Power of Attorney for Principal, R. Jones, appointing M. Ben- Jacob	MBJ_0020965	MBJ_0020967	1172	F, H
1172	October 2, 2014	Authorized Signatories of Trust Document, signed by R. Jones and M. Ben-Jacob	ELYSIUM-03582468	ELYSIUM-03582468	1177	Auth; F; H; 403
1173	October 2, 2014	Authorized Traders of Trust Document, signed by R. Jones and R. Markowitz	MBJ_0020968	MBJ_0020968	1178	F, H, INC
1174	October 22, 2014	Old Park Lane Pension Client Onboarding Questionnaire for Monomer	ELYSIUM-09261698	ELYSIUM-09261698	1179	Auth; F; H; 403
1175	January 01, 2014	2014 Client Custody Agreement between Old Park Lane Capital and Pinax Holdings LLC Roth 401(K) Plan, M. Ben-Jacob signs as attorney-in-fact for the Pinax Plan	WH_MDL_00007888	WH_MDL_00007912	1180	
1176	January 12, 2015	Email from admin@PinaxPension.com to Telesto Capital Ltd, et al. re Custody Account	ELYSIUM-09064975	ELYSIUM-09064975	1181	AUTH; F; H; 403
1177	April 13, 2015	Sternway Claim Submission	SKSK00001162-0001	SKSK00001162-0006	1188	
1178	December 30, 2014	Goal TaxBack Limited Tax Reclamation Service Agreement with the Monomer plan, signed by R. Jones and M. Ben-Jacob	MBJ_0020786	MBJ_0020814	1191	
1179	December 30, 2016	City National Bank Checking Account Statements for the Monomer Plan (April 30, 2015 - Dec. 30, 2016)	MN00000025	MN00000046	1200	
1180	December 30, 2016	City National Bank Checking and Savings Account Statements for the Pinax Plan (April 30, 2015 - Dec. 30, 2016)	PX00000001	PX00000046	1201	
1181	December 28, 2016	Consent to Transfer Partnership Interest in Monomer Industries General Partnership	MBJ_STOR-0000289	MBJ_STOR-0000293	1207	
1182	October 1, 2015	Richard Markowitz c/o Cavus Systems LLC Invoice to Monomer Industries LLC Roth 401(K) Plan c/o Kaye Scholer LLP re administrative services rendered in 2014 and 2015	MPSKAT00010617	MPSKAT00010617	1209	
1183	October 1, 2015	Richard Markowitz c/o Hadron Industries LLC Invoice to Sternway Logistics LLC Roth 401(K) Plan c/o Kaye Scholer LLP re administrative services rendered in 2014 and 2015	MPSKAT00010622	MPSKAT00010622	1211	

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1184	December 30, 2016	City National Bank Savings Account Statements for the Monomer Plan (April 30, 2015 - Dec. 30, 2016)	MN00000001	MN00000024	1212	
1185	December 11, 2015	Email from R. Markowitz to R. Jones re Signature Needed, attaching RJones 401 K Plans Wire Transfer Requests	WH_MD_L_00007762	WH_MD_L_00007769	1213	
1186	May 25, 2015	Ganymede Cayman Limited invoice to Richard Markowitz (Monomer Industries LLC Roth 401(K) Plan) re Fee due under services agreement	MPSKAT00010314	MPSKAT00010314	1217	
1187	November 5, 2015	M. Ben-Jacob (Monomer Industries LLC Roth 401(K) Plan) letter to Old Park Lane plc re convert remaining balances to USD and transfer to the plans' City National Bank account	MPSKAT00011041	MPSKAT00011041	1226	
1188	June 16, 2014	Certificate of Formation of Loggerhead Services LLC (signed by F. Moiso)	VCS00000177	VCS00000177	573	IR, F, H
1189	June 18, 2014	Management Resolution of Loggerhead Services LLC	VCS00000178	VCS00000178	574	IR, F, H
1190	June 16, 2014	Certificate of Formation of Eclouge Industry LLC	VCS00000106	VCS00000106	575	IR, F, H
1191	June 16, 2014	Certificate of Formation of First Ascent Worldwide LLC	VCS00000131	VCS00000131	576	IR, F, H
1192	June 16, 2014	Certificate of Formation of PAB Facilities Global LLC	VCS00000194	VCS00000194	577	IR, F, H
1193	June 16, 2014	Certificate of Formation of Trailing Edge Productions LLC	VCS00000234	VCS00000234	578	IR, F, H
1194	July 18, 2014	Trailing Edge Plan Formation Document	ELYSIUM-09371106	ELYSIUM-09371106	583	Auth; F; H; 403
1195	July 29, 2014	Certification of Trust for Eclouge Industry LLC Roth 401(K) Plan	ELYSIUM-08944179	ELYSIUM-08944179	586	Auth; F; H; 403
1196	June 30, 2014	Certified Copy of the Limited Power of Attorney for P. Lerner, Principal, appointing M. Ben-Jacob, agent (certified July 23, 2014)	MBJ_0005104	MBJ_0005106	591	F, H
1197	July 18, 2014	Authorized Signatories of Trust Document	ELYSIUM-08942165	ELYSIUM-08942165	592	Auth; F; H; 403
1198	July 18, 2014	Authorized Traders of Trust Document	ELYSIUM-08942166	ELYSIUM-08942166	593	Auth; F; H; 403
1199	January 12, 2015	Email from Loggerhead to Solo, re Custody Account	ELYSIUM-09064959	ELYSIUM-09064959	597	Auth; F; H; 403
1200	March 31, 2015	TJM Partnership Statement of Commissions for Loggerhead for Q1 2015	MPSKAT00005894	MPSKAT00005894	605	
1201	March 31, 2015	Sunrise Brokers March 2015 Invoice for Eclouge	MPSKAT00005701	MPSKAT00005706	606	
1202	N/A	GMSLA between Loggerhead and Neoteric	MBJ_0020857	MBJ_0020898	607	F, H
1203	April 24, 2015	Loggerhead Claim Submission	SKSK00000771-0001	SKSK00000771-0006	609	
1204	November 27, 2014	Eclouge Claim Submission	SKSK00000485-0001	SKSK00000485-0006	610	
1205	March 13, 2015	Eclouge Claim Submission	SKSK00000478-0001	SKSK00000478-0007	611	
1206	March 24, 2015	Eclouge Claim Submission	SKSK00000482-0001	SKSK00000482-0007	612	
1207	March 24, 2015	Eclouge Claim Submission	SKSK00000483-0001	SKSK00000483-0007	613	
1208	April 14, 2015	Eclouge Claim Submission	SKSK00000479-0001	SKSK00000479-0006	614	
1209	April 16, 2015	Eclouge Claim Submission	SKSK00000481-0001	SKSK00000481-0007	615	
1210	April 23, 2015	Eclouge Claim Submission	SKSK00000486-0072	SKSK00000486-00077	616	
1211	April 27, 2015	Eclouge Claim Submission	SKSK00000484-0001	SKSK00000484-0012	617	
1212	May 14, 2015	Eclouge Claim Submission	SKSK00000480-0001	SKSK00000480-0006	618	
1213	October 28, 2014	First Ascent Claim Submission	SKSK00000564-0001	SKSK00000564-0006	619	
1214	April 13, 2015	First Ascent Claim Submission	SKSK00000562-0001	SKSK00000562-0006	620	
1215	April 30, 2015	First Ascent Claim Submission	SKSK00000565-0001	SKSK00000565-0017	621	
1216	May 15, 2015	First Ascent Claim Submission	SKSK00000563-0001	SKSK00000563-0006	622	
1217	November 13, 2014	Loggerhead Claim Submission	SKSK00000768-0001	SKSK00000768-0005	623	
1218	March 27, 2015	Loggerhead Claim Submission	SKSK00000772-0001	SKSK00000772-0006	624	
1219	March 31, 2015	Loggerhead Claim Submission	SKSK00000773-0001	SKSK00000773-0005	625	
1220	April 17, 2015	Loggerhead Claim Submission	SKSK00000769-0001	SKSK00000769-0005	626	
1221	May 1, 2015	Loggerhead Claim Submission	SKSK00000767-0001	SKSK00000767-0011	627	
1222	May 22, 2015	Loggerhead Claim Submission	SKSK00000770-0001	SKSK00000770-0005	628	
1223	November 27, 2014	PAB Facilities Claim Submission	SKSK00000936-0001	SKSK00000936-0006	629	
1224	October 28, 2014	Trailing Edge Claim Submission	SKSK00001551-0001	SKSK00001551-0006	630	
1225	April 23, 2015	Trailing Edge Claim Submission	SKSK00001549-0001	SKSK00001549-0007	631	
1226	April 27, 2015	Trailing Edge Claim Submission	SKSK00001550-0001	SKSK00001550-0016	632	
1227	May 15, 2015	Trailing Edge Claim Submission	SKSK00001548-0001	SKSK00001548-0006	633	
1228	June 15, 2015	Loggerhead LLC Roth 401(K) Plan LOG01 Account Statements for DKK, EUR, GBP, and USD Accounts (Aug. 1, 2014 - June 15, 2015)	MPSKAT00007343	MPSKAT00007344	634	

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1229	December 31, 2014	Eclouge Partnership Financial Statement	MPSKAT00010484	MPSKAT00010485	644	
1230	October 1, 2015	Invoice from J. van Merkensteijn c/o Basalt Ventures LLC, to Eclouge Industry LLC Roth 401(K) Plan c/o Kaye Scholer LLP for administrative services	MPSKAT00010609	MPSKAT00010609	651	
1231	October 1, 2015	J. van Merkensteijn c/o Tarvos LLC Invoice to First Ascent Plan	MPSKAT00010611	MPSKAT00010611	652	
1232	October 1, 2015	J. van Merkensteijn c/o Tarvos LLC Invoice to Loggerhead Plan	MPSKAT00010616	MPSKAT00010616	653	
1233	October 1, 2015	J. van Merkensteijn c/o Starfish Capital Management LLC Invoice to PAB Facilities Plan	MPSKAT00010618	MPSKAT00010618	654	
1234	October 1, 2015	J. van Merkensteijn c/o Starfish Capital Management LLC Invoice to Trailing Edge Plan	MPSKAT00010623	MPSKAT00010623	655	
1235	October 31, 2015	Eclouge Plan Wells Fargo Account Statement	EC00000118	EC00000121	656	
1236	November 30, 2015	Eclouge Plan Wells Fargo Account Statement	EC00000109	EC00000111	657	
1237	December 27, 2015	Email from S. Furr to P. Lerner, re Wire Payment Instructions for Trustee	PL00000575	PL00000577	658	
1238	N/A	Wire Transfer Instructions for the Eclouge, First Ascent, Loggerhead, and Trailing Edge Plans	PL00000411	PL00000414	659	
1239	June 22, 2015	MBJ Letter on behalf of Eclouge plan to Old Park Lane directing payment to RAK Investment Trust	MPSKAT00010767	MPSKAT00010767	680	
1240	May 21, 2015	Ganymede invoice addressed to van Merkensteijn and Loggerhead plan for DKK 48,154,126.79	MPSKAT00010320	MPSKAT00010320	690	
1241	July 7, 2015	MBJ Letter on behalf of Eclouge plan to Old Park Lane directing payment to Ganymede	MPSKAT00010376	MPSKAT00010376	694	
1242	June 29, 2016	Record of Authorization to Electronically File FBARs	MBJ_0001176	MBJ_0001180	706	
1243	May 11, 2015	Email from P. Wells to G. Weinkam re Document for Signature - Form TIC S, attaching Form TIC S - Signature Pages - Lerner-signed	MBJ_0012828	MBJ_0012834	708	
1244	June 30, 2015	Form S for Loggerhead Services LLC Roth 401(K) Plan	MBJ_0013231	MBJ_0013241	709	
1245	2014	Client Custody Agreement between Old Park Lane and Loggerhead Plan	WH_MDL_00014046	WH_MDL_00014068	717	
1246	August 19, 2015	Email from A. Munksgaard to C. Ekstrand, re The spreadsheet solution, etc. [attachments omitted] (in Danish)	SKAT_MDL_001_00433693	SKAT_MDL_001_00433694	3069	F, H, IR, TR
1247	June 4, 2013	Email from D. Madsen to A. Villumsen, A. Milling, A. Parelus, B. Pedersen, B. Grevy, D. Valentin, D. Madsen, H. Wismer, H. Vinther, I. Meyer, I. Andersen, I. Baumann, Karin Jensen, K. Høy-Nielsen, Karina Jensen, K. Olsen, K. Andersen, L. Juhl, L. Jarlkov, M. Sørensen, P. Madsen, R. Petersen, S. Krog, T. Ørregaard and K. Steen, re Accounting tasks - dividend tax (in Danish)	SKAT_MDL_001_00624358	SKAT_MDL_001_00624359	3980	F, H, TR
1248	February 16, 2014	Email from D. Madsen to J. Nielsen, S. Nielsen and J. Knudsen, re Counting dividend reports in TastSelv Erhverv for December 2013 (in Danish)	SKAT_MDL_001_00289314	SKAT_MDL_001_00289316	3998	F, H, TR
1249	June 20, 2014	Email from M. Ben-Jacob to J. van Merkensteijn, M. Stein, R. Markowitz, J. Lhote and A. La Rosa, copying P. Wells and K. Wechter (KS), re Delvian -- Disqualified Persons Memorandum -- Final, attaching June 20, 2014, Memorandum from M. Ben-Jacob to J. van Merkensteijn, M. Stein, J. Lhote, R. Markowitz, and A. LaRosa, re Delvian Plan Trades: Transactions with "Disqualified Persons" and Exhibit A: Delvian Structure Chart (2012)	JHVM_0010589	JHVM_0010611	2245	
1250	January 4, 2016	June 12, 2014, through January 4, 2016, Solo Capital Partners Cash Account Statements, Daily Security Account Statements, and Securities Balances with Societe Generale Securities Services	SCPADMINISTRATORS_00000096	SCPADMINISTRATORS_00002911		
1251	July 8, 2021	Order QF 2021 - 007459, In The High Court of Justice, Queen's Bench Division Before the Senior Master (Stamped July 12, 2021)	N/A	N/A		NP
1252		Lloyds Bank account statements obtained from Special Administrators of Solo Capital Partners LLP and Administrators of the Associated Entities	SCPADMINISTRATORS_00000001	SCPADMINISTRATORS_00000066		
1253		RBS account statements obtained from Special Administrators of Solo Capital Partners LLP and Administrators of the Associated Entities	SCPADMINISTRATORS_00000067	SCPADMINISTRATORS_00000095		
1254	Multiple Dates	Varengold account statements obtained from Special Administrators of Solo Capital Partners LLP and Administrators of the Associated Entities	SCPADMINISTRATORS_00002912	SCPADMINISTRATORS_00002940		
1255	Multiple Dates	Barclays account statements obtained from Special Administrators of Solo Capital Partners LLP and Administrators of the Associated Entities	SCPADMINISTRATORS_00002941	SCPADMINISTRATORS_00005864		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1256		Barclays account statements obtained from Special Administrators of Solo Capital Partners LLP and Administrators of the Associated Entities	SCPADMINISTRATORS_00062286	SCPADMINISTRATORS_00062382		
1257		Documents from Iron Mountain document storage system obtained from Special Administrators of Solo Capital Partners LLP and Administrators of the Associated Entities	SCPADMINISTRATORS_00005865	SCPADMINISTRATORS_00055786		
1258		Reed Smith documents obtained from Special Administrators of Solo Capital Partners LLP and Administrators of the Associated Entities	SCPADMINISTRATORS_00055787	SCPADMINISTRATORS_00062285		F; H; IR; 403
1259	June 25, 2014	Email from R. Klugman to J. Spoto, et al. re Trading Position, attaching Ira Reibeißen Resume 062514	JHVM_0026942	JHVM_0026944	4590	
1260	N/A	Demonstrative Showing 39 Kaye Scholer Plans and Participants	N/A	N/A	4591	DNM
1261	February 10, 2015	Email from R. Markowitz to M. Cooper, I. Reibeißen, et al. re Additional Broker Relationship	KLUGMAN00007618	KLUGMAN00007618	4600	
1262	N/A	Blank Claim to Relief from Danish Dividend Tax form 06.003 ENG	WH_MDL_00089256	WH_MDL_00089256	3016	
1263	October 20, 2020	Judge Kaplan Request for International Judicial Assistance pursuant to the Hague Convention of 18 March 1970 on the Taking of Evidence Abroad in Civil or Commercial Matters	N/A	N/A		
1264	July 4, 2022	Letter from D. Hoffmann and K. Arnesson (Walderwyss) to Zurich District Court Legal Assistance Department, re FR211172-L/U Skatteforvaltningen v. 1. Ackview Solo 401K Plain et al., with English Translation and Certification of Translation dated August 29, 2022	N/A	N/A	5365	
1265	June 10, 2021	Order QF 2021 - 006811, In The High Court of Justice, Queen's Bench Division Master Cook on behalf of The Senior Master (Stamped June 14, 2021)	N/A	N/A		NP
1266	April 14, 2012	Judge Kaplan Requests for International Judicial Assistance pursuant to the Hague Convention of 18 March 1970 on the Taking of Evidence Abroad in Civil or Commercial Matters	N/A	N/A		IR
1267	January 14, 2013	Email from P. Wells to A. LaRosa, copying M. Ben-Jacob, M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re Raubritter LLC Pension Plan, attaching New York powers of attorney for 1. Xiphias LLC Pension Plan (Richard signing as Trustee), 2. Michelle Investments Pension Plan (Matt signing as Trustee), 3. Lion Advisory Inc. Pension Plan (Luke McGee signing as Trustee), 4. California Catalog Company Pension Plan (Daniel Stein signing as Trustee), 5. Delvian LLC Pension Plan (Alicia signing as Trustee), 6. Raubritter LLC Pension Plan (Alexander Chatfield Burns signing as Trustee) and 7. Rajan Investments LLC Pension Plan (Thomas Bergerson signing as Trustee)	WH_MDL_00217725	WH_MDL_00217771	3107	
1268	February 28, 2013	Email from M. Stein to P. Wells, copying, M. Ben-Jacob and A. Larosa, re Cayman LP	WH_MDL_00220938	WH_MDL_00220940	3130	
1269	October 12, 2012	Email from M. Stein to M. Ben-Jacob, copying R. Markowitz, J. van Merkensteijn, J. Lhote and A. LaRosa, re Tax Reclaim Advisory Services Agreements, attaching the Tax Reclaim Advisory Services Agreement - California Catalog Company Pension Plan, and the Tax Reclaim Advisory Services Agreement - Michelle Investments Pension Plan Trust, and the Tax Reclaim Advisory Services Agreement - Xiphias LLC Trust, and the Tax Reclaim Advisory Services Agreement - Lion Advisory Inc Pension Plan, and the Tax Reclaim Advisory Services Agreement - Mill River Capital Management Pension Plan, and the Tax Reclaim Advisory Services Agreement - Delvian LLC Pension Plan	WH_MDL_00219494	WH_MDL_00219530	4498	
1270	December 12, 2012	A. Woodard memo to file re "Argre"	WH_MDL_00342578	WH_MDL_00342581	2239	F, H, IR, 403
1271	June 27, 2014	Omineca Reclaim Application	SKAT_MDL_001_00617892	SKAT_MDL_001_00617901	3202	
1272	May 28, 2014	Vanderlee Reclaim Applications (May 12, 2014 and May 28, 2014)	SKAT_MDL_001_00617876	SKAT_MDL_001_00617891	3203	
1273	September 23, 2019	Translation of Hearing on NCB guilty plea	SKAT_MDL_001_00560031 T	SKAT_MDL_001_00560031 T	3205	F; H; IR; 403
1274	July 29, 2016	Translation of KPMG Report	SKAT_MDL_001_00560035	SKAT_MDL_001_00560192	3206	A; F; H; IR; 403
1275	February 11, 2014	Email from V. Bellman to R. Shah, copying A. Dwala, G. Horn, L. McGee, J. Lhote, M. Stein, A. LaRosa, and U. Jablonka, re mail traffic	SKAT_MDL_001_00617936	SKAT_MDL_001_00617936	3209	
1276	February 13, 2013	Email from A. O'Donnell to D. Zelman re Pension Plan	DZ0000001380	DZ0000001381	1415	
1277	February 13, 2013	Certificate of Formation of Vanderlee Technologies LLC	MPSKAT00163506	MPSKAT00163506	1416	F, H, IR

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1278	February 14, 2013	Management Resolution of Vanderlee Technologies LLC, signed by Farah Moiso, appoints D. Zelman the "initial Member[]" of the Company"	MPSKAT00163517	MPSKAT00163517	1417	F, H, IR, 403
1279	June 16, 2014	Certificate of Formation for the Bareroot LLC, registered by Vcorp and signed by Farah Moiso	VCS00000031	VCS00000031	1419	IR, F, H
1280	June 19, 2014	Certificate of Formation for the Battu LLC, registered by Vcorp and signed by Farah Moiso	VCS00000053	VCS00000053	1420	IR, F, H
1281	June 19, 2014	Certificate of Formation for the Cantata LLC, registered by Vcorp and signed by Farah Moiso	VCS00000061	VCS00000061	1421	IR, F, H
1282	June 19, 2014	Certificate of Formation for the Dicot LLC, registered by Vcorp and signed by Farah Moiso	VCS00000086	VCS00000086	1422	IR, F, H
1283	July 21, 2014	Certification of Trust for the Bareroot plan, signed by D. Zelman (sole trustee) and notarized by P. Wells	ELYSIUM-08941004	ELYSIUM-08941004	1430	Auth; F; H; 403
1284	July 21, 2014	Certification of Trust for the Battu plan, signed by D. Zelman (sole trustee) and notarized by P. Wells	ELYSIUM-03257745	ELYSIUM-03257745	1431	Auth; F; H; 403
1285	July 21, 2014	Certification of Trust for the Cantata plan, signed by D. Zelman (sole trustee) and notarized by P. Wells	ELYSIUM-08941050	ELYSIUM-08941050	1432	Auth; F; H; 403
1286	July 21, 2014	Certification of Trust for the Dicot plan, signed by D. Zelman (sole trustee) and notarized by P. Wells	ELYSIUM-08940995	ELYSIUM-08940995	1433	Auth; F; H; 403
1287	March 4, 2014	Limited Power of Attorney, appointing D. Donaldson regarding Indigo Securities, signed by A. LaRosa as Trustee of The Vanderlee Technologies Pension Plan and D. Donaldson	MPSKAT00052003	MPSKAT00052003	1436	
1288	February 21, 2013	General Partnership Agreement of Vanderlee Technologies General Partnership, by and between Vanderlee Technologies Pension Plan and Quartet Investment Partners LLC, signed by D. Zelman as trustee of Vanderlee and by R. Markowitz as member of Quartet	MPSKAT00057271	MPSKAT00057278	1441	
1289	March 10, 2014	General Partnership Agreement of Vanderlee Technologies Pension Plan Partnership, General Partners listed as the Vanderlee trust and Maple Point LLC, signed by D. Zelman as trustee of Vanderlee, signature space for Jerome Lhote as member of Maple Point	MPSKAT00060920	MPSKAT00060928	1442	
1290	July 17, 2014	Authorized Traders of Trust Document (Markowitz), signed by D. Zelman and R. Markowitz, indicates that R. Markowitz was appointed Authorized Trader	ELYSIUM-08941507	ELYSIUM-08941507	1455	H, F, AUTH, 403
1291	July 17, 2014	Authorized Traders of Trust Document (van Merkensteijn), signed by D. Zelman and J. van Merkensteijn, indicates that J. van Merkensteijn was appointed Authorized Trader	ELYSIUM-08942224	ELYSIUM-08942224	1456	Auth; F; H; 403
1292	March 31, 2015	TJM Partnership Statement of Commissions for Vanderlee for Q1 2015	MPSKAT00005890	MPSKAT00005890	1466	
1293	March 31, 2015	Sunrise Brokers Invoice to Dicot Technologies LLC Roth 401(K) Plan	MPSKAT00005691	MPSKAT00005700	1467	
1294	May 1, 2015	Vanderlee Claim Submission	SKSK00001501-0001	SKSK00001501-0013	1470	
1295	February 28, 2014	First Republic Bank Checking Account Statement for the Vanderlee Trust	MPSKAT00004773	MPSKAT00004774	1489	
1296	October 1, 2015	John Van Merkensteijn c/o Voojo Productions LLC Invoice to Bareroot Capital LLC Roth 401(K) Plan re Administrative services rendered from Aug. 1, 2014 - Dec. 31, 2014 and Administrative services rendered from Jan. 1, 2015 - Dec. 31, 2015	MPSKAT00010603	MPSKAT00010603	1504	
1297	February 20, 2020	Bank of America Checking Account Statements for the Vanderlee Trust (Aug. 2014 - Jan. 2015)	VL00000128	VL00000157	1505	
1298	January 5, 2016	Bank of America Checking Account Statements for the Vanderlee Trust (Jan. 2015 - Jan. 2016)	VL00000064	VL00000127	1506	
1299	January 26, 2016	Wells Fargo Checking and Savings Account Statements for the Vanderlee Plan (July 24, 2014 - Jan. 26, 2016)	JHVM_0004224	JHVM_0004263	1508	
1300	December 31, 2016	Wells Fargo Checking Account Statements for the Cantata Plan (July 21, 2014 - Jan. 31, 2016, plus a statement for Dec. 2016)	JHVM_0004428	JHVM_0004468	1513	
1301	June 22, 2015	M. Ben-Jacob (Bareroot Capital Investments LLC Roth 401(k) Plan) letter to Telesto Markets LLP, confirming \$635,000 is properly due and owed to the Routt Capital Trust from the account of the Plan at account number BAR01 at Telesto Markets LLP	MPSKAT00010796	MPSKAT00010796	1516	

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1302	July 8, 2015	Ganymede Cayman Limited Invoice No. 00914 to J. van Merkensteijn (Bareroot Capital Investments LLC 401(K) Plan) re Fee due under services agreement	MPSKAT00010350	MPSKAT00010350	1526	
1303	July 31, 2015	Form S - Purchases and Sales of Long-Term Securities by Foreign Residents for the Cantata plan, signed by D. Zelman as Trustee and lists M. Ben-Jacob as the Authorized Person	MBJ_0015761	MBJ_0015771	1550	
1304	N/A	Summary Exhibit - Pension plans' putative trading through Solo Capital Partners LLP as custodian in 2012 and 2013 (by Plan)	Rule 1006 Exhibit	Rule 1006 Exhibit		
1305	N/A	Summary Exhibit - Pension plans' putative trading through Solo Capital Partners LLP as custodian in 2012 and 2013 (by Share)	Rule 1006 Exhibit	Rule 1006 Exhibit		
1306	N/A	Summary Exhibit - Pension plans' putative trading in 2014 and 2015 through custodians associated with Sanjay Shah (i.e., Solo, Old Park Lane Capital PLC, Telesto Markets LLP, and West Point Derivatives Ltd.) (by Plan)	Rule 1006 Exhibit	Rule 1006 Exhibit		
1307	N/A	Summary Exhibit - Pension plans' putative trading in 2014 and 2015 through custodians associated with Sanjay Shah (i.e., Solo, Old Park Lane Capital PLC, Telesto Markets LLP, and West Point Derivatives Ltd.) (by Share)	Rule 1006 Exhibit	Rule 1006 Exhibit		
1308	N/A	Summary Exhibit - Putative trading engaged in by two pension plans through North Channel Bank (by Plan)	Rule 1006 Exhibit	Rule 1006 Exhibit		
1309	N/A	Summary Exhibit - Putative trading engaged in by two pension plans through North Channel Bank (by Share)	Rule 1006 Exhibit	Rule 1006 Exhibit		
1310	N/A	Summary Exhibit - Information relating to the formation of the pension plans in 2012 and 2013 that engaged in the putative trading of Danish shares	Rule 1006 Exhibit	Rule 1006 Exhibit		
1311	N/A	Summary Exhibit - Information relating to the formation of the pension plans in 2014 that engaged in the putative trading of Danish shares	Rule 1006 Exhibit	Rule 1006 Exhibit		
1312	N/A	Summary Exhibit - Information relating to partnerships established in 2012 and 2013 that certain pension plans entered into with entities controlled by Trial One Defendants Richard Markowitz, John van Merkensteijn, and Robert Klugman	Rule 1006 Exhibit	Rule 1006 Exhibit		
1313	N/A	Summary Exhibit - Information relating to partnerships established in 2014 that certain pension plans entered into with entities controlled by Trial One Defendants Richard Markowitz, John van Merkensteijn, and Robert Klugman	Rule 1006 Exhibit	Rule 1006 Exhibit		
1314	N/A	Summary Exhibit - Information relating to the refund claims for withheld dividend withholding tax that were submitted to SKAT by the pension plans associated with the Trial One Defendants	Rule 1006 Exhibit	Rule 1006 Exhibit		
1315	N/A	Summary Exhibit - Information relating to the monetary proceeds received by the Trial One Defendants as a result of the refund claims submitted to SKAT	Rule 1006 Exhibit	Rule 1006 Exhibit		
1316	April 18, 2012	Email from R. Markowitz to M. Ben-Jacob, P. Wells, R. Veillette, L. Tuchman, M. Stein, J. Lhote, A. LaRosa, and J. van Merkensteijn, re GMSLA, attaching the Global Master Securities Lending Agreement, and the Aquila (Cayman) Limited Draft Side Letter to the GMSLA addressed to The Pension and Retirement Plan Investments I, LP, and the Aquila (Cayman) Limited Draft Side Letter to the GMSLA addressed to Free Street Partners, LP	WH_MD_L_00282642	WH_MD_L_00282690		
1317	April 19, 2012	Email from A. La Rosa to M. Ben-Jacob, re Due Diligence Information on Aquila, attaching the Certificate of Incorporation of Aquila (Cayman) Limited, and the Memorandum and Articles of Association of Aquila (Cayman) Limited, and the Southern Electric Gas Bill addressed to N. Teraiya	WH_MD_L_00218619	WH_MD_L_00218649		
1318	November 7, 2012	Email from M. Ben-Jacob to J. van Merkensteijn and M. Stein copying , re Argre	WH_MD_L_00219734	WH_MD_L_00219736		
1319	December 27, 2012	Email from P. Wells to A. O'Donnell and A. Larosa, re General Partnership, attaching Raubritter - General Partnership Agreement, and Lion Advisory - General Partnership Agreement, and California Catalog - General Partnership Agreement, and Delvian - General Partnership Agreement, and Mill River - General Partnership Agreement, and Rajan Investments - General Partnership Agreement	WH_MD_L_00289877	WH_MD_L_00289930		
1320	September 4, 2013	Email from R. Markowitz to M. Ben-Jacob, copying P. Wells, re Opinion	WH_MD_L_00296098	WH_MD_L_00296099		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1321	July 18, 2014	Email from P. Wells to P. Lerner, copying J. van Merkensteijn, R. Markowitz, R. Veillette, A. Gregory, and M. Ben-Jacob, re Plan Documents for Signature, attaching Perry Lerner - Old Park Lane Appendix A & B Signature Pages, and Perry Lerner - Power of Attorney, and PP - Per Lerner First Ascent, and PP - Perry Lerner Eclouge, and PP - Perry Lerner Logger Head, and PP - Perry Lerner PAB, and PP - Perry Lerner Trailing	JHVM_0009634	JHVM_0009665		
1322	August 4, 2014	Email from P. Wells to R. Markowitz, R. Veillette, and A. Gregory copying, R. Klugman and J. van Merkensteijn, re Partnership Agreements, attaching Bareroot Capital Investments LLC - General Partnership Agreement	KLUGMAN00059929	KLUGMAN00059941		
1323	April 4, 2016	Email from M. Ben-Jacob to J. Lhote, A. La Rosa and J. van Merkensteijn, copying R. Markowitz, re welcome back	WH_MDL_00327237	WH_MDL_00327239	2254	
1324	June 28, 2016	Email from M. Ben-Jacob to R. Klugman, copying R. Markowitz, B. Pollak and J. van Merkensteijn, re URGENT: FBAR Filings	WH_MDL_00217374	WH_MDL_00217377		
1325	July 17, 2014	Email from P. Wells to R. Altbach, copying J. van Merkensteijn, R. Markowitz, R. Veillette, A. Gregory, and M. Ben-Jacob, re Plan Documents for Signature, attaching Ronald Altbach - Limelight Global Pension Plan, and Ronald Altbach - Old Park Lane-Appendix A & B Signature Pages, and Ronald Altbach - Plumrose Industries Pension Plan, and Ronald Altbach - Roadcraft Technologies Pension Plan, and Ronald Altbach - True Wind Investments Pension Plan, and Ronald Altbach - Crucible Ventures Pension Plan	JHVM_0008076	JHVM_0008077		
1326	July 17, 2014	Email from P. Wells to D. Zelman, copying J. van Merkensteijn, R. Markowitz, R. Veillette, A. Gregory and M. Ben-Jacob, re Plan Documents for Signature, attaching David Zelman - Bareroot Capital Pension Plan, and David Zelman - Cantata Industries Pension Plan, and David Zelman - Dicot Technologies Pension Plan, and David Zelman - Old Park Lane -Old Park Lane Appendix A & B Signature Pages, and David Zelman - Power of Attorney, and Dvaid Zelman - Battu Holdings Pension Plan, and Dvaid Zelman - Vanderlee Technologies - W-9	JHVM_0008039	JHVM_0008075		
1327	July 18, 2014	Email from P. Wells to E. Miller, copying J. van Merkensteijn, R. Markowitz, R. Veillette, A. Gregory, and M. Ben-Jacob, re Plan Documents for Signature, attaching Edwin L. Miller - Old Park Lane Appendix A & B Signature Pages, and Edwin Miller - Power of Attorney, and PP - Ed Miller - Fulcrum, and PP - Ed Miller Keystone, and PP - Ed Miller Cedar Hill, and PP - Ed Miller Green Scale, and PP - Ed Miller Tumba	JHVM_0009450	JHVM_0009482		
1328	June 30, 2014	Limited Power of Attorney for Principal, J. Herman, appointing M. Ben- Jacob	MBJ_0021894	MBJ_0021896		F, H
1329	June 30, 2014	Limited Power of Attorney for Principal, E. Miller, appointing M. Ben- Jacob	MBJ_0005110	MBJ_0005112		F, H
1330	June 14, 2014	Email from P. Wells to A. Larosa and R. Markowitz, re Oban I & II	WH_MDL_00295821	WH_MDL_00295823		
1331	August 4, 2014	Bareroot Capital Investments LLC Roth 401(K) Plan, Agreement with Syntax GIS Ltd, signed by M. Ben-Jacob.	WH_MDL_00029448	WH_MDL_00029453		
1332	August 7, 2014	Bareroot Capital Investment LLC Roth 401(K) Plan, Beneficial Ownership Declaration with Syntax, signed by M. Ben-Jacob	MBJ_0046228	MBJ_0046231		F, H
1333	2014	2014 Bareroot Capital LLC Roth 401(K) Plan, Custody Agreement with Old Park Lane, signed by M. Ben-Jacob.	WH_MDL_00024399	WH_MDL_00024421		
1334	February 20, 2015	Bareroot Capital Investments LLC Roth 401(K) Plan, Power of Attorney Appointing John van Merkensteijn as Authorized Representative, signed by M. Ben-Jacob.	MBJ_0010758	MBJ_0010778		
1335	August 29, 2014	Eclouge Industry LLC Roth 401(K) Plan, Agreement with GOAL TaxBack Ltd, signed by M. Ben-Jacob.	MBJ_0062513	MBJ_0062527		
1336	August 7, 2014	Eclouge Industry LLC Roth 401(K) Plan, Beneficial Ownership Declaration with GOAL TaxBack Limited, signed by M. Ben-Jacob	MBJ_0051819	MBJ_0051824		F, H
1337	2014	2014 Eclouge Industry LLC Roth 401(K) Plan, Custody Agreement with Old Park Lane, signed by M. Ben-Jacob.	MBJ_0029129	MBJ_0029151		
1338	July 23, 2014	Eclouge Industry LLC Roth 401(K) Plan, Power of Attorney Appointing John van Merkensteijn as Authorized Representative, signed by M. Ben-Jacob.	MBJ_0045536	MBJ_0045538		F, H

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1339	N/A	Eclouge Industry LLC Roth 401(K) Plan, Global Master Services Lending Agreement with Neoteric Limited, signed by M. Ben-Jacob.	MBJ_0050646	MBJ_0050687		
1340	August 29, 2014	Roadcraft Technologies LLC Roth 401(K) Plan, Agreement with GOAL TaxBack Ltd, signed by M. Ben-Jacob.	MBJ_0062573	MBJ_0062587		
1341	August 7, 2014	Roadcraft Technologies LLC Roth 401(K) Plan, Beneficial Ownership Declaration with GOAL TaxBack Limited, signed by M. Ben-Jacob.	MBJ_0051837	MBJ_0051842		F, H
1342	2014	2014 Roadcraft Technologies LLC Roth 401(K) Plan, Custody Agreement with Old Park Lane, signed by M. Ben-Jacob.	MBJ_0029708	MBJ_0029730		
1343	February 20, 2015	Roadcraft Technologies LLC Roth 401(K) Plan, Power of Attorney Appointing John van Merkensteijn as Authorized Representative, signed by M. Ben-Jacob.	MBJ_0010722	MBJ_0010739		
1344	N/A	Roadcraft Technologies LLC Roth 401(K) Plan, Global Master Services Lending Agreement with Colbrook Limited, signed by M. Ben-Jacob.	MBJ_0049735	MBJ_0049777		
1345	October 2, 2014	Albedo Management LLC Roth 401(K) Plan, Power of Attorney Appointing John van Merkensteijn as Authorized Representative, signed by M. Ben-Jacob.	MBJ_0044724	MBJ_0044726		
1346	N/A	Albedo Management LLC Roth 401(K) Plan, Global Master Services Lending Agreement with Gnosis Limited, signed by M. Ben-Jacob.	WH_MDL_00005250	WH_MDL_00005293		
1347	2014	2014 Sternway Logistics LLC Roth 401(K) Plan, Custody Agreement with Old Park Lane, signed by M. Ben-Jacob.	WH_MDL_00007913	WH_MDL_00007936		
1348	February 20, 2015	At MBJ_0010716: Sternway Logistics LLC Roth 401(K) Plan, Power of Attorney Appointing Richard Markowitz as Authorized Representative, signed by M. Ben-Jacob, certified by B. Hans	MBJ_0010704	MBJ_0010721		DNM
1349	August 19, 2015	Pension Plans and Pension Plan Structures: JHVM	WH_MDL_00130444	WH_MDL_00130448		H; F; AUTH; IR
1350	December 31, 2013	December 10, 2013, through December 31, 2013, Calypso Investments LLC Simplified Business Checking Account Statement (Account Ending 53145) with First Republic Bank	MPSKAT00258791	MPSKAT00258792		
1351	October 31, 2014	October 1, 2014, through October 31, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360747	WH_MDL_00360747		
1352	May 31, 2016	May 01, 2016, through May 31, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360748	WH_MDL_00360748		
1353	September 30, 2016	September 01, 2016, through September 30, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360749	WH_MDL_00360749		
1354	October 31, 2015	October 01, 2015, through October 31, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360750	WH_MDL_00360750		
1355	October 31, 2016	October 01, 2016, through October 31, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360751	WH_MDL_00360751		
1356	November 30, 2014	November 01, 2014, through November 30, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360752	WH_MDL_00360752		
1357	January 31, 2016	January 01, 2016, through January 31, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360753	WH_MDL_00360753		
1358	July 31, 2014	July 01, 2014, through July 31, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360754	WH_MDL_00360754		
1359	November 30, 2015	November 01, 2015, through November 30, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360755	WH_MDL_00360755		
1360	November 30, 2016	November 01, 2016, through November 30, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360756	WH_MDL_00360756		
1361	December 31, 2014	December 01, 2014, through December 31, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360757	WH_MDL_00360757		
1362	June 30, 2016	June 01, 2016, through June 30, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360758	WH_MDL_00360758		
1363	September 30, 2015	September 01, 2015, through September 30, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360759	WH_MDL_00360759		
1364	April 30, 2016	April 01, 2016, through April 30, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360760	WH_MDL_00360760		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1365	June 30, 2015	June 01, 2015, through June 30, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360761	WH_MDL_00360761		
1366	September 30, 2014	September 01, 2014, through September 30, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360762	WH_MDL_00360762		
1367	August 31, 2015	August 01, 2015, through August 31, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360763	WH_MDL_00360763		
1368	June 30, 2014	June 01, 2014, through June 30, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360764	WH_MDL_00360764		
1369	May 31, 2014	May 01, 2014, through May 31, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360765	WH_MDL_00360765		
1370	December 31, 2013	December 10, 2013, through December 31, 2013, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360766	WH_MDL_00360766		
1371	May 31, 2015	May 01, 2015, through May 31, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360767	WH_MDL_00360767		
1372	August 31, 2016	August 01, 2016, through August 31, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360768	WH_MDL_00360768		
1373	August 31, 2014	August 01, 2014, through August 31, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360769	WH_MDL_00360769		
1374	July 31, 2016	July 01, 2016, through July 31, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360770	WH_MDL_00360770		
1375	April 30, 2014	April 01, 2014, through April 30, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360771	WH_MDL_00360771		
1376	July 31, 2015	July 01, 2015, through July 31, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360772	WH_MDL_00360772		
1377	April 30, 2015	April 01, 2015, through April 30, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360773	WH_MDL_00360773		
1378	March 31, 2016	March 01, 2016, through March 31, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360774	WH_MDL_00360774		
1379	March 31, 2015	March 01, 2015, through March 31, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360775	WH_MDL_00360775		
1380	January 31, 2014	January 01, 2014, through January 31, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360776	WH_MDL_00360776		
1381	February 29, 2016	February 01, 2016, through February 29, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360777	WH_MDL_00360777		
1382	March 31, 2014	March 01, 2014, through March 31, 2014, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360778	WH_MDL_00360778		
1383	February 28, 2015	February 01, 2015, through February 28, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360779	WH_MDL_00360779		
1384	January 31, 2015	January 01, 2015, through January 31, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360780	WH_MDL_00360780		
1385	December 31, 2015	December 01, 2015, through December 31, 2015, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360781	WH_MDL_00360781		
1386	December 31, 2016	December 01, 2016, through December 31, 2016, Calypso Investments LLC Simplified Business Checking Account Statement (Account No. 800-0175-3145)	WH_MDL_00360782	WH_MDL_00360782		
1387	N/A	Undated Albedo Management LLC Roth 401(K) Plan (Account ALB01) Account Balance of DKK, EUR, GBP, and USD Accounts with Solo Capital	MPSKAT00007194	MPSKAT00007196	386	
1388	January 1, 2008	R. Klugman Resume, as of 2008	KLUGMAN00008986	KLUGMAN00008986		
1389	April 30, 2012	April 24, 2012, through April 30, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057055	MPSKAT00057056		
1390	31-May-12	May 01, 2012, through May 31, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057057	MPSKAT00057058		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1391	June 30, 2012	June 01, 2012, through June 30, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057059	MPSKAT00057060		
1392	July 31, 2012	July 01, 2012, through July 31, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057061	MPSKAT00057062		
1393	August 31, 2012	August 01, 2012, through August 31, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057063	MPSKAT00057064		
1394	October 31, 2012	October 01, 2012, through October 31, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057065	MPSKAT00057066		
1395	September 30, 2012	September 01, 2012, through September 30, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057067	MPSKAT00057068		
1396	November 30, 2012	November 01, 2012, through November 30, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057069	MPSKAT00057072		
1397	December 31, 2012	December 01, 2012, through December 31, 2012, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057073	MPSKAT00057074		
1398	January 31, 2013	January 01, 2013, through January 31, 2013, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057075	MPSKAT00057076		
1399	February 28, 2013	February 01, 2013, through February 28, 2013, Simplified Business Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057077	MPSKAT000570780		
1400	March 31, 2013	March 01, 2013, through March 31, 2013, Simplified Business Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057081	MPSKAT00057084		
1401	April 30, 2013	April 01, 2013, through April 30, 2013, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057085	MPSKAT00057086		
1402	May 31, 2013	May 01, 2013, through May 31, 2013, Simplified Business Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057087	MPSKAT00057090		
1403	June 30, 2013	June 01, 2013, through June 30, 2013, Simplified Business Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057091	MPSKAT00057094		
1404	July 31, 2013	July 01, 2013, through July 31, 2013, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057095	MPSKAT00057096		
1405	August 31, 2013	August 01, 2013, through August 31, 2013, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057097	MPSKAT00057098		
1406	September 30, 2013	September 01, 2013, through September 30, 2013, Simplified Business Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057099	MPSKAT00057100		
1407	October 31, 2013	October 01, 2013, through October 31, 2013, Simplified Business Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057101	MPSKAT00057108		
1408	November 30, 2013	November 01, 2013, through November 30, 2013, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057109	MPSKAT00057112		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1409	January 31, 2014	January 01, 2014, through January 31, 2014, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057117	MPSKAT00057118		
1410	February 28, 2014	February 01, 2014, through February 28, 2014, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057119	MPSKAT00057122		
1411	March 31, 2014	March 01, 2014, through March 31, 2014, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057123	MPSKAT00057124		
1412	April 30, 2014	April 01, 2014, through April 30, 2014, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057125	MPSKAT00057128		
1413	May 31, 2014	May 01, 2014, through May 31, 2014, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057129	MPSKAT00057132		
1414	June 30, 2014	June 01, 2014, through June 30, 2014, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057133	MPSKAT00057136		
1415	July 31, 2014	July 01, 2014, through July 31, 2014, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057137	MPSKAT00057142		
1416	August 31, 2014	August 01, 2014, through August 31, 2014, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057143	MPSKAT00057146		
1417	September 30, 2014	September 01, 2014, through September 30, 2014, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057147	MPSKAT00057150		
1418	November 30, 2014	November 01, 2014, through November 30, 2014, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057155	MPSKAT00057158		
1419	December 31, 2014	December 01, 2014, through December 31, 2014, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057159	MPSKAT00057160		
1420	January 31, 2015	January 01, 2015, through January 31, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057161	MPSKAT00057162		
1421	February 28, 2015	February 01, 2015, through February 28, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057163	MPSKAT00057164		
1422	March 31, 2015	March 01, 2015, through March 31, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057165	MPSKAT00057166		
1423	April 30, 2015	April 01, 2015, through April 30, 2015, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057167	MPSKAT00057170		
1424	May 31, 2015	May 01, 2015, through May 31, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057171	MPSKAT00057172		
1425	June 30, 2015	June 01, 2015, through June 30, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057173	MPSKAT00057174		
1426	July 31, 2015	July 01, 2015, through July 31, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057175	MPSKAT00057176		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1427	August 31, 2015	August 01, 2015, through August 31, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057177	MPSKAT00057180		
1428	October 31, 2015	October 01, 2015, through October 31, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057183	MPSKAT00057184		
1429	November 30, 2015	November 01, 2015, through November 30, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057185	MPSKAT00057186		
1430	December 31, 2015	December 01, 2015, through December 31, 2015, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057187	MPSKAT00057188		
1431	January 31, 2016	January 01, 2016, through January 31, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057189	MPSKAT00057190		
1432	February 29, 2016	February 01, 2016, through February 29, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057191	MPSKAT00057192		
1433	March 31, 2016	March 01, 2016, through March 31, 2016, Business Analyzed Checking Account Statement and Check Images for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057193	MPSKAT00057196		
1434	April 30, 2016	April 01, 2016, through April 30, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057197	MPSKAT00057198		
1435	May 31, 2016	March 01, 2016, through March 31, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057199	MPSKAT00057200		
1436	June 30, 2016	June 01, 2016, through June 30, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057201	MPSKAT00057202		
1437	July 31, 2016	July 01, 2016, through July 31, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057203	MPSKAT00057204		
1438	September 30, 2016	September 01, 2016, through September 30, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057205	MPSKAT00057206		
1439	October 31, 2016	October 01, 2016, through October 31, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057207	MPSKAT00057208		
1440	November 30, 2016	November 01, 2016, through November 30, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057209	MPSKAT00057210		
1441	December 31, 2016	December 01, 2016, through December 31, 2016, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057211	MPSKAT00057212		
1442	January 31, 2017	January 01, 2017, through January 31, 2017, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057213	MPSKAT00057214		
1443	February 28, 2017	February 01, 2017, through February 28, 2017, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057215	MPSKAT00057216		
1444	March 31, 2017	March 01, 2017, through March 31, 2017, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057217	MPSKAT00057218		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1445	April 30, 2017	April 01, 2017, through April 30, 2017, Business Analyzed Checking Account Statement for Quartet Investment Partners LLC (Account No. 800-0111-0833) with First Republic Bank	MPSKAT00057219	MPSKAT00057222		
1446	July 14, 2014	Certified Copy of R. Klugman Resume	RANDHOLD00000486	RANDHOLD00000486		
1447	2014	2014 Client Custody Agreement between Old Park Lane Capital PLC and Headsail Manufacturing LLC Roth 401(K) Plan, including Schedule Fee Details	HEADSAIL00000201	HEADSAIL00000223		NP (SKAT has not provided this document to defendants.)
1448	2014	Client Custody Agreement between Old Park Lane Capital PLC and Eclouge Industry LLC Roth 401(K) Plan, including Schedule Fee Details	WH_MDL_00013793	WH_MDL_00013815		
1449	January 12, 2015	Email from admin@HeadsailPension.com to Custody @ Solo, copying P. Wells, R. Veillette, and A. Gregory, re Custody Account	WH_MDL_00067707	WH_MDL_00067707		
1450	July 28, 2014	Email from A. Milne to trading@HeadsailPension.com, copying P. Milne, A. Milne, K. Martin and custody@oldplc.com, re Brokerage Services with Bastion	ELYSIUM-03264168	ELYSIUM-03264168		AUTH; F; H; 403
1451	February 9, 2015	Email from The TJM Partnership PLC (echosign@echosign.com) to The Dealing Desk @ TJM (trading@tjmpartners.com), M. Ben-Jacob, Attorney in fact (trading@headsailpension.com), re TJM On-boarding Pack between The TJM Partnership PLC and Michael Ben-Jacob, Attorney in fact is Signed and Filed!, attaching TJM On-Boarding Pack - signed	WH_MDL_00073943	WH_MDL_00073962		
1452	N/A	January 2010 Version of the Global Master Securities Lending Agreement between Colbrook Limited and Headsail Manufacturing LLC Roth 401(K) Plan	HEADSAIL00000001	HEADSAIL00000041		NP (SKAT has not provided this document to defendants.)
1453	June 3, 2015	Email from R. Klugman to Custody Solo, copying O. Arti, re Opt-Up to Professional Client Status	ELYSIUM-04360081	ELYSIUM-04360081		AUTH; F; H; 403
1454	July 7, 2015	Invoice No. 00898 from Ganymede Cayman Limited to R. Klugman (The Stor Capital Consulting LLC 401k Plan)	MPSKAT00010282	MPSKAT00010282		
1455	November 16, 2015	Email from GSSOPL to RAK Investment Trust (Trading Desk) re Custody Services and Account Closure	ELYSIUM-05046290	ELYSIUM-05046290		AUTH; F; H; 403
1456	August 31, 2016	Action by Sole Member of Headsail Manufacturing LLC adopting recitals and resolutions, signed by R. Klugman	KLUGMAN00044636	KLUGMAN00044636		
1457	September 9, 2016	Action to Terminate Plan for The Random Holdings 401(K) Plan, signed by R. Klugman	KLUGMAN00013365	KLUGMAN00013365		
1458	August 31, 2016	Action by Sole Member of STOR Capital Consulting LLC adopting recitals and resolutions, signed by R. Klugman	MBJ_STOR-0002246	MBJ_STOR-0002246		
1459	August 31, 2016	Action by Sole Member of Edgepoint Capital LLC adopting recitals and resolutions, signed by R. Klugman	KLUGMAN00044634	KLUGMAN00044634		
1460	December 28, 2016	Consent to Transfer Partnership Interest - Eclouge Industry General Partnership	MBJ_STOR-0000354	MBJ_STOR-0000358		
1461	November 5, 2015	Letter from Eclouge Industry LLC Roth 401(K) Plan, c/o Eclouge Industry LLC, requesting transfer of balance in account ending ECL01	MPSKAT00011030	MPSKAT00011030		
1462	November 5, 2015	Letter from Headsail Manufacturing LLC Roth 401(K) Plan, c/o Headsail Manufacturing LLC, requesting transfer of balance in account HEA01	MPSKAT00011037	MPSKAT00011037		
1463	N/A	January 2010 Version of the Global Master Securities Lending Agreement between Aquila (Cayman) Limited and Bernina Pension Plan, including Schedule, and Agency Annex, and Addendum for Pooled Principal Agency Loans	MPSKAT00001365	MPSKAT00001407		
1464	N/A	Undated Signature Page Executed by (illegible name) on behalf of Aquila Cayman Limited and A. LaRosa on behalf of Bernina Pension Plan	MPSKAT00066349	MPSKAT00066349		
1465	December 2016	Batavia Capital LLC JPMorgan Account Statement from December 1, 2016-December 30, 2016	WH_MDL_00360508	WH_MDL_00360513		
1466	November 2016	Batavia Capital LLC JPMorgan Account Statement from November 1, 2016-November 30, 2016	WH_MDL_00360514	WH_MDL_00360519		
1467	October 2016	Batavia Capital LLC JPMorgan Account Statement from October 1, 2016-October 31, 2016	WH_MDL_00360520	WH_MDL_00360525		
1468	September 2016	Batavia Capital LLC JPMorgan Account Statement from September 1, 2016-September 30, 2016	WH_MDL_00360526	WH_MDL_00360531		
1469	August 2016	Batavia Capital LLC JPMorgan Account Statement from July 30, 2016-August 31, 2016	WH_MDL_00360532	WH_MDL_00360537		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1470	July 2016	Batavia Capital LLC JPMorgan Account Statement from July 1, 2016-July 29, 2016	WH_MDL_00360538	WH_MDL_00360543		
1471	June 2016	Batavia Capital LLC JPMorgan Account Statement from June 1, 2016-June 30, 2016	WH_MDL_00360544	WH_MDL_00360549		
1472	May 2016	Batavia Capital LLC JPMorgan Account Statement from April 30, 2016-May 31, 2016	WH_MDL_00360550	WH_MDL_00360555		
1473	April 2016	Batavia Capital LLC JPMorgan Account Statement from April 1, 2016-April 29, 2016	WH_MDL_00360556	WH_MDL_00360561		
1474	March 1, 2016	Batavia Capital LLC JPMorgan Account Statement from March 1, 2016-March 31, 2016	WH_MDL_00360562	WH_MDL_00360569		
1475	February 2016	Batavia Capital LLC JPMorgan Account Statement from January 30, 2016-February 29, 2016	WH_MDL_00360570	WH_MDL_00360575		
1476	January 2016	Batavia Capital LLC JPMorgan Account Statement from January 1, 2016-January 29, 2016	WH_MDL_00360576	WH_MDL_00360581		
1477	December 2015	Batavia Capital LLC JPMorgan Account Statement from December 1, 2015-December 31, 2015	WH_MDL_00360582	WH_MDL_00360587		
1478	November 2015	Batavia Capital LLC JPMorgan Account Statement from October 31, 2015-November 30, 2015	WH_MDL_00360588	WH_MDL_00360593		
1479	October 2015	Batavia Capital LLC JPMorgan Account Statement from October 1, 2015-October 30, 2015	WH_MDL_00360594	WH_MDL_00360599		
1480	September 2015	Batavia Capital LLC JPMorgan Account Statement from September 1, 2015-September 30, 2015	WH_MDL_00360600	WH_MDL_00360605		
1481	August 2015	Batavia Capital LLC JPMorgan Account Statement from August 1, 2015-August 31, 2015	WH_MDL_00360606	WH_MDL_00360613		
1482	July 2015	Batavia Capital LLC JPMorgan Account Statement from July 1, 2015-July 31, 2015	WH_MDL_00360614	WH_MDL_00360621		
1483	June 2015	Batavia Capital LLC JPMorgan Account Statement from May 30, 2015-June 30, 2015	WH_MDL_00360622	WH_MDL_00360627		
1484	May 2015	Batavia Capital LLC JPMorgan Account Statement from May 1, 2015-May 29, 2015	WH_MDL_00360628	WH_MDL_00360635		
1485	April 2015	Batavia Capital LLC JPMorgan Account Statement from April 1, 2015-April 30, 2015	WH_MDL_00360636	WH_MDL_00360641		
1486	March 2015	Batavia Capital LLC JPMorgan Account Statement from February 28, 2015-March 31, 2015	WH_MDL_00360642	WH_MDL_00360647		
1487	February 2015	Batavia Capital LLC JPMorgan Account Statement from January 31, 2015-February 27, 2015	WH_MDL_00360648	WH_MDL_00360653		
1488	January 2015	Batavia Capital LLC JPMorgan Account Statement from January 1, 2015-January 30, 2015	WH_MDL_00360654	WH_MDL_00360659		
1489	December 2014	Batavia Capital LLC JPMorgan Account Statement from November 29, 2014-December 31, 2014	WH_MDL_00360660	WH_MDL_00360667		
1490	November 2014	Batavia Capital LLC JPMorgan Account Statement from November 18, 2014-November 28, 2014	WH_MDL_00360668	WH_MDL_00360673		
1491	November 30, 2013	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001129	MPSKAT00001130		
1492	December 31, 2013	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001131	MPSKAT00001132		
1493	January 31, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001133	MPSKAT00001134		
1494	February 28, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001135	MPSKAT00001136		
1495	March 31, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001137	MPSKAT00001138		
1496	May 31, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001139	MPSKAT00001140		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1497	April 30, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001141	MPSKAT00001142		
1498	June 30, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001143	MPSKAT00001144		
1499	July 31, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001145	MPSKAT00001146		
1500	August 31, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001147	MPSKAT00001148		
1501	September 30, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001149	MPSKAT00001150		
1502	October 31, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001151	MPSKAT00001152		
1503	November 30, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001153	MPSKAT00001154		
1504	December 31, 2014	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001155	MPSKAT00001156		
1505	January 31, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001157	MPSKAT00001158		
1506	February 28, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001159	MPSKAT00001160		
1507	March 31, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001161	MPSKAT00001162		
1508	April 30, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Simplified Business Checking Account	MPSKAT00001163	MPSKAT00001164		
1509	May 31, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001165	MPSKAT00001166		
1510	June 30, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001167	MPSKAT00001168		
1511	July 31, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001169	MPSKAT00001170		
1512	August 31, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001171	MPSKAT00001172		
1513	September 30, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001173	MPSKAT00001174		
1514	October 31, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001175	MPSKAT00001176		
1515	November 30, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001177	MPSKAT00001178		
1516	December 31, 2015	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001179	MPSKAT00001180		
1517	January 31, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001181	MPSKAT00001182		
1518	February 29, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001183	MPSKAT00001184		
1519	March 31, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001185	MPSKAT00001186		
1520	April 30, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001187	MPSKAT00001188		
1521	May 31, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001189	MPSKAT00001190		
1522	June 30, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001191	MPSKAT00001192		
1523	July 31, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001193	MPSKAT00001194		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1524	August 31, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001195	MPSKAT00001196		
1525	September 30, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001197	MPSKAT00001198		
1526	October 31, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001199	MPSKAT00001200		
1527	November 30, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001201	MPSKAT00001202		
1528	December 31, 2016	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001203	MPSKAT00001204		
1529	January 31, 2017	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001205	MPSKAT00001206		
1530	February 28, 2017	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001207	MPSKAT00001208		
1531	March 31, 2017	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001209	MPSKAT00001210		
1532	April 30, 2017	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001211	MPSKAT00001212		
1533	May 31, 2017	First Republic Bank Account Statement for Batavia Capital Pension Plan Trust (account ending in -8118), Business Money Market Account	MPSKAT00001213	MPSKAT00001214		
1534	March 16, 2015	Batavia Capital Pension Plan, Custodial Account Statement from Solo Capital: Open Position Statement	MPSKAT00000030	MPSKAT00000038		
1535	Multiple Dates	Solo Capital Cash Statement for the Batavia Capital Pension Plan (BAT01)	MPSKAT00000028	MPSKAT00000029		
1536	January 2, 2014	Invoice No. 00127 from Ganymede Cayman Limited to A. LaRosa (Batavia Capital Pension Plan)	MPSKAT00101978	MPSKAT00101979		
1537	February 5, 2014	Invoice No. 00146 from Ganymede Cayman Limited to A. LaRosa (Batavia Capital Pension Plan)	MPSKAT00103849	MPSKAT00103850		
1538	May 14, 2014	Invoice No. 00215 from Ganymede Cayman Limited to Batavia Capital Pension Plan	MPSKAT00129625	MPSKAT00129626		
1539	June 4, 2014	Invoice No. 00256 from Ganymede Cayman Limited to A. LaRosa (Batavia Capital Pension Plan)	MPSKAT00198233	MPSKAT00198234		
1540	July 8, 2014	Invoice No. 00325 from Ganymede Cayman Limited to A. LaRosa (Batavia Capital Pension Plan)	MPSKAT00201808	MPSKAT00201809		
1541	January 22, 2015	Invoice No. 00575 from Ganymede Cayman Limited to A. LaRosa (Batavia Capital Pension Plan)	MPSKAT00148906	MPSKAT00148907		
1542	July 15, 2015	Invoice No. 01011 from Ganymede Cayman Limited to A. LaRosa (Batavia Capital Pension Plan)	MPSKAT00270583	MPSKAT00270583		
1543	November 22, 2013	Reclaim Agreement between Acupay System LLC and Batavia Capital Pension Plan	MPSKAT00174483	MPSKAT00174492		
1544	N/A	Agreement between Goal Taxback Limited and Lion Advisory Inc. Pension Plan Trust dated 2012	MPSKAT00026410	MPSKAT00026421		
1545	January 30, 2013	Goal TaxBack Limited Agreement Addendum re: August 2012 Agreement	MPSKAT00230775	MPSKAT00230779		
1546	December 10, 2013	Reclaim application to obtain a full refund of Danish dividend tax for a qualifying U.S. pension fund - Batavia Capital Pension Plan, with attachments	SKAT_MDL_001_00059916	SKAT_MDL_001_00059920		
1547	January 6, 2014	Reclaim application to obtain a full refund of Danish dividend tax for a qualifying U.S. pension fund - Batavia Capital Pension Plan, with attachments	SKAT_MDL_001_00059921	SKAT_MDL_001_00059925		
1548	March 20, 2014	Reclaim application to obtain a full refund of Danish dividend tax for a qualifying U.S. pension fund - Batavia Capital Pension Plan, with attachments	SKAT_MDL_001_00059926	SKAT_MDL_001_00059931		
1549	April 17, 2014	Reclaim application to obtain a full refund of Danish dividend tax for a qualifying U.S. pension fund - Batavia Capital Pension Plan, with attachments	SKAT_MDL_001_00059932	SKAT_MDL_001_00059942		
1550	May 27, 2014	Reclaim application to obtain a full refund of Danish dividend tax for a qualifying U.S. pension fund - Batavia Capital Pension Plan, with attachments	SKAT_MDL_001_00059943	SKAT_MDL_001_00059947		
1551	September 4, 2014	Reclaim application to obtain a full refund of Danish dividend tax for a qualifying U.S. pension fund - Batavia Capital Pension Plan, with attachments	SKAT_MDL_001_00059948	SKAT_MDL_001_00059952		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1552	October 31, 2016	Headsail Manufacturing LLC Roth 401(k) Business Platinum Savings Account Statement (Nov. 2015 - Oct. 2016)	WELLSFARGO_00003976	WELLSFARGO_00004014		
1553	December 19, 2014	Headsail Manufacturing LLC Wells Fargo Business Checking Account Statements (Aug. 2014-Dec. 2014)	WELLSFARGO_00000179	WELLSFARGO_00000196		
1554	January 24, 2017	Headsail Manufacturing LLC Wells Fargo Business Checking Account Statements (Nov. 2014 - Jan. 2017)	WELLSFARGO_00000197	WELLSFARGO_00000281		
1555	September 30, 2014	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003253	KLUGMAN00003259		
1556	February 29, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003271	KLUGMAN00003275		
1557	March 31, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003276	KLUGMAN00003281		
1558	May 31, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003282	KLUGMAN00003286		
1559	June 30, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003287	KLUGMAN00003292		
1560	August 31, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003293	KLUGMAN00003298		
1561	October 31, 2015	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003304	KLUGMAN00003308		
1562	November 30, 2015	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003309	KLUGMAN00003313		
1563	August 31, 2014	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003314	KLUGMAN00003318		
1564	October 31, 2014	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003319	KLUGMAN00003324		
1565	January 31, 2015	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003325	KLUGMAN00003329		
1566	February 28, 2015	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003330	KLUGMAN00003334		
1567	March 31, 2015	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003335	KLUGMAN00003340		
1568	April 30, 2015	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003341	KLUGMAN00003345		
1569	May 31, 2015	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003346	KLUGMAN00003350		
1570	September 30, 2015	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003368	KLUGMAN00003372		
1571	April 30, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003373	KLUGMAN00003377		
1572	July 31, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003378	KLUGMAN00003382		
1573	September 30, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003383	KLUGMAN00003387		
1574	October 31, 2016	Wells Fargo Combined Statement of Accounts RAK Investment Trust (account number 2942729928)	KLUGMAN00003388	KLUGMAN00003391		
1575	Multiple Dates	Wells Fargo Combined Statement of Accounts RAK2 Investment Trust (Aug. 2014-Sept. 2015)	KLUGMAN00003568	KLUGMAN00003641		
1576	Multiple Dates	Wells Fargo Combined Statement of Accounts RAK2 Investment Trust (Oct. 2015-Oct. 2016)	KLUGMAN00003642	KLUGMAN00003708		
1577	Multiple Dates	Wells Fargo Simple Business Checking Account Statements for Edgepoint Capital LLC Roth 401(k) Plan (Oct. 2015-Nov. 2016)	KLUGMAN00003480	KLUGMAN00003520		
1578	Multiple Dates	Wells Fargo Simple Business Checking Account Statements for Edgepoint Capital LLC Roth 401(k) Plan (July 2014-Oct. 2015)	KLUGMAN00003521	KLUGMAN00003567		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1579	December 26, 2014	Edgepoint Capital LLC Business Checking Account Statement from Wells Fargo (August 19 - December 26, 2014)	WELLSFARGO_00000282	WELLSFARGO_00000299		
1580	January 30, 2017	Edgepoint Capital LLC Checking Account Statement from Wells Fargo (November 29, 2014-January 30, 2017)	WELLSFARGO_00000300	WELLSFARGO_00000384		
1581	Multiple Dates	First Republic Bank Business Money Market Statement for Omineca Pension Plan (2016)	JHVM_0004264	JHVM_0004278		
1582	Multiple Dates	Wells Fargo Business Checking Account Statement Omineca Trust (July 2014-Jan. 2016)	JHVM_0002128	JHVM_0002166		
1583	December 31, 2014	First Republic Simplified Business Checking Account Statement for Omineca LLC (Nov-Dec 2014)	FR_0000049	FR_0000050		
1584	December 31, 2015	First Republic Bank Account Statements for Omineca LLC (account no. ending 2521) (Jan. 1 - Dec. 31, 2015)	GUNDERSON 00007184	GUNDERSON 00007195		
1585	Multiple Dates	First Republic Bank Simplified Business Checking Account Statement for Omineca LLC (2016)	JHVM_0033228	JHVM_0033253		
1586	November 18, 2014	Email from S. Furr to P. Wells, copying R. Markowitz, R. Klugman, R. Veillette, and J. van Merkensteijn, re Basalt/Voojo/Starfish/Omineca LLC Bank Accounts	GUNDERSON 00003518	GUNDERSON 00003524		
1587	September 10, 2015	Email from trading@DicotPension.com to legal@hydracapitallimited.com, copying B. Johal, re Trading Program	WH_MDL_00088202	WH_MDL_00088202		
1588	September 10, 2015	Email from trading@BallastPension.com to legal@hydracapitallimited.com, copying R. Marsh, re Trading Program	WH_MDL_00088194	WH_MDL_00088194		
1589	September 10, 2015	Email from trading@AlbedoPension.com to legal@hydracapitallimited.com, copying GSS-Solo, re Trading Program	WH_MDL_00088192	WH_MDL_00088192		
1590	September 10, 2015	Email from trading@AerovanePension.com to legal@hydracapitallimited.com, copying K. Martin, re Trading Program	WH_MDL_00088191	WH_MDL_00088191		
1591	N/A	Undated International Uniform Brokerage Execution Services ("Give-Up") Agreement: Customer Version 2008 by and among Bastion Capital London LTD, Old Park Lane Capital PLC, and Headsail Manufacturing LLC Roth 401(K) Plan	HEADSAIL00000790	HEADSAIL00000794		NP (SKAT has not provided this document to defendants.)
1592	N/A	Undated Executed Pages Signed by M. Smith on behalf of Trance Services Limited, and M. Ben-Jacob on behalf of Various Plans	KLUGMAN00051565	KLUGMAN00051603		
1593	July 2, 2015	Email from D. Pannerup Madsen to K. Steen re Refund	SKAT_MDL_001_00290457	SKAT_MDL_001_00290457	4005	INC (needs certified translation)
1594	N/A	January 2010 Version of the Global Master Securities Lending Agreement between Principle Markets Limited and Headsail Manufacturing LLC Roth 401(K) Plan, including Schedule, and Agency Annex, and Addendum for Pooled Principal Agency Loans	KLUGMAN00010226	KLUGMAN00010268		
1595	June 17, 2015	Email from trading@EdgepointPension.com to R. Klugman re End of day report 16/06/2015, attaching End of day report 16_06_2015 spreadsheet	WH_MDL_00047170	WH_MDL_00047172		
1596	November 6, 2015	Email from trading@AerovanePension.com to R. Markowitz re October Statement, attaching Aerovane Logistics October	WH_MDL_00054266	WH_MDL_00054271		
1597	April 14, 2014	March 01, 2014, through March 31, 2014, Routt Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000056	GUNDERSON 00000063		
1598	July 10, 2014	April 01, 2014, through April 30, 2014, Routt Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000064	GUNDERSON 00000074		
1599	July 10, 2014	May 01, 2014, through May 31, 2014, Routt Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000075	GUNDERSON 00000086		
1600	July 23, 2014	June 01, 2014, through June 30, 2014, Routt Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000087	GUNDERSON 00000098		
1601	November 10, 2014	August 01, 2014, through August 31, 2014, Routt Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000111	GUNDERSON 00000122		
1602	November 23, 2014	September 01, through September 30, 2014, Routt Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000123	GUNDERSON 00000130		
1603	November 26, 2014	October 01, 2014, through October 31, 2014, Routt Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000131	GUNDERSON 00000132		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1604	December 7, 2014	November 01, 2014, through November 30, 2014, Rount Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000133	GUNDERSON 00000134		
1605	January 4, 2015	December 01, 2014, through December 31, 2014, Rount Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	GUNDERSON 00000135	GUNDERSON 00000136		
1606	February 2, 2015	January 01, 2015, through January 31, 2015, Rount Capital Pension Plan (ROU01) Account Summary and Custodian Statements with Indigo Securities	MPSKAT00049753	MPSKAT00049754		
1607	December 15, 2014	Packet of Aug. 2014 through Dec. 2014 Aerovane Logistics LLC Business Checking Account Statements (Account No. 7362304425) with Wells Fargo	WELLSFARGO_00000079	WELLSFARGO_00000093		
1608	January 18, 2017	Packet of Nov. 2014 through Jan. 2017 Aerovane Logistics LLC Business Checking Account Statements (Account No. 7362304425) with Wells Fargo	WELLSFARGO_00000094	WELLSFARGO_00000178		
1609	November 16, 2016	Packet of Aug. 2015 through Nov. 2016 Aerovane Logistics LLC Roth 401K Wells Fargo Simple Business Checking Account Statements (Account No. 1359394325)	KLUGMAN00003392	KLUGMAN00003438		
1610	April 30, 2016	April 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069425	KLUGMAN00069427		
1611	November 30, 2015	November 2015 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo (transfer in of 418,000 from checking)	KLUGMAN00069438	KLUGMAN00069440		
1612	July 31, 2016	July 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069441	KLUGMAN00069443		
1613	March 31, 2016	March 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069444	KLUGMAN00069447		
1614	May 31, 2016	May 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069448	KLUGMAN00069450		
1615	October 31, 2016	October 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069451	KLUGMAN00069453		
1616	September 30, 2016	September 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069454	KLUGMAN00069456		
1617	December 31, 2015	December 2015 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069457	KLUGMAN00069459		
1618	August 31, 2016	August 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069460	KLUGMAN00069463		
1619	January 31, 2016	January 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069464	KLUGMAN00069466		
1620	June 30, 2016	June 2016 Aerovane Logistics LLC Roth 401K Business Platinum Savings (Account No. 9769415523) Statements with Wells Fargo	KLUGMAN00069467	KLUGMAN00069470		
1621	May 31, 2013	Xiphias LLC Pension Plan Monthly Report to Federal Reserve Banks Form SLT	WH MDL 00223274	WH MDL 00223286		
1622	March 31, 2015	Packet of Form S, Monthly Report to Federal Reserve Banks, for various plans	MBJ STOR-0003812	MBJ STOR-0004218		
1623	June 30, 2015	Headsail Manufacturing LLC Roth 401(K) Plan Monthly Report to Federal Reserve Banks Form SLT	KLUGMAN00026859	KLUGMAN00026881		
1624	June 30, 2014	Bernina Pension Plan Monthly Report to Federal Reserve Banks Form SLT	WH MDL 00385269	WH MDL 00385291		UNABLE TO LOCATE
1625	March 19, 2015	Email from octave@hydracapitallimited.com to trading@tjpartners.com, copying Trading@HeadsailPension.com, re New Equity Order for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03952926	ELYSIUM-03952926		AUTH; F; H; 403
1626	March 19, 2015	Email from octave@hydracapitallimited.com to admin@schmetinvestments.com, copying cashequity@arianfinancial.co.uk, re New Equity Order for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03952940	ELYSIUM-03952940		AUTH; F; H; 403
1627	March 19, 2015	Email from octave@hydracapitallimited.com to cashequity@arianfinancial.co.uk, copying admin@schmetinvestments.com, re New Equity Order for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03952981	ELYSIUM-03952981		AUTH; F; H; 403
1628	March 19, 2015	Email from octave@hydracapitallimited.com to Trading@HeadsailPension.com, copying trading@tjpartners.com, re Equity Liquidity Order Filled for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03952990	ELYSIUM-03952990		AUTH; F; H; 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1629	March 19, 2015	Email from octave@hydracapitallimited.com to admin@schmetinvestments.com, copying cashequity@arianfinancial.co.uk, re Equity Liquidity Order Filled for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03952993	ELYSIUM-03952993		AUTH; F; H; 403
1630	March 19, 2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com, copying Trading@HeadsailPension.com, re New Forward Order for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03953004	ELYSIUM-03953004		AUTH; F; H; 403
1631	March 19, 2015	Email from octave@hydracapitallimited.com to dai@rheidoltrading.com, copying admin@schmetinvestments.com, re New Forward Order for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03953005	ELYSIUM-03953005		AUTH; F; H; 403
1632	March 19, 2015	Email from octave@hydracapitallimited.com to Trading@HeadsailPension.com, copying execution@allitsenasset.com, re Forward Order Filled for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03953048	ELYSIUM-03953048		AUTH; F; H; 403
1633	March 19, 2015	Email from octave@hydracapitallimited.com to admin@schmetinvestments.com, copying dai@rheidoltrading.com, re Forward Order Filled for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03953050	ELYSIUM-03953050		AUTH; F; H; 403
1634	March 23, 2015	Email from octave@hydracapitallimited.com to info@principlemarkets.com copying trading@headsailpension.com re New Stock Loan Order for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03974674	ELYSIUM-03974674		AUTH; F; H; 403
1635	March 23, 2015	Email from octave@hydracapitallimited.com to ak@ceka-invest.com copying admin@schemtininvestments.com re New Stock Loan Order for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03974675	ELYSIUM-03974675		AUTH; F; H; 403
1636	March 23, 2015	Email from octave@hydracapitallimited.com to trading@headsailpension.com copying info@principlemarkets.com re New Stock Loan Order Filled for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03974705	ELYSIUM-03974705		AUTH; F; H; 403
1637	March 23, 2015	Email from octave@hydracapitallimited.com to admin@schmetinvestments.com, copying ak@ceka-invest.com, re Stock Loan Order Filled for 6,711,894 of NOVO NORDISK A/S-B	ELYSIUM-03974706	ELYSIUM-03974706		AUTH; F; H; 403
1638	March 19, 2015	Email from solotradeapprovals@solo.com to dai@rheidoltrading.com, copying execution@allitsenasset.com and solotradeapprovals@solo.com, re Account (RHE01) - trade matched	ELYSIUM-03959447	ELYSIUM-03959447		AUTH; F; H; 403
1639	March 19, 2015	Email from solotradeapprovals@solo.com to cashequity@arianfinancial.co.uk, copying trading@tjpartners.com and solotradeapprovals@solo.com, re Account (ARF01) - trade approved	ELYSIUM-03957884	ELYSIUM-03957884		AUTH; F; H; 403
1640	March 23, 2015	Email from solotradeapprovals@solo.com to info@principlemarkets.com, copying ak@ceka-invest.com and solotradeapprovals@solo.com, re Account (PML01) - trade approved	ELYSIUM-03977901	ELYSIUM-03977901		AUTH; F; H; 403
1641	March 23, 2015	Email from solotradeapprovals@solo.com to ak@ceka-invest.com, copying info@principlemarkets.com and solotradeapprovals@solo.com, re Account (CEK01) - trade approved	ELYSIUM-03977903	ELYSIUM-03977903		AUTH; F; H; 403
1642	October 31, 2014	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002113	WH_MDL_00002117		F, H
1643	December 31, 2014	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002118	WH_MDL_00002120		F, H
1644	August 31, 2014	Avanix Management LLC Roth 401(K) Plan Wells Fargo combined Statement of Accounts (Primary Account No. 2942780756) with Wells Fargo	WH_MDL_00002121	WH_MDL_00002125		
1645	November 30, 2014	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002126	WH_MDL_00002128		F, H
1646	September 30, 2014	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002129	WH_MDL_00002133		F, H
1647	April 30, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002134	WH_MDL_00002136		F, H
1648	September 30, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002137	WH_MDL_00002139		F, H

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1649	August 31, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002140	WH_MDL_00002142		F, H
1650	May 31, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002143	WH_MDL_00002145		F, H
1651	March 31, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002146	WH_MDL_00002149		F, H
1652	November 30, 2015	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002150	WH_MDL_00002152		F, H
1653	October 31, 2015	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002153	WH_MDL_00002156		F, H
1654	July 31, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002157	WH_MDL_00002159		F, H
1655	February 28, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002160	WH_MDL_00002163		F, H
1656	June 30, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002164	WH_MDL_00002166		F, H
1657	January 31, 2015	Avanix Management LLC Roth 401(K) Plan Gold Business Services Package (Account No. 2942780756) with Wells Fargo	WH_MDL_00002167	WH_MDL_00002170		F, H
1658	December 31, 2015	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002171	WH_MDL_00002173		F, H
1659	July 31, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002174	WH_MDL_00002176		F, H
1660	February 29, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002177	WH_MDL_00002180		F, H
1661	April 30, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002181	WH_MDL_00002184		F, H
1662	August 31, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002185	WH_MDL_00002188		F, H
1663	September 30, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002189	WH_MDL_00002192		F, H
1664	May 31, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002193	WH_MDL_00002195		F, H
1665	June 30, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002196	WH_MDL_00002199		F, H
1666	January 31, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002200	WH_MDL_00002202		F, H
1667	March 31, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00002203	WH_MDL_00002206		F, H
1668	December 31, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00089352	WH_MDL_00089355		F, H
1669	November 30, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00089466	WH_MDL_00089469		F, H
1670	October 31, 2016	Avanix Management LLC Roth 401(K) Plan Platinum Business Checking (Account No. 2942780756) with Wells Fargo	WH_MDL_00089470	WH_MDL_00089473		F, H
1671	December 31, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089344	WH_MDL_00089351		
1672	January 31, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089356	WH_MDL_00089363		
1673	November 30, 2015	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089364	WH_MDL_00089371		
1674	October 31, 2015	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089372	WH_MDL_00089377		
1675	December 31, 2015	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089378	WH_MDL_00089385		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1676	July 31, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089386	WH_MDL_00089393		
1677	February 29, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089394	WH_MDL_00089401		
1678	May 31, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089402	WH_MDL_00089409		
1679	June 30, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089410	WH_MDL_00089417		
1680	March 31, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089418	WH_MDL_00089425		
1681	April 30, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089426	WH_MDL_00089433		
1682	August 31, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089434	WH_MDL_00089441		
1683	September 30, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089442	WH_MDL_00089449		
1684	October 31, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089450	WH_MDL_00089457		
1685	November 30, 2016	Avanix Management LLC Roth 401(K) (Account No. 3565-0324) Billing Period Snapshot from Wells Fargo	WH_MDL_00089458	WH_MDL_00089465		
1686	December 31, 2014	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360235	WH_MDL_00360244		
1687	November 28, 2014	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360245	WH_MDL_00360250		
1688	December 31, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360251	WH_MDL_00360256		
1689	November 30, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360257	WH_MDL_00360262		
1690	October 30, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360263	WH_MDL_00360268		
1691	September 30, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360269	WH_MDL_00360274		
1692	August 31, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360275	WH_MDL_00360282		
1693	July 31, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360283	WH_MDL_00360290		
1694	June 30, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360291	WH_MDL_00360296		
1695	May 29, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360297	WH_MDL_00360304		
1696	April 30, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360305	WH_MDL_00360310		
1697	March 31, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360311	WH_MDL_00360316		
1698	February 27, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360317	WH_MDL_00360322		
1699	January 30, 2015	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360323	WH_MDL_00360328		
1700	December 30, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360329	WH_MDL_00360334		
1701	November 30, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360335	WH_MDL_00360340		
1702	October 31, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360341	WH_MDL_00360346		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1703	September 30, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360347	WH_MDL_00360352		
1704	August 31, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360353	WH_MDL_00360358		
1705	July 29, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360359	WH_MDL_00360364		
1706	June 30, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360365	WH_MDL_00360370		
1707	May 31, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360371	WH_MDL_00360376		
1708	April 29, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360377	WH_MDL_00360382		
1709	March 31, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360383	WH_MDL_00360390		
1710	February 29, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360391	WH_MDL_00360396		
1711	January 29, 2016	Avanix Management LLC (Primary Account No. 000000559778027) Business Savings and Business Checking Account Summaries with J.P. Morgan	WH_MDL_00360397	WH_MDL_00360402		
1712	Multiple Dates	Basalt Ventures LLC Roth 401(k) Plan Business Checking Statements (Account No. 1359394069) (July 2014-December 2014) with Wells Fargo	WELLSFARGO_00003752	WELLSFARGO_00003771		
1713	Multiple Dates	Packet of Nov. 2014 through Nov. 2017 Basalt Ventures LLC Roth 401(K) Plan Business Checking Account Statements (Account No. 1359394069) With Wells Fargo	WELLSFARGO_00003772	WELLSFARGO_00003894		
1714	Multiple Dates	Basalt Ventures LLC Roth 401(k) Plan Account Statements with Wells Fargo (November 2017-November 2021)	WELLSFARGO_00003590	WELLSFARGO_00003751		
1715	Multiple Dates	Basalt (Dec. 2014-Dec. 2015) First Republic Bank Statement	FR_0000150	FR_0000162		
1716	December 31, 2016	Packet of Monthly 2016 Basalt Ventures LLC Simplified Business Checking Account Statements (Account No. 800-0304-9509) with First Republic Bank	JHVM_0033154	JHVM_0033165		
1717	January 26, 2015	Packet of Monthly July 24, 2014, through January 26, 2015 Starfish Capital MGMNT LLC Roth 401K Business Checking Account Statements (Account No. 1359394077) with Wells Fargo	JHVM_0002116	JHVM_0002127		
1718	January 26, 2016	Packet of Monthly January 2015 through January 2016 Starfish Capital MGMNT LLC Roth 401K Business Checking Account Statements (Account No. 1359394077) with Wells Fargo	JHVM_0002012	JHVM_0002038		
1719	August 4, 2017	Starfish Capital MGMNT LLC Roth 401K Plan Balance Sheet, Profit & Loss, and General Ledger as of December 31, 2016	JHVM_0007845	JHVM_0007847		DNM
1720	Decmember 31, 2014	Packet of Monthly July 24, 2014, through December 31, 2014, Voojo Productions LLC Roth 401K Plan Business Checking Account Statements (Account No. 1359394044) with Wells Fargo	JHVM_0002052	JHVM_0002058		
1721	December 31, 2015	Packet of Monthly 2015 Voojo Productions LLC Roth 401K Plan Business Checking Account Statements (Account No. 1359394044) with Wells Fargo	JHVM_0002086	JHVM_0002101		
1722	August 4, 2017	Voojo Productions LLC Roth 401(K) Plan Balance Sheet, Profit & Loess, and General Ledger as of December 31, 2016	JHVM_0007853	JHVM_0007855		DNM
1723	November 30, 2015	Tarvos Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8084) with First Republic Bank	MPSKAT00004591	MPSKAT00004592		
1724	October 31, 2016	Tarvos Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8084) with First Republic Bank	MPSKAT00004613	MPSKAT00004614		
1725	June 17, 2013	Certification of Trust of Batavia Capital Pension Plan Trust	MPSKAT00001289	MPSKAT00001289		
1726	January 1, 2016	Original Plan and Amendments to The Batavia Capital Pension Plan Formation Document	WH_MDL_00000420	WH_MDL_00000573		
1727	June 17, 2013	Certified Copy of Batavia Capital Pension Plan Trust Formation Document (Certified on July 1, 2013)	MPSKAT00000936	MPSKAT00000955		
1728	N/A	E. van Merkensteijn Resume	MPSKAT00000855	MPSKAT00000855		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1729	January 30, 2014	Email from S. Shah to J. van Merkensteijn, copying J. Lhote, M. Stein, and E. van Merkensteijn, re SUPERBOWL	ELYSIUM-02709197	ELYSIUM-02709197		AUTH; F; H; 403
1730	June 12, 2013	Broad Financial, The Ultimate Solo 401K Order Form for E. van Merkensteijn	Broad_0005502	Broad_0005502		
1731	July 1, 2013	Solo Onboarding Questionnaire for Azalea Pension Plan	MPSKAT00000878	MPSKAT00000893		
1732	November 22, 2013	Reclaim Agreement Between Azalea Pension Plan and Acupay Systems LLC, signed by A. LaRosa (Azalea) and C. Vargas (Acupay)	MPSKAT00000713	MPSKAT00000722		
1733	September 10, 2013	Packet of Azalea Pension Plan Trust First Republic Bank Forms: Trust Certification - New York, and Master Signature Card and Agreement to Open Account(s), and Funds Transfer Agreement and Client Authorization, and Business Information Form	MPSKAT00000756	MPSKAT00000768		
1734	December 11, 2013	Email from S. Furr to A. O'Donnell and N. Parissi, re Funds Transfer	GUNDERSON 00000901	GUNDERSON 00000901		H
1735	January 31, 2014	Azalea Pension Plan Trust January 2014 Simplified Business Checking Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000771	MPSKAT00000772		
1736	May 11, 2016	Email from S. Furr to R. Goicochea and P. Musto, copying R. Carlen, re Azalea - 2015 LLC & Pension Plan Trust Statements, attaching Azalea LLC Bank Statements 2015 and Azalea Pension Plan Bank Statements 2015	GUNDERSON 00007196	GUNDERSON 00007223		H
1737	May 2, 2019	Email from A. Adamkovich to R. Ibrahim and Compliance@vcorpservices.com re R Markowitz February Statement	VCS00001594	VCS00001596		H
1738	March 28, 2017	Email from S. Furr to J. van Merkensteijn and R. Markowitz, re Financials 2015, attaching various plans' December 31, 2015 reports	WH_MDL_00096007	WH_MDL_00096087		
1739	November 24, 2015	Email from S. Furr to Client Service Group, New York, J. van Merkensteijn, and E. van Merkensteijn, re 6 Wire Transfer Requests, attaching 6 Wire Transfer Requests	GUNDERSON 00000035	GUNDERSON 00000041		H
1740	January 31, 2016	January 2016 Azalea Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000819	MPSKAT00000820		
1741	February 29, 2016	February 2016 Azalea Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000821	MPSKAT00000822		
1742	March 31, 2016	March 2016 Azalea Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000823	MPSKAT00000824		
1743	April 30, 2016	April 2016 Azalea Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000825	MPSKAT00000826		
1744	May 31, 2016	May 2016 Azalea Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000827	MPSKAT00000828		
1745	June 30, 2016	June 2016 Azalea Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000829	MPSKAT00000830		
1746	July 31, 2016	July 2016 Azalea Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000831	MPSKAT00000832		
1747	August 31, 2016	August 2016 Azalea Pension Plan Trust Business Money Market Account Statement (Account No. Ending 9-8050) with First Republic Bank	MPSKAT00000833	MPSKAT00000834		
1748	March 14, 2016	Email from S. Furr to J. van Merkensteijn, R. Goicochea, and R. Carlen re Azalea LLC & Pension Plan Trust - 2013 & 2014, attaching Azalea PP to EHvM \$5000	GUNDERSON 00007242	GUNDERSON 00007246		H
1749	August 19, 2016	Email from L. Almanzar to steph@rossteq.com, copying Client Service Group, New York, B. Parson, and N. Parissi, re Wire Transfer Request & account closure, attaching Azalea Pension Plan to EHvM \$3631.05	GUNDERSON 00007938	GUNDERSON 00007940		H
1750	September 20, 2016	Email from S. Furr to J. van Merkensteijn and E. van Merkensteijn, re Azalea LLC - 3160 Wire request to close account	GUNDERSON 00006492	GUNDERSON 00006494		
1751	July 24, 2015	Email from J. van Merkensteijn to M. Ben-Jacob re Azalea Pension Plan Trust, attaching Azalea LLC Financials 2013, Azalea LLC Financials 2014, Azalea Pension Plan 2013, and Azalea Pension Plan 2014	WH_MDL_00254357	WH_MDL_00254384		
1752	September 30, 2016	Packet of December 2013 through September 2016 Monthly Azalea LLC Simplified Business Checking Account Statements (Account No. Ending 5-3160) with First Republic Bank	JHVM_0031697	JHVM_0031764		
1753	February 5, 2014	Invoice No. 00145 from Ganymede Cayman Limited to A. LaRosa (Azalea Pension Plan) for Fee Due Under Services Agreement	MPSKAT00103716	MPSKAT00103716		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1754	May 14, 2014	Invoice No. 00214 from Ganymede Cayman Limited to Azalea Pension Plan for Fee Due Under Services Agreement	MPSKAT00129622	MPSKAT00129622		
1755	June 4, 2014	Invoice No. 00255 from Ganymede Cayman Limited to A. LaRosa (Azalea Pension Plan) for Fee Due Under Services Agreement	MPSKAT00131659	MPSKAT00131659		
1756	July 8, 2014	Invoice No. 00324 from Ganymede Cayman Limited to A. LaRosa (Azalea Pension Plan) for Fee Due Under Services Agreement	MPSKAT00136330	MPSKAT00136330		
1757	September 8, 2014	Invoice No. 00408 from Ganymede Cayman Limited to A. LaRosa (Azalea Pension Plan) for Fee Due Under Services Agreement	MPSKAT00142968	MPSKAT00142968		
1758	January 22, 2015	Invoice No. 00574 from Ganymede Cayman Limited to A. LaRosa (Azalea Pension Plan) for Fee Due Under Services Agreement	MPSKAT00148905	MPSKAT00148905		
1759	July 15, 2015	Invoice No. 01010 from Ganymede Cayman Limited to A. LaRosa (Azalea Pension Plan) for Fee Due Under Services Agreement	MPSKAT00270548	MPSKAT00270548		
1760	October 14, 2014	Service Agreement between A. LaRosa and Azalea Pension Plan	MPSKAT00000746	MPSKAT00000748		
1761	December 5, 2013	Email from A. LaRosa to J. Burge and sp@novus-cap.com re Request for Liquidity - Coloplast A/S	MPSKAT00099865	MPSKAT00099866		
1762	December 31, 2013	Azalea Pension Plan (Account AZA01) Open Position Statement with Solo Capital	MPSKAT00135175	MPSKAT00135183		
1763	December 5, 2013	Email from A. LaRosa to execution@tradewestpoint.com re Request for Liquidity - Coloplast A/S	MPSKAT00175134	MPSKAT00175135		
1764	December 10, 2013	Email from A. LaRosa to M. Smith, copying A. Smith, re Stock Boorow COLOB DC	MPSKAT00215519	MPSKAT00215521		
1765	December 31, 2012	Xiphias LLC Pension Plan Open Position Statement with Solo Capital	MPSKAT00000439	MPSKAT00000452		
1766	December 11, 2012	Email from M. Smith to A. LaRosa, re Xiphias LLC Pension Plan - Account XIP01 - Request for Approved Transaction, attaching 111212 XIP COLOB CONF B, and 111212 XIP DFD MARCH BCLEAR FUT S	MPSKAT00239415	MPSKAT00239418		
1767	December 11, 2012	Email from M. Smith to custody@solo.com and A. LaRosa, re Xiphias LLC Pension Plan - Account XIP01 - Request for Approved Transaction	MPSKAT00239377	MPSKAT00239378		
1768	December 11, 2012	Email from M. Smith to A. LaRosa re Xiphias LLC Pension Plan - Account XIP01 - Request for Approved Transaction	MPSKAT00239348	MPSKAT00239349		
1769	August 7, 2014	Email from DivArb Trader to A. Milne and P. Milne re Request for Liquidity - TDC DC	WH_MDL_00034886	WH_MDL_00034886		
1770	August 13, 2014	Bastion Capital London Ltd Equities Trade Confirmation for Headsail Manufacturing LLC Roth 401K Plan, trade date August 7, 2014	MPSKAT00012077	MPSKAT00012077		
1771	November 5, 2014	Various Emails from tradeapprovals@oldplc.com to Trading@HeadsailPension.com (one attaching HEA01 Q3 2014 Invoice), Emails from Amalthea Enterprises to trading@headsailpension.com, Email from P. Milne to trading@HeadsailPension.com, Email from Ballygate Brokerage to trading@HeadsailPension.com, Email from B. Mistry to trading@HeadsailPension.com and Email from tradeapprovals@oldplc.com to brokerage@ballygatecapital.com (Aug. 7, 2014 - Nov. 5, 2014)	KLUGMAN00002787	KLUGMAN00002809		
1772	November 5, 2014	Ballygate Capital Limited Trade Confirmation for Headsail Manufacturing LLC Roth 401(K) Plan, trade date November 5, 2014	KLUGMAN00005291	KLUGMAN00005291		
1773	November 5, 2014	Email from tradeapprovals@oldplc.com to Trading@HeadsailPension.com, copying mail@amaltheaenterprises.com and tradeapprovals@oldplc.com, re Account (HEA01) - trade matched	KLUGMAN00002806	KLUGMAN00002809		
1774	November 5, 2014	Email from tradeapprovals@oldplc.com to Trading@HeadsailPension.com, copying bhupendra@gnosis-capital.com and tradeapprovals@oldplc.com, re Account (HEA01) - trade approved	KLUGMAN00002809	KLUGMAN00002809		
1775	November 5, 2014	Email from tradeapprovals@oldplc.com to brokerage@ballygatecapital.com, copying Trading@HeadsailPension.com and tradeapprovals@oldplc.com, re Account (BCL01) - trade approved	WH_MDL_00036446	WH_MDL_00036446		
1776	August 7, 2014	Email from DivArb Trader to A. Milne and P. Milne re Request for Liquidity - TDC DC	WH_MDL_00020135	WH_MDL_00020135		
1777	August 13, 2014	Bastion Capital London Ltd Equities Trade Confirmation for Eclouge Industry LLC Roth 401K Plan, trade date August 7, 2014	MPSKAT00011747	MPSKAT00011747		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1778	August 7, 2014	Email from tradeapprovals@oldplc.com to mail@corkoakcapital.com, copying Trading@EclougePension.com and tradeapprovals@oldplc.com re Account (COR01) - trade matched	WH_MDL_00020215	WH_MDL_00020215		
1779	November 5, 2014	Ballygate Capital Limited Trade Confirmation for Eclouge Industry LLC Roth 401(K) Plan, trade dated November 5, 2014	WH_MDL_00019610	WH_MDL_00019610		
1780	November 5, 2014	Email from tradeapprovals@oldplc.com to mail@corkoakcapital.com, copying Trading@EclougePension.com and tradeapprovals@oldplc.com re Account (COR01) - trade matched	WH_MDL_00020481	WH_MDL_00020481		
1781	November 5, 2014	Email from tradeapprovals@oldplc.com to brokerage@ballygatecapital.com, copying Trading@EclougePension.com and tradeapprovals@oldplc.com re Account (BCL01) - trade approved	WH_MDL_00020491	WH_MDL_00020491		
1782	August 7, 2014	Email from P. Milne to P. Milne, trading@EclougePension.com, and A. Milne re Request for Liquidity - TDC DC	WH_MDL_00019670	WH_MDL_00019671		AUTH, F, H, IR, 403
1783	August 12, 2014	Email from DivArb Trader to B. Mistry, re TDC DC Borrow, attaching Eclouge Industry LLC Roth 401K Plan - Stock Loan - TDC	WH_MDL_00020271	WH_MDL_00020273		H; IR
1784	August 12, 2014	Email from DivArb Trader to B. Mistry re TDC DC Borrow	WH_MDL_00020294	WH_MDL_00020294		
1785	November 5, 2014	Email from DivArb Trader to brokerage@ballygatecapital.com re Request for Liquidity - TDC DC	WH_MDL_00020392	WH_MDL_00020392		
1786	August 7, 2014	Email from DivArb Trader to P. Milne re Request for Liquidity - TDC DC	WH_MDL_00065602	WH_MDL_00065603		
1787	August 7, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying tradeapprovals@oldplc.com, re Account (ECL01) - trade entry acknowledged	WH_MDL_00020144	WH_MDL_00020144		
1788	August 7, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying tradeapprovals@oldplc.com, re Account (ECL01) - trade entry acknowledged	WH_MDL_00020206	WH_MDL_00020206		
1789	August 7, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying P. Milne, A. Milne, and tradeapprovals@oldplc.com, re Account (ECL01) - trade approved	WH_MDL_00020228	WH_MDL_00020228		
1790	November 5, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying tradeapprovals@oldplc.com, re Account (ECL01) - trade entry acknowledged	WH_MDL_00020455	WH_MDL_00020455		
1791	November 5, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying tradeapprovals@oldplc.com, re Account (ECL01) - trade entry acknowledged	WH_MDL_00020468	WH_MDL_00020468		
1792	November 5, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying tradeapprovals@oldplc.com, re Account (ECL01) - trade entry acknowledged	WH_MDL_00020475	WH_MDL_00020475		
1793	November 5, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying mail@corkoakcapital.com and tradeapprovals@oldplc.com, re Account (ECL01) - trade matched	WH_MDL_00020488	WH_MDL_00020488		
1794	November 5, 2014	Email from tradeapprovals@oldplc.com to Trading@EclougePension.com, copying brokerage@ballygatecapital.com and tradeapprovals@oldplc.com, re Account (ECL01) - trade approved	WH_MDL_00020499	WH_MDL_00020499		
1795	January 1, 2013	Client Custody Agreement between Solo Capital Partners LLP and The Azalea Pension Plan (2013)	MPSKAT00000587	MPSKAT00000615		
1796	January 1, 2012	Client Custody Agreement between Solo Capital Partners LLP and Lion Advisory Inc. Pension Plan (2012)	MPSKAT00026382	MPSKAT00026390		
1797	January 1, 2013	Client Custody Agreement between Solo Capital Partners LLP and Batavia Capital Pension Plan (2013)	WH_MDL_00115068	WH_MDL_00115096		
1798	2013	Client Custody Agreement between Solo Capital Partners LLP and the Calypso Investments Pension Plan	MPSKAT00001859	MPSKAT00001887		
1799	January 1, 2014	Client Custody Agreement between Solo Capital Partners LLP and Azalea Pension Plan (2014)	MPSKAT00179847	MPSKAT00179868		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1800	February 13, 2014	Email from Custody@solo.com to adam@Azaleapp.com re: Updated Custody Agreement / Notice of Termination with attachments	MPSKAT00179846	MPSKAT00179846		
1801	February 13, 2014	Email from Custody@solo.com to adam@CalypsoInvestments.com, re Updated Custody Agreement / Notice of Termination, attaching Client Custody Agreement between Solo Capital Partners LLP and Calypso Investments Pension Plan (2014)	MPSKAT00104311	MPSKAT00104333		
1802	January 1, 2014	Client Custody Agreement between Solo Capital Partners LLP and Xiphias LLC Pension Plan (2014)	MPSKAT00104036	MPSKAT00104057		
1803	January 1, 2014	Client Custody Agreement between Solo Capital Partners LLP and RJM Capital LLC Pension Plan (2014)	MPSKAT00104151	MPSKAT00104172		
1804	February 13, 2014	Email from Custody@solo.com to adam@RJMCapital.com re: Updated Custody Agreement / Notice of Termination with attachments	MPSKAT00104150	MPSKAT00104150		
1805	January 1, 2014	Client Custody Agreement between Solo Capital Partners LLP and Bernina Pension Plan (2014)	MPSKAT00104174	MPSKAT00104195		
1806	February 13, 2014	Email from Custody@solo.com to adam@Berninap.com re: Updated Custody Agreement / Notice of Termination with attachments	MPSKAT00104173	MPSKAT00104173		
1807	January 1, 2014	Client Custody Agreement between Solo Capital Partners LLP and Lion Advisory Inc. Pension Plan (2014)	MPSKAT00104059	MPSKAT00104080		
1808	February 13, 2014	Email from Custody@solo.com to Adam@LionAdvisory.com re: Updated Custody Agreement / Notice of Termination with attachments	MPSKAT00104058	MPSKAT00104058		
1809	February 13, 2014	Email from Custody@solo.com to adam@BataviaCapMgt.com re: Updated Custody Agreement / Notice of Termination with attachments (Batavia 2014 Agreement)	MPSKAT00104334	MPSKAT00104356		
1810	November 18, 2015	Omineca Pension Plan Trust Balance Sheet as of December 31, 2014	GUNDERSON 00003677	GUNDERSON 00003680		
1811	October 13, 2015	Omineca Pension Plan Trust Balance Sheet as of December 31, 2014	GUNDERSON 00002342	GUNDERSON 00002344		
1812	September 22, 2016	Omineca Pension Plan Trust Profit and Loss Sheet January through December 2015	GUNDERSON 00002894	GUNDERSON 00002909		
1813	February 5, 2014	Invoice No. 00147 from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00103717	MPSKAT00103718		
1814	October 1, 2013	Invoice No. 00096 from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00090276	MPSKAT00090278		
1815	June 17, 2013	Invoice No. 00062 from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00085413	MPSKAT00085414		
1816	June 4, 2014	Invoice No. 00257 from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00131660	MPSKAT00131661		
1817	May 14, 2014	Invoice No. 00216 from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00129627	MPSKAT00129628		
1818	October 15, 2014	Invoice No. 00463 from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00145730	MPSKAT00145731		
1819	July 8, 2014	Invoice No. 00326 from Ganymede Cayman Limited to Bernina Pension Plan	MPSKAT00136270	MPSKAT00136271		
1820	March 3, 2016	Omineca LLC Profit & Loss Statement January through December 2014	WH MDL 00095944	WH MDL 00095946		
1821	February 21, 2013	Limited Power of Attorney for Bernina Pension Plan signed by J. van Merkensteijn and A. LaRosa	MPSKAT00056978	MPSKAT00056978	2279	
1822	April 22, 2013	Acupay Beneficial Owner Declaration Form for Bernina Pension Plan, signed by J. van Merkensteijn	MPSKAT00001435	MPSKAT00001436	2283	
1823	June 30, 2014	Limited Power of Attorney signed by MJB and notarized by P. Wells	MBJ 0005101	MBJ 0005103	2295	F, H
1824	March 26, 2013	Email from adam@Berninap.com to 'Alex Smith' re: CARLB DC attaching Bernina - STOCK LOAN - CARLB.docx	MPSKAT00075848	MPSKAT00075848		
1825	August 21, 2013	Email from adam@Berninap.com to 'Alex Smith' re Stock Loan Recall CARLB DC	MPSKAT00088263	MPSKAT00088264		
1826	August 19, 2016	Email from R. Goicochea to J. van Merkensteijn and S. Furr, copying R. Carlen re FW: Current bible version with attachments (Corrected ver.)	GUNDERSON 00006350	GUNDERSON 00006362	2317 (Corrected ver.)	H; IR; 403 - JHVM team: this mentions John's net worth
1827	August 31, 2012	Custody Statements from Solo Capital Partners LLP for Xiphias LLC Pension Plan	MPSKAT00000380	MPSKAT00000400		
1828	July 29, 2013	Batavia Capital Plans's Limited Power of Attorney with LaRosa	WH MDL 00124744	WH MDL 00124744	2128	
1829	March 20, 2014	Email from J. Burge to A. LaRosa (Batavia Capital Management) and sp@novus-cap.com, re Request for Liquidity - NovoB DC	MPSKAT00189255	MPSKAT00189256		
1830	March 25, 2014	Email from M. Smith to A. LaRosa re: STOCK BORROW IN CARLB DC AND NOVOB DC	MPSKAT00120778	MPSKAT00120780		
1831	March 20, 2014	Email from A. LaRosa to 'Amalthea Enterprises' re Physically Settled Forward - NOVOB DC	MPSKAT00189365	MPSKAT00189365		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1832	March 21, 2014	Email from solotradeapprovals@solo.com to adam@bataviacapmgt.com coping mail@amaltheaenterprises.com re Forward Confirmation AMA01 - BAT01 NOCOB DC 20/03/2014 with attachment	MPSKAT00190088	MPSKAT00190088		
1833	June 11, 2014	Email from A. LaRosa to 'TJM Trading' re Request for Liquidity - NOVOB DC	MPSKAT00200067	MPSKAT00200068		
1834	June 10, 2014	Email from martin.smith@colbrooklimited.com to A. LaRosa re STOCK RECALL IN CARLB DC AND NOVOB DC	MPSKAT00199629	MPSKAT00199631		
1835	June 11, 2014	Email from A. LaRosa to 'Amalthea Enterprises' re: Physically Settled Forward - NOVOB DC	MPSKAT00134270	MPSKAT00134270		
1836	June 13, 2013	Broad Financial Ultimate Solo 401K Order Form for Calypso Investments Pension Plan	Broad_0001295	Broad_0001295		
1837	June 19, 2013	Trust Agreement Under The Calypso Investments Pension Plan Trust	MPSKAT00002182	MPSKAT00002201		
1838	July 29, 2013	Limited Power of Attorney signed by J. Markowitz and A. La Rosa	WH MDL_00097158	WH MDL_00097158		
1839	July 1, 2013	Calypso Solo On-boarding Questionnaire	MPSKAT00002149	MPSKAT00002163		
1840	November 26, 2013	Email from A. LaRosa to C. Benge at Goal, copying reclaims@solocapital.com, re: New Accounts - Tax Service - attaching Power of Attorney - Calypso and Statement of Beneficial Ownership and Questionnaire - Calypso	MPSKAT00098486	MPSKAT00098496		
1841	December 5, 2013	Email from A. LaRosa to execution@fgcsecurities.com, re Request for Liquidity - Coloplast A/S	MPSKAT00099889	MPSKAT00099890		
1842	December 5, 2013	Email from A. LaRosa to J. Burge, sp@novus-cap.com, re: Request for Liquidity - Coloplast A/S	MPSKAT00175174	MPSKAT00175175		
1843	December 10, 2013	Email from A. LaRosa to M. Smith, copying A. Smith, re: Stock Borrow COLOB DC	MPSKAT00100294	MPSKAT00100296		
1844	December 31, 2013	Solo Capital Partners LLP Open Position Statement for Calypso Investments Pension Plan	MPSKAT00200770	MPSKAT00200779		
1845	September 11, 2013	Application to open account for Calypso Investments Pension Plan Trust with First Republic Bank, signed by R. Markowitz and J. Markowitz	MPSKAT00002028	MPSKAT00002040		
1846	January 2, 2014	Ganymede Cayman Limited Invoice No. 00120 to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00101970	MPSKAT00101971		
1847	January 7, 2014	Ganymede Cayman Limited Invoice No. 00135 to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00102224	MPSKAT00102225		
1848	April 30, 2014	Ganymede Cayman Limited Invoice No. 00173 (replacing invoice 00162) to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00128662	MPSKAT00128663		
1849	April 30, 2014	Ganymede Cayman Limited Invoice No. 00184 to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00128817	MPSKAT00128818		
1850	May 14, 2014	Ganymede Cayman Limited Invoice No. 00198 to Calypso Investments Pension Plan	MPSKAT00129589	MPSKAT00129590		
1851	July 1, 2014	Ganymede Cayman Limited Invoice No. 00293 to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00136335	MPSKAT00136336		
1852	October 14, 2014	Ganymede Cayman Limited Invoice No. 00448 to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00145714	MPSKAT00145715		
1853	October 21, 2014	Ganymede Cayman Limited Invoice No. 00498 to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00145770	MPSKAT00145771		
1854	April 29, 2014	Ganymede Cayman Limited Invoice No. 00162 to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00195305	MPSKAT00195306		
1855	July 15, 2015	Ganymede Cayman Limited Invoice No. 001028 to Adam LaRosa (Calypso Investments Pension Plan)	MPSKAT00270551	MPSKAT00270551		
1856	October 14, 2014	Service Agreement between A. LaRosa and Calypso, signed by J. Markowitz and LaRosa	MPSKAT00002025	MPSKAT00002027		
1857	February 28, 2014	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Simplified Business Checking Account	MPSKAT00002045	MPSKAT00002046		
1858	July 31, 2014	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Simplified Business Checking Account	MPSKAT00002055	MPSKAT00002056		
1859	September 30, 2014	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Simplified Business Checking Account	MPSKAT00002061	MPSKAT00002062		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1860	November 30, 2014	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Simplified Business Checking Account	MPSKAT00002065	MPSKAT00002066		
1861	December 31, 2014	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Simplified Business Checking Account	MPSKAT00002067	MPSKAT00002068		
1862	January 31, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Simplified Business Checking Account	MPSKAT00002069	MPSKAT00002070		
1863	February 28, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Simplified Business Checking Account	MPSKAT00002071	MPSKAT00002072		
1864	April 30, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Simplified Business Checking Account	MPSKAT00002075	MPSKAT00002076		
1865	May 31, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002077	MPSKAT00002078		
1866	June 30, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002079	MPSKAT00002080		
1867	July 31, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002081	MPSKAT00002082		
1868	August 31, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002083	MPSKAT00002084		
1869	September 30, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002085	MPSKAT00002086		
1870	October 31, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002087	MPSKAT00002088		
1871	November 30, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002089	MPSKAT00002090		
1872	December 31, 2015	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002091	MPSKAT00002092		
1873	January 31, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002093	MPSKAT00002094		
1874	February 29, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002095	MPSKAT00002096		
1875	March 31, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002097	MPSKAT00002098		
1876	April 30, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002099	MPSKAT00002100		
1877	May 31, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002101	MPSKAT00002102		
1878	June 30, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002103	MPSKAT00002104		
1879	July 31, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002105	MPSKAT00002106		
1880	August 31, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002107	MPSKAT00002108		
1881	September 30, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002109	MPSKAT00002110		
1882	October 31, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002111	MPSKAT00002112		
1883	November 30, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002113	MPSKAT00002114		
1884	December 31, 2016	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002115	MPSKAT00002116		
1885	January 31, 2017	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002117	MPSKAT00002118		
1886	February 28, 2017	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002119	MPSKAT00002120		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1887	March 31, 2017	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002121	MPSKAT00002122		
1888	April 30, 2017	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002123	MPSKAT00002124		
1889	May 31, 2017	First Republic Bank Account Statement for Calypso Investments Pension Plan Trust (account ending in 6286), Business Money Market Account	MPSKAT00002125	MPSKAT00002126		
1890	June 16, 2014	Certificate of Formation of Avanix Management LLC	WH MDL 00143280	WH MDL 00143280		
1891	January 1, 2014	Avanix Management LLC Roth 401(k) Plan Formation Document	WH MDL 00000001	WH MDL 00000103		
1892	Multiple Dates	Solo Capital Partners LLP Statement for Avanix Management LLC Roth 401(k) (AVA01)	KLUGMAN00007326	KLUGMAN00007351		
1893	Multiple Dates	Avanix Management LLC Roth 401(k) Latest Cash Statement	WH MDL 00001785	WH MDL 00001786		
1894	December 15, 2014	Dividend Withholding Tax Reclaim Application for Avanix Management LLC Roth 401(k) Plan	SKAT_MDL_001_00060520	SKAT_MDL_001_00060525		
1895	March 27, 2015	Dividend Withholding Tax Reclaim Application for Avanix Management LLC Roth 401(k) Plan	SKAT_MDL_001_00060526	SKAT_MDL_001_00060531		
1896	March 31, 2015	Dividend Withholding Tax Reclaim Application for Avanix Management LLC Roth 401(k) Plan	SKAT_MDL_001_00060532	SKAT_MDL_001_00060536		
1897	April 17, 2015	Dividend Withholding Tax Reclaim Application for Avanix Management LLC Roth 401(k) Plan	SKAT_MDL_001_00060537	SKAT_MDL_001_00060541		
1898	April 24, 2015	Dividend Withholding Tax Reclaim Application for Avanix Management LLC Roth 401(k) Plan	SKAT_MDL_001_00060542	SKAT_MDL_001_00060549		
1899	May 1, 2015	Dividend Withholding Tax Reclaim Application for Avanix Management LLC Roth 401(k) Plan	SKAT_MDL_001_00060550	SKAT_MDL_001_00060558		
1900	May 22, 2015	Dividend Withholding Tax Reclaim Application for Avanix Management LLC Roth 401(k) Plan	SKAT_MDL_001_00060559	SKAT_MDL_001_00060563		
1901	December 31, 2015	2015 Roadcraft Technologies LLC Rothe 401(k) Plan General Partnership Statements	JHVM_0027436	JHVM_0027436		
1902	July 23, 2014	Headsail Manufacturing LLC Roth 401(K) Plan, Limited Power of Attorney Appointing J. van Merkensteijn as Authorized Representative, signed by M. Ben-Jacob.	MBJ_0083849	MBJ_0083851		
1903	December 31, 2015	2015 Eclouge Industry LLC Roth 401(k) Plan General Partnership Statements	JHVM_0027417	JHVM_0027417		
1904	December 31, 2015	2015 Sternway Logistics LLC Roth 401(k) Plan General Partnership Statements	JHVM_0027428	JHVM_0027428		
1905	December 31, 2015	2015 Albedo Management LLC Roth 401(k) Plan General Partnership Statements	JHVM_0027409	JHVM_0027409		
1906	December 31, 2015	2015 Bareroot Capital LLC Roth 401(K) Plan General Partnership Statements	JHVM_0027411	JHVM_0027411		
1907	February 20, 2015	Green Scale Management LLC Roth 401(K) Plan, Limited Power of Attorney Appointing J. van Merkensteijn as Authorized Representative, signed by M. Ben-Jacob.	WH_MDL_00396139	WH_MDL_00396141		
1908	October 3, 2012	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re Denmark	JHVM_0005657	JHVM_0005659		H, F
1909	October 16, 2012	Email from A. La Rosa to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re DKK Funds Received, attaching 20121016 DKK Paid Reclaims spreadsheet	JHVM_0005552	JHVM_0005555		H, F
1910	October 19, 2012	Email from A. La Rosa (alarosa@argremgt.com) to R. Markowitz, M. Stein, J. Lhote and J. van Merkensteijn, re Denmark, attaching 20121019_Argre Management_Reclaim Report Long Form Refunds Denmark_several BOs spreadsheet [other attachment is a htm file]	MPSKAT00242937	MPSKAT00242943		H, F
1911	January 18, 2013	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re outstanding Danish reclaims paid, attaching ATTACH006 spreadsheet [other attachments are image files]	JHVM_0006887	JHVM_0006895		H, F
1912	February 5, 2013	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re DENMARK, attaching Argre Mgt Inv 73 and To Be Paid Items 300113 Argre spreadsheet	JHVM_0005577	JHVM_0005586		H, F, 403
1913	February 25, 2013	Limited Powers of Attorney appointing A. La Rosa for various pension plans (May 14, 2012 - Feb. 25, 2013)	MPSKAT00056975	MPSKAT00056992		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1914	March 7, 2013	Email from solotradeapprovals@solo.com to adam@Berninap.com, copying jfoley@fgcsecurities.com, swheeler@fgcsecurities.com, dlyristis@fgcsecurities.com, execution@fgcsecurities.com and solotradeapprovals@solo.com, re Account (BER01) - Trade Approved	ELYSIUM-01383173	ELYSIUM-01383173		AUTH; F; H; 403
1915	March 7, 2013	Email from A. La Rosa to R. Markowitz, J. Lhote, M. Stein and J. van Merkensteijn, re Rajan Investments - CHR Hansen and Coloplast - Refunds received, attaching 20130307_Agre Management_Reclaim Report Long Form Refunds Denmark_Rajan spreadsheet	JHVM_0004736	JHVM_0004737		H, F
1916	March 8, 2013	Email from A. La Rosa (Adam@MillRiverCapital.com) to Execution (execution@fgcsecurities.com), re Request for Liquidity - Coloplast A/S	MPSKAT00072495	MPSKAT00072496		
1917	March 8, 2013	Email from A. La Rosa (Adam@MillRiverCapital.com) to M. Murphy (MikeM@novus-cap.com and sp@novus-cap.com, re Request for Liquidity - Coloplast A/S	MPSKAT00072729	MPSKAT00072731		
1918	March 8, 2013	Email from M. Murphy (MikeM@novus-cap.com to A. La Rosa (Adam@MillRiverCapital.com), copying sp@novus-cap.com, re Request for Liquidity - Coloplast A/S	MPSKAT00072775	MPSKAT00072776		
1919	March 12, 2013	Email from A. La Rosa (adam@Berninap.com) to martin.smith@colbrooklimited.com, re STOCK BORROW IN TDC DC, attaching Bernina - STOCK LOAN - TDC	MPSKAT00073802	MPSKAT00073804		
1920	March 20, 2013	Email from J. Burge to A. La Rosa (adam@Xiphiasp.com) and sp@novus-cap.com, re TRADE CONFIRMS - 20/03/13, attaching XIP01 NOVOB DC 200313 and XIP01 NYF DEC 200313	MPSKAT00074728	MPSKAT00074730		
1921	March 25, 2013	Email from N. Teraiya to A. La Rosa (adam@Xiphiasp.com), re [No Subject]	MPSKAT00075664	MPSKAT00075666		
1922	March 25, 2013	Email from A. La Rosa (adam@RJMCapitalp.com) to A. Smith (alex.smith@colbrooklimited.com) and martin.smith@colbrooklimited.com, re Stockloan, attaching RJM - STOCK LOAN - TDC	MPSKAT00075679	MPSKAT00075680		
1923	March 25, 2013	Email from N. Teraiya to A. La Rosa (Adam@MichelleInvestments.com), re [No Subject]	MPSKAT00075697	MPSKAT00075699		
1924	March 26, 2013	Email from A. La Rosa (adam@RJMCapitalp.com) to Amalthea Enterprises, re CARLB / DSV, attaching RJM - STOCK LOAN - DSV and RJM - STOCK LOAN - CARLB	MPSKAT00075994	MPSKAT00075996		
1925	March 26, 2013	Email from A. La Rosa (adam@RJMCapitalp.com) to N. Teraiya, re [No Subject], attaching RJM - STOCK LOAN - LUN	MPSKAT00076068	MPSKAT00076070		
1926	April 10, 2013	Email from A. La Rosa (adam@RJMCapitalp.com) to N. Teraiya, re FLS DC, attaching RJM - STOCK LOAN - FLS	MPSKAT00076771	MPSKAT00076772		
1927	April 16, 2013	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, R. Markowitz, J. Lhote and J. van Merkensteijn, re Danish refund amounts received for Rajan Investments Pension Plan, attaching ATTACH006, ATTACH007, ATTACH008, ATTACH009 and ATTACH010 spreadsheets [other attachments are image files]	JHVM_0006814	JHVM_0006825		H, F
1928	April 16, 2013	Email from A. La Rosa (adam@RJMCapitalp.com) to Amalthea Enterprises, re MAERSKA, attaching RJM - STOCK LOAN - Maerska	MPSKAT00077637	MPSKAT00077638		
1929	April 16, 2013	Email from A. La Rosa (adam@RJMCapitalp.com) to sbl@colbrooklimited.com, re MAERSKB DC BORROW, attaching RJM - STOCK LOAN - MaerskB	MPSKAT00077639	MPSKAT00077640		
1930	April 16, 2013	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, R. Markowitz, J. Lhote and J. van Merkensteijn, re Danish refund amounts received for Rajan Investments Pension Plan, attaching 20130416_Rajan Investments Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet, 20130416_California Catalogue Company Pension Plan_Reclaim Report Long... spreadsheet, 20130416_Michelle Investments Pension Plan_Reclaim Report Long Form Re... spreadsheet, 20130416_Raubritter LLC Pension Plan_Reclaim Report Long Form Refunds... spreadsheet and 20130416_Xiphias Pension Plan_Reclaim Report Long Form Refunds Denmark... spreadsheet	MPSKAT00243054	MPSKAT00243059		H, F

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1931	April 17, 2013	Email from A. La Rosa to R. Markowitz, J. Lhote and J. van Merkensteijn, re overview of long form refunds, attaching 20130416_Overview Long Form Reclaims - Argre Management spreadsheet	JHVM_0005236	JHVM_0005238		H, F
1932	April 17, 2013	Email from A. La Rosa (alarosa@argremgt.com) to R. Markowitz, J. Lhote and J. van Merkensteijn, re overview of long form refunds, attaching 20130416 Overview Long Form Reclaims - Argre Management spreadsheet [other attachments are image files]	JHVM_0007195	JHVM_0007203		H, F
1933	April 26, 2013	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re overview of long form refunds, attaching ATTACH006 spreadsheet [other attachments are image files]	JHVM_0007720	JHVM_0007730		H, F
1934	April 30, 2013	Open Position Statement from Solo Capital Partners LLP to Bernina Pension Plan	MPSKAT00000060	MPSKAT00000075		
1935	May 3, 2013	Email from A. La Rosa to J. van Merkensteijn, R. Markowitz and J. Lhote, copying M. Stein, re Danish Funds Received, attaching Agre_Payment Summary 03052013 spreadsheet	JHVM_0005591	JHVM_0005594		H, F
1936	May 17, 2013	Email from A. La Rosa to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re Overview all long form reclaims submitted via Acupay, attaching 20130517_Agre Management - Overview Austrian, Belgian, Danish long form reclaims spreadsheet	JHVM_0005056	JHVM_0005057		H, F, IR, C
1937	May 17, 2013	Email from A. La Rosa (alarosa@argremgt.com) to A. Colodner, R. Markowitz, J. Lhote and J. van Merkensteijn, copying M. Stein, re Danish Funds Received, attaching Argre Payment Summary 22052013 spreadsheet [other attachments are image files]	JHVM_0007712	JHVM_0007719		H, F
1938	May 17, 2013	Email from A. La Rosa (adam@dflinvestments.com) to A. O'Donnell, re Danish refund amounts received for DFL Investments Pension Plan, attaching 20130516_DFL Investments Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00081194	MPSKAT00081196		H, F
1939	May 17, 2013	Email from A. La Rosa (adam@laegelerassetmanagement.com) to A. O'Donnell, re Laegeler Asset Management Pension Plan, attaching 20130516_Laegeler Asset Management Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00081203	MPSKAT00081207		H, F
1940	May 17, 2013	Email from A. La Rosa (adam@berminap.com) to A. O'Donnell, re Danish refund amounts received for Bernina Pension Plan, attaching 20130516_Bernina Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00081211	MPSKAT00081214		H, F
1941	May 17, 2013	Email from A. La Rosa (adam@Xiphiasp.com) to A. O'Donnell, re Danish refund amounts received for Xiphias Pension Plan, attaching 20130516_Xiphias Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00081217	MPSKAT00081219		H, F
1942	May 17, 2013	Email from A. La Rosa (adam@Raubritterp.com) to A. O'Donnell, re Danish refund amounts received for Raubritter Pension Plan, attaching 20130516_Raubritter LLC Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00081225	MPSKAT00081227		H, F
1943	May 17, 2013	Email from A. La Rosa (Adam@MichelleInvestments.com) to A. O'Donnell, re Danish refund amounts received for Michelle Investments Pension Plan, attaching 20130516_Michelle Investments Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00081233	MPSKAT00081235		H, F
1944	May 17, 2013	Email from A. La Rosa (Adam@CaliforniaCatCo.com) to A. O'Donnell, re Danish refund amounts received for California Catalogue Company Pension Plan, attaching 20130516 California Catalogue Company Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00081239	MPSKAT00081241		H, F
1945	May 17, 2013	Email from A. La Rosa (alarosa@argremgt.com) to A. O'Donnell, re Danish refund amounts received for Rajan Investments Pension Plan, attaching 20130516_Rajan Investments Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00244472	MPSKAT00244475		H, F

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1946	May 17, 2013	Email from A. La Rosa (alarosa@argremgt.com) to A. O'Donnell, re Danish refunds amount received for 2321 Capital Pension Plan, attaching 20130516_2321 Capital Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	MPSKAT00244476	MPSKAT00244479		H, F
1947	May 31, 2013	Open Position Statement from Solo Capital Partners LLP to Bernina Pension Plan	MPSKAT00085693	MPSKAT00085723		
1948	June 14, 2013	Email from A. La Rosa to M. Stein, J. Lhote, R Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for Next Level Pension Plan, attaching 20130614_Next Level Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005259	JHVM_0005260		H, F
1949	June 14, 2013	Email from A. La Rosa (Adam@MichelleInvestments.com) to M. Stein, J. Lhote, R. Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for Michelle Investments Pension Plan, attaching 20130614_Michelle Investments Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005261	JHVM_0005262		H, F
1950	June 14, 2013	Email from A. La Rosa (adam@raubritterp.com) to M. Stein, J. Lhote, R. Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for Raubritter LLC Pension Plan, attaching 20130614_Raubritter LLC Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005281	JHVM_0005282		H, F
1951	June 14, 2013	Email from A. La Rosa to M. Stein, J. Lhote, R Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for Rajan Investments Pension Plan, attaching 20130614_Rajan Investments Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005286	JHVM_0005287		H, F
1952	June 14, 2013	Email from A. La Rosa (adam@Berninap) to M. Stein, J. Lhote, R. Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for Bernina Pension Plan, attaching 20130614_Bernina Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005288	JHVM_0005289		H, F
1953	June 14, 2013	Email from A. La Rosa to M. Stein, J. Lhote, R Markowitz, J. van Merkensteijn and A. Colodner re Danish long form refund amounts received for 2321 Capital Pension Plan, attaching 20130614_2321 Capital Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005292	JHVM_0005293		H, F
1954	June 14, 2013	Email from A. La Rosa (Adam@CaliforniaCatCo.com) to M. Stein, J. Lhote, R. Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for California Catalogue Pension Plan, attaching 20130614_California Catalogue Company Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005294	JHVM_0005295		H, F
1955	June 14, 2013	Email from A. La Rosa to M. Stein, J. Lhote, R Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for Spirit on the Water Pension Plan, attaching 20130614_Spirit on the Water Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005332	JHVM_0005333		H, F
1956	June 14, 2013	Email from A. La Rosa (adam@DFLInvestments.com) to M. Stein, J. Lhote, R Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for DFL Investments Pension Plan, attaching 20130614_DFL Investments Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005488	JHVM_0005489		H, F
1957	June 14, 2013	Email from A. La Rosa (adam@LEAGELERASSETMANAGEMENT.COM) to M. Stein, J. Lhote, R Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for Laegeler Asset Management Pension Plan, attaching 20130614_Laegeler Asset Management Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005490	JHVM_0005491		H, F
1958	June 14, 2013	Email from A. La Rosa (adam@Xiphiasp.com) to M. Stein, J. Lhote, R Markowitz, J. van Merkensteijn and A. Colodner, re Danish long form refund amounts received for Xiphias Pension Plan, attaching 20130614_Xiphias Pension Plan_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005492	JHVM_0005493		H, F

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1959	June 18, 2013	Email from A. La Rosa to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re Tax Refund Payment Summary 18/06/2013, attaching Agre Tax Payment Summary 180613 spreadsheet	JHVM_0005672	JHVM_0005674		H, F
1960	June 21, 2013	Email from A. La Rosa to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re Most up to date overview of long form reclaims - Austria/Belgium/Denmark, attaching 20130621_Agre Management - Overview Austrian, Belgian, Danish long form reclaims spreadsheet	JHVM_0005512	JHVM_0005513		H, F
1961	June 26, 2013	Email from A. La Rosa to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re ARGRE Tax Refund Payment Summary 260613, attaching Argre Payment Summary 260613 spreadsheet	JHVM_0005548	JHVM_0005551		H, C, F
1962	June 26, 2013	Email from A. La Rosa (adam@DavinInvestmentsp.com) to R. Markowitz, M. Stein, A. Colodner, J. van Merkensteijn and J. Lhote, re Danish long form refunds received from tax authorities, attaching ATTACH006 spreadsheet [other attachments are image files]	JHVM_0006805	JHVM_0006813		H, F
1963	June 30, 2013	Open Position Statement from Solo Capital Partners LLP to Bernina Pension Plan	MPSKAT00091459	MPSKAT00091491		
1964	July 1, 2013	Batavia LLC Formation Document	MPSKAT00001255	MPSKAT00001267		
1965	July 31, 2013	Open Position Statement from Solo Capital Partners LLP to Bernina Pension Plan	MPSKAT00091492	MPSKAT00091524		
1966	August 31, 2013	Open Position Statement from Solo Capital Partners LLP to Bernina Pension Plan	MPSKAT00091525	MPSKAT00091555		
1967	September 22, 2013	Email from A. La Rosa to M. Stein, J. Lhote and J. van Merkensteijn, re Overview long form reclaims - Denmark, attaching 20130921_Agre Management - Overview Danish long form reclaims spreadsheet	WH_MDL_00479311	WH_MDL_00479312		H, F
1968	September 24, 2013	Email from A. La Rosa to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re DKK reclaims, attaching Argre Payment Summary 20092013 spreadsheet	JHVM_0005538	JHVM_0005547		H, F, 403
1969	September 30, 2013	Open Position Statement from Solo Capital Partners LLP to Bernina Pension Plan	MPSKAT00091556	MPSKAT00091581		
1970	October 21, 2013	Email from A. La Rosa to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re DKK refunds for Danish refund applications sent on 20/09 received, attaching 20131021_Solo Capital Partners_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005079	JHVM_0005081		H, F, IR, C
1971	November 4, 2013	Calypso Investments Pension Plan Trust - Minutes of a Meeting of the Board of Trustees of the Trust	MPSKAT00001854	MPSKAT00001855		
1972	November 29, 2013	Email from A. La Rosa (adam@RJMCapitalp.com) to N. Teraiya, re CHR DC, attaching RJM - STOCK LOAN - CHR2013	MPSKAT00098922	MPSKAT00098924		
1973	December 5, 2013	Email from A. La Rosa (adam@Xiphiasp.com) to execution@fgcsecurities.com, re Request for Liquidity - Coloplast A/S	MPSKAT00099907	MPSKAT00099907		
1974	December 5, 2013	Email from A. La Rosa (adam@Xiphiasp.com) to J. Burge and sp@novus-cap.com, re Request for Liquidity - Coloplast A/S	MPSKAT00175150	MPSKAT00175151		
1975	December 10, 2013	Email from A. La Rosa (adam@RJMCapitalp.com) to N. Teraiya, re [No Subject], attaching RJM - STOCK LOAN - COLOB 2013	MPSKAT00100209	MPSKAT00100210		
1976	December 10, 2013	Email from A. La Rosa (adam@Xiphiasp.com) to N. Teraiya at Aquila (Cayman) Limited, re [No Subject]	MPSKAT00175653	MPSKAT00175654		
1977	December 11, 2013	Email from A. La Rosa to R. Markowitz, M. Stein, J. Lhote and J. van Merkensteijn, re Chr Hansen Holding A/S, attaching 20131211_Agre Management - Overview Danish long form reclaims spreadsheet	JHVM_0006479	JHVM_0006480		H, F
1978	December 27, 2013	Email from A. La Rosa to R. Markowitz, J. van Merkensteijn, M. Stein and J. Lhote, re Danish tax refunds on CHR Hansen Holding A/S dividend payment received from tax authorities today, attaching 20131227 Argre Management_Reclaim Report Long Form Refunds Denmark spreadsheet	JHVM_0005283	JHVM_0005285		H, F
1979	December 30, 2013	Email from A. La Rosa (alarosa@argremgt.com) to R. Markowitz, J. van Merkensteijn and J. Lhote, re Solo Tax Refund Payment Summary, attaching Solo Payment Summary 301213 spreadsheet [other attachments are htm and image files]	JHVM_0005242	JHVM_0005258		H, F
1980	December 31, 2013	JP Morgan Account Statements for Bernina LLC (Mar. 13, 2013 - Dec. 31, 2013)	JHVM_0031877	JHVM_0031916		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1981	January 6, 2014	Email from A. La Rosa (alarosa@argremgt.com) to R. Markowitz, M. Stein, J. Lhote and J. van Merkensteijn, re Solo Tax Refund Payment Summary, attaching Solo DKK Payment Summary 070114 spreadsheet	JHVM_0006519	JHVM_0006521		H, F
1982	February 4, 2014	Email from A. La Rosa (alarosa@argremgt.com) to J. van Merkensteijn, M. Stein, J. Lhote and R. Markowitz, re Danish tax refunds received, attaching ATTACH000 spreadsheet	JHVM_0007207	JHVM_0007208		H, F
1983	March 3, 2014	Email from A. La Rosa (adam@RJMCapitalp.com) to martin.smith@colbrooklimited.com, re Stock Borrow in NZYMB DC, attaching RJM - STOCK LOAN - NZYMB 2014	MPSKAT00108607	MPSKAT00108608		
1984	March 3, 2014	Email from A. La Rosa (adam@Berninap.com) to martin.smith@colbrooklimited.com, re Stock Borrow in NZYMB DC, attaching Bernina - STOCK LOAN - NZYMB 2014	MPSKAT00108618	MPSKAT00108619		
1985	March 11, 2014	Email from A. La Rosa (adam@delvianp.com) to A. Smith (alex.smith@colbrooklimited.com), re TDC DC, attaching DELVIAN - STOCK LOAN - TDC 2014	MPSKAT00113077	MPSKAT00113078		
1986	March 11, 2014	Email from A. La Rosa (adam@RJMCapitalp.com) to A. Smith (alex.smith@colbrooklimited.com), re TDC DC, attaching RJM - STOCK LOAN - TDC 2014	MPSKAT00113093	MPSKAT00113094		
1987	March 24, 2014	Email from A. La Rosa (adam@RJMCapitalp.com) to martin.smith@colbrooklimited.com, re STOCK BORROW IN PNDORA DC, attaching RJM - STOCK LOAN - PNDORA	MPSKAT00120277	MPSKAT00120278		
1988	March 25, 2014	Email from A. La Rosa (adam@RJMCapitalp.com) to martin.smith@colbrooklimited.com, re STOCK BORROW IN CARLB DC AND NOVOB DC, attaching RJM - STOCK LOAN - CARLB14 and RJM - STOCK LOAN - NOVO2014	MPSKAT00120733	MPSKAT00120735		
1989	April 3, 2014	Email from A. La Rosa (adam@RJMCapitalp.com) to martin.smith@colbrooklimited.com, re STOCK BORROW IN MAERSKA DC AND MAERSKB DC, attaching RJM - STOCK LOAN - Maerska AAA and RJM - STOCK LOAN - Maerska BBB	MPSKAT00124633	MPSKAT00124635		
1990	April 16, 2014	Email from A. La Rosa to M. Stein, J. Lhote, J. van Merkensteijn and R. Markowitz, re Solo Payment Summary 22/04/14, attaching Solo DKK Payment Summary 220414 spreadsheet	JHVM_0004942	JHVM_0004944		H, C, F
1991	April 28, 2014	Email from A. La Rosa to M. Stein, R. Markowitz, J. van Merkensteijn and J. Lhote, re Solo Payment Summary 28/04/14, attaching Solo DKK Payment Summary 280414 spreadsheet	JHVM_0005221	JHVM_0005223		H, F
1992	April 30, 2014	First Republic Bank Account Statements - Azalea Pension Plan Trust (April 1, 2014 - April 30, 2014)	MPSKAT00000779	MPSKAT00000780		
1993	May 1, 2014	Email from A. La Rosa (alarosa@argremgt.com) to R. Markowitz, J. van Merkensteijn, M. Stein and J. Lhote, re Solo Payment Summary 010514, attaching Solo DKK Payment Summary 290414 spreadsheet [other attachments are htm and image files]	JHVM_0005315	JHVM_0005331		H, IR, F
1994	May 13, 2014	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re Solo Payment Summary 130514, attaching Solo DKK Payment Summary 130514 spreadsheet [other attachments are htm and image files]	JHVM_0010840	JHVM_0010857		H, F
1995	May 15, 2014	Email from A. La Rosa to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re Refunds received from Danish tax authorities, attaching 20140515_Argre Management_Reclaim Report Long Form Refunds Denmark_Submitted March 31 v3 spreadsheet	JHVM_0005559	JHVM_0005562		H, F
1996	May 30, 2014	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re Didn't have a chance to call you back, attaching 20140530_Argre Management - Overview Belgian long form reclaims spreadsheet and 20140530_Argre Management - Overview Danish long form reclaims spreadsheet	JHVM_0010631	JHVM_0010634		H, F

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
1997	June 4, 2014	Email from A. La Rosa to R. Markowitz, M. Stein, J. Lhote and J. van Merkensteijn, re Long form reclaim amounts received from Danish tax authorities today, attaching 20140603_Argre Management_Reclaim Report Long Form Refunds Denmark_Submitted April 29_pp spreadsheet	JHVM_0005518	JHVM_0005520		H, F
1998	June 30, 2014	Email from A. La Rosa to R. Markowitz, J. van Merkensteijn, J. Lhote and M. Stein, re Solo DKK Payment Summary 300614, attaching Solo DKK Payment Summary 300614 spreadsheet	JHVM_0005556	JHVM_0005558		H, F
1999	June 30, 2014	Email from A. La Rosa to M. Stein, J. Lhote and L. McGee, re Oryx Payment Summary 300614, attaching Oryx Payment Summary 300614 spreadsheet	MPSKAT00135741	MPSKAT00135743		H, F, IR, 403
2000	July 8, 2014	Email from A. La Rosa (alarosa@argremgt.com) to R. Markowitz, J. van Merkensteijn, M. Stein and J. Lhote, copying A. Colodner, re DKK refunds received today [attachment could not be processed]	JHVM_0006780	JHVM_0006781		H, F
2001	July 8, 2014	Email from A. La Rosa to A. Colodner and R. Markowitz, re DKK refunds received today, attaching 20140708_Argre Management_Reclaim Report Long Form Refunds Denmark_Submitted May and June 2014_pp spreadsheet	MPSKAT00275460	MPSKAT00275462		H, F
2002	August 1, 2014	Basalt Ventures LLC Roth 401(K) Plan Form 8802	GUNDERSON 00003599	GUNDERSON 00003601		
2003	August 1, 2014	Headsail Manufacturing LLC Roth 401(K) Plan Form 8802	KLUGMAN00018763	KLUGMAN00018765		
2004	August 7, 2014	Email from tradeapprovals@oldplc.com to Trading@AvanixPension.com, copying Patrickmilne@bastioncapital.co.uk, AdrianMilne@totemjlt.com and tradeapprovals@oldplc.com, re Account (AVA01) - trade approved	ELYSIUM-03294494	ELYSIUM-03294494		AUTH; F; H; 403
2005	August 12, 2014	Email from trading@RoadcraftPension.com to B. Mistry, re TDC DC Borrow, attaching Roadcraft Technologies LLC Roth 401K Plan - Stock Loan - TDC	WH_MDL_00020283	WH_MDL_00020285		
2006	August 12, 2014	Email from DivArb Trader (trading@basaltpension.com) to B. Mistry, re TDC DC Borrow, attaching Basalt Ventures LLC Roth 401K Plan - Stock Loan - TDC	WH_MDL_00035269	WH_MDL_00035271		
2007	August 12, 2014	Email from trading@AvanixPension.com to B. Mistry, re TDC DC Borrow, attaching Avanix Management LLC Roth 401(k) - Stock Loan - TDC	WH_MDL_00035341	WH_MDL_00035343		
2008	August 30, 2014	Email from A. La Rosa to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re € 14,960,979.05 received from Belgian tax authorities	WH_MDL_00488564	WH_MDL_00488564		H, F
2009	September 5, 2014	Email from A. La Rosa to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re DKK refunds received, attaching 20140509_Argre Management_Reclaim Report Long Form Refunds Denmark_RefundsSeptember 2014 spreadsheet	JHVM_0005904	JHVM_0005906		H, F
2010	September 11, 2014	Email from A. La Rosa (alarosa@argremgt.com) to M. Stein, J. Lhote, R. Markowitz and J. van Merkensteijn, re Solo Capital EUR Payment Summary 110914, attaching Solo Capital EUR Payment Summary 080914 spreadsheet [other attachments are htm and image files]	JHVM_0013266	JHVM_0013280		H, F
2011	September 12, 2014	Email from A. Colodner to A. La Rosa, J. Lhote, M. Stein, R. Markowitz and J. van Merkensteijn, re Wires came in this morning to the attached accounts from Goal, attaching Payment Summary 09.12.2014 spreadsheet	JHVM_0005587	JHVM_0005588		H, F, IR
2012	October 6, 2014	Date from Parent Email - Quarter 3 - 2014, Avanix Management LLC Roth 401(K) Remittance Breakdown - AVA01/Q3/2014 Invoice from Old Park Lane Capital PLC	WH_MDL_00030270	WH_MDL_00030272		
2013	October 15, 2014	Email from A. La Rosa to A. Colodner, R. Markowitz, J. van Merkensteijn, M. Stein and J. Lhote, re DKK refunds received, attaching 20141015_Argre Management - Payment Report Long Form Reclaims Denmark spreadsheet	JHVM_0005514	JHVM_0005516		H, F
2014	October 16, 2014	Email from A. La Rosa to R. Markowitz and A. Colodner, re DKK refunds received, attaching 20141016_Argre Management - Payment Report Long Form Reclaims Denmark(2) spreadsheet	MPSKAT00274833	MPSKAT00274835		H, F
2015	October 21, 2014	Email from A. Colodner to A. La Rosa, R. Markowitz, J. van Merkensteijn, M. Stein and J. Lhote, re Solo Capital DKK Payment Summary 211014, attaching Payment Summary 10.21.2014 spreadsheet	JHVM_0027281	JHVM_0027283		H, F
2016	October 31, 2014	First Republic Bank Account Statements - Azalea Pension Plan Trust (October 1, 2014 - October 31, 2014)	MPSKAT00000789	MPSKAT00000790		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
2017	November 5, 2014	Email from M. Zobbio to P. Wells, copying A. Gregory and R. Veillette, re Long form reclaim amount received from Danish tax authorities	WH_MDL_00166786	WH_MDL_00166787		H, F
2018	November 30, 2014	Wells Fargo Gold Business Services Package Account Statement for Eclouge Industry LLC Roth 401K Plan (Nov. 1, 2014 - Nov. 30, 2014 (account no. 3954)	EC00000160	EC00000162		
2019	November 30, 2014	First Republic Bank Account Statements - Azalea Pension Plan Trust (November 1, 2014 - November 30, 2014)	MPSKAT00000791	MPSKAT00000792		
2020	December 5, 2014	Email from M. Zobbio to admin@GreenScalePension.com and reclaims@acupay.com, copying P. Wells, re Danish reclaims - payment report, attaching 20141204_Green scale Management Plan_DK Payment Report spreadsheet	WH_MDL_00067368	WH_MDL_00067370		H, F, IR
2021	December 5, 2014	Email from M. Zobbio to Battu Holdings LLC Roth 401(K) Plan (Administration), copying P. Wells and reclaims, re [WARNING: MESSAGE ENCRYPTED- ONLY OPEN FROM KNOWN SENDERS] Danish reclaims - payment report, attaching 20141204_Battu Holdings Plan_DK Payment Report spreadsheet	WH_MDL_00168831	WH_MDL_00168833		H, F
2022	December 5, 2014	Email from M. Zobbio to Loggerhead Services LLC Roth 401(K) Plan, copying P. Wells and reclaims, re [WARNING: MESSAGE ENCRYPTED- ONLY OPEN FROM KNOWN SENDERS] Danish reclaims - payment report, attaching 20141204_Loggerhead Services Plan_DK Payment Report spreadsheet	WH_MDL_00168834	WH_MDL_00168836		H, F
2023	December 5, 2014	Email from M. Zobbio to Plumrose Industries LLC Roth 401(K) Plan (Administration), copying P. Wells and reclaims, re [WARNING: MESSAGE ENCRYPTED- ONLY OPEN FROM KNOWN SENDERS] Danish reclaims - payment report, attaching 20141204_Plumrose Industries_DK Payment Report spreadsheet	WH_MDL_00168837	WH_MDL_00168839		H, F
2024	December 5, 2014	Email from M. Zobbio to Voojo Productions LLC Roth 401(K) Plan (Administration), copying P. Wells and reclaims, re [WARNING: MESSAGE ENCRYPTED- ONLY OPEN FROM KNOWN SENDERS] Danish reclaims - payment report, attaching 20141204_Voojo Productions Plan_DK Payment Report spreadsheet	WH_MDL_00168840	WH_MDL_00168842		H, F
2025	December 5, 2014	Email from M. Zobbio to Green Scale Management LLC Roth 401(K) Plan (Administration), copying P. Wells and reclaims, re Danish reclaims - payment report	WH_MDL_00317063	WH_MDL_00317064		H, F
2026	December 19, 2014	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from November 25, 2014 - December 19, 2014 (account no 7362304417)	KLUGMAN00069264	KLUGMAN00069267		Cannot locate
2027	December 31, 2014	JP Morgan Account Statements for Bernina LLC (Jan. 1, 2014 - Dec. 31, 2014)	JHVM_0031827	JHVM_0031876		
2028	December 31, 2014	First Republic Bank Account Statements - Azalea Pension Plan Trust (December 1, 2014 - December 31, 2014)	MPSKAT00000793	MPSKAT00000794		
2029	January 7, 2015	Email from J. White (jwhite@goalgroup.com) to Custody (custody@oldplc.com), copying P. Wells and Client Services (SG), re [WARNING: MESSAGE ENCRYPTED- ONLY OPEN FROM KNOWN SENDERS] Old Park Lane Capital Payment Summary 070115, attaching Old Park Lane Capital Payment Summary 070115 spreadsheet and Invoices 495-503	WH_MDL_00246799	WH_MDL_00246810		H, F
2030	January 19, 2015	Email from T. Mullery (tmullery@goalgroup.com) to Custody (custody@oldplc.com), copying P. Wells and Client Services (SG), re [WARNING: MESSAGE ENCRYPTED- ONLY OPEN FROM KNOWN SENDERS] Old Park Lane Capital Payment Summary 200115, attaching Old Park Lane Capital Payment Summary 200115 spreadsheet	WH_MDL_00170985	WH_MDL_00170987		H, F
2031	January 20, 2015	Email from P. Wells to R. Markowitz, re [WARNING: MESSAGE ENCRYPTED]FW: Old Park Lane Capital Payment Summary 200115, attaching Old Park Lane Capital Payment Summary 200115 spreadsheet	WH_MDL_00317960	WH_MDL_00317961		H, F
2032	January 23, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from December 20, 2014 - January 23, 2015 (account no 7362304417)	KLUGMAN00069252	KLUGMAN00069254		Cannot locate

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
2033	January 27, 2015	Email from T. Mullery to custody@oldplc.com and P. Wells, copying Client Services (SG), re Old Park Lane Capital Payment Summary 280115 [attachments not included on Relativity, Old Park Lane Capital Payment Summary 280115 spreadsheet and Aerovane Logistics LLC 530 (2)]	AEROVN00000814	AEROVN00000815		Cannot locate
2034	January 27, 2015	Email from P. Wells to R. Markowitz, re [WARNING: MESSAGE ENCRYPTED] Old Park Lane Capital Payment Summary 280115, attaching Aerovane Logistics LLC 530 (2) and Old Park Lane Capital Payment Summary 280115 spreadsheet	WH_MDL_00318601	WH_MDL_00318603		H, F
2035	February 5, 2015	Email from A. Colodner to M. Thurman, re Bernina Pension Plan Cash Statement, attaching BER01 Statement 310115 [Custodian Statement from Solo Capital Partners LLP to Bernina Pension Plan October 2013 - February 2014]	MPSKAT00267146	MPSKAT00267149		
2036	February 23, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from January 24, 2015 - February 23, 2015 (account no 7362304417)	KLUGMAN00069297	KLUGMAN00069299		Cannot locate
2037	March 19, 2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com, copying Trading@HeadsailPension.com, re New Forward Order for 6,711,894 of NOVO NORDISK A/S-B	KLUGMAN00001652	KLUGMAN00001652		
2038	March 19, 2015	Email from octave@hydracapitallimited.com to Trading@HeadsailPension.com, copying execution@allitsenasset.com, re Forward Order Filled for 6,711,894 of NOVO NORDISK A/S-B	KLUGMAN00001653	KLUGMAN00001653		
2039	March 19, 2015	Trade Confirmation from TJM for Headsail Manufacturing LLC Roth 401(K) Plan	KLUGMAN00005275	KLUGMAN00005275		
2040	March 20, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from February 24, 2015 - March 20, 2015 (account no 7362304417)	KLUGMAN00069271	KLUGMAN00069273		Cannot locate
2041	March 23, 2015	Email from octave@hydracapitallimited.com to info@principlemarkets.com, copying Trading@HeadsailPension.com, re New Stock Loan Order for 6,711,894 of NOVO NORDISK A/S-B	KLUGMAN00001657	KLUGMAN00001657		
2042	March 23, 2015	Email from octave@hydracapitallimited.com to Trading@HeadsailPension.com, copying info@principlemarkets.com, re Stock Loan Order Filled for 6,711,894 of NOVO NORDISK A/S-B	KLUGMAN00001658	KLUGMAN00001658		
2043	March 31, 2015	Email from J. Chester to Trading@BasaltPension.com, re Sunrise Trade Confirmation 30th March 2015	JHVM_0001410	JHVM_0001411		
2044	April 15, 2015	Email from M. Zobbio to admin@HeadsailPension.com, copying P. Wells and Reclaims, re Funds received***Danish long form reclaims	HEADSAIL00001034	HEADSAIL00001034		Cannot locate
2045	April 15, 2015	Email from M. Zobbio to admin@AvanixPension.com, re Funds received***Danish long form reclaims	WH_MDL_00190279	WH_MDL_00190279		H, F
2046	April 15, 2015	Email from M. Zobbio to admin@RouttPension.com, copying P. Wells and Reclaims, re Funds received***Danish long form reclaims	WH_MDL_00190280	WH_MDL_00190280		H, F
2047	April 21, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from March 21, 2015 - April 21, 2015 (account no 7362304417)	KLUGMAN00069137	KLUGMAN00069139		Cannot locate
2048	May 7, 2015	Email from M. Zobbio to admin@PlumrosePension.com, copying Reclaims, re FUNDS RECEIVED - DK LFR Reclaims	WH_MDL_00086313	WH_MDL_00086313		H, F
2049	May 7, 2015	Email from M. Zobbio to admin@RouttPension.com, copying Reclaims, re FUNDS RECEIVED - DK LFR Reclaims	WH_MDL_00086314	WH_MDL_00086314		H, F
2050	May 7, 2015	Email from M. Zobbio to Admin@VoojoPension.com, copying P. Wells and Reclaims, re FUNDS RECEIVED - DK LFR Reclaims	WH_MDL_00190832	WH_MDL_00190832		H, F
2051	May 7, 2015	Email from M. Zobbio to admin@AvanixPension.com, copying P. Wells and Reclaims, re FUNDS RECEIVED - DK LFR Reclaims	WH_MDL_00190833	WH_MDL_00190833		H, F
2052	May 7, 2015	Email from M. Zobbio to admin@BattuPension.com, copying P. Wells and Reclaims, re FUNDS RECEIVED - DK LFR Reclaims	WH_MDL_00190834	WH_MDL_00190834		H, F
2053	May 7, 2015	Email from M. Zobbio to Admin@LoggerheadPension.com, copying P. Wells and Reclaims, re FUNDS RECEIVED - DK LFR Reclaims	WH_MDL_00190835	WH_MDL_00190835		H, F
2054	May 7, 2015	Email from M. Zobbio to admin@VanderleePension.com, copying P. Wells and Reclaims, re FUNDS RECEIVED - DK LFR Reclaims	WH_MDL_00190836	WH_MDL_00190836		H, F
2055	May 14, 2015	Email from trading@BallastPension.com to R. Marsh (GSS@telesto.com), re Invoice, attaching TJM Statement of Charges - Ballast Ventures	WH_MDL_00086714	WH_MDL_00086717		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
2056	May 21, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from April 22, 2015 - May 21, 2015 (account no 7362304417)	KLUGMAN00069380	KLUGMAN00069382		Cannot locate
2057	May 22, 2015	Reclaim application to SKAT from Loggerhead Services LLC Roth 401K Plan with Acupay	SKAT_MDL_001_00060781	SKAT_MDL_001_00060785		
2058	June 15, 2015	Custodian Account Statement for Avanix Management LLC Roth 401(K) (Aug. 1, 2014 - June 15, 2015)	MPSKAT00007339	MPSKAT00007340		
2059	June 19, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from May 22, 2015 - June, 2015 (account no 7362304417)	KLUGMAN00069140	KLUGMAN00069142		Cannot locate
2060	June 30, 2015	Wells Fargo Gold Business Services Package Statement for Cavus Systems LLC Roth 401K Plan (account no. 2942778545) June 1, 2015-June 30, 2015	WH_MDL_00089574	WH_MDL_00089576		
2061	June 30, 2015	Wells Fargo Gold Business Services Package Account Statement for Hadron Industries LLC Roth 401K Plan June 1, 2015-June 30, 2015 (account no. 2942776333)	WH_MDL_00089808	WH_MDL_00089810		
2062	June 30, 2015	Routt Capital Trust Account Statement Business MMDA June 1, 2015-June 30, 2015	WH_MDL_00090788	WH_MDL_00090788		
2063	July 8, 2015	Ganymede Cayman Limited Invoice No. 00968 to J. Merkensteijn (Loggerhead Services LLC Roth 401(K) Plan) for fee due under services agreement	MPSKAT00010330	MPSKAT00010330		
2064	July 13, 2015	Danske Bank account statement (July 6, 2015, July 10, 2015 and July 13, 2015)	SKAT MDL 001 00352631	SKAT MDL 001 00352643		
2065	July 20, 2015	Email from TJM Trade Support (trade.support@tjmpartners.com) to Trading@RAKTrust.com, re Invoice, attaching TJM Statement of Charges - The Stor	WH_MDL_00087869	WH_MDL_00087871		
2066	July 21, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from June 20, 2015 - July 21, 2015 (account no 7362304417)	KLUGMAN00069081	KLUGMAN00069083		Cannot locate
2067	July 22, 2015	Email from A. Colodner to J. van Merkensteijn, copying A. La Rosa, R. Markowitz, J. Lhote, M. Stein and S. Furr re notices, attaching 07.22.2015 spreadsheet	JHVM_0005521	JHVM_0005523		H, F, IR, 403
2068	July 30, 2015	Email from A. La Rosa (alarosa@argremgt.com) to R. Markowitz, J. Lhote, J. van Merkensteijn and M. Stein, re Summar, attaching Solo Capital Open and Closed Items summary 30JUL15 spreadsheet	JHVM_0013299	JHVM_0013301		H, F
2069	August 10, 2015	Return of Capital spreadsheet with tabs for June 22, 2015 and August 10, 2015	WH_MDL_00051886	WH_MDL_00051886		H, C
2070	August 20, 2015	Email from Basalt Ventures LLC Roth 401 (K) Plan (Trading Desk) (trading@BasaltPension.com) to GSS-Telesco, re Request for Payment of Brokerage Fees From Our Account, attaching Basalt Ventures - Inv 6391 and schedule and TJM Statement of Charges - Basalt	WH_MDL_00051911	WH_MDL_00051914		
2071	August 21, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from July 22, 2015 - August 21, 2015 (account no 7362304417)	KLUGMAN00069241	KLUGMAN00069243		Cannot locate
2072	September 22, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from August 22, 2015 - September 22, 2015 (account no 7362304417)	KLUGMAN00069127	KLUGMAN00069129		Cannot locate
2073	October 22, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from September 23, 2015 - October 22, 2015 (account no 7362304417)	KLUGMAN00069224	KLUGMAN00069226		Cannot locate
2074	October 30, 2015	JP Morgan Account Statements for Bernina LLC (Jan. 1, 2015 - Oct. 30, 2015)	JHVM_0032767	JHVM_0032814		
2075	November 23, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from October 23, 2015 - November 23, 2015 (account no 7362304417)	KLUGMAN00069367	KLUGMAN00069369		Cannot locate
2076	November 30, 2015	Wells Fargo Platinum Business Checking Account Statement November 1, 2015-November 30, 2015 for Cavus Systems LLC Roth 401K Plan (account no. 2942778545)	WH_MDL_00089602	WH_MDL_00089604		
2077	November 30, 2015	Wells Fargo Platinum Business Checking Account Statement November 1, 2015-November 30, 2015 for Hadron Industries LLC Roth 401K Plan (account no. 2942776333)	WH_MDL_00089861	WH_MDL_00089863		
2078	November 30, 2015	Routt Capital Trust Account Statement Business MMDA November 1, 2015-November 30, 2015	WH_MDL_00090793	WH_MDL_00090794		
2079	December 21, 2015	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from November 24, 2015 - December 21, 2015 (account no 7362304417)	KLUGMAN00069364	KLUGMAN00069366		Cannot locate

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
2080	December 31, 2015	Old Park Lane Statement Summary for The Rount Capital Pension Plan (ROU01) (January 1, 2015 - December 31, 2015)	MPSKAT00008126	MPSKAT00008145		
2081	December 31, 2015	Telesto Markoets LLP Statement Summary for Cavus Systems LLP Roth 401(k) Plan (CAV01) (January 1, 2015 - December 31, 2015)	MPSKAT00008292	MPSKAT00008318		
2082	December 31, 2015	West Point Derivatives Statement Summary for Hadron Industries LLC Roth 401(k) Plan (HAD01) (January 1, 2015 - December 31, 2015)	MPSKAT00008513	MPSKAT00008539		
2083	December 31, 2015	Solo Capital Partners Statement for Loggerhead Services LLC Roth 401(K) Plan (January 1, 2015 - December 31, 2015)	WH_MDL_00013423	WH_MDL_00013449		
2084	December 31, 2015	Bernina Pension Plan Trust Profit & Loss chart, Balance Sheet and General Ledger as of December 31, 2015 (printed September 26, 2016)	WH_MDL_00096022	WH_MDL_00096026		
2085	January 25, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from December 22, 2015 - January 25, 2016 (account no 7362304417)	KLUGMAN00069370	KLUGMAN00069373		Cannot locate
2086	February 22, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from January 26, 2016 - February 22, 2016 (account no 7362304417)	KLUGMAN00069244	KLUGMAN00069247		Cannot locate
2087	March 7, 2016	Wells Fargo Account Statements for Loggerhead Services LLC Roth 401K (July 23, 2014 - March 7, 2016)	JHVM_0004055	JHVM_0004120		
2088	March 21, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from February 23, 2016 - March 21, 2016 (account no 7362304417)	KLUGMAN00069174	KLUGMAN00069177		Cannot locate
2089	April 21, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from March 22, 2016 - April 21, 2016 (account no 7362304417)	KLUGMAN00069374	KLUGMAN00069376		Cannot locate
2090	May 20, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from April 22, 2016 - May 20, 2016 (account no 7362304417)	KLUGMAN00069234	KLUGMAN00069236		Cannot locate
2091	June 21, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from May 21, 2016 - June 21, 2016 (account no 7362304417)	KLUGMAN00069199	KLUGMAN00069202		Cannot locate
2092	July 22, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from June 22, 2016 - July 22, 2016 (account no 7362304417)	KLUGMAN00069182	KLUGMAN00069184		Cannot locate
2093	August 19, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from July 23, 2016 - August 19, 2016 (account no 7362304417)	KLUGMAN00069317	KLUGMAN00069320		Cannot locate
2094	September 22, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from August 20, 2016 - September 22, 2016 (account no 7362304417)	KLUGMAN00069334	KLUGMAN00069336		Cannot locate
2095	October 24, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from September 23, 2016 - October 24, 2016 (account no 7362304417)	KLUGMAN00069206	KLUGMAN00069209		Cannot locate
2096	November 22, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from October 25, 2016 - November 22, 2016 (account no 7362304417)	KLUGMAN00069103	KLUGMAN00069105		Cannot locate
2097	December 21, 2016	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from November 23, 2016 - December 21, 2016 (account no 7362304417)	KLUGMAN00069090	KLUGMAN00069092		Cannot locate
2098	January 24, 2017	Wells Fargo Business Checking Account Statement for Headsail Manufacturing LLC from December 22, 2016 - January 24, 2016 (account no 7362304417)	KLUGMAN00069221	KLUGMAN00069223		Cannot locate
2099	December 30, 2021	Date from Metadata - Request Number Routing Transit	WELLSFARGO_00002472	WELLSFARGO_00002492		AUTH, H, 403
2100	December 3, 2021	Defendants Bernina Pension Plan Trust and Omineca Trust's Responses and Objections to Plaintiff Skatteforvalningen's First Requests for Admission	N/A	N/A		IR, 403
2101	December 3, 2021	Defendants Bernina Pension Plan Trust and Omineca Trust's Responses and Objections to Plaintiff Skatteforvalningen's First Set of Interrogatories	N/A	N/A		IR, 403
2102	December 3, 2021	Markowitz Entity Defendants' Responses and Objections to SKAT's First Requests for Admission	N/A	N/A		IR, 403
2103	December 3, 2021	The Markowitz Entity Defendat's Responses and Objections to Plaintiff's First set of Interrogatories	N/A	N/A		IR, 403
2104	December 3, 2021	Markowitz Plan Defendants' Responses and Objections to SKAT's First Requests for Admission	N/A	N/A		IR, 403
2105	December 3, 2021	The Markowitz Plan Defendants' Responses and Objections to Plaintiff's First Set of Interrogatories	N/A	N/A		IR, 403
2106	December 3, 2021	Jocelyn Markowitz's Responses and Objections to SKAT's First Requests for Admission	N/A	N/A		IR, 403

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
2107	December 3, 2021	Defendant Jocelyn Markowitz's Responses and Objections to Plaintiff's First Set of Interrogatories	N/A	N/A		IR, 403
2108	December 3, 2021	Richard Markowitz's Responses and Objections to SKAT's First Request for Admissions	N/A	N/A		IR, 403
2109	December 3, 2021	Defendant Richard Markowitz's Responses and Objections to Plaintiff's First Set of Interrogatories	N/A	N/A		IR, 403
2110	December 3, 2021	Van Merkensteijn Plan Defendants' Responses and Objections to Plaintiff Skatteforvaltningen's First Requests for Admission	N/A	N/A		IR, 403
2111	December 3, 2021	Van Merkensteijn Plan Defendants' Responses and Objections to Plaintiff Skatteforvaltningen's First Set of Interrogatories	N/A	N/A		IR, 403
2112	December 3, 2021	Defendant Elizabeth van Merkensteijn's Responses and Objections to Plaintiff Skatteforvaltningen's First Requests for Admission	N/A	N/A		IR, 403
2113	December 3, 2021	Defendant Elizabeth van Merkensteijn's Responses and Objections to Plaintiff Skatteforvaltningen's First Set of Interrogatories	N/A	N/A		IR, 403
2114	December 3, 2021	Defendant John van Merkensteijn's Responses and Objections to Plaintiff Skatteforvaltningen's First Request for Admission	N/A	N/A		IR, 403
2115	December 3, 2021	Defendant John van Merkensteijn's Responses and Objections to Plaintiff Skatteforvaltningen's First Set of Interrogatories	N/A	N/A		IR, 403
2116	December 23, 2021	Defendat RAK Investment Trust's Responses and Objections to Plaintiff's First Request for Admission	N/A	N/A		IR, 403
2117	December 23, 2021	Defendat RAK Investment Trust's Responses and Objections to Plaintiff's First Set of Interrogatories	N/A	N/A		IR, 403
2118	December 23, 2021	Klugman Plan Defendant's Responses and Objections to Plaintiff's First Request for Admission	N/A	N/A		IR, 403
2119	December 23, 2021	Defendant Robert Klugman's Responses and Objections to Plaintiff's First Request for Admission	N/A	N/A		IR, 403
2120	December 31, 2021	Klugman Plan Defendants' Responses and Objections to Plaintiff's First Set of Interrogatories	N/A	N/A		IR, 403
2121	December 31, 2021	Defendant Robert Klugman's Responses and Objections to Plaintiff's First Set of Interrogatories	N/A	N/A		IR, 403
2122	N/A	Old Park Lane Capital Limited Profile, Financial Conduct Authority (https://register.fca.org.uk/s/firm?id=001b000000MgBgIAAV)	Publicly Available	Publicly Available		
2123	N/A	Solo Capital Partners LLP Profile Financial Conduct Authority (https://register.fca.org.uk/s/firm?id=001b000000NMaQQAAT)	Publicly Available	Publicly Available		
2124	N/A	Telesto Markets LLP Profile - Financial Conduct Authority (https://register.fca.org.uk/s/firm?id=001b000000NMiEdAAL)	Publicly Available	Publicly Available		
2125	N/A	West Point Derivatives Ltd Profile - Financial Conduct Authority (https://register.fca.org.uk/s/firm?id=001b000000MfavWAAR)	Publicly Available	Publicly Available		
2126	March 15, 2012	Bernina LLC Operating Agreement signed by J. van Merkensteijn	JHVM_0020354	JHVM_0020378		
2127	March 7, 2013	FGC Securities LLC Cash Equity Confirmation to Bernina Pension Plan	FGC_SKAT-0002583	FGC_SKAT-0002583		
2128	March 7, 2013	Invoice from Bernina LLC to van Merkensteijn LLC	JHVM_0033470	JHVM_0033471		IR, 403
2129	March 20, 2013	Invoice from Bernina LLC to Argre Management	JHVM_0033472	JHVM_0033472		IR, 403
2130	June 15, 2013	Invoice from Tarvos LLC to Argre Management	JHVM_0033465	JHVM_0033465		IR, 403
2131	June 16, 2014	Avanix Management LLC Operating Agreement signed by R. Markowitz	WH MDL_00145655	WH MDL_00145680		
2132	August 7, 2014	Bastion Capital London Ltd Equities Trade Confirmation to Avanix Management LLC Roth 401K Plan	MPSKAT00011229	MPSKAT00011229		
2133	August 11, 2014	Email from S. Furr to R. Veillette, re Basalt, Omineca, Voojo, Starfish - Notice of Classification as an Elective Professional Client, attaching OPL Basalt, OPL Omineca, OPL Starfish and OPL Voojo	GUNDERSON 00004261	GUNDERSON 00004270		
2134	August 14, 2014	Email from R. Veillette to moapha.sharif@oldplc.com, copying R. Markowitz, J. van Merkensteijn, R. Klugman and P. Wells, re Notice of Classification, attaching David Zelman, Edwin Miller, JHvM, Perry Lerner, Robert Klugman and Ronald Altbach	JHVM_0006394	JHVM_0006454		

PX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Ex. No.	Defendants' Objections
2135	November 13, 2014	Email from A. Colodner to R. Markowitz, re Alden/Carrick/Argre attaching Alden.pdf, Carrick.pdf, and Argre.pdf (invoices)	MPSKAT00261174	MPSKAT00261177		IR, 403
2136	December 10, 2014	Email from S. Furr to R. Markowitz and J. van Merkensteijn, re Add'l Information for Invoices, attaching Invoices to be done	JHVM_0012649	JHVM_0012650		IR, 403
2137	December 10, 2014	Email from S. Furr to R. Markowitz and J. van Merkensteijn, re Invoices to RJM and Calypso, attaching Basalt Ventures LLC Bill-RJM, Omineca LLC Bill-RJM, Starfish Cap Mgmt LLC Bill-Calypso and Voojo Productions LLC Bill-Calypso	JHVM_0031765	JHVM_0031773		IR, 403
2138	December 15, 2014	Invoice from Hadron Industries LLC (c/o Kaye Scholer LLP) to Azalea LLC (E. van Merkensteijn)	WH_MDL_00359010	WH_MDL_00359010		IR, 403
2139	December 15, 2014	Invoice from Cavus Systems LLC (c/o Kaye Scholer LLP) to Bernina LLC (J. van Merkensteijn)	WH_MDL_00359012	WH_MDL_00359012		IR, 403
2140	December 15, 2014	Invoice from Avanix Management LLC (c/o Kaye Scholer LLP) to Bernina LLC (J. van Merkensteijn)	WH_MDL_00359013	WH_MDL_00359013		IR, 403
2141	January 6, 2015	Email from custody@oldplc.com to Trading@AvanixPension.com, re Avanix Management LLC Cash Statement, attaching Avanix Management LLC Cash Statement	WH_MDL_00037225	WH_MDL_00037226		
2142	October 8, 2015	Email from S. Furr to J. van Merkensteijn and R. Markowitz, re Draft of Quartet-Azalea LLC Invoice, attaching Dec 2013 Azalea to Quartet	JHVM_0032398	JHVM_0032400		IR, 403
2143	October 8, 2015	Email from S. Furr to J. van Merkensteijn and R. Markowitz, re Invoices from Azalea to RJM, attaching Dec 2014 Azalea LLC-RJM Cap LLC and Oct 2015 Azalea LLC-RJM Cap LLC	JHVM_0033023	JHVM_0033027		IR, 403
2144	October 14, 2015	Email from S. Furr to J. van Merkensteijn, copying E. Miller, re Question, attaching Dec 2014 Azalea LLC-Next Level LLC and Dec 2014 Rossi Tech LLC-Next Level LLC	JHVM_0032228	JHVM_0032233		IR, 403
2145	October 14, 2015	Email from S. Furr to R. Carlen, R. Markowitz and J. van Merkensteijn, re Azalea LLC Invoices, attaching Dec 2013 Azalea LLC to Quartet, Dec 2014 Azalea LLC-RJM Cap LLC and Oct 2015 Azalea LLC-RJM Cap LLC	JHVM_0032248	JHVM_0032254		IR, 403
2146	November 19, 2015	Invoice from Bernina LLC to Next Level (E. Miller)	JHVM_0033473	JHVM_0033473		IR, 403
2147	November 25, 2015	Email from S. Furr to R. Markowitz and J. van Merkensteijn, re Outstanding Invoices, attaching Dec 2014 Azalea LLC-Fullspan LLC and Oct 2015 Azalea LLC-Fullspan LLC	JHVM_0032606	JHVM_0032612		IR, 403
2148	November 30, 2015	JP Morgan Account Statement for Bernina LLC (Oct. 31, 2015 - Nov. 30, 2015)	JPM00002200	JPM00002203		
2149	December 1, 2015	Email from S. Furr to J. van Merkensteijn and E. Miller (elm@bedigital.com and june@4intuition.com), re Invoices for Q4'15, attaching Nov 2015 Bernina LLC-Next Level LLC, Nov 2015 Omineca LLC-Next Level LLC, Nov 2015 OTL LLC-Next Level LLC and Nov 2015 Rossi Tech LLC-Next Level LLC	JHVM_0032333	JHVM_0032342		IR, 403
2150	December 31, 2015	JP Morgan Account Statement for Bernina LLC (Dec. 1, 2015 - Dec. 31, 2015)	JPM00002208	JPM00002213		
2151	March 29, 2016	Email from S. Furr to E. Miller (ed@spiritvi.com, elm@bedigital.com and june@4intuition.com) and J. van Merkensteijn, re Invoices for recent Crown Global Payment, attaching Invoice Azalea \$3,000, Invoice Basalt \$2,500, Invoice Bernina \$4,500, Invoice Omineca \$3,500, Invoice Rossi Tech \$8,500, Invoice Starfish \$2,500, Invoice Tarvos \$3,000 and Invoice Voojo \$2,500	JHVM_0032544	JHVM_0032561		IR, 403
2152	June 14, 2016	Invoice from Azalea LLC to Next Level LLC (E. Miller)	JHVM_0033456	JHVM_0033456		IR, 403
2153	April 24, 2018	Email from S. Furr to R. Markowitz and J. van Merkensteijn, re Invoice Clarification, attaching Dec 2014 Rossi Tech LLC-Fullspan LLC-2 copy, PAB Invoice from Starfish no payment to show for and Payments Received - LLC's 2015 spreadsheet	WH_MDL_00333958	WH_MDL_00333962		IR, 403

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
						<i>Plaintiff objects to any Danish document to the extent that an agreed upon certified translation has not been produced and identified on defendants' exhibit list</i>
3000	01/20/2009	NDA- STOR Capital Consulting and Argre Management LLC	KLUGMAN000069305	KLUGMAN000069311		NP, AUTH, F, H, IR
3001	01/27/2009	Finder's Fee Agreement- Argre Management LLC and STOR Capital Consulting	KLUGMAN000069312	KLUGMAN000069316		NP, AUTH, F, H, IR
3002	01/29/2009	Email from Robert Klugman to Richard Markowitz Subject: Updated version Attachment: ARGRE-STOR Finder's Agreement-2.doc	KLUGMAN000067809	KLUGMAN000067814		H, IR, NP
3003	01/30/2009	Fax Cover with signature pages for Finder's Fee Agreement and NDA	KLUGMAN000069302	KLUGMAN000069304		NP, H, IR
3004	02/25/2009	Email from Keith Levy to Robert Klugman, CC: Parshu Shah Subject: RE: Could you pls send me those contacts, thx	KLUGMAN000067815	KLUGMAN000067815		NP, H
3005	04/08/2010	Email from Richard Markowitz to Todd Rosenberg, Carl O'Sullivan, Fintan Clancy CC: John Van Merkensteijn, Jerome Lhote, Adam Larosa, Matthew Stein Subject: Initial Due Diligence List Attachment: Due Diligence Questions for Solo Capital.docx.	KLUGMAN000069297	KLUGMAN000069298		NP, H, AUTH, F, DNM
3006	12/20/2013	STOR Capital Consulting LLC- CT Secretary of State Certificate of Registration	KLUGMAN000069447	KLUGMAN000069449		NP, H, AUTH, F
3007	05/14/2014	Certification of Trust- RAK Investment Trust	KLUGMAN000068183	KLUGMAN000068183		H, NP
3008	10/14/2014	Letter from Dept. of the Treasury re Edgepoint Capital LLC Roth 401k Plan	KLUGMAN000069409	KLUGMAN000069446		H, NP, DNM
3009	12/18/2014	STOR Capital Consulting LLC- CT Secretary of State Annual Report	KLUGMAN000069299	KLUGMAN000069300		NP, H
3010	11/04/2015	WSJ- Danish Tax Probe Targets Small London Hedge Fund, Other Firms by Jenny Strasburg and Chris Duxbury	KLUGMAN000069405	KLUGMAN000069408		AUTH, F, H, IR, NP, SRM
3011	12/04/2015	Letter from Dept. of the Treasury to SKAT (re Edgepoint Capital)	KLUGMAN000068502	KLUGMAN000068527		NP, H
3012	06/13/2016	Letter from Dept. of the Treasury to SKAT Re: IRS Case Number 366355, DK Case Number 15-3076610 (The Random Holdings 401k Plan)	KLUGMAN000068448	KLUGMAN000068473		NP, H
3013	06/13/2016	Letter from Dept. of the Treasury to SKAT Re: IRS Case Number 366355, DK Case Number 15-3076610 (Aerovane Logistics LLC)	KLUGMAN000068474	KLUGMAN000068501		NP, H
3014	10/28/2016	STOR Capital Consulting LLC- CT Cancellation of Registration	KLUGMAN000069301	KLUGMAN000069301		NP, H
3015	12/13/2016	Letter from Dept. of the Treasury to SKAT re Divident Witholding Tax Refund- The Random Holdings 401k Plan	KLUGMAN000068446	KLUGMAN000068447		NP, H
3016	06/07/2017	Letter from Dept. of the Treasury to SKAT re Divident Witholding Tax Refund- Headsail Manufacturing	KLUGMAN000068415	KLUGMAN000068445		NP, H, C
3017	09/11/2017	Letter from SKAT re Edgepoint Capital decision on access (in Danish)				NP, TR
3018	Undated	Demonstrative/ Summary Exhibit- Excerpt from SKAT Summary Exhibit R1006- Amounts Received by Defendants	KLUGMAN000069451	KLUGMAN000069452		NP
3019	5/9/2018	Email from Johan Sidelman Basnov to Thomas Bogelund Norvold CC: Lisa Anderson Subject: SV: Udbyttesag- Captia-j.nr.:18-0013835- anmodning om aktindsigt mv. - vores j.nr. 11126 (with attachments)	KLUGMAN000068246	KLUGMAN000068247		NP, TR
3020	7/4/2018	Email from Johan Sidelman Basnov to Thomas Bogelund Norvold Subject: SV: Udbyttesag - Anmodning om supplerende aktindsigt - The Random Holdings- mit j.nr: 11127 (with attachments)	KLUGMAN000068529	KLUGMAN000068530		NP, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3021	7/4/2018	Email from Johan Sidelman Basnov to Thomas Bogelund Norvold Subject: SV: Udbyttesag - Anmodning om supplerende Aktindsigt - Aerovane Logistics LLC - mit j.nr: 11128 (with attachments)	KLUGMAN000069012	KLUGMAN000069013		H, NP, TR
3022	7/4/2018	Email from Johan Sidelman Basnov to Thomas Bogelund Norvold Subject: SV: Udbyttesag - Anmodning om supplerende aktindsigt. STOR Capital Consulting LLC - mit j.nr 11162 (with attachments)	KLUGMAN000068751	KLUGMAN000068752		H, NP, TR
3023	Multiple Dates	Akliste sag 030 (Captia nr. 15-2924666)	KLUGMAN000068528	KLUGMAN000068528		NP, TR
3024	Undated	Keith Levy Linked In Profile	KLUGMAN000069450	KLUGMAN000069450		NP, H, IR
3025	09/23/2015	Danish Ministry of Taxation- Reply to Early Warning in connection with securities lending	EKSTRAND_000025	EKSTRAND_000025		F, MIL, 403, IR, TR
3026	04/19/2010	Broadgate Ireland Funds PLC- Prospectus	ELYSIUM-00085287	ELYSIUM-00085287		AUTH, F, H, IR, C
3027	03/09/2012	Email from J. Hammers to A. Bloom re UnaVista Transaction Reporting Contract	ELYSIUM-00359878	ELYSIUM-00359878		AUTH, F, H
3028	03/09/2012	UnaVista Master Services Agreement.pdf	ELYSIUM-00359879	ELYSIUM-00359879		AUTH, F, H
3029	03/20/2013	J.P. Morgan Checking Account Daily Statement re Solo Capital Partners LLP	ELYSIUM-01460217	ELYSIUM-01460217		F, H
3030	03/02/2013	Email from Solo Trade Approvals to Adam at Bemin AP re Account (BER01) Trade Approval	ELYSIUM-01463894	ELYSIUM-01463894		F, H
3031	05/22/2013	Email from A. Dwala to G. Pitts re exchange enquiry	ELYSIUM-01746161	ELYSIUM-01746161		AUTH, F, H
3032	03/21/2013	Trade Schematic RE Bridger LP (2 pages)	ELYSIUM-01811371	ELYSIUM-01811371	3131	AUTH, F, H
3033	11/14/2013	Email from J. Spoto to C. Sidoli re EOD (17)	ELYSIUM-02456224	ELYSIUM-02456224		AUTH, F, H, INC
3034	03/12/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade matched	ELYSIUM-03915614	ELYSIUM-03915614		H, F
3035	05/21/2015	Email from S. Ervine to J. Broom re Solo TR Report - URGENT	ELYSIUM-04280092	ELYSIUM-04280092		AUTH, F, H
3036	08/18/2015	Email from GSSOPL to M. Tavarone re EOD report	ELYSIUM-04736480	ELYSIUM-04736480		AUTH, F, H, INC
3037	08/21/2014	Email from R. Markowitz to J. van Merkensteijn, A. LaRosa, and A. Colodner, copying S. Furr re BERNINA	GUNDERSON 00002647	GUNDERSON 00002648		H
3038	11/19/2015	Email from S. Furr to D. Zelman, J. van Merkensteijn, and B. Pollak re Zelman Signature Pages for Filing Fed Forms, attaching signature pages for Forms TIC D and BQ-2	GUNDERSON 00003807	GUNDERSON 00003832		H, IR, MIL, 403
3039	08/19/2016	Email from Rene Goicochea to John Van Merkensteijn, Stephanie Furr CC: Ronald J Carlen Subject: FW: current bible version, Atchments 12.31.15 FINAL Net Worth.xls; Basalt Ventures LLC Roth.pdf	GUNDERSON 00006350	GUNDERSON 00006362	2317	H
3040	12/12/2014	Email from S. Furr to J. van Merkensteijn, copying S. Furr re Danish Securities Law Question	GUNDERSON 00009640	GUNDERSON 00009643		H, IR, MIL, 403
3041	08/04/2015	Email from J. van Merkensteijn to S. Furr re Federal Reserve Inquiry	GUNDERSON 00009815	GUNDERSON 00009846	3125	H, IR, MIL, 403
3042	05/12/2014	Email from J. van Merkensteijn to S. Furr re Fwd: Letter of Advice	GUNDERSON 00010014	GUNDERSON 00010015		H, IR, 403, MIL
3043	05/12/2014	Dechert Letter from M. West to AEF Investment LLC	GUNDERSON 00010016	GUNDERSON 00010019		AUTH, F, H, IR, 403, MIL
3044	03/19/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 18th March 2015	JHVM_0001400	JHVM_0001401		H
3045	07/01/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Brokers Trade Confirmation - 30th June 2015	JHVM_0001404	JHVM_0001405		H
3046	03/27/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 26th March 2015	JHVM_0001406	JHVM_0001407		H
3047	02/25/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirm 25th Feb 2015	JHVM_0001408	JHVM_0001409		H
3048	03/31/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 30th March 2015	JHVM_0001410	JHVM_0001411		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3049	07/29/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 28th July 2015	JHVM_0001412	JHVM_0001413		H
3050	03/31/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 30th March 2015	JHVM_0001414	JHVM_0001415		H
3051	06/25/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 24th June 2015	JHVM_0001416	JHVM_0001417		H
3052	03/31/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 30th March 2015	JHVM_0001418	JHVM_0001419		H
3053	03/19/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 18th March 2015	JHVM_0001422	JHVM_0001423		H
3054	11/05/2014	Email from trading@BasaltPension.com to brokerage@ballygatecapital re: Request for Liquidity - TDC DC	JHVM_0001624	JHVM_0001624		H
3055	03/02/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re NOVOZYMES AIS-B Security	JHVM_0001697	JHVM_0001697		H
3056	03/20/2015	Telesto Credit Advice to Basalt Ventures Roth 401(K) plan re TRYG A/S	JHVM_0001698	JHVM_0001698		DNM, H
3057	03/24/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re NOVO NORDISK A/S-B	JHVM_0001752	JHVM_0001752		H
3058	03/19/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 18th March 2015	JHVM_0003508	JHVM_0003509		H
3059	03/26/2015	TJM Trade Confirmation for Basalt Ventures LLC Roth 401(K) Plan re FLSMIDTH & CO A/S Trade (Buy)	JHVM_0003511	JHVM_0003512		H
3060	03/19/2015	TJM Partnership PLC Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 19, 2015 (Buy)	JHVM_0003513	JHVM_0003513		H
3061	03/19/2015	TJM Partners Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 19, 2015 NOVO NORDISK A/S-B Trade (Buy)	JHVM_0003514	JHVM_0003514		H
3062	02/25/2015	Email from J. chester to Trading@BasaltPension.com re Sunrise Trade Confirm 25th Feb 2015	JHVM_0003515	JHVM_0003516		H
3063	03/05/2015	Bastion Capital London Ltd. Equities Trade Confirmation to Basalt Ventures LLC Roth 401(K) Plan re TDC A/S (Buy)	JHVM_0003519	JHVM_0003519		H
3064	03/25/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401(K) Plan re TRYG (Buy)	JHVM_0003520	JHVM_0003520		H
3065	03/27/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 26th March 2015	JHVM_0003573	JHVM_0003574		H
3066	01/01/2015	Dulberg SJM Decl. Ex. 050_Statement for Basalt Ventures LLC Roth 401 (K) Plan (BVL01)	JHVM_0003581	JHVM_0003607		DNM, H
3067	Q3/2014	Dulberg SJM Decl. Ex. 073_Basalt Ventures LLC Roth 401(k) Plan	JHVM_0003755	JHVM_0003757		DNM, H
3068	2014-2015	Dulberg SJM Decl. Ex. 100_Basalt Vent LC Roth 401(k) Plan Account Statement	JHVM_0003820	JHVM_0003821		DNM, H, F
3069	Multiple Dates	Telesto Markets LLP Statement Summary for Basalt Ventures LLC Roth 401(K) Plan (BVL01)	JHVM_0004011	JHVM_0004037		H
3070	05/03/2016	Remittance breakdown from Old Park Lane Quarter 2 2015	JHVM_0004038	JHVM_0004054		H, F
3071	06/23/2014	Email from Robert Klugman to Jessica Spoto cc: Richard Markowitz, John Van Merkensteijn Subject: Potential Trader Attachment: Resume.docx	JHVM_0004818	JHVM_0004818		H
3072	Undated	Matthew Cooper- Resume	JHVM_0004819	JHVM_0004820		AUTH, F, H
3073	05/26/2014	Email from P. Shah to J. Van Merkensteijn, copying P. Shah, G. O'Callaghan, S. Shah, and O. Arti re: London trip	JHVM_0004841	JHVM_0004842		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3074	05/27/2014	Email from O. Arti to J. Van Merkensteijn, G. O'Callaghan, S. Shah, N. Patel, and J. Spoto re: London trip	JHVM_0004845	JHVM_0004846		H
3075	05/22/2013	Email from A. LaRosa to A. O'Donnell, R. Markowitz, M. Stein, J. Lhote, and J. Van Merkensteijn III re BER01 Bernina Pension Plan 30-04-13	JHVM_0005494	JHVM_0005494		H
3076	05/22/2013	Solo Capital Open Position Statement for Bernina Pension Plan as of April 30, 2013	JHVM_0005495	JHVM_0005510		H
3077	02/11/2013	Email from A. LaRosa to R. Markowitz, J. Lhote, M. Stein, and J. van Merkensteijn re Michelle Investments Pension Plan 31-12-12	JHVM_0005617	JHVM_0005617		H
3078	02/11/2013	Solo Capital Open Position Statement for Michelle Investments Pension Plan as of December 31, 2012	JHVM_0005618	JHVM_0005632		H
3079	07/28/2014	Email from R. Markowitz to J. van Merkensteijn re "FW: Bernina Pension Plan Statement" and attaching BER01 Statement 280714.pdf	JHVM_0005698	JHVM_0005701		H
3080	04/30/2013	Solo Capital Open Position Report for RJM Capital Pension Plan (RJM Capital Pension Plan 30-04-13.pdf)	JHVM_0005813	JHVM_0005830		H
3081	06/25/2014	Email from Robert Klugman to John Van Merkensteijn, Richard Markowitz Subject: Fwd: Trading Position Attachment: Ira Reibeisen Resume 0625.14.pdf	JHVM_0008995	JHVM_0008997		H
3082	09/02/2015	Schoenfeld SJM Opp. Decl. Ex. 10_Email from R. Markowitz to J. van Merkensteijn RE Opinion with attached Danish Tax Opinion.pdf	JHVM_0009136	JHVM_0009141	2235	AUTH, F, H, DNM, C
3083	06/11/2015	Email from R. Markowitz to P. Wells, copying J. van Merkensteijn and R. Klugman re Form S Information for May, attaching Securities Bought or Sold, Settled During May, 2015	JHVM_0009839	JHVM_0009840		H, IR, MIL, 403
3084	01/01/2016	Dulberg SJM Decl. Ex. 018_Basalt Ventures LLC Roth 401(k) Plan	JHVM_0009918	JHVM_0010000		DNM, H, F
3085	03/30/2012	Dulberg SJM Decl. Ex. 017_Letter Re: Plan Description: Volume Submitter Profit Sharing Plan With CODA	JHVM_0010127	JHVM_0010128		AUTH, F, H, IR, DNM
3086	06/20/2014	Email from M. Ben-Jacob to J. van Merkensteijn, A. LaRosa, M. Stein, J. Lhote, R. Markowitz re Michelle - Disqualified Persons Memorandum - Final	JHVM_0010573	JHVM_0010588	2244	AUTH, F, H, INC, IR, MIL, 403, DNM
3087	04/26/2013	Email from A. LaRosa to M. Stein, J. Lhote, J. van Merkensteijn, and R. Markowitz re FW: overview of long form refunds, attaching spreadsheet 20130425_Argre Management - Overview Austrian, Belgian, Danish long form reclaims	JHVM_0010682	JHVM_0010685		H, IR, AUTH, F
3088	02/24/2014	Email from A. LaRosa to R. Markowitz, J. Lhote, J. van Merkensteijn, and M. Stein re Bastion Capital Give Up Agreement	JHVM_0010822	JHVM_0010823		H
3089	07/25/2014	Email from P. Wells to R. Klugman, J. van Merkensteijn, and R. Markowitz, copying R. Veillette, M. Ben-Jacob, and A. Gregory re Stock Loan Services with Neoteric, attaching GMSLA Compare	JHVM_0011248	JHVM_0011297		H, INC, IR, MIL, 403
3090	04/10/2012	Email from R. Markowitz to M. Stein, J. Lhote, A. LaRosa, and J. van Merkensteijn re: FW: FSA information	JHVM_0012280	JHVM_0012281		H, INC
3091	07/23/2014	Email from R. Veillette to J van Merkensteijn, copying S. Furr and P. Wells re Update and more forms to sign, attaching Basalt Meeting of Trustees Minutes and JHVM Limited POA Custody Template OPL	JHVM_0014025	JHVM_0014031		H, IR, MIL, 403
3092	11/06/2012	Email from A. LaRosa to M. Stein, R. Markowitz, and J. van Merkensteijn re FW: Short Selling Notification	JHVM_0014561	JHVM_0014561		H
3093	08/26/2014	Email from R. Markowitz to S. Shah, O. Arti, and P. Shah, copying R. Klugman, A. LaRosa, and J. van Merkensteijn re Dinner on Thursday Evening	JHVM_0014692	JHVM_0014693		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3094	05/22/2014	Kaye Scholer Memorandum from M. Ben-Jacob to J. van Merkensteijn re Bernina Pension Plan	JHVM_0020341	JHVM_0020343		AUTH, F, H, INC, IR, MIL, 403, DNM
3095	12/15/2015	Email from J. van Merkensteijn to R. Markowitz and S. Furr re: Summary of Payments Coming to Omineca Trust and Some LLCs	JHVM_0024335	JHVM_0024335		H
3096	03/22/2012	Email from Jonathan Sander to Robert Klugman, Jerome Lhote, Adam Larosa, John Van Merkensteijn, Matthew Stein, Peter Wells, Michael Ben-Jacob, Rebecca Veillette, Richard Markowitz cc: globalequity@duetgroup.net Subject: Duet- Belgium	JHVM_0026606	JHVM_0026613		H, IR, 403, MIL
3097	06/10/2015	Email from R. Markowitz to J. van Merkensteijn re Transfers of Funds	JHVM_0026715	JHVM_0026716		H
3098	02/19/2015	Email from Richard Markowitz to Robert Klugman, John Van Merkensteijn Subject: New Trading Software	JHVM_0026733	JHVM_0026733		H
3099	10/22/2014	Email from Peter Wells to Richard Markowitz, John Van Merkensteijn, Robert Klugman, Amy Gregory, Rebecca Veillette Subject:RE: On boarding of New Plans.	JHVM_0026796	JHVM_0026797		H, IR, MIL, 403
3100	07/28/2014	Email from P. Wells to D. Aboujaoude re Avanix Management LLC Roth 401(K)	JHVM_0026925	JHVM_0026925		AUTH, F, H, INC, IR, MIL, 403
3101	07/28/2014	Ballygate Capital Limited Institutional Customer New Account Form - Avanix Management LLC Roth 401K	JHVM_0026926	JHVM_0026933		INC, H, MIL
3102	05/22/2013	Email from A. LaRosa to M. Stein, J. Lhote, J. van Merkensteijn, R. Markowitz, and A. Colodner re "FW: MIC01 Michelle Investments Pension Plan 30-04-13" and attaching Michelle Investments Pension Plan custody account statement from Solo Capital dated April 30, 2013	JHVM_0027197	JHVM_0027215		H
3103	05/08/2014	Email from S. Shah to J. van Merkensteijn and O. Arti copying N. Patel and J. Spoto re: Lunch	JHVM_0028107	JHVM_0028108		H
3104	03/10/2013	Email from R. Markowitz to J. Lhote, M. Stein, J. van Merkensteijn, A. LaRosa, re Denmark, attaching Argre Denmark (contingent schedule) spreadsheet	JHVM_0028351	JHVM_0028354		H
3105	11/19/2012	Email from P. Wells to R. Markowitz re Call with Duet	JHVM_0029897	JHVM_0029899		H, IR, MIL, 403
3106		Email from R. Markowitz to J. van Merkensteijn, A. Colodner, and S. Furr re "Transfers to Come From Omenica Trust Account"	JHVM_0031297	JHVM_0031301		H, INC
3107	08/29/2014	Bahnsen SJM Decl. Ex. 59_Blackrain LLC Chase Business Account Statement	JPM00002214	JPM00002217		H, IR
3108	09/30/2014	Old Park Lane Capital PLC invoice to Edgepoint Capital LLC Roth 401(k) plan	KLUGMAN00000782	KLUGMAN00000784		H
3109	10/08/2015	Email from Leareil Buys to The TENS Serivces LLC 401k Plan Subject: SUNRISE BROKERS LONDON Monthly Statement for 2015-9 (EFSOLO-[150531]) Attachments: EFSOLO 2015-9 EF TENS.pdf	KLUGMAN00000927	KLUGMAN00000927		H, AUTH, F, IR
3110	10/08/2015	Email from Leareil Buys to The Stor Capital Consulting LLC 401k Plan Subject: SUNRISE BROKERS LONDON Monthly Statement for 2015-9 (EFTELE-[150564]) Attachments: EFTELE 2015-9 EF Stor Capital.pdf	KLUGMAN00000928	KLUGMAN00000935		H
3111	09/30/2015	Sunrise Invoice- The Stor Capital Consulting LC 401k Plan	KLUGMAN00000929	KLUGMAN00000935		H
3112	03/30/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 7,903 of AP MOELLER MAERSK A/S-B	KLUGMAN00002142	KLUGMAN00002142		H
3113	03/30/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 7,903 of AP MOELLER MAERSK A/S-B	KLUGMAN00002143	KLUGMAN00002143		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3114	03/30/2015	Email from tradeapprovals@telesto.com to Trading@RAKTrust.com re Account (ST001) - trade approved	KLUGMAN00002146	KLUGMAN00002146		H
3115	03/30/2015	Email from tradeapprovals@telesto.com to Trading@RAKTrust.com re Account (ST001) - trade matched	KLUGMAN00002147	KLUGMAN00002147		H
3116	04/01/2015	Email from tradeapprovals@telesto.com to Trading@RAKTrust.com re Account (ST001) - trade approved	KLUGMAN00002150	KLUGMAN00002150		H
3117	06/17/2015	Email from tradeapprovals@telesto.com to Trading@RAKTrust.com re Account (ST001) - trade matched	KLUGMAN00002155	KLUGMAN00002155		H
3118	06/17/2015	Email from tradeapprovals@telesto.com to Trading@RAKTrust.com re Account (ST001) - trade matched	KLUGMAN00002156	KLUGMAN00002156		H
3119	06/19/2015	Email from tradeapprovals@telesto.com to Trading@RAKTrust.com re Account (ST001) - trade approved	KLUGMAN00002163	KLUGMAN00002163		H
3120	06/19/2015	Email from tradeapprovals@telesto.com to Trading@RAKTrust.com re Account (ST001) - trade matched	KLUGMAN00002164	KLUGMAN00002164		H
3121	06/19/2015	Email from tradeapprovals@telesto.com to Trading@RAKTrust.com re Account (ST001) - trade approved	KLUGMAN00002165	KLUGMAN00002165		H
3122	03/30/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 7,905 of AP MOELLER MAERSK A/S-B	KLUGMAN00002166	KLUGMAN00002166		H
3123	03/30/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 7,905 of AP MOELLER MAERSK A/S-B	KLUGMAN00002167	KLUGMAN00002167		H
3124	05/06/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 293,201 of COLOPLAST-B	KLUGMAN00002208	KLUGMAN00002208		H
3125	05/06/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 293,201 of COLOPLAST-B	KLUGMAN00002209	KLUGMAN00002209		H
3126	03/26/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com re New Equity Order for 80,425 FLSMIDT & CO A/S	KLUGMAN00002264	KLUGMAN00002264		H
3127	03/26/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com re Equity Liquidity Order Filled for 80,425 FLSMIDT & CO A/S	KLUGMAN00002265	KLUGMAN00002265		H
3128	03/26/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com re Forward Order Filled for 80,425 FLSMIDT & CO A/S	KLUGMAN00002267	KLUGMAN00002267		H
3129	06/16/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com re Forward Order Filled for 80,425 FLSMIDT & CO A/S	KLUGMAN00002274	KLUGMAN00002274		H
3130	06/16/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com re Forward Order Filled for 80,425 FLSMIDT & CO A/S	KLUGMAN00002275	KLUGMAN00002275		H
3131	06/19/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com re Equity Liquidity Order Filled for 80,425 FLSMIDT & CO A/S	KLUGMAN00002279	KLUGMAN00002279		H
3132	06/19/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com re Forward Order Filled for 80,425 FLSMIDT & CO A/S	KLUGMAN00002281	KLUGMAN00002281		H
3133	06/19/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com re Stock Loan Order RECALLED for 80,425 FLSMIDT & CO A/S	KLUGMAN00002283	KLUGMAN00002283		H
3134	03/19/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 596,146 of GN STORE NORD A/S	KLUGMAN00002287	KLUGMAN00002287		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3135	03/19/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 596,146 of GN STORE NORD A/S	KLUGMAN00002288	KLUGMAN00002288		H
3136	03/19/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 6,350,778 of NOVO NORDISK A/S-B	KLUGMAN00002305	KLUGMAN00002305		H
3137	03/19/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 6,350,778 of NOVO NORDISK A/S-B	KLUGMAN00002306	KLUGMAN00002306		H
3138	05/28/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 789,233 of NOVOZYMES A/S-B	KLUGMAN00002336	KLUGMAN00002336		H
3139	05/28/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 789,233 of NOVOZYMES A/S-B	KLUGMAN00002337	KLUGMAN00002337		H
3140	05/28/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: trading@tjpartners.com Subject: Equity Liquidity Order Filled for 486,364 of PANDORA A/S	KLUGMAN00002355	KLUGMAN00002355		DNM, H
3141	06/10/2015	Email from octave@hydracapitallimited.com to custody@telesto.com CC: Trading@RAKTrust.com Subject: Trade Amendment	KLUGMAN00002363	KLUGMAN00002363		H
3142	07/31/2015	Wells Fargo Combined Statement of Accounts- RAK3 Investment Trust	KLUGMAN00003709	KLUGMAN00003782		IR
3143	06/13/2014	Email from Peter Wells to John Van Merkensteijn, Amy Gregory CC: Richard Markowitz, Robert Klugman, Michael Ben-Jacob Subject: RE: names for plans	KLUGMAN00003895	KLUGMAN00003896		No objections
3144	07/08/2014	Email from J. van Merkensteijn to R. Markowitz, P. Wells RE On-Boarding Questionnaires w/ supporting documents	KLUGMAN00003920	KLUGMAN00003926	3112	H, IR, MIL, 403
3145	06/27/2012	Hannes Snellman Danish Tax Opinion to Solo Capital (Dubai) Limited	KLUGMAN00003932	KLUGMAN00003937		AUTH, C, F, H, IR, 403
3146	05/14/2014	The STOR Capital Consulting LLC 401k Plan	KLUGMAN00004141	KLUGMAN00004277		H
3147	05/14/2014	Trust Agreement Under The STOR Capital Consulting LLC 401k Plan	KLUGMAN00004290	KLUGMAN00004309		H
3148	11/11/2014	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005033	KLUGMAN00005033		H
3149	12/10/2014	Ballygate Capital Limited Trade Confirmation- The Stor Capital Consulting LLC 401k Plan Ticker- ABI BB	KLUGMAN00005034	KLUGMAN00005034		H
3150	05/29/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005037	KLUGMAN00005037		H
3151	05/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005040	KLUGMAN00005040		H
3152	06/19/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005041	KLUGMAN00005041		H
3153	03/30/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005042	KLUGMAN00005042		H
3154	03/30/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005043	KLUGMAN00005043		H
3155	06/11/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005048	KLUGMAN00005048		H
3156	05/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005051	KLUGMAN00005051		H
3157	06/19/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005056	KLUGMAN00005056		H
3158	08/06/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005057	KLUGMAN00005057		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3159	04/22/2015	Email from Jon Chester to Trading@RAKTrust.com Subject: Sunrise Trade Confirmation 21st April 2015	KLUGMAN00005058	KLUGMAN00005059		H
3160	07/29/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005062	KLUGMAN00005062		H
3161	07/03/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005065	KLUGMAN00005065		H
3162	05/06/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005067	KLUGMAN00005067		H
3163	12/17/2014	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005068	KLUGMAN00005068		H
3164	05/28/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005073	KLUGMAN00005073		H
3165	06/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005076	KLUGMAN00005076		H
3166	06/01/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005077	KLUGMAN00005077		H
3167	03/12/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005080	KLUGMAN00005080		H
3168	07/07/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005085	KLUGMAN00005085		H
3169	03/26/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005086	KLUGMAN00005086		H
3170	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005090	KLUGMAN00005090		H
3171	05/04/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005093	KLUGMAN00005093		H
3172	07/29/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005094	KLUGMAN00005094		H
3173	05/08/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005095	KLUGMAN00005095		H
3174	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005098	KLUGMAN00005098		H
3175	07/02/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005099	KLUGMAN00005099		H
3176	02/25/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005100	KLUGMAN00005100		H
3177	05/28/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005101	KLUGMAN00005101		H
3178	05/28/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan	KLUGMAN00005104	KLUGMAN00005104		H
3179	05/14/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005105	KLUGMAN00005105		H
3180	05/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- TDC A/S	KLUGMAN00005111	KLUGMAN00005111		DNM, H
3181	06/19/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan- TDC DC	KLUGMAN00005112	KLUGMAN00005112		H
3182	11/05/2014	Ballygate Capital Limited Trade Confirmation- The Stor Capital Consulting LLC 401k Plan Ticker- TDC DC	KLUGMAN00005113	KLUGMAN00005113		H
3183	09/21/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan- TDC DC	KLUGMAN00005114	KLUGMAN00005114		H
3184	03/25/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan- TRYG DC	KLUGMAN00005115	KLUGMAN00005116		H
3185	07/07/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- UCB BB	KLUGMAN00005119	KLUGMAN00005119		H
3186	03/31/2015	Email from Jon Chester to Trading@RAKTrust.com Subject: Sunrise Trade Confirmation 30th March 2015- VWS DC	KLUGMAN00005122	KLUGMAN00005123		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3187	06/19/2015	TJM Trade Confirmation- The Stor Capital Consulting LLC 401K Plan- VWS DC	KLUGMAN00005124	KLUGMAN00005124		H
3188	06/16/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005125	KLUGMAN00005125		H
3189	03/31/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005126	KLUGMAN00005126		H
3190	05/11/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005127	KLUGMAN00005127		H
3191	03/23/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005128	KLUGMAN00005128		H
3192	03/17/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005129	KLUGMAN00005129		H
3193	03/31/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005130	KLUGMAN00005130		H
3194	03/24/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005131	KLUGMAN00005131		H
3195	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005132	KLUGMAN00005132		H
3196	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005133	KLUGMAN00005133		H
3197	03/24/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005134	KLUGMAN00005134		H
3198	03/02/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005135	KLUGMAN00005135		H
3199	03/23/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005136	KLUGMAN00005136		H
3200	08/12/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005137	KLUGMAN00005137		H
3201	03/10/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005138	KLUGMAN00005138		H
3202	03/30/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005139	KLUGMAN00005139		H
3203	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005140	KLUGMAN00005140		H
3204	06/03/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005141	KLUGMAN00005141		H
3205	05/19/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005142	KLUGMAN00005142		H
3206	05/19/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005143	KLUGMAN00005143		H
3207	05/13/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005144	KLUGMAN00005144		H
3208	05/08/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005145	KLUGMAN00005145		H
3209	06/04/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005146	KLUGMAN00005146		H
3210	06/04/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005147	KLUGMAN00005147		H
3211	06/03/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005148	KLUGMAN00005148		H
3212	05/20/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005149	KLUGMAN00005149		H
3213	05/08/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005150	KLUGMAN00005150		H
3214	05/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005151	KLUGMAN00005151		H
3215	05/06/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005152	KLUGMAN00005152		H
3216	05/06/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005153	KLUGMAN00005153		H
3217	05/05/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005154	KLUGMAN00005154		H
3218	04/20/2015	Bastion Capital London Ltd Invoice to The Stor Capital Consulting 401k Plan	KLUGMAN00005155	KLUGMAN00005156		H
3219	07/07/2015	Letter from The Stor Capital Consulting LLC 401k Plan to Telesto Markets LLP	KLUGMAN00005157	KLUGMAN00005157		H
3220	03/31/2015	Sunrise Invoice- The Stor Capital Consulting LC 401k Plan	KLUGMAN00005158	KLUGMAN00005163		H
3221	06/30/2015	Sunrise Invoice- The Stor Capital Consulting LC 401k Plan	KLUGMAN00005165	KLUGMAN00005182		H
3222	07/16/2015	Bastion Capital London Ltd Invoice to The Stor Capital Consulting 401k Plan	KLUGMAN00005183	KLUGMAN00005184		H
3223	10/08/2015	Bastion Capital London Ltd Invoice to The Stor Capital Consulting 401k Plan	KLUGMAN00005185	KLUGMAN00005186		H
3224	03/31/2015	TJM Statement of Commissions- The Stor Capital Consulting LLC 401k Plan	KLUGMAN00005188	KLUGMAN00005188		H
3225	12/31/2015	Telesto Markets LLP Statement Summary for The Stor Capital Consulting LLC 401k Plan (STO01)	KLUGMAN00005189	KLUGMAN00005215		H
3226	11/11/2014	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- ABI BB	KLUGMAN00005873	KLUGMAN00005873		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3227	12/10/2014	Ballygate Capital Limited Trade Confirmation for The TENS Services LLC 401k Plan- ABI BB	KLUGMAN00005874	KLUGMAN00005874		H, IR
3228	06/22/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- ABI BB	KLUGMAN00005875	KLUGMAN00005875		H, IR
3229	05/29/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- ACKB BB	KLUGMAN00005876	KLUGMAN00005876		H, IR
3230	07/10/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- ACK BB	KLUGMAN00005877	KLUGMAN00005877		H, IR
3231	05/05/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- AGS BB	KLUGMAN00005878	KLUGMAN00005878		H, IR
3232	06/22/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- AGS BB	KLUGMAN00005879	KLUGMAN00005879		H, IR
3233	03/30/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- MAERSKA DC	KLUGMAN00005880	KLUGMAN00005880		H, IR
3234	07/23/2015	Email from Gary Sutton to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 22nd July 2015- MAERSKA DC	KLUGMAN00005881	KLUGMAN00005882		H, IR
3235	03/31/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 30th March 2015-MAERSKB DC	KLUGMAN00005883	KLUGMAN00005884		H, IR
3236	06/08/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- MAERSKB DC	KLUGMAN00005885	KLUGMAN00005885		H, IR
3237	06/11/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- AURIB DC	KLUGMAN00005886	KLUGMAN00005886		H, IR
3238	06/29/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- AURIB DC	KLUGMAN00005887	KLUGMAN00005887		H, IR
3239	05/06/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation- 5th May 2015- BEFB BB	KLUGMAN00005888	KLUGMAN00005889		H, IR
3240	07/28/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- BEFB BB	KLUGMAN00005890	KLUGMAN00005890		H, IR
3241	05/15/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation- 14th May 2015- BEKB BB	KLUGMAN00005891	KLUGMAN00005892		H, IR
3242	06/22/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- BEKB BB	KLUGMAN00005893	KLUGMAN00005893		H, IR
3243	07/22/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- PROX BB	KLUGMAN00005894	KLUGMAN00005894		H, IR
3244	04/22/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 21st April 2015- BELG BB	KLUGMAN00005895	KLUGMAN00005896		H, IR
3245	05/15/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- BPOST BB	KLUGMAN00005897	KLUGMAN00005897		H, IR
3246	06/22/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- BPOST BB	KLUGMAN00005898	KLUGMAN00005898		H, IR
3247	03/26/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- CARLB DC	KLUGMAN00005899	KLUGMAN00005899		H, IR
3248	07/16/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- CARLB DC	KLUGMAN00005900	KLUGMAN00005900		H, IR
3249	05/06/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan	KLUGMAN00005902	KLUGMAN00005902		H, IR
3250	12/17/2014	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- COLOB DC	KLUGMAN00005903	KLUGMAN00005903		H, IR
3251	07/09/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation- 8th July 2015 COLOB DC	KLUGMAN00005904	KLUGMAN00005905		H, IR
3252	03/18/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- DANKSKE DC	KLUGMAN00005906	KLUGMAN00005906		H, IR
3253	06/03/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation- 2nd June 2015- DANSKE DC	KLUGMAN00005907	KLUGMAN00005908		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3254	06/02/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 1st June 2015- DELB BB	KLUGMAN00005909	KLUGMAN00005910		H, IR
3255	07/06/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- DELB BB	KLUGMAN00005911	KLUGMAN00005911		H, IR
3256	06/01/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- DIE BB	KLUGMAN00005912	KLUGMAN00005912		H, IR
3257	06/22/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- DIE BB	KLUGMAN00005913	KLUGMAN00005913		H, IR
3258	03/12/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- DSV DC	KLUGMAN00005914	KLUGMAN00005914		H, IR
3259	07/09/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- DSV DC	KLUGMAN00005915	KLUGMAN00005915		H, IR
3260	05/29/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- ELI BB	KLUGMAN00005916	KLUGMAN00005916		H, IR
3261	06/22/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- ELI BB	KLUGMAN00005917	KLUGMAN00005917		H, IR
3262	03/26/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- FLS DC	KLUGMAN00005918	KLUGMAN00005918		H, IR
3263	07/01/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- FLS DC	KLUGMAN00005920	KLUGMAN00005920		H, IR
3264	03/19/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- GN DC	KLUGMAN00005921	KLUGMAN00005921		H, IR
3265	06/19/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 18th June 2015- GN DC	KLUGMAN00005922	KLUGMAN00005923		H, IR
3266	05/05/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 4th May 2015- GBLB BB	KLUGMAN00005924	KLUGMAN00005925		H, IR
3267	07/28/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- GBLB BB	KLUGMAN00005926	KLUGMAN00005926		H, IR
3268	05/08/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan KBC BB	KLUGMAN00005927	KLUGMAN00005927		H, IR
3269	06/26/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- KBC BB	KLUGMAN00005928	KLUGMAN00005928		H, IR
3270	03/19/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- NOVOB DC	KLUGMAN00005929	KLUGMAN00005929		H, IR
3271	06/30/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 29th June 2015- NOVOB DC	KLUGMAN00005930	KLUGMAN00005931		H, IR
3272	02/25/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 25th FEB 2015- NZYMB DC	KLUGMAN00005932	KLUGMAN00005933		H, IR
3273	05/26/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00005934	KLUGMAN00005934		H, IR
3274	03/18/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00005935	KLUGMAN00005935		H, IR
3275	07/07/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 6th July 2015- PNDORA DC	KLUGMAN00005936	KLUGMAN00005937		H, IR
3276	05/14/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00005938	KLUGMAN00005938		H, IR
3277	06/22/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - SOLB BB	KLUGMAN00005939	KLUGMAN00005939		H, IR
3278	08/10/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 7th August 2015- TDC DC	KLUGMAN00005941	KLUGMAN00005942		H, IR
3279	03/05/2015	Bastion Capital London Ltd Invoice to The TENS Services LLC 401k Plan	KLUGMAN00005943	KLUGMAN00005943		H, IR
3280	06/03/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 2nd June 2015- TDC DC	KLUGMAN00005944	KLUGMAN00005945		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3281	11/05/2014	Ballygate Capital Limited Trade Confirmation - The TENS Services LLC 401k PlanTicker- TDC DC	KLUGMAN00005946	KLUGMAN00005946		H, IR
3282	09/08/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00005947	KLUGMAN00005947		H, IR
3283	03/25/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - TRYG A/S	KLUGMAN00005948	KLUGMAN00005949		H, IR
3284	06/26/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 25th June 2015- TDC DC	KLUGMAN00005950	KLUGMAN00005951		H, IR
3285	08/28/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00005952	KLUGMAN00005952		H, IR
3286	04/29/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00005953	KLUGMAN00005953		H, IR
3287	08/05/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - UMI BB	KLUGMAN00005954	KLUGMAN00005954		H, IR
3288	06/10/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00005955	KLUGMAN00005955		H, IR
3289	03/30/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - VWS	KLUGMAN00005956	KLUGMAN00005956		H, IR
3290	04/07/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - AP MOELLER-MAERSK A/S-A	KLUGMAN00005957	KLUGMAN00005957		H, IR
3291	07/07/2015	Letter from The TENS Services LLC to Solo Capital Partners	KLUGMAN00005974	KLUGMAN00005974		H, IR
3292	03/31/2015	Sunrise Invoice- The TENS Services LLC 401k Plan	KLUGMAN00005975	KLUGMAN00005978		H, IR
3293	06/30/2015	Sunrise Invoice- The TENS Services LLC 401k Plan	KLUGMAN00005980	KLUGMAN00005993		H, IR
3294	12/31/2015	Solo Capital Partners Statement for The TENS Services LLC 401k Plan	KLUGMAN00006000	KLUGMAN00006024		H, IR
3295	07/08/2015	Email from Richard Markowitz to Michael Ben-Jacob CC: Peter Wells, Robert Klugman, John H. Van Merkensteijn Subject: Re: Letters to Custodians for Payments	KLUGMAN00006171	KLUGMAN00006171		H, IR, MIL, 403
3296	06/17/2015	Email from R. Markowitz to P. Wells, M. Ben-Jacob, R. Klugman, J. van Merkensteijn re Third Pary Transfers; Ganymede invoice sent to Kaye Scholer	KLUGMAN00006224	KLUGMAN00006227	3126	H, INC, IR, MIL, 403
3297	05/05/2015	Email from P. Wells to J. van Merkensteijn, R. Markowitz, R. Klugman re Treasury Reporting Matters	KLUGMAN00006233	KLUGMAN00006235		H, IR, MIL, 403
3298	04/30/2015	Email from P. Wells to J. Van Merkensteijn, R. Markowitz, R. Klugman RE: Treasury Reporting Matters	KLUGMAN00006245	KLUGMAN00006246		H, IR, MIL, 403
3299	01/12/2015	Email from John H. Van Merkensteijn to Michael Ben-Jacob, Richard Markowitz, Robert Klugman CC: Peter Wells Subject: Danish Securities Law Question	KLUGMAN00006293	KLUGMAN00006304		H, IR, MIL, 403
3300	01/09/2015	Email from J. van Merkensteijn to P. Wells, R. Klugman, R. Markowitz RE Conference Call to Catch Up	KLUGMAN00006320	KLUGMAN00006322	3120	H, IR, MIL, 403
3301	12/12/2014	Email from Michael Ben-Jacob to Richard Markowitz, Peter Wells CC: John H. Van Merkensteijn, Robert Klugman Subject: Re: Danish Securities Law Question	KLUGMAN00006326	KLUGMAN00006332	1852	H, IR, MIL, 403
3302	10/17/2014	Email from Robert Klugman to Peter Wells, CC: Richard markowitz, Rebecca Veillette, Amy gregory, Michael Ben-Jacob, John H. Van Merkensteijn Subject: Re: New Plans to be On-Boarded and 6166s for Existing Plans	KLUGMAN00006407	KLUGMAN00006409		H, IR, MIL, 403
3303	09/15/2014	Email from Richard Markowitz to Peter Wells, CC: Michael Ben-Jacob, John H. Van Merkensteijn, Robert Klugman Subject: Re: Form 6166s	KLUGMAN00006420	KLUGMAN00006421		H, IR, MIL, 403

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3304	09/10/2014	Email from Richard Markowitz to Peter Wells, Michael Ben-Jacob cc: John H. Van Merkensteijn, Robert Klugman Subject: Form 6166s	KLUGMAN00006429	KLUGMAN00006429		H, IR, MIL
3305	09/03/2014	Email from Richard Markowitz to Rebecca Veillette, Amy Gregory CC: Peter Wells, Michael Ben-Jacob, John H. Van Merkensteijn, Robert Klugman Subject: IRS Calls and Filings	KLUGMAN00006434	KLUGMAN00006434		H, IR, MIL
3306	07/17/2014	Email from P. Wells to R. Markowitz, Gregory, M. Ben-Jacob RE Missing Information and Additional Plans	KLUGMAN00006675	KLUGMAN00006682	3113	H, IR, 403, MIL, DNM
3307	08/01/2014	Email from Peter Wells to Robert Klugman Subject: Solo Questionnaire Atchment: Solo Capital Partners LLP Global Securities Services & Execution On-Boarding Questionnaire	KLUGMAN00008086	KLUGMAN00008097		H, INC, MIL
3308	11/27/2015	Email from trading@RAK3Trust.com to Robert Klugman Subject: Fwd: Statement of Account- The Tens	KLUGMAN00009274	KLUGMAN00009274		H, IR, INC
3309	08/10/2015	Bastion Capital London Ltd Invoice to The TENS Services LLC 401k Plan	KLUGMAN00009275	KLUGMAN00009276		H, IR
3310	11/26/2015	Bastion Capital London Ltd Statement to The TENS Services LLC 401k Plan	KLUGMAN00009277	KLUGMAN00009277		H, IR
3311	05/14/2014	RAK Investment Trust Bank Documents	KLUGMAN00010907	KLUGMAN00010938		H
3312	06/13/2014	Broad Financial LLC Invoice and correspondence to The TENS Services LLC	KLUGMAN00010965	KLUGMAN00011055		AUTH, F, H, IR, DNM
3313	05/15/2014	RAK Investment Trust IRS Documents and Wells Fargo Account Application	KLUGMAN00011056	KLUGMAN00011364		AUTH, F, H
3314	05/15/2014	RAK 2 Investment Trust Documents	KLUGMAN00011365	KLUGMAN00011625		AUTH, F, H
3315	04/30/2014	Stor Capital Consulting LLC- State of Connecticut Dept. of Revenue	KLUGMAN00011626	KLUGMAN00011740		AUTH, F, H
3316	01/06/2009	Stor Capital Consulting LLC Operating Agreement and Trust Agreement under the Stor Capital Consulting LLC 401k Plan	KLUGMAN00012213	KLUGMAN00012635		H, DNM, C
3317	11/10/2014	Letter from Kaye Scholer to IRS Agent Robert Toscani re The STOR Capital Consulting 401k Plan (RAK Investment Trust)	KLUGMAN00013379	KLUGMAN00013385		AUTH, F, H, IR, MIL
3318	04/06/2015	Letter from Kaye Scholer to IRS Agent Wisner re The STOR Capital Consulting 401k Plan (RAK Investment Trust)	KLUGMAN00013386	KLUGMAN00013396		MIL, H, AUTH, F, IR, 403
3319	03/26/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- various	KLUGMAN00013454	KLUGMAN00013466		DNM, H, IR
3320	03/27/2015	STOR Capital Consulting LLC Trade Confirmations (various)	KLUGMAN00013467	KLUGMAN00013481		H
3321	05/09/2014	Operating Agreement of STOR Capital Consulting LLC	KLUGMAN00013949	KLUGMAN00013974		H, F
3322	05/14/2014	RAK Investment Trust IRS Documents and Trust Agreement	KLUGMAN00013975	KLUGMAN00013997		H, C
3323	02/27/2015	Letter from Sapien Capital Limited to RAK Investment Trust c/o STOR Capital Consulting Re: Your New Account with us and Status: Elective Professional	KLUGMAN00015295	KLUGMAN00015312		F, H, C, DNM
3324	08/05/2014	Letter from Kaye Scholer to IRS re Aerovane Logistics LLC Roth 401(K) Plan	KLUGMAN00018727	KLUGMAN00018732		AUTH, F, H, IR, MIL
3325	08/01/2014	Form 8802- Application for United States Residency Certification- RAK Investment Trust	KLUGMAN00018766	KLUGMAN00018768		H
3326	07/15/2014	Operating Agreement of TENS Services LLC	KLUGMAN00025367	KLUGMAN00025384		MIL, H, IR
3327	02/27/2015	Letter from Sapien Capital Limited to RAK Investment Trust c/o STOR Capital Consulting Re: Your New Account with us and Status: Elective Professional	KLUGMAN00025748	KLUGMAN00025765		F, H, C
3328	03/31/2015	Form CQ-1 for STOR Capital Consulting LLC 401k Plan As Of March 31, 2015	KLUGMAN00025844	KLUGMAN00025854		H, IR
3329	03/31/2015	Form S for STOR Capital Consulting LLC 401k Plan As Of March 31, 2015	KLUGMAN00025895	KLUGMAN00025905		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3330	03/31/2015	Form S: Monthly Report to Federal Reserve Banks RE Edgepoint Capital LLC Roth 401(K) Plan from Ben-Jacob	KLUGMAN00025928	KLUGMAN00025938	3121	H, IR
3331	04/30/2015	Form S for STOR Capital Consulting LLC 401k Plan As Of April 30, 2015	KLUGMAN00025981	KLUGMAN00025991		H, IR
3332	06/30/2014	Klugman Limited Power of Attorney	KLUGMAN00026019	KLUGMAN00026021		H
3333	03/02/2015	Email from Casey White to Yolanda Pena, Junior Dastine CC: Peter Wells Subject: RE: Robert Klugman March Statement	KLUGMAN00026486	KLUGMAN00026487		AUTH, F, H, IR, MIL
3334	03/20/2015	Kaye Scholer Invoice to Robert Klugman	KLUGMAN00026488	KLUGMAN00026491		C, F, H, IR, MIL, 403
3335	04/10/2015	Letter from Kaye Scholer to Vicky Dean at Goal Group Limited- Reclaim documents related to Stor Capital Consulting 401k Plan	KLUGMAN00026584	KLUGMAN00026612		AUTH, F, H, MIL
3336	05/29/2015	Form S for STOR Capital Consulting LLC 401k Plan As Of May 29, 2015	KLUGMAN00026654	KLUGMAN00026664		H, IR
3337	06/30/2015	Form S for STOR Capital Consulting LLC 401k Plan As Of June 30, 2015	KLUGMAN00026814	KLUGMAN00026824		H, IR
3338	07/31/2015	Form S for STOR Capital Consulting LLC 401k Plan As Of July 31, 2015	KLUGMAN00027047	KLUGMAN00027057		H, IR
3339	08/31/2015	Form S for STOR Capital Consulting LLC 401k Plan As Of August 31, 2015	KLUGMAN00027208	KLUGMAN00027218		H, IR
3340	09/30/2015	Form S for STOR Capital Consulting LLC 401k Plan As Of September 30, 2015	KLUGMAN00027807	KLUGMAN00027817		H, IR
3341	12/31/2015	Form D for STOR Capital Consulting LLC 401k Plan As Of December 31, 2015	KLUGMAN00028000	KLUGMAN00028000		AUTH, F, H, IR
3342	02/23/2015	Letter from IRS to Aerovane Logistics LLC- Certification of Request for Relief from Double Taxation	KLUGMAN00028525	KLUGMAN00028531		AUTH, F, H, MIL
3343		Form BQ-2 Quarterly Report to Federal Reserve Banks- TENS Services LLC 401k Plan	KLUGMAN00028982	KLUGMAN00028993		H, IR
3344	03/12/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- DSV DC	KLUGMAN00030589	KLUGMAN00030589		H
3345	03/26/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- FLS DC	KLUGMAN00030590	KLUGMAN00030591		H
3346	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- GN DC	KLUGMAN00030592	KLUGMAN00030592		H
3347	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- NOVOB DC	KLUGMAN00030593	KLUGMAN00030593		H
3348	02/25/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- NZYMB DC	KLUGMAN00030594	KLUGMAN00030594		H
3349	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- TDC DC	KLUGMAN00030597	KLUGMAN00030597		H
3350	03/25/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- TRYG DC	KLUGMAN00030598	KLUGMAN00030599		H
3351	03/26/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- CARLB DC	KLUGMAN00030600	KLUGMAN00030600		H, IR
3352	03/18/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- DANSKE	KLUGMAN00030601	KLUGMAN00030601		H, IR
3353	03/12/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan DSV DC	KLUGMAN00030602	KLUGMAN00030602		H, IR
3354	03/26/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- FLS DC	KLUGMAN00030603	KLUGMAN00030604		H, IR
3355	03/19/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan GN DC	KLUGMAN00030605	KLUGMAN00030605		H, IR
3356	03/19/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan NOVOB DC	KLUGMAN00030606	KLUGMAN00030606		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3357	02/25/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 25th FEB 2015- NZYMB DC	KLUGMAN00030607	KLUGMAN00030608		H, IR
3358	03/18/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00030609	KLUGMAN00030609		H, IR
3359	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00030610	KLUGMAN00030610		H, IR
3360	03/25/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - TRYG DC	KLUGMAN00030611	KLUGMAN00030612		H, IR
3361	03/12/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- DSV DC	KLUGMAN00030668	KLUGMAN00030668		H
3362	03/26/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- FLS DC	KLUGMAN00030669	KLUGMAN00030670		H
3363	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- GN DC	KLUGMAN00030671	KLUGMAN00030671		H
3364	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- NOVOB DC	KLUGMAN00030672	KLUGMAN00030672		H
3365	02/25/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- NZYMB DC	KLUGMAN00030673	KLUGMAN00030673		H
3366	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- TDC DC	KLUGMAN00030676	KLUGMAN00030676		H
3367	03/25/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- TRYG DC	KLUGMAN00030677	KLUGMAN00030678		H
3368	03/26/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan CARLB DC	KLUGMAN00030679	KLUGMAN00030679		H, IR
3369	03/18/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- DANSKE DC	KLUGMAN00030680	KLUGMAN00030680		H, IR
3370	03/12/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- DSV DC	KLUGMAN00030681	KLUGMAN00030681		H, IR
3371	03/26/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan FLS DC	KLUGMAN00030682	KLUGMAN00030683		H, IR
3372	03/19/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- GN DC	KLUGMAN00030684	KLUGMAN00030684		H, IR
3373	03/24/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- NOVOB DC	KLUGMAN00030685	KLUGMAN00030685		H, IR
3374	02/25/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 25th FEB 2015- NZYMB DC	KLUGMAN00030686	KLUGMAN00030687		H, IR
3375	03/18/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00030688	KLUGMAN00030688		H, IR
3376	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	KLUGMAN00030689	KLUGMAN00030689		H, IR
3377	03/25/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - TRYG DC	KLUGMAN00030690	KLUGMAN00030691		H, IR
3378	06/16/2015	Telesto Credit Advice to Stor Capital Consulting LLC 401k Plan	KLUGMAN00031367	KLUGMAN00031373		H
3379	06/16/2015	Telesto Credit Advice to Stor Capital Consulting LLC 401k Plan	KLUGMAN00031382	KLUGMAN00031388		H
3380	03/10/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - TDC A/S	KLUGMAN00031440	KLUGMAN00031440		H, IR
3381	06/30/2016	The Stor Capital Consulting LLC 401K Plan 2015 FBAR	KLUGMAN00033608	KLUGMAN00033614		AUTH, F, H, IR
3382	06/30/2016	Edgepoint Capital LLC Roth 401K Plan 2015 FBAR	KLUGMAN00033615	KLUGMAN00033621		AUTH, F, H, IR
3383	06/30/2016	Aerovane Logistics LLC Roth 401K Plan 2015 FBAR	KLUGMAN00033622	KLUGMAN00033628		AUTH, F, H, IR
3384	06/30/2016	Random Holdings 401K Plan 2015 FBAR	KLUGMAN00033629	KLUGMAN00033635		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3385	12/02/2016	New York State Department of State Division of Corporations Submission of Biennial Statement for Stor Capital Consulting LLC	KLUGMAN00039061	KLUGMAN00039061		AUTH, F, H
3386	12/08/2015	Old Park Lane Capital PLC Income Advice- Aerovane Logistics	KLUGMAN00039292	KLUGMAN00039299		H
3387	08/24/2015	Letter from Syntax to SKAT re Danish Dividend Tax Reclaim for The Random Holdings 401k Plan	KLUGMAN00039300	KLUGMAN00039306		H, TR
3388	06/16/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	KLUGMAN00039307	KLUGMAN00039313		H
3389	07/01/2014	Letter from Kaye Scholer to Robert Klugman- Terms of Engagement	KLUGMAN00039762	KLUGMAN00039764		C, F, H, IR, MIL, 403
3390	08/12/2015	Old Park Lane Capital PLC Income Advise to Aerovane Logistics LLC Roth 401(K) Plan	KLUGMAN00040981	KLUGMAN00040988		H
3391	06/16/2015	Telesto Credit Advice to Stor Capital Consulting LLC 401k Plan	KLUGMAN00040989	KLUGMAN00040995		H
3392	Undated	Solo Capital Partners LLP Global Securities Services & Execution On-Boarding Questionnaire	KLUGMAN00041906	KLUGMAN00041922		H
3393	07/06/1905	Kaye Scholer- RAK3 Investment Trust Roth 401(k) Plans 2014	KLUGMAN00042329	KLUGMAN00042559		H, IR, MIL
3394	06/24/2014	Broad Financial- RAK3 Trust Documents for Bank	KLUGMAN00043736	KLUGMAN00043755		AUTH, F, H, IR
3395	06/13/2014	Broad Financial Invoice and Correspondence to The TENS Services LLC	KLUGMAN00043821	KLUGMAN00044068		AUTH, F, H, IR, C, DNM
3396	10/10/2016	Vcorp Services Invoice to Kaye Scholer for Stor Capital Consulting LLC	KLUGMAN00046584	KLUGMAN00046584		AUTH, F, H, INC, MIL
3397	Undated	Vcorp Services State Report Intake Form- STOR Capital Consulting LLC	KLUGMAN00046587	KLUGMAN00046588		AUTH, F, H, INC, MIL
3398	10/19/2015	Email from Michael Ben-Jacob to Peter Wells, Matthew Stein, Jerome Lhote, Luke McGee, John Van Merkensteijn, Richard Markowitz, CC: Brooke Pollack Subject: Update Regarding Federal Reserve Attachments: TIC B Series Threshold Exemption Levels.pdf; Form BQ-2 Instructions and Applicable Glossary Terms.pdf	KLUGMAN00046987	KLUGMAN00046996		H, IR, MIL, 403
3399	01/07/2015	Email from Ballygate Brokerage to trading@rak3trust.com Subject: Ballygate Brokerage Q4 Invoice- The TENS Services LLC 401k Plan Attachments: RAK3_141231001.pdf	KLUGMAN00047055	KLUGMAN00047060		H, AUTH, F, IR
3400	09/30/2015	Sunrise Invoice- The TENS Services LLC 401k Plan	KLUGMAN00047061	KLUGMAN00047066		H, IR
3401	05/13/2015	Email from Elena Dita to trading@RAK3trust.com CC: Adrian Milne Subject: Statement of account- The Tens Services Attachments: The TENS Services- Inv 6073 and schedule.pdf; The Tens Services statement at 13 May 15.pdf	KLUGMAN00047070	KLUGMAN00047076		H, AUTH, F, IR
3402	08/07/2015	Letter from Kaye Scholer to IRS re The TENS Serives LLC 401k Plan (RAK3 Investment Trust)	KLUGMAN00048668	KLUGMAN00048708		AUTH, F, H, IR, 403, MIL
3403	06/13/2014	Email from John Van Merkensteijn to Amy Gregory CC: Peter Wells, Richard Markowitz, Robert Klugman, Michael Ben-Jacob Subject: names for plans	KLUGMAN00052389	KLUGMAN00052389		No objections
3404	08/25/2014	Kaye Scholer Invoicice to John Van Merkensteijn	KLUGMAN00053183	KLUGMAN00053194		F, H, IR, 403, MIL
3405	07/07/2015	Letters from Michael Ben-Jacob on behalf of various plans to Old Park Lane plc, Telesto Markets LLP, Solo Capital Partners, West Point Derivatives Ltd. Re amounts owed to Bastion Capital London Ltd	KLUGMAN00057268	KLUGMAN00057391		H, F, AUTH
3406	04/07/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - AP MOELLER-MAERSK A/S-A	KLUGMAN00057911	KLUGMAN00057911		H, IR
3407	04/07/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - AP MOELLER-MAERSK A/S-A	KLUGMAN00058075	KLUGMAN00058075		H, IR
3408	08/08/2014	Email from Richard markowitz to Michael Ben-Jacob CC: Peter Wells, Amy Gregory, Rebecca Veillette, Robert klugman, John Van Merkensteijn Subject: Our Thanks to the Kaye Scholer Team	KLUGMAN00059838	KLUGMAN00059838		H, IR, 403, MIL

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3409	06/13/2014	Email from Peter Wells to John Van Merkensteijn, Amy Gregory CC: Richard Markowitz, Robert Klugman, Michael Ben-Jacob Subject: RE: names for plans	KLUGMAN00059841	KLUGMAN00059842		No objections
3410	12/12/2014	Email from Michael Ben-Jacob to Richard Markowitz, Peter Wells, CC: John Van Merkensteijn, Robert Klugman Subject: RE Danish Securities Law Question Attachments: Standard Form_Major Shareholder_Notification.pdf; Major Shareholder Notification Process- Denmark (FSA).pptx (not included)	KLUGMAN00059875	KLUGMAN00059880		H, INC, IR, MIL, 403
3411	12/08/2015	Old Park Lane Capital PLC Income Advice- Aerovane Logistics	KLUGMAN00059888	KLUGMAN00059895		H
3412	12/12/2014	Email from Michael Ben-Jacob to Richard Markowitz, Peter Wells, CC: John Van Merkensteijn, Robert Klugman Subject: RE Danish Securities Law Question Attachments: Standard Form_Major Shareholder_Notification.pdf; Major Shareholder Notification Process- Denmark (FSA).pptx (not included)	KLUGMAN00059896	KLUGMAN00059901		H, INC, IR, MIL, 403
3413	12/12/2014	Email from Michael Ben-Jacob to Richard Markowitz, Peter Wells, CC: John Van Merkensteijn, Robert Klugman Subject: RE Danish Securities Law Question	KLUGMAN00059905	KLUGMAN00059911		H, IR, MIL, 403
3414	12/12/2014	Email from Michael Ben-Jacob to Richard Markowitz, Peter Wells, CC: John Van Merkensteijn, Robert Klugman Subject: RE Danish Securities Law Question Attachments: Standard Form_Major Shareholder_Notification.pdf; Major Shareholder Notification Process- Denmark (FSA).pptx	KLUGMAN00059946	KLUGMAN00059966		H, IR, MIL, 403
3415	11/24/2014	Email from Richard Markowitz to Michael Ben-Jacob, Peter Wells CC: John Van merkensteijn, Robert Klugman Subject: Re: Danish Securities Law Question	KLUGMAN00059967	KLUGMAN00059968		H, IR, MIL, 403
3416	07/30/2014	Email from Richard Markowitz to Adam Larosa CC: Robert Klugman, John Van Merkensteijn Subject: Thank You!!	KLUGMAN00060057	KLUGMAN00060057		H
3417	04/02/2013	Email from Jonathan Sander to Richard Markowitz, CC: globalequity@duetgroup.net, Jerome Lhote, Matthew Stein, John H. Van Merkensteijn, Adam Larosa, Jerome Lhote, Robert Klugman, Michael Ben-Jacob, Peter Wells, Tue Sando, Kriti Avasthi Subject: RE: Comments on Duet and E D & F Documents	KLUGMAN00060791	KLUGMAN00060795		H, IR, 403, INC, MIL
3418	03/06/2013	Email from Richard Markowitz to Jonathan Sander, Aneil Anand, globalequity@duetgroup.net CC: Robert Klugman, Jerome Lhote, John H. Van Merkensteijn, Matthew Stein, Adam Larosa Subject; Conversation with Freshfields	KLUGMAN00061534	KLUGMAN00061534		H, IR, 403, MIL
3419	03/06/2013	Email from Jonathan Sander to Jerome Lhote, Matthew Stein, John H. Van Merkensteijn, Adam Larosa, Richard Markowitz, Robert Klugman, globalequity@duetgroup.net Subject: FW: Annex 26	KLUGMAN00061594	KLUGMAN00061597		H, IR, 403, MIL
3420	12/19/2012	Email from Jonathan Sander to Richard Markowitz, John H. Van Merkensteijn, Matthew Stein, Adam Larosa, Jerome Lhote, Robert Klugman cc: globalequity@duetgroup.net Subject: Belgium- opinion	KLUGMAN00061659	KLUGMAN00061660		H, IR, 430, INC, MIL
3421	12/18/2012	Freshfields Bruckhaus Deringer Opinion on "Investment in Belgian shares"	KLUGMAN00061661	KLUGMAN00061729		H, IR, 403, MIL
3422	04/15/2012	Email from Michael Ben-Jacob to Richard Markowitz, Jonathan Sander, Aneil Anand, CC: Robert Klugman, Louis Tuchman, Peter Wells, Rebecca Veillette, Adam LaRosa, Matthew Stein, John H. Van Merkensteijn, Jerome Lhote Subject: RE: Comments on the Tax Opinion	KLUGMAN00062389	KLUGMAN00062391		H, IR, MIL, 403

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3423	04/13/2012	Email from Richard Markowitz to Jonathan Sander, CC: Robert Klugman, Michael Ben-Jacob, Louis Tuchman, Peter Wells, Rebecca Veillette, Adam Larosa, Matthew Stein, John H. Van Merkensteijn, Jerome Lhote Subject: Comments on the Tax Opinion	KLUGMAN00062393	KLUGMAN00062394		H, IR, MIL, 403, DMM
3424	03/20/2012	Email from J. Sander to R. Markowitz, J. van Merkensteijn, A. LaRosa, M. Stein, J. Lhote, P. Wells, R. Klugman, M. Ben-Jacob, R. Veillette RE Duet / Argre	KLUGMAN00062728	KLUGMAN00062729	3101	H, IR, MIL
3425	03/12/2012	Email from R. Markowitz to A. Anand, J. Sander re Tax Exempt Entities	KLUGMAN00062776	KLUGMAN00062777		H, IR, 403
3426	03/12/2012	Email from Robert Neyt to Richard Markowitz, John H. Van Merkensteijn, Adam Larosa, Matthew Stein, Jerome Lhote, Robert Klugman, CC: Jonathan Sander, Aneil Anand Subject: Belgian equities- Domestic Exemption for non-resident non-profits- Certificate	KLUGMAN00062778	KLUGMAN00062779		H, IR, 403, MIL
3427	03/12/2012	Appointment- from Jonathan Sander to Jonathan Sander, Richard Markowitz, John H. Van Merkensteijn, Adam Larosa, Matthew Stein, Jerome Lhote, Robert Klugman, Aneil Anand Subject: Duet- Belgium	KLUGMAN00062881	KLUGMAN00062881		H, IR
3428	03/26/2012	Email from Jonathan Sander to Richard Markowitz, CC: Robert Klugman, Matthew Stein, Jerome Lhote, Adam Larosa, John Van Merkensteijn, Michael Ben-Jacob, Peter Wells, Rebecca Veillette, globalequity@duetgroup.net Subject: RE: Critical Path Items Attachments: (not included)	KLUGMAN00064977	KLUGMAN00064978		H, IR, INC, MIL
3429	03/23/2012	Email from Richard Markowitz to Jonathan Sander, CC: Robert Klugman, Jerome Lhote, Adam Larosa, John Van Merkensteijn, Matthew Stein, Peter Wells, Michael Ben-Jacob, Rebecca Veillette, globalequity@duetgroup.net Subject: Re: Duet- Belgium	KLUGMAN00065014	KLUGMAN00065020		H, IR, 403, MIL
3430	03/21/2012	Email from R. Markowitz to A. Anand, J. Sander re Structure	KLUGMAN00065110	KLUGMAN00065110		H, IR, 403
3431	11/09/2016	Kaye Scholer Invoice to Rossi Technologies	KLUGMAN00067283	KLUGMAN00067290		C, F, H, IR, MIL, 403
3432	11/09/2016	Kaye Scholer Invoice to Routh Capital Trust	KLUGMAN00067291	KLUGMAN00067298		C, F, H, IR, MIL, 403
3433	11/09/2016	Kaye Scholer Invoice to STOR Capital Consulting LLC Roth 401k Plan	KLUGMAN00067299	KLUGMAN00067306		C, F, H, IR, MIL, 403
3434	11/09/2016	Kaye Scholer Invoices to JvM, re Estate Planning, dated November 9, 2016	KLUGMAN00067309	KLUGMAN00067310		C, F, H, IR, MIL, 403
3435	11/09/2016	Kaye Scholer Invoice to Richard Markowitz	KLUGMAN00067311	KLUGMAN00067312		C, F, H, IR, MIL, 403
3436	11/09/2016	Kaye Scholer Invoices to Klugman, re Estate Planning, dated November 9, 2016	KLUGMAN00067313	KLUGMAN00067314		C, F, H, IR, MIL, 403
3437	11/09/2016	Kaye Scholer Invoices to Rossi Technologies LLC Roth 401(k) PlanRossi Technologies LLC Roth 401(k) Plan and JvM, re Ex-Dividend Trades - Pensions, dated November 9, 2016	KLUGMAN00067358	KLUGMAN00067365		C, F, H, IR, MIL, 403
3438	11/09/2016	Kaye Scholer Invoices to Routh Capital Trust Attn: Markowitz, re Ex-Dividend Trades - Pensions, dated November 9, 2016	KLUGMAN00067366	KLUGMAN00067373		C, F, H, IR, MIL, 403
3439	11/09/2016	Kaye Scholer Invoices to STOR Capital Consulting LLC Roth 401K Plan Attn: Klugman, re Ex-Dividend Trades - Pensions, dated November 9, 2016	KLUGMAN00067374	KLUGMAN00067381		C, F, H, IR, MIL, 403
3440	06/20/2014	Memo from Michael Ben-Jacob to Argre Management Subject: Michelle Investments: Pension Plan Trades: Transactions with "Disqualified Persons"	KLUGMAN00067808	KLUGMAN00067843		AUTH, F, H, IR, MIL, 403, DNM
3441	04/30/2018	Dulberg SJM Decl. Ex. 035 Monthly Report to Federal Reserve Banks	MBJ_0020146	MBJ_0020168		H, IR
3442	06/29/2016	BSA E-Filing - Submission Confirmation RE Avanix Management 2015 FBAR	MBJ_0039364	MBJ_0039371	3124	AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3443	02/01/2015	Letter from M. Ben-Jacob to the Compensatoin Committee re 2015 Percentages and 2014 Float Allocation - Written Statement	MBJ_0052776	MBJ_0052780		F, H, IR, MIL, 403
3444	07/06/1905	Edgepoint Capital LLC Rith 401(k) Plan Form W-9 and IRS Documents	MBJ_0068593	MBJ_0068630		AUTH, F, H, DNM
3445	07/28/2014	Ballygate Capital Limited Institutional Customer New Account Form- Aerovane Logistics LLC	MBJ_0069128	MBJ_0069175		MIL, H, IR
3446	03/18/2016	Letter from M. Piasek, Elite Pension Consultants to J. van Merkensteijn, Basalt Ventures LLC re Basalt Ventures LLC Roth 401(k) Plan	MBJ_0077103	MBJ_0077208		AUTH, F, H
3447	02/27/2015	Letter from Sapien Capital Limited to RAK Investment Trust c/o STOR Capital Consulting Re: Your New Account with us and Status: Elective Professional	MBJ_0083695	MBJ_0083712		F, H, DNM
3448	Undated	Broad Financial Trust Documents for Bank	MBJ_STOR-0001815	MBJ_STOR-0001848		H
3449	Undated	The STOR Capital Consulting LLC 401k Plan	MBJ_STOR-0002109	MBJ_STOR-0002245		H
3450	05/14/2014	RAK Investment Trust	MBJ_STOR-0002253	MBJ_STOR-0002273		H
3451	05/14/2014	Trust Agreement Under The STOR Capital Consulting LLC 401k Plan	MBJ_STOR-0002412	MBJ_STOR-0002431		H
3452	12/21/2015	Letter from Ronne & Lundgren to SKAT re Capita 15-2927611- The Stor Capital Consulting LLC 401k Plan- Relief from Danish Dividend Tax	MBJ_STOR-0002453	MBJ_STOR-0002467		H, AUTH, F
3453	11/12/2015	Email from Brooke Pollack to Richard Markowitz CC: Michael Ben-Jacob Subject Goal Letters Attachments: CAV01/PAB01/STO01- DKK Letters; CAV01/PAB01/STO01- DKK Letters; AER01/CIL01/CED01/CRU01/ECL01/KEY01- DKK Letters;AER01/CIL01/CED01/CRU01/ECL01/KEY01- DKK Letters	MBJ_STOR-0002811	MBJ_STOR-0002821		MIL, H, IR, 403, C
3454	11/12/2015	Email from Melissa-Anne Rodrigues to Brooke Pollak CC: GTB@goalgroup.com Subject: CAV01/PAB01/STO01- DKK Letters Attachments: CAVUS SYSTEMS LLC ROTH 401(K) PLAN.PDF; PAB FACILITIES GLOBAL LLC ROTH (401)K [sic] PLAN.PDF; PAB FACILITIES GLOBAL LLC ROTH (401)K [sic] PLAN 2.pdf; THE STOR CAPITAL CONSULTING LLC 401(K) PLAN.PDF	MBJ_STOR-0003478	MBJ_STOR-0003483		MIL, H, IR, 403, AUTH, F, C, INC
3455	10/22/2015	Letter from SKAT to Goal Taxback Limited Re: In reference to your client The Stor Capital Consulting LLC 401K Plan	MBJ_STOR-0003594	MBJ_STOR-0003597		MIL, H, IR, 403, AUTH, F, C
3456	11/12/2015	Email from Melissa-Anne Rodrigues to Brooke Pollak CC: GTB@goalgroup.com Subject: CAV01/PAB01/STO01- DKK Letters	MBJ_STOR-0003601	MBJ_STOR-0003607		AUTH, F, H
3457	07/23/2014	International Uniform Brokerage Execution Services ("Give-Up") Agreement- (for RAK Investment Trusts)	MBJ_STOR-0005116	MBJ_STOR-0005135		MIL, H, IR, 403
3458	06/27/2012	Client Custody Agreement between Old Park Lane and Loggerhead Plan	MBJ_STOR-0007019	MBJ_STOR-0007024	4500	AUTH, C, F, H, IR, 403, DNM
3459	06/16/2015	Telesto Credit Advice to Stor Capital Consulting LLC 401k Plan	MBJ_STOR-0000410	MBJ_STOR-0000416		H
3460	06/16/2015	Telesto Credit Advice to Stor Capital Consulting LLC 401k Plan	MBJ_STOR-0000417	MBJ_STOR-0000423		H
3461	04/30/2013	Dulberg SJM Decl. Ex. 101_RIM Capital Pension Plan	MPSKAT00000333	MPSKAT00000350		DNM, H
3462	10/01/2012	October 2012 Michelle Investments Pension Plan Trust account statement at First Republic Bank	MPSKAT00002437	MPSKAT00002440		H, F
3463	05/01/2013	Michelle FRB account statement May 2013	MPSKAT00002453	MPSKAT000024536		H, F
3464	Undated	International Uniform Brokerage Execution Services ("Give-Up") Agreement: Customer Version 2008 (signed by A. LaRosa)	MPSKAT00003805	MPSKAT00003809		H
3465	03/31/2015	Sunrise Invoice- The Stor Capital Consulting LC 401k Plan	MPSKAT00005785	MPSKAT00005790		H, F
3466	03/31/2015	Sunrise Invoice- The TENS Services LLC 401k Plan	MPSKAT00005799	MPSKAT00005802		H, IR
3467	07/16/2015	Bastion Capital London Ltd Invoice to The Stor Capital Consulting 401k Plan	MPSKAT00005952	MPSKAT00005953		H
3468	06/30/2015	Sunrise Invoice- The TENS Services LLC 401k Plan	MPSKAT00006236	MPSKAT00006249		H, F, IR
3469	08/07/2015	Bastion Capital Limited Invoice for Basalt Ventures for Q3 2015	MPSKAT00006577	MPSKAT00006578		H, F

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3470	09/30/2015	Sunrise Invoice- The TENS Services LLC 401k Plan	MPSKAT00006780	MPSKAT00006785		H, F, IR
3471	09/30/2015	TJM Statement of Commissions- The Stor Capital Consulting LLC 401k Plan	MPSKAT00006938	MPSKAT00006938		H
3472	09/30/2015	The TENS Services Cash Statement	MPSKAT00007002	MPSKAT00007002		H, F, IR
3473	07/02/2015	Telesto Stor Capital Consulting Cash Statement	MPSKAT00007074	MPSKAT00007075		H, F
3474	08/05/2015	Telesto Stor Capital Consulting Cash Statement	MPSKAT00007197	MPSKAT00007199		H, F
3475	09/01/2015	Telesto Stor Capital Consulting Cash Statement	MPSKAT00007236	MPSKAT00007238		H, F
3476	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for PAB Facilities Global LLC Roth 401(K) Plan	MPSKAT00007475	MPSKAT00007477		H, F
3477	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Albedo Management LLC Roth 401(K) Plan	MPSKAT00007521	MPSKAT00007522		H, F
3478	04/23/2015	Solo Capital Custody Invoice for Quarter 1 2015 for Albedo Management LLC Roth 401(K) Plan	MPSKAT00007784	MPSKAT00007788		H, F
3479	10/13/2015	Solo Capital Custody Invoice for Quarter 2 2015 for Albedo Management LLC Roth 401(K) Plan	MPSKAT00007898	MPSKAT00007910		H, F
3480	03/31/2015	Telesto Invoice- Quarter 1 2015 to The Stor Capital Consulting LLC 401k Plan	MPSKAT00007918	MPSKAT00007922		H, F
3481	04/23/2015	Telesto Custody Invoice for Quarter 1 2015 for PAB Facilities Global LLC Roth 401(K) Plan	MPSKAT00007930	MPSKAT00007936		H, F
3482	Q1/2015	Telesto Invoice Breakdown for Q1 2015 for Basalt Ventures	MPSKAT00007956	MPSKAT00007962		H, F
3483	06/01/2015	Telesto Invoice- Quarter 2 2015 to The Stor Capital Consulting LLC 401k Plan	MPSKAT00007977	MPSKAT00007993		H, F
3484	07/06/2015	Telesto Custody Invoice for Quarter 2 2015 for PAB Facilities Global LLC Roth 401(K) Plan	MPSKAT00008011	MPSKAT00008026		H, F
3485	12/31/2015	Solo Capital Partners LLP Statement for Loggerhead Services LLC Roth 401(K) Plan	MPSKAT00008614	MPSKAT00008640		H
3486	08/12/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009889	MPSKAT00009889		H
3487	06/16/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009890	MPSKAT00009890		H
3488	05/11/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009891	MPSKAT00009891		H
3489	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009892	MPSKAT00009892		H
3490	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009893	MPSKAT00009893		H
3491	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009894	MPSKAT00009894		H
3492	03/31/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009895	MPSKAT00009895		H
3493	03/31/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009896	MPSKAT00009896		H
3494	03/30/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009897	MPSKAT00009897		H
3495	03/24/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009898	MPSKAT00009898		H
3496	03/24/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009899	MPSKAT00009899		H
3497	03/23/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009900	MPSKAT00009900		H
3498	03/23/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009901	MPSKAT00009901		H
3499	03/17/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009902	MPSKAT00009902		H
3500	03/10/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009903	MPSKAT00009903		H
3501	03/02/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	MPSKAT00009904	MPSKAT00009904		H
3502	03/30/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - TRYG A/S	MPSKAT00009921	MPSKAT00009921		H, IR
3503	03/23/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - Danske Bank A/S	MPSKAT00009922	MPSKAT00009922		H, IR
3504	03/23/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - PANDORA A/S	MPSKAT00009923	MPSKAT00009923		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3505	03/17/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - DSV A/S	MPSKAT00009924	MPSKAT00009924		H, IR
3506	03/10/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - TDC A/S	MPSKAT00009925	MPSKAT00009925		H, IR
3507	08/12/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - TDC A/S	MPSKAT00009928	MPSKAT00009928		H, IR
3508	06/16/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - Auriga Industries A/S	MPSKAT00009929	MPSKAT00009929		H, IR
3509	03/02/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - NOVOZYMES A/S-B	MPSKAT00009930	MPSKAT00009930		H, IR
3510	05/11/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - COLOPLAST-B	MPSKAT00009931	MPSKAT00009931		H, IR
3511	04/07/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - AP MOELLER-MAERSK A/S-A	MPSKAT00009932	MPSKAT00009932		H, IR
3512	04/07/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - AP MOELLER-MAERSK A/S-A	MPSKAT00009933	MPSKAT00009933		H, IR
3513	03/31/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - FLSMIDT & CO A/S	MPSKAT00009934	MPSKAT00009934		H, IR
3514	03/31/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - CARLSBERG AS-B	MPSKAT00009935	MPSKAT00009935		H, IR
3515	03/24/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - NOVO NORDISK A/S-B	MPSKAT00009936	MPSKAT00009936		H, IR
3516	03/24/2015	Solo Capital Dividend Credit Advice - The TENS Services LLC 401K Plan - GN STORE NORD A/S	MPSKAT00009937	MPSKAT00009937		H, IR
3517	05/26/2015	Ganymede Cayman Limited Invoice # 00788 to Stor Capital Consulting	MPSKAT00010312	MPSKAT00010312		H, F
3518	07/13/2015	Ganymede Cayman Limited Invoice # 01000 to Stor Capital Consulting	MPSKAT00010355	MPSKAT00010355		H, F
3519	07/07/2015	Letter from The Stor Capital Consulting LLC 401k Plan to Telesto Markets LLP	MPSKAT00010367	MPSKAT00010367		H, AUTH, F
3520	10/29/2015	Random to Tens Rollover Payment (unsigned)	MPSKAT00010415	MPSKAT00010415		H, AUTH, F
3521	10/29/2015	Letter from The Random Holdings LLC 401K Plan to West Point Derivatives Ltd.	MPSKAT00010415	MPSKAT00010415		DUPLICATE, F, AUTH
3522	10/29/2015	Letter from The Random Holdings LLC 401K Plan to West Point Derivatives Ltd.	MPSKAT00010420	MPSKAT00010420		H
3523	07/01/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 30th June 2015	MPSKAT00011391	MPSKAT00011392		H
3524	07/02/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 1st July 2015	MPSKAT00011394	MPSKAT00011395		H
3525	07/29/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 28th July 2015	MPSKAT00011396	MPSKAT00011397		H
3526	03/12/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- DSV DC	MPSKAT00012826	MPSKAT00012826		H
3527	03/31/2015	Email from Jon Chester to Trading@RAKTrust.com Subject: Sunrise Trade Confirmation 30th March 2015- VWS DC	MPSKAT00012827	MPSKAT00012828		H
3528	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- DSV DC	MPSKAT00012831	MPSKAT00012831		H
3529	02/25/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- NZYMB DC	MPSKAT00012832	MPSKAT00012832		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3530	05/06/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- COLOB DC	MPSKAT00012834	MPSKAT00012834		H
3531	06/11/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- AURIB DC	MPSKAT00012839	MPSKAT00012839		H
3532	05/28/2015	Trade Confirmation- Stor Capital Consulting LLC 401k Plan- Ticker PNDORA DC	MPSKAT00012840	MPSKAT00012840		H
3533	05/28/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- DANSKE DC	MPSKAT00012841	MPSKAT00012841		H
3534	05/28/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- NZYMB DC	MPSKAT00012842	MPSKAT00012842		H
3535	03/30/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- MAERSKB	MPSKAT00012843	MPSKAT00012843		H
3536	03/30/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- MAERSKA DC	MPSKAT00012844	MPSKAT00012844		H
3537	03/26/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- FLS DC	MPSKAT00012845	MPSKAT00012846		H
3538	03/25/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- TRYG DC	MPSKAT00012849	MPSKAT00012850		H
3539	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- GN DC	MPSKAT00012851	MPSKAT00012851		H
3540	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- NOVOB DC	MPSKAT00012852	MPSKAT00012852		H
3541	03/19/2015	Email from Jon Chester to Trading@RAKTrust.com Subject: Sunrise Trade Confirmation 18th March 2015	MPSKAT00012855	MPSKAT00012856		H
3542	12/17/2014	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- COLOB DC	MPSKAT00012857	MPSKAT00012857		H
3543	11/05/2014	Ballygate Capital Limited Trade Confirmation- The Stor Capital Consulting LLC 401k Plan- TDC DC	MPSKAT00012859	MPSKAT00012859		H
3544	06/19/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- TDC DC	MPSKAT00012860	MPSKAT00012860		H
3545	06/19/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- VWS DC	MPSKAT00012861	MPSKAT00012861		H
3546	07/02/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- NOVOB DC	MPSKAT00012868	MPSKAT00012868		H
3547	07/03/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- CARLB DC	MPSKAT00012869	MPSKAT00012869		H
3548	09/21/2015	TJM Trade Confirmation for The Stor Capital Consulting LLC 401k Plan- TDC DC	MPSKAT00012878	MPSKAT00012878		H
3549	03/12/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- DSV DC	MPSKAT00012879	MPSKAT00012879		H, IR
3550	03/31/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 30th March 2015-MAERSKB DC	MPSKAT00012880	MPSKAT00012881		H, IR
3551	03/05/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- TDC A/S	MPSKAT00012884	MPSKAT00012884		H, IR
3552	03/30/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- VWS	MPSKAT00012886	MPSKAT00012886		H, IR
3553	05/06/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- COLOPLAST-B	MPSKAT00012887	MPSKAT00012887		H, IR
3554	06/11/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- AURIB DC	MPSKAT00012888	MPSKAT00012888		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3555	06/10/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- VWS	MPSKAT00012889	MPSKAT00012889		H, IR
3556	06/08/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- MAERSKB	MPSKAT00012890	MPSKAT00012890		H, IR
3557	06/03/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 2nd June 2015- TDC DC	MPSKAT00012891	MPSKAT00012892		H, IR
3558	06/03/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 2nd June 2015- DANSKE DC	MPSKAT00012893	MPSKAT00012894		H, IR
3559	05/26/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- NZYMB DC	MPSKAT00012895	MPSKAT00012895		H, IR
3560	03/30/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- MAERSKA DC	MPSKAT00012896	MPSKAT00012896		H, IR
3561	03/26/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- FLS DC	MPSKAT00012897	MPSKAT00012898		H, IR
3562	03/26/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- CARLB DC	MPSKAT00012899	MPSKAT00012899		H, IR
3563	03/25/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- TRYG DC	MPSKAT00012900	MPSKAT00012901		H, IR
3564	03/19/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- GN DC	MPSKAT00012902	MPSKAT00012902		H, IR
3565	03/19/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- NOVOD DC	MPSKAT00012903	MPSKAT00012903		H, IR
3566	03/18/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- PNDORA DC	MPSKAT00012904	MPSKAT00012904		H, IR
3567	03/18/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- DANKSE DC	MPSKAT00012905	MPSKAT00012905		H, IR
3568	02/25/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation- NZYMB DC	MPSKAT00012906	MPSKAT00012907		H, IR
3569	12/17/2014	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- COLOB DC	MPSKAT00012908	MPSKAT00012908		H, IR
3570	11/05/2014	Ballygate Capital Limited Trade Confirmation for The TENS Services LLC 401k Plan- TDC DC	MPSKAT00012910	MPSKAT00012910		H, IR
3571	06/19/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 18th June 2015- GN DC	MPSKAT00012911	MPSKAT00012912		H, IR
3572	06/26/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 25th June 2015- TRYG DC	MPSKAT00012913	MPSKAT00012914		H, IR
3573	06/30/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 29th June 2015- NOVOD DC	MPSKAT00012915	MPSKAT00012916		H, IR
3574	06/29/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- AURIB DC	MPSKAT00012917	MPSKAT00012917		H, IR
3575	07/01/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- FLS DC	MPSKAT00012918	MPSKAT00012918		H, IR
3576	07/07/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 6th July 2015- PNDORA DC	MPSKAT00012919	MPSKAT00012920		H, IR
3577	07/09/2015	Bastion Capital London Ltd Equity Trade Confirmation for The TENS Services LLC 401k Plan- DSV DC	MPSKAT00012921	MPSKAT00012921		H, IR
3578	07/09/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 8th July 2015- COLOB DC	MPSKAT00012922	MPSKAT00012923		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3579	07/16/2015	TJM Trade Confirmation- The TENS Services LLC 401k Plan- CARLB DC	MPSKAT00012924	MPSKAT00012924		H, IR
3580	07/23/2015	Email from Gary Sutton to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 22nd July 2015- MAERSKA DC	MPSKAT00012925	MPSKAT00012926		H, IR
3581	08/10/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 7th August 2015- TDC DC	MPSKAT00012927	MPSKAT00012928		H, IR
3582	09/08/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	MPSKAT00012929	MPSKAT00012930		H, IR
3583	2012	2012 Client Custody Agreement between Solo Capital Partners and Delvina LLC Pention Plan	MPSKAT00023973	MPSKAT00023981		DNM, H
3584	05/24/2022	Delvian LLC Pension Plan Give-Up Agreement with Novus Capital Markets/Solo Capital Partners	MPSKAT00023982	MPSKAT00023986		H
3585	03/30/2010	Final Report Re: Sanjay Munsukhal Shah	MPSKAT00055505	MPSKAT00055521		H, AUTH, F, IR, 403
3586	03/14/2013	Solo Capital Custody Invoice for February 2013 for Xiphias Pension Plan	MPSKAT00061838	MPSKAT00061838		H
3587	03/07/2013	Email from execution@fgcsecurities.com to A. LaRosa re Request for Liquidity - TDC	MPSKAT00072018	MPSKAT00072018		H
3588	03/07/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - Trade Approved	MPSKAT00072198	MPSKAT00072199		H
3589	03/07/2013	FGC Equity Futures Confirmation - The Bernina Pension Plan re TKF (TDC A/S) JUN 13 FLEX FUT	MPSKAT00072308	MPSKAT00072309		H
3590	03/12/2013	Email from Bernina Pension Plan to Colbrook Limited re Terms of Stock Loan	MPSKAT00073804	MPSKAT00073804		H
3591	03/12/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - Trade Approved	MPSKAT00073965	MPSKAT00073966		H
3592	03/14/2013	Solo Capital Custody Invoice for February 2013 for Lion Advisory Pension Plan	MPSKAT00074738	MPSKAT00074739		H, F
3593	03/14/2013	Solo Capital Custody Invoice for February 2013 for Mill River Pension Plan	MPSKAT00074741	MPSKAT00074742		H, F
3594	03/14/2013	Solo Capital Custody Invoice for February 2013 for Rajan Pension Plan	MPSKAT00074750	MPSKAT00074751		H, F
3595	03/14/2013	Solo Capital Custody Invoice for February 2013 for Raubritter Pension Plan	MPSKAT00074753	MPSKAT00074754		H, F
3596	03/14/2013	Solo Capital Custody Invoice for February 2013 for Delvian Pension Plan	MPSKAT00074763	MPSKAT00074764		H, F
3597	03/21/2013	FGC Securities LLC: Equity Futures Coinfirmation to Delvian LLC	MPSKAT00075319	MPSKAT00075320	5105.2	H
3598	03/21/2013	Email from execution@fgcsecurities.com to A. LaRosa RE Confirm - Carlsberg B	MPSKAT00075372	MPSKAT00075374	5217	H
3599	03/21/2013	FGC Securities Equity Futures Confirmation for The Bernin Pension Plan	MPSKAT00075373	MPSKAT00075374		H
3600	04/11/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - Request for Trade Approval	MPSKAT00077090	MPSKAT00077090		H
3601	04/11/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - Trade Approved	MPSKAT00077295	MPSKAT00077295		H
3602	04/11/2013	Email from execution@fgcsecurities.com to A. LaRosa re Trade Confirms	MPSKAT00077415	MPSKAT00077415		H
3603	04/11/2013	Dulberg SJM Decl. Ex. 044_Equity Futures Confirmation	MPSKAT00077416	MPSKAT00077417		H, DNM
3604	04/11/2013	FGC Securities Equity Futures Confirmation for RJM Capital Foundation	MPSKAT00077418	MPSKAT00077419		H
3605	04/16/2013	Dulberg SJM Decl. Ex. 057_Email from LaRosa to Col Brook Limited with Subject: MAERSKB DC BORROW	MPSKAT00077639	MPSKAT00077639		H, DNM

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3606	04/16/2013	Email from RJM Capital Pension Plan to Colbrook Limited re Terms of Stock Loan	MPSKAT00077640	MPSKAT00077640		H, INC
3607	04/16/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - Request for Trade Approval	MPSKAT00077781	MPSKAT00077781		H
3608	04/16/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - Trade Approved	MPSKAT00077876	MPSKAT00077876		H
3609	04/16/2013	Solo Capital Custody Invoice for March 2013 for Xiphias Pension Plan	MPSKAT00077916	MPSKAT00077918		H
3610	03/05/2013	Solo Capital Custody Invoice for March 2013 for Mill River Pension Plan	MPSKAT00077936	MPSKAT00077938		H
3611	03/07/2013	Solo Capital Custody Invoice for March 2013 for Rajan Pension Plan	MPSKAT00077958	MPSKAT00077959		H
3612	03/07/2013	Solo Capital Custody Invoice for March 2013 for Raubritter Pension Plan	MPSKAT00077961	MPSKAT00077963		H
3613	04/16/2013	Solo Capital Custody Invoice for March 2013 for Remece Pension Plan	MPSKAT00077965	MPSKAT00077966		H
3614	04/16/2013	Solo Capital Custody Invoice for March 2013 for RJM Capital Pension Plan	MPSKAT00077992	MPSKAT00077993		H
3615	04/16/2013	Solo Capital Custody Invoice for March 2013 for Traden Pension Plan	MPSKAT00077998	MPSKAT00077999		H
3616	04/16/2013	Solo Capital Custody Invoice for March 2013 for Next Level Pension Plan	MPSKAT00078004	MPSKAT00078005		H
3617	04/17/2013	Solo Capital Custody Invoice for March 2013 for Spirit on the Water Pension Plan	MPSKAT00078007	MPSKAT00078008		H
3618	03/31/2013	Solo Capital: Open Position Statement for Delvian LLC Pension Plan	MPSKAT00078034	MPSKAT00078047	5112	H
3619	04/18/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - Trade Approved	MPSKAT00078311	MPSKAT00078312		H
3620	04/18/2013	FGC Equity Futures Confirmation - Delvian LLC re TYF (TRYG DC) SEP13 Belear Cash Flex Futures	MPSKAT00078479	MPSKAT00078480		H
3621	04/16/2013	Solo Capital Custody Invoice March 2013 for Michelle Investments Pension Plan	MPSKAT00078564	MPSKAT00078566		H
3622	04/22/2013	Email from A. LaRosa to A. O'Donnell, M. Stein, J. Lhote, J. van Merkensteijn, and R. Markowitz re "FW: FEE - MICHELLE INVESTMENTS PENSION PLAN," attaching Ganymede Invoice to Michelle Investments Pension Plan	MPSKAT00079239	MPSKAT00079240		H
3623	04/23/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - Trade Approved	MPSKAT00079632	MPSKAT00079632		H
3624	05/10/2013	Email from A. LaRosa to A. O'Donnell, M. Stein, J. Lhote, J. van Merkensteijn, and R. Markowitz re "FW: April 2013 Invoice from Solo Capital Partners LLP to Michelle Investments Pension Plan," attaching Michelle April 2013 Invoice from Solo Capital Partners LLP	MPSKAT00080554	MPSKAT00080557		H
3625	05/01/2013	Solo Capital Custody Invoice April 2013 for Michelle Investments Pension Plan	MPSKAT00080560	MPSKAT00080561		H
3626	04/05/2013	Solo Capital Custody Invoice for April 2013 for Xiphias Pension Plan	MPSKAT00080563	MPSKAT00080564		H
3627	05/01/2013	Solo Capital Custody Invoice for April 2013 for Mill River Pension Plan	MPSKAT00080577	MPSKAT00080578		H
3628	04/05/2013	Solo Capital Custody Invoice for April 2013 for Rajan Pension Plan	MPSKAT00080598	MPSKAT00080599		H
3629	04/05/2013	Solo Capital Custody Invoice for April 2013 for Raubritter Pension Plan	MPSKAT00080601	MPSKAT00080602		H
3630	04/05/2013	Solo Capital Custody Invoice for April 2013 for Remece Pension Plan	MPSKAT00080604	MPSKAT00080605		H
3631	05/01/2013	Solo Capital Custody Invoice for April 2013 for RJM Capital Pension Plan	MPSKAT00080613	MPSKAT00080614		H
3632	04/05/2013	Solo Capital Custody Invoice for April 2013 for Traden Pension Plan	MPSKAT00080619	MPSKAT00080620		H
3633	04/05/2013	Solo Capital Custody Invoice for April 2013 for Next Level Pension Plan	MPSKAT00080648	MPSKAT00080649		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3634	05/10/2013	Email from A. LaRosa to M. Stein, J. Lhote, J. van Merkensteijn, R. Markowitz, and A. O'Donnell re "FW: April 2013 Invoice from Solo Capital Partners LLP to Bernina Pension Plan," attaching Bernina April Invoice 2013	MPSKAT00080666	MPSKAT00080669		H
3635	04/05/2013	Solo Capital Custody Invoice for April 2013 for Spirit on the Water Pension Plan	MPSKAT00080673	MPSKAT00080674		H
3636	05/24/2013	Email from A. LaRosa to J. van Merkensteijn, J. Lhote, R. Markowitz, M. Stein, and A. O'Donnell re MIC01 Balances 2012, attaching Excel Worksheet	MPSKAT00082693	MPSKAT00082695		H
3637	06/03/2013	Email from M. Smith to adam@delvianp.com re Staoek Borrow in TDC DC	MPSKAT00083604	MPSKAT00083607		H
3638	06/03/2013	Email from execution@fgcsecurities.com to A. LaRosa re TDC June Future Confirm	MPSKAT00083823	MPSKAT00083823		H
3639	06/03/2013	FGC Equity Futures Confirmation - The Bernina Pension Plan re TKF (TDC A/S) JUN 13 Bclear Flex Futures	MPSKAT00083824	MPSKAT00083824		H
3640	06/03/2013	Email from execution@fgcsecurities.com to A. LaRosa re TDC Stock Confirm	MPSKAT00083825	MPSKAT00083825		H
3641	06/03/2013	FGC Cash Equity Confirmation - The Bernina Pension Plan re TDC DC / TDC A/S 5698790	MPSKAT00083826	MPSKAT00083826		H
3642	06/04/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - Trade Approved	MPSKAT00084008	MPSKAT00084008		H
3643	06/11/2013	Solo Capital Custody Invoice for May 2013 for Mill River Pension Plan	MPSKAT00085105	MPSKAT00085105		H
3644	06/13/2013	Email from A. LaRosa to sbl@colbrooklimited.com, M. Smith re MAERSKB DC Borrow	MPSKAT00085143	MPSKAT00085143		H
3645	06/13/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - Request for Trade Approval	MPSKAT00085178	MPSKAT00085178		H
3646	06/13/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - Trade Approved	MPSKAT00085222	MPSKAT00085223		H
3647	06/13/2013	Email from A. LaRosa to A. Smith re FW: MAERSKB DC Borrow	MPSKAT00085254	MPSKAT00085255		H
3648	06/13/2013	Email from A. Smith to A. LaRosa re MAERSKB DC Borrow	MPSKAT00085260	MPSKAT00085261		H
3649	06/13/2013	Email from A. LaRosa to A. Smith re MAERSKB DC BORROW	MPSKAT00085264	MPSKAT00085265		H
3650	06/13/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - Request for Trade Approval	MPSKAT00085269	MPSKAT00085269		H
3651	06/13/2013	FGC Securities Cash Equity Confirmation for RJM Capital Pension Plan	MPSKAT00085289	MPSKAT00085289		H
3652	06/13/2013	FGC Equity Futures Confirmation to RJM Capital Pension Plan re MAY (MAERSKB DC) JUN13 Bclear Flex Futures	MPSKAT00085291	MPSKAT00085291		H
3653	05/31/2013	Solo Capital Partners LLP Open Position Statement for Bernina Pension Plan	MPSKAT00085694	MPSKAT00085723		H
3654	06/25/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - Trade Approved	MPSKAT00085876	MPSKAT00085876		H
3655	07/03/2013	Solo Capital Custody Invoice for June 2013 for Xiphias Pension Plan	MPSKAT00086179	MPSKAT00086180		H
3656	07/03/2013	Solo Capital Custody Invoice for June 2013 for Mill River Pension Plan	MPSKAT00086185	MPSKAT00086186		H
3657	07/03/2013	Solo Capital Custody Invoice for June 2013 for Rajan Pension Plan	MPSKAT00086194	MPSKAT00086195		H
3658	07/03/2013	Solo Capital Custody Invoice for June 2013 for Raubritter Pension Plan	MPSKAT00086197	MPSKAT00086198		H
3659	07/03/2013	Solo Capital Custody Invoice for June 2013 for Remece Pension Plan	MPSKAT00086200	MPSKAT00086201		H
3660	07/03/2013	Solo Capital Custody Invoice for June 2013 for RJM Capital Pension Plan	MPSKAT00086209	MPSKAT00086210		H
3661	03/07/2013	Solo Capital Custody Invoice for June 2013 for Traden Pension Plan	MPSKAT00086215	MPSKAT00086216		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3662	07/03/2013	Solo Capital Custody Invoice for June 2013 for Next Level Pension Plan	MPSKAT00086221	MPSKAT00086222		H
3663	07/03/2013	Solo Capital Custody Invoice for March 2013 for Spirit on the Water Pension Plan	MPSKAT00086224	MPSKAT00086225		H
3664	07/03/2013	Solo Capital Custody Invoice June 2013 for Michelle Investments Pension Plan	MPSKAT00086313	MPSKAT00086314		H
3665	08/05/2013	Solo Capital Custody Invoice for July 2013 for Mill River Pension Plan	MPSKAT00086530	MPSKAT00086530		H
3666	08/05/2013	Solo Capital Custody Invoice for July 2013 for Rajan Pension Plan	MPSKAT00086549	MPSKAT00086549		H
3667	08/05/2013	Solo Capital Custody Invoice for July 2013 for Raubritter Pension Plan	MPSKAT00086551	MPSKAT00086551		H
3668	08/05/2013	Solo Capital Custody Invoice for July 2013 for Remece Pension Plan	MPSKAT00086555	MPSKAT00086555		H
3669	08/05/2013	Solo Capital Custody Invoice for July 2013 for RJM Capital Pension Plan	MPSKAT00086561	MPSKAT00086561		H
3670	08/05/2013	Solo Capital Custody Invoice for July 2013 for Next Level Pension Plan	MPSKAT00086569	MPSKAT00086569		H
3671	08/21/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - Trade Approved	MPSKAT00088281	MPSKAT00088281		H
3672	08/21/2013	Email from execution@fgcsecurities.com to A. LaRoss RE Request for Liquidity - Carlsberg-B with attached BERN_CARLB_20130821_003_A1.pdf	MPSKAT00088402	MPSKAT00088403	5218	H
3673	08/21/2013	FGC Cash Equity Confirmation - The Bernina Pension Plan re TRYG DC / TRYG A/S BOLL2W7	MPSKAT00088409	MPSKAT00088409		H
3674	08/21/2013	FGC Equity Futures Confirmation - Delvian LLC Pension Plan re TYF (TRYG A/S) SEP13 Bclear Cash Flex Futs	MPSKAT00088411	MPSKAT00088411		H
3675	08/26/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - Trade Approved	MPSKAT00088425	MPSKAT00088425		H
3676	12/11/2012	Solo Capital Custody Invoice for November 2013 for Raubritter Pension Plan	MPSKAT00088625	MPSKAT00088626		DNM, H, F
3677	12/11/2012	Solo Capital Custody Invoice for December 2012 for Rajan Pension Plan	MPSKAT00088696	MPSKAT00088696		DNM, H, F
3678	08/07/2013	Solo Capital Custody Invoice for August 2013 for Xiphias Pension Plan	MPSKAT00089699	MPSKAT00089700		H
3679	09/12/2013	Solo Capital Custody Invoice for August 2013 for Mill River Pension Plan	MPSKAT00089705	MPSKAT00089706		H
3680	08/07/2013	Solo Capital Custody Invoice for August 2013 for Rajan Pension Plan	MPSKAT00089711	MPSKAT00089712		H
3681	08/07/2013	Solo Capital Custody Invoice for August 2013 for Raubritter Pension Plan	MPSKAT00089714	MPSKAT00089715		H
3682	08/07/2013	Solo Capital Custody Invoice for August 2013 for Remece Pension Plan	MPSKAT00089720	MPSKAT00089721		H
3683	08/07/2013	Solo Capital Custody Invoice for August 2013 for RJM Capital Pension Plan	MPSKAT00089729	MPSKAT00089730		H
3684	08/07/2013	Solo Capital Custody Invoice for August 2013 for Traden Pension Plan	MPSKAT00089735	MPSKAT00089736		H
3685	08/07/2013	Solo Capital Custody Invoice for August 2013 for Next Level Pension Plan	MPSKAT00089741	MPSKAT00089742		H
3686	08/07/2013	Solo Capital Custody Invoice for March 2013 for Spirit on the Water Pension Plan	MPSKAT00089744	MPSKAT00089745		H
3687	09/12/2013	Solo Capital Custody August 2013 for Michelle Investments Pension Plan	MPSKAT00091152	MPSKAT00091153		H
3688	09/03/2013	Solo Capital Custody Invoice for September 2013 for Raubritter Pension Plan	MPSKAT00091173	MPSKAT00091174		H, F
3689	09/03/2013	Solo Capital Custody Invoice for September 2013 for Xiphias Pension Plan	MPSKAT00091178	MPSKAT00091179		H
3690	09/03/2013	Solo Capital Custody Invoice for September 2013 for Traden Pension Plan	MPSKAT00091181	MPSKAT00091182		H
3691	09/03/2013	Solo Capital Custody Invoice for September 2013 for RJM Capital Pension Plan	MPSKAT00091184	MPSKAT00091185		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3692	09/03/2013	Solo Capital Custody Invoice for September 2013 for Remece Pension Plan	MPSKAT00091187	MPSKAT00091188		H
3693	09/03/2013	Solo Capital Custody Invoice for September 2013 for Next Level Pension Plan	MPSKAT00091191	MPSKAT00091192		H
3694	09/03/2013	Solo Capital Custody Invoice for September 2013 for Rajan Pension Plan	MPSKAT00091194	MPSKAT00091195		H
3695	10/09/2013	Solo Capital Custody Invoice for September 2013 for Mill River Pension Plan	MPSKAT00091197	MPSKAT00091198		H
3696	09/03/2013	Solo Capital Custody September 2013 for Michelle Investments Pension Plan	MPSKAT00091200	MPSKAT00091201		H
3697	09/03/2013	Solo Capital Custody Invoice for March 2013 for Spirit on the Water Pension Plan	MPSKAT00091203	MPSKAT00091204		H
3698	08/31/2013	Solo Capital Partners LLP Open Position Statement for Bernina Pension Plan	MPSKAT00091525	MPSKAT00091555		H
3699	11/13/2013	Solo Capital Custody Invoice for October 2013 for Mill River Pension Plan	MPSKAT00097846	MPSKAT00097846		H
3700	11/13/2013	Solo Capital Custody Invoice for October 2013 for Rajan Pension Plan	MPSKAT00097849	MPSKAT00097849		H
3701	11/13/2013	Solo Capital Custody Invoice for October 2013 for Raubritter Pension Plan	MPSKAT00097853	MPSKAT00097853		H
3702	12/11/2013	Novus Capital Markets Trade Confirm - BER01 on trade date 05 Dec 2013	MPSKAT00099975	MPSKAT00099975		H
3703	01/15/2014	Solo Capital Custody Invoice for December 2013 for Mill River Pension Plan	MPSKAT00102462	MPSKAT0010464		H
3704	01/15/2014	Solo Capital Custody Invoice for December 2013 for Rajan Pension Plan	MPSKAT00102466	MPSKAT00102468		H
3705	01/15/2014	Solo Capital Custody Invoice for December 2013 for RJM Capital Pension Plan	MPSKAT00102470	MPSKAT00102472		H
3706	01/15/2014	Solo Capital Custody Invoice for December 2013 for Traden Pension Plan	MPSKAT00102478	MPSKAT00102480		H
3707	12/01/2013	Solo Capital Custody Invoice for November 2013 for Rajan Pension Plan	MPSKAT00102681	MPSKAT00102683		H
3708	12/01/2013	Solo Capital Custody Invoice for November 2013 for Raubritter Pension Plan	MPSKAT00102685	MPSKAT00102687		H
3709	12/01/2013	Solo Capital Invoice Breakdown for Quarter 12/1 2013 for Spirit on the Water Pension Plan	MPSKAT00102689	MPSKAT00102691		H
3710	12/01/2013	Solo Capital Custody Invoice for November 2013 for Traden Pension Plan	MPSKAT00102697	MPSKAT00102699		H
3711	12/01/2013	Solo Capital Custody Invoice for November 2013 for Xiphias Pension Plan	MPSKAT00102701	MPSKAT00102703		H
3712	12/01/2013	Solo Capital Custody Invoice for November 2013 for Bernina Pension Plan	MPSKAT00102727	MPSKAT00102729		H
3713	12/01/2013	Solo Capital Custody Invoice for November 2013 for Next Level Pension Plan	MPSKAT00102747	MPSKAT00102749		H
3714	01/15/2014	Solo Capital Custody Invoice for December 2013 for Next Level Pension Plan	MPSKAT00102751	MPSKAT00102753		H
3715	12/01/2013	Solo Capital Custody Invoice for November 2013 for Remece Pension Plan	MPSKAT00102755	MPSKAT00102757		H
3716	01/15/2014	Solo Capital Custody Invoice for December 2013 for Remece Pension Plan	MPSKAT00102759	MPSKAT00102761		H
3717	12/01/2013	Solo Capital Custody Invoice for November 2013 for RJM Capital Pension Plan	MPSKAT00102764	MPSKAT00102766		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3718	02/26/2014	Email from A. LaRosa to Amalthea Enterprises re Physically Settled Forward - Novozymes A/S-B Shares	MPSKAT00106524	MPSKAT00106525		H
3719	02/26/2014	Novus Capital Markets Trade confirm BER01 on trade date 26 Feb 2014	MPSKAT00107292	MPSKAT00107292		H
3720	03/04/2014	Email from Bernina Pension Plan to Colbrook Limited re Terms of Stock Loan	MPSKAT00108619	MPSKAT00108619		H, INC
3721	02/27/2014	Equity Forward Transaction to Amalthea Enterprises Ltd	MPSKAT00108715	MPSKAT00108717		H
3722	03/06/2014	Email from A. LaRosa to mail@amaleaenterprises.com re Physically Settled Forward - TDC A/S	MPSKAT00111082	MPSKAT00111082		H
3723	03/06/2014	Email from M. Stafford to A. LaRosa re Request for Liquidity - TDC A/S	MPSKAT00111090	MPSKAT00111090		H
3724	03/06/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - trade entry acknowledged	MPSKAT00111150	MPSKAT00111150		H
3725	03/06/2014	Email from solotradeapprovals@solo.com to sp@novus-cap.com re Account (NVS01) - trade approved	MPSKAT00111172	MPSKAT00111172		H
3726	03/06/2014	Email from solotradeapprovals@solo.com to mail@amaleaenterprises.com re Account (AMA01) - trade matched	MPSKAT00111190	MPSKAT00111191		H
3727	03/06/2014	Novus Capital Markets Trade confirm RJM01 on trade date of 06 MAR 2014	MPSKAT00111226	MPSKAT00111226		H
3728	03/11/2014	Email from Delvian LLC Pension Plan to Colbrook Limited re Terms of Stock Loan	MPSKAT00113078	MPSKAT00113078		H, INC
3729	03/11/2014	Email from RJM Capital Pension Plan to Colbrook Limited re Terms of Stock Loan	MPSKAT00113094	MPSKAT00113094		H, INC
3730	Q1/2014	Solo Capital Invoice Breakdown for Quarter 1 2014 for Mill River Pension Plan	MPSKAT00127623	MPSKAT00127626		H
3731	Q1/2014	Solo Capital Invoice Breakdown for Quarter 1 2014 for Next Level Pension Plan	MPSKAT00127628	MPSKAT00127631		H
3732	Q1/2014	Solo Capital Invoice Breakdown for Quarter 1 2014 for Rajan Pension Plan	MPSKAT00127638	MPSKAT00127641		H
3733	Q1/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Traden Pension Plan	MPSKAT00127648	MPSKAT00127651		H
3734	Q1/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Xiphias Pension Plan	MPSKAT00127653	MPSKAT00127656		H
3735	Q1/2014	Solo Capital Invoice Breakdown for Quarter 1 2014 for Raubritter Pension Plan	MPSKAT00127679	MPSKAT00127682		H
3736	Q1/2014	Solo Capital Invoice Breakdown for Quarter 1 2014 for Remece Pension Plan	MPSKAT00127704	MPSKAT00127707		H
3737	Q1/2014	Solo Capital Invoice Breakdown for Quarter 1 2014 for Spirit on the Water Pension Plan	MPSKAT00127709	MPSKAT00127712		H
3738	05/14/2014	Novus Capital Markets Trade Confirm - BER01 on trade date 08 May 2014	MPSKAT00129218	MPSKAT00129218		H
3739	06/03/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - trade approved	MPSKAT00131461	MPSKAT00131461		H
3740	06/04/2014	Email from A. LaRosa to trading@tjmpartners.com re Request for Liquidity - TDC A/S	MPSKAT00131567	MPSKAT00131567		H
3741	06/04/2014	Email from A. LaRosa to TRADING@TJMPARTNERS.COM re Request for Liquidity - TDC A/S	MPSKAT00131582	MPSKAT00131582		H
3742	06/04/2014	Email from adam@RJMCapitalp.com to M. Smith re Stock Recall In TDC DC	MPSKAT00131719	MPSKAT00131721		H
3743	06/04/2014	Email from A. LaRosa to M. Smith re STOCK RECALL IN TDC DC	MPSKAT00131719	MPSKAT00131721	DUPLICATE OF EXHIBIT	F, H, C

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3744	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - Trade Entry Acknowledge	MPSKAT00131809	MPSKAT00131809		H
3745	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - Trade Entry Acknowledge	MPSKAT00131968	MPSKAT00131968		H
3746	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade approved	MPSKAT00131997	MPSKAT00131997		H
3747	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - Trade Matched	MPSKAT00132011	MPSKAT00132011		H
3748	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade approved	MPSKAT00132082	MPSKAT00132082		H
3749	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - Trade Approved	MPSKAT00132089	MPSKAT00132089		H
3750	06/04/2014	TJM Partners Trade Confirmation for Delvian LLC Pension Plan	MPSKAT00132514	MPSKAT00132514		H
3751	12/31/2013	Solo Capital Partners LLP Open Position Statement for RJM Capital Pension Plan	MPSKAT00135581	MPSKAT00135651		H
3752	06/30/2014	TJM Statement of Commissions to RJM Capital LLC Pension Plan	MPSKAT00137822	MPSKAT00137822		H
3753	Q2/2014	Solo Capital Custody Quarter 2 2014 for Michelle Investments Pension Plan	MPSKAT00138045	MPSKAT00138050		H
3754	07/06/2015	Solo Capital Invoice Breakdown for Quarter 2 2014 for Mill River Pension Plan	MPSKAT00138059	MPSKAT00138064		H
3755	Q2/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Traden Pension Plan	MPSKAT00138073	MPSKAT00138078		H
3756	Q2/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Xiphias Pension Plan	MPSKAT00138080	MPSKAT00138085		H
3757	Q3/2014	Solo Capital Custody Quarter 3 2014 for Michelle Investments Pension Plan	MPSKAT00145809	MPSKAT00145814		H
3758	Q4/2014	Solo Capital Custody Quarter 4 2014 for Michelle Investments Pension Plan	MPSKAT00147743	MPSKAT00147745		H
3759	03/15/2015	Dulberg SJM Decl. Ex. 096_RIM Capital Pension Plan	MPSKAT00158799	MPSKAT00158807		H, DNM
3760	02/08/2013	Solo Capital Custody Invoice for October 2013 for Mill River Pension Plan	MPSKAT00164097	MPSKAT00164097		H
3761	01/10/2013	Solo Capital Custody Invoice for December 2012 for Mill River Pension Plan	MPSKAT00164098	MPSKAT00164099		H
3762	12/11/2012	Solo Capital Custody Invoice for November 2012 for Mill River Pension Plan	MPSKAT00164100	MPSKAT00164101		H
3763	11/06/2012	Solo Capital Custody Invoice for October 2012 for Mill River Pension Plan	MPSKAT00164102	MPSKAT00164102		H
3764	10/09/2013	Solo Capital Custody Invoice for October 2013 for Mill River Pension Plan	MPSKAT00164103	MPSKAT00164103		H
3765	12/11/2012	Solo Capital Custody Invoice for November 2012 for Rajan Pension Plan	MPSKAT00164127	MPSKAT00164128		H
3766	02/08/2013	Solo Capital Custody Invoice for January 2013 for Xiphias Pension Plan	MPSKAT00164173	MPSKAT00164173		H
3767	01/10/2013	Solo Capital Custody Invoice for December 2012 for Xiphias Pension Plan	MPSKAT00164174	MPSKAT00164174		H
3768	12/11/2012	Solo Capital Custody Invoice for November 2012 for Xiphias Pension Plan	MPSKAT00164175	MPSKAT00164175		H
3769	11/06/2012	Solo Capital Custody Invoice for October 2012 for Xiphias Pension Plan	MPSKAT00164176	MPSKAT00164176		H
3770	10/10/2012	Solo Capital Custody Invoice for September 2012 for Xiphias Pension Plan	MPSKAT00164177	MPSKAT00164177		H
3771	09/05/2012	Solo Capital Custody Invoice for August 2012 for Xiphias Pension Plan	MPSKAT00164178	MPSKAT00164178		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3772	12/31/2013	Solo Capital Partners LLP Open Position Statement for Delvian LLC Pension Plan	MPSKAT00166249	MPSKAT00166330		H
3773	Q2/2014	Solo Capital Invoice Breakdown for Quarter 2 2014 for Next Level Pension Plan	MPSKAT00167060	MPSKAT00167065		H
3774	Q2/2014	Solo Capital Invoice Breakdown for Quarter 2 2014 for Raubritter Pension Plan	MPSKAT00167072	MPSKAT00167077		H
3775	Q2/2014	Solo Capital Invoice Breakdown for Quarter 2 2014 for Rajan Pension Plan	MPSKAT00167078	MPSKAT00167083		H
3776	Q2/2014	Solo Capital Invoice Breakdown for Quarter 2 2014 for Spirit on the Water Pension Plan	MPSKAT00167084	MPSKAT00167089		H
3777	12/31/2014	Solo Capital Partners LLP Open Position Statement for Delvian LLC Pension Plan 31-12-14.pdf	MPSKAT00168085	MPSKAT00168093		H, INC
3778	02/07/2019	Memo Aforelse - Tilbagekaldelse af tidligere afgørelser om refusion af udbytteskatter [Decision - Cancellation of previous decisions on dividend tax refunds]	MPSKAT00169675	MPSKAT00169686	3077	TR
3779	08/12/2012	Solo Capital Custody Invoice for August 2012 for Mill River Pension Plan	MPSKAT00173211	MPSKAT00173211		H
3780	11/26/2013	FGC Securities Cash Equity Confirmation for Bernina Pension Plan	MPSKAT00173846	MPSKAT00173846		H
3781	12/03/2013	Email from A. LaRosa to O'Donnell, R. Markowitz re FW November 2013 Cash Balance	MPSKAT00175043	MPSKAT00175043		H
3782	01/15/2014	Solo Capital Custody Invoice December 2013 for Michelle Investments Pension Plan	MPSKAT00178261	MPSKAT00178263		H
3783	01/15/2014	Solo Capital Invoice Breakdown for December 2013 for Spirit on the Water Pension Plan	MPSKAT00178281	MPSKAT00178283		H
3784	12/01/2013	Solo Capital Custody Invoice for November 2013 for Mill River Pension Plan	MPSKAT00178393	MPSKAT00167083		H
3785	12/01/2013	Solo Capital Custody Invoice November 2013 for Michelle Investments Pension Plan	MPSKAT00178420	MPSKAT00167089		H
3786	02/26/2014	Email from A. LaRosa to sp@novus-cap.com re Request for Liquidity - Novozymes A/S-B Shares	MPSKAT00181290	MPSKAT00181290		H
3787	02/26/2014	Email from solotradeapprovals@solo.com to A. Rosa re Account (BER01) - trade approved	MPSKAT00181484	MPSKAT00181484		H
3788	02/26/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - trade matched	MPSKAT00181574	MPSKAT00181574		H
3789		EquityForward Transaction letter to Amalthea Enterprises Ltd. to confirm terms and conditions of transaction between Amalthea Enterprises Ltd and RJM Capital LLC Pension Plan	MPSKAT00182365	MPSKAT00182367	5219	H
3790	03/03/2013	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - trade approved	MPSKAT00182456	MPSKAT00182456		H
3791	03/06/2014	Email from A. LaRosa to sp@novus-cap.com re Request for Liquidity - TDC A/S	MPSKAT00183570	MPSKAT00183570		H
3792	03/06/2014	Email from A. LaRosa to M. Stafford re Request for Liquidity - TDC A/S	MPSKAT00183647	MPSKAT00183648		H
3793	03/06/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade entry acknowledged	MPSKAT00183701	MPSKAT00183701		H
3794	03/06/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - trade entry acknowledged	MPSKAT00183704	MPSKAT00183704		H
3795	03/06/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade approved	MPSKAT00183760	MPSKAT00183760		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3796	03/06/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - trade matched	MPSKAT00183772	MPSKAT00183772		H
3797	03/06/2014	Email from J. Burge to A. LaRosa re Request for Liquidity - TDC A/S	MPSKAT00183827	MPSKAT00183827		H
3798	03/06/2014	Novus Capital Markets Trade confirm DEL01 on trade date 06 Mar 2014	MPSKAT00183834	MPSKAT00183834		H
3799	03/11/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - trade entry acknowledged	MPSKAT00184400	MPSKAT00184400		H
3800	03/11/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade entry acknowledged	MPSKAT00184482	MPSKAT00184482		H
3801	03/11/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade approved	MPSKAT00184545	MPSKAT00184545		H
3802	03/21/2014	Email from A. LaRosa to R. Markowitz re FW: FEM01 Case Statement as of 21/03/14	MPSKAT00190345	MPSKAT00190345		H, INC
3803	Q1/2014	Solo Capital Invoice to RJM Capital LLC Pension Plan	MPSKAT00195127	MPSKAT00195130		H
3804	06/03/2014	Email from A. LaRosa to trading@tjpartners.com re Request for Liquidity - Novozymes A/S-B Shares	MPSKAT00197588	MPSKAT00197588		H
3805	06/03/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - trade matched	MPSKAT00197949	MPSKAT00197949		H
3806	06/03/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (BER01) - trade approved	MPSKAT00197967	MPSKAT00197967		H
3807	06/03/2014	Trade Confirmation to Bernina Pension Plan re Novozymes A/S-B Shares	MPSKAT00198058	MPSKAT00198058		H
3808	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade entry acknowledged	MPSKAT00198250	MPSKAT00198250		H
3809	06/04/2014	Email from A. LaRosa to mail@amalthaeenterprises.com re Physically Settled Forward - TDC A/S	MPSKAT00198300	MPSKAT00198300		H
3810	06/04/2014	Email from A. LaRosa to mail@amaleaenterprises.com re Physically Settled Forward - TDC A/S	MPSKAT00198336	MPSKAT00198336		H
3811	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade entry acknowledged	MPSKAT00198499	MPSKAT00198499		H
3812	06/04/2014	Email from M. Smith to A. LaRosa re Stock recall in TDC DC	MPSKAT00198507	MPSKAT00198509		H
3813	06/04/2014	Email from M. Smith to A. LaRosa re STOCK RECALL IN TDC DC	MPSKAT00198544	MPSKAT00198546		H
3814	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade entry acknowledged	MPSKAT00198773	MPSKAT00198773		H
3815	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - trade approved	MPSKAT00198827	MPSKAT00198827		H
3816	06/04/2014	TJM Trade Confirmation to RJM Capital LLC Pension Plan re TDC DC	MPSKAT00198993	MPSKAT00198993		H
3817	04/30/2014	Solo Capital Partners LLP Open Position Statement for Bernina Pension Plan	MPSKAT00201538	MPSKAT00201546		H
3818	Q2/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Tarvos Pension Plan	MPSKAT00202303	MPSKAT00173211		H
3819	08/07/2014	Ballygate Capital Limited Trade Confirmation - Bernina Pension Plan	MPSKAT00203269	MPSKAT00203269		H
3820	Q4/2014	Solo Capital Remittance Breakdown for Quarter 4 2014 breakdown Tarvos Pension Plan	MPSKAT00207847	MPSKAT00207849		H
3821	03/06/2014	Email from A. LaRosa to sp@novus-cap.com re Request for Liquidity - TDC A/S	MPSKAT00213206	MPSKAT00213206		H
3822	03/11/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - trade pproved	MPSKAT00213257	MPSKAT00213257		H
3823	01/14/2014	Email from Custody@solo.com to A. LaRosa re REM01 Cash Balances	MPSKAT00215823	MPSKAT00215824		H, AUTH, F
3824	01/14/2014	Attachment - Solo Capital - Remece Investments Pension Plan	MPSKAT00215825	MPSKAT00215825		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3825	03/06/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade entry acknowledged	MPSKAT00216266	MPSKAT00216266		H
3826	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (DEL01) - trade entry acknowledged	MPSKAT00218032	MPSKAT00218032		H
3827	06/04/2014	Email from solotradeapprovals@solo.com to A. LaRosa re Account (RJM01) - trade matched	MPSKAT00218184	MPSKAT00218184		H
3828	Q2/2014	Solo Capital Invoice to RJM Capital LLC Pension Plan	MPSKAT00218594	MPSKAT00218599		H
3829	08/08/2012	Email from R. Markowitz to A. LaRosa re Trades today for Denmark	MPSKAT00222547	MPSKAT00222547		H
3830	01/18/2013	Email from R. Markowitz to A. LaRosa re Denmark	MPSKAT00222565	MPSKAT00222565		H
3831	03/08/2013	Email from R. Markowitz to A. LaRosa re Some Real Issues with Trading Today	MPSKAT00222596	MPSKAT00222596		H
3832	03/08/2013	Email from Richard Markowitz to Adam LaRosa, CC: Jerome Lhote, John Van Merkensteijn, Matthew Stein Subject: Re: Some Real Issues with Trading Today	MPSKAT00222597	MPSKAT00222597		H, C
3833	08/06/2012	Email from R. Markowitz to M. Stein re Denmark	MPSKAT00238225	MPSKAT00238225		H
3834	09/12/2013	Email from B. Johal to A. LaRosa re Invoice from Solo Capital Partners LLP to Lion Advisory Inc. Pension Plan	MPSKAT00238548	MPSKAT00238548		AUTH, F, H
3835	05/31/2014	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00259358_R	MPSKAT00259362_R		C, F, H, INC, IR, MIL, 403, DNM
3836	07/22/2014	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00259668	MPSKAT00259674		C, F, H, INC, IR, MIL, 403
3837	08/25/2014	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00260294_R	MPSKAT00260297_R		C, F, H, INC, IR, MIL, 403
3838	02/19/2015	Kaye Scholer Invoice to Argre Management LLC	MPSKAT00262056_R	MPSKAT00262057_R		C, F, H, INC, IR, MIL, 403
3839	02/19/2015	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00262058_R	MPSKAT00262060_R		C, F, H, INC, IR, MIL, 403
3840	02/26/2015	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00262063_R	MPSKAT00262065_R		C, F, H, INC, IR, MIL, 403
3841	Q1/2014	Solo Capital Custody Quarter 1 2014 for Michelle Investments Pension Plan	MPSKAT00262187	MPSKAT00262190		H
3842	08/16/2012	Kaye Scholer Invoice to John Van Merkensteijn	MPSKAT00263499_R	MPSKAT00263501_R		C, F, H, IR, MIL, 403
3843	07/17/2012	Kaye Scholer Invoice to John Van Merkensteijn	MPSKAT00263502_R	MPSKAT00263506_R		C, F, H, IR, MIL, 403
3844	10/12/2012	Kaye Scholer Invoice to John Van Merkensteijn	MPSKAT00263507_R	MPSKAT00263509_R		C, F, H, IR, MIL, 403
3845	04/11/2012	Kaye Scholer Invoice to John Van Merkensteijn	MPSKAT00263510_R	MPSKAT00263513_R		C, F, H, IR, MIL, 403
3846	08/25/2014	Kaye Scholer Invoice to Argre Management LLC	MPSKAT00266348	MPSKAT00266349		C, F, H, INC, IR, MIL, 403
3847	01/29/2013	Kaye Scholer Invoice to John Van Merkensteijn	MPSKAT00266946	MPSKAT00266947		C, F, H, INC, IR, MIL, 403
3848	05/22/2013	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00266955	MPSKAT00266957		C, F, H, INC, IR, MIL, 403
3849	06/25/2013	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00266958	MPSKAT00266960		C, F, H, INC, IR, MIL, 403
3850	09/10/2013	Kaye Scholer Invoice to John Van Merkensteijn	MPSKAT00266969	MPSKAT00266971		C, F, H, INC, IR, MIL, 403
3851	08/26/2013	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00266999	MPSKAT00267000		C, F, H, INC, IR, MIL, 403
3852	06/20/2014	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00267004	MPSKAT00267008		C, F, H, INC, IR, MIL, 403
3853	09/24/2014	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00267020	MPSKAT00267023		C, F, H, INC, IR, MIL, 403
3854	04/29/2014	Kaye Scholer Invoice to John Van Merkensteijn	MPSKAT00267038	MPSKAT00267040		C, F, H, INC, IR, MIL, 403, DNM
3855	03/31/2014	Kaye Scholer Invoice to Quartet Investment Partners	MPSKAT00267041	MPSKAT00267043		C, F, H, INC, IR, MIL, 403
3856	09/30/2015	Kaye Scholer Invoices to Maple Point LLC Attn: Matthew Stein, re Tax Planning, dated September 30, 2015	MPSKAT00267130	MPSKAT00267133		C, F, H, INC, IR, MIL, 403
3857	09/21/1999	United States and Denmark Treaty - Tax Conversion with Denmark - General Effective Date Under Article 29: 1 January 2001	n/a	n/a		F

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3858	05/02/2006	United States and Denmark Protocol Amending the Convention Between the Government of the United States of America and the Government of the Kingdom of Denmark for the Avoidance of Double Taxation and the Prevention of Fiscal Evasion with Respect to Taxes on Income	n/a	n/a		F
3859	01/28/2019	M. Maguire (Huges Hubbard & Red) Letter to G. Wallance (Arnold & Porter) re Customs and Tax Administration of the Kingdom of Denmark ("SKAT")	n/a	n/a		AUTH, F, IR
3860	11/19/2019	Complaint, Skatteforvaltningen v. John Van Merkensteijn et al., Civil No. 19-cv-10713-LAK (S.D.N.Y.)	n/a	n/a		No objections
3861	Undated	Department of the Treasury Technical Explanation of the Protocol Signed at Copenhagen on May 2, 2006 Amending the Convention Between the Government of the United States of America and the Government of the Kingdom of Denmark for the Avoidance of Double Taxation and the Prevention of Fiscal Evasion with Respect to Taxes on Income Signed at Washington on August 19, 1999	n/a	n/a		F
3862	04/21/2020	Amended Complaint, Skatteforvaltningen v. Tarvos Pension Plan & John Van Merkensteijn, Civil No. 19-cv-01930-LAK (S.D.N.Y.)	n/a	n/a	3958	No objections
3863	11/11/2020	Sam Fry, "Recoup cum-ex billions", GIR	n/a	n/a	3963	H, AUTH, F, IR, 403
3864	03/03/2021	Reply to the Defense of Mr. Knott & Mr. Hoogewerf	n/a	n/a	3962	AUTH, F, IR, 403
3865	06/11/2021	Declaration of Gry Ahlefeld-Engel	n/a	n/a	3961	No objections
3866	09/21/2021	Plaintiff Skatteforvaltningen's Amended Response And Objections To Interrogatory No. 10 From Defendants' Second Set Of Interrogatories, In re Customs and Tax Administration of the Kingdom of Denmark (Skatteforvaltningen) Tax Refund Scheme Litigation, No. 18-md-02865	n/a	n/a	3960	IR
3867	03/26/2021	Plaintiff Skatteforvaltningen's Responses and Objections to Defendants' Second Set of Interrogatories	n/a	n/a	3959	IR, C
3868	11/06/2003	Bahnsen SJM Decl. Ex. 69_Protocol Amending the Convention Between the Government of the United States of America and the Government of Japan for the Avoidance of Double Taxation and the Prevention of Fiscal Evasion with Respect to Taxes on Income, Japan-U.S., art. XIII, ¶ 5, Nov. 6, 2003, T.I.A.S. 19-830 (2019)	n/a	n/a		DNM, AUTH, F, IR, 403
3869	06/01/2019	Bahnsen SJM Decl. Ex. 60_Bank of England Prudential Regulation Authority - Statement of Policy - Pillar 2 liquidity, https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/statement-of-policy/2019/pillar-2-liquidity-sop-updatejune-2019.pdf?la=en&hash=78618B0A466B17BCF86952AAD06AC14AEE3E4FDE	n/a	n/a		DNM, AUTH, F, IR, 403
3870	04/20/2020	Bahnsen SJM Decl. Ex. 02_Amended Complaint, Skatteforvaltningen v. Basalt Ventures LLC Roth 401(K) Plan, et al., Civil No. 19-cv-01866-LAK (S.D.N.Y.)	n/a	n/a		DNM
3871	04/21/2020	Bahnsen SJM Decl. Ex. 01_Amended Complaint, Skatteforvaltningen v. RJM Capital Pension Plan and Richard Markowitz, Civil No. 19-cv-01898-LAK (S.D.N.Y.).	n/a	n/a		DNM
3872	04/21/2020	Bahnsen SJM Decl. Ex. 03_Amended Complaint, Skatteforvaltningen v. Roadcraft Technologies LLC Roth 401(K) Plan, et al., Civil No. 19-cv-01812-LAK (S.D.N.Y.)	n/a	n/a		DNM
3873	04/27/2021	Bahnsen SJM Decl. Ex. 20_Ansøgning om refusion af udbytteskat [Claiming refund of Danish dividend tax - translation included]	n/a	n/a	3014	DNM, AUTH, F

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3874	07/15/2021	Bahnsen SJM Decl. Ex. 83 _Plaintiff SKAT's Responses and Objections to Defendants' First Set of Contention Interrogatories	n/a	n/a		DNM
3875	2015	Bahnsen SJM Decl. Ex. 34 _Novo Nordisk arsskrift 2015 [Novo Nordisk Annual Report 2015 - translation added]	n/a	n/a	3026	DNM, AUTH, F, IR, H
3876	Undated	Bahnsen SJM Decl. Ex. 50 _Excerpt of the document bundle produced in connection with the deposition of SKAT's Rule 30(b)(6) designee containing pages 125-127 and 145-177	n/a	n/a		DNM, AUTH, F
3877	Undated	Bahnsen SJM Decl. Ex. 56 _FCA Conduct of Business Sourcebook (COBS), Section 16.2, https://www.handbook.fca.org.uk/handbook/COBS.pdf	n/a	n/a		DNM, AUTH, F, IR, 403
3878	Undated	Bahnsen SJM Decl. Ex. 57 _SEC Rule 10b-10, sec.gov website	n/a	n/a		DNM, AUTH, F, IR, 403
3879	Undated	Bahnsen SJM Decl. Ex. 58 _FINRA Rule 2232, finra.org website	n/a	n/a		DNM, AUTH, F, IR, 403
3880	Multiple Dates	Bloomberg Screenshot - AP MOLLER-B Equity	n/a	n/a		F, IR, 403
3881	Multiple Dates	Bloomberg Screenshot - AP MOLLER-A Equity	n/a	n/a		F
3882	Multiple Dates	Bloomberg Screenshot - AP MOLLER-B Equity	n/a	n/a		F
3883	Multiple Dates	Bloomberg Screenshot - DKK-USD X-RATE Curncy	n/a	n/a		F
3884	Multiple Dates	Bloomberg Screenshot - CHR HANSEN HOLDI Equity	n/a	n/a		F, IR, 403
3885	Multiple Dates	Bloomberg Screenshot - AP MOLLER-B Equity	n/a	n/a		F, IR, 403
3886	Multiple Dates	Bloomberg Screenshot - CHR HANSEN HOLDI Equity	n/a	n/a		F, IR, 403
3887	Multiple Dates	Bloomberg Screenshot - EUR-DKK X-RATE Curncy	n/a	n/a		F
3888	Multiple Dates	Bloomberg Screenshot - AP MOLLER-B Equity	n/a	n/a		F
3889	Multiple Dates	Bloomberg Screenshot - AP MOLLER-B Equity	n/a	n/a		F
3890	Multiple Dates	Bloomberg Screenshot - CHR HANSEN HOLDI Equity	n/a	n/a		F
3891	Multiple Dates	Bloomberg Screenshot - AP MOLLER-B Equity	n/a	n/a		F
3892	Multiple Dates	Bloomberg Screenshot - CHR HANSEN HOLDI Equity	n/a	n/a		F
3893	Multiple Dates	Bloomberg Screenshot - TDC A/S Equity	n/a	n/a		F
3894	03/26/2021	Plaintiff Skatteforvaltningen's Responses and Objections to Defendants' Second Set of Interrogatories to Plaintiff Skatteforvaltningen	n/a	n/a	3019	IR, C
3895	04/20/2020	Amended Complaint for SKATTEFORVALTNINGEN v. AVANIX MANAGEMENT LLC ROTH 401K PLAN et al. (USDC S.D.N.Y C.A. No. 19-cv-01867)	n/a	n/a	3018	No objections
3896	2013	Novo Nordisk Annual Report 2013	n/a	n/a	3024	H, AUTH, F, IR, 403
3897	2014	Danske Bank Group Annual Report 2014	n/a	n/a	3013	H, AUTH, F, IR, 403
3898	2014	Foreign language document: Novo Nordisk arsskrift 2014	n/a	n/a	3025	IR, TR
3899	Undated	LinkedIn profile RE Jens Brochner	n/a	n/a	3017	IR, TR
3900	Undated	Skatteforvaltningen webpage	n/a	n/a	3020	TR
3901	Undated	Carr Initial Report Exhibit 9 - Red-Line Comparison of the Give-Up Agreement between FGC Securities, Solo Capital Partners LLP, and RJM Capital Pension Plan and the International Uniform Brokerage Execution Services ("Give-Up") Agreement Customer Version 2008 Template	n/a	n/a		DNM, AUTH, F, IR
3902	Undated	Carr Initial Report Exhibit 11 - Red-Line Comparison of General Master Securities Lending Agreement between Colbrook Ltd and RJM Capital Pension Plan and the General Master Securities Lending Agreement (Version: January 2010) Template	n/a	n/a		DNM, AUTH, F, IR
3903	05/14/2004	U.S. Securities and Exchange Commission, "Policy Statement: Interagency Statement on Sound Practices Concerning Complex Structured Finance Activities," https://www.sec.gov/rules/policy/34-49695.htm	n/a	n/a		AUTH, F, H, IR, 403

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3904	01/11/2007	U.S. Securities and Exchange Commission, "Interagency Statement on Sound Practices Concerning Elevated Risk Complex Structured Finance Activities," effective January 11, 2007, https://www.sec.gov/rules/policy/2007/34-55043.pdf	n/a	n/a		AUTH, F, H, IR, 403
3905	12/31/2013	Cboe, European Equities Market Share by Market, as of December 31, 2013, https://www.cboe.com/europe/equities/market_share/market/off-book/2013-12-31/#dm=tbnav&dr=mt&mt=1&ms=0&hc=1&f=0&ID=515770de6ebe755a2fe7&V=88e178cff5ed72b217b0	n/a	n/a		AUTH, F, H, IR
3906	07/23/2014	Regulation (EU) No 909/2014	n/a	n/a		AUTH, F, IR, 403, C
3907	10/17/2016	BIS, "Glossary: DvP Model 3," https://www.bis.org/cpmi/publ/d00b.htm?&selection=125&scope=CPMI&c=a&base=term	n/a	n/a		MIL, H, AUTH, F, IR, 403
3908	12/20/2019	FEDS Notes: Macchiavelli, Marco, and Luke Pettit, "Shining a Light on the Shadows: Dealer Funding and Internalization," Board of Governors of the Federal Reserve System, https://www.federalreserve.gov/econres/notes/feds-notes/dealer-funding-andinternalization-20191220.htm	n/a	n/a		AUTH, F, H, IR
3909	11/05/2020	ESMA, "Report to the European Commission: CSDR Internalised Settlement," https://www.esma.europa.eu/sites/default/files/library/esma70-156-3729_csd_r_report_to_ec_-_internalised_settlement.pdf	n/a	n/a		AUTH, F, H, IR
3910	05/06/2021	Financial Conduct Authority, Final Notice to Sapien Capital Limited, https://www.fca.org.uk/publication/final-notice/sapien-capital-limited-2021.pdf	n/a	n/a		AUTH, F, H, IR
3911	11/12/2021	Financial Conduct Authority, Final Notice to Sunrise Brokers LLP, https://www.fca.org.uk/publication/final-notice/sunrise-brokers-llp-2021.pdf	n/a	n/a		AUTH, F, H, IR
3912	03/00/2021	ISLA, "Securities Lending Market Report," https://www.islaemea.org/assets/smart-pdfs/isla-securities-lending-market-report-march-2021/	n/a	n/a		AUTH, F, H, IR
3913	1/00/2021	Banque de France, "Payments and Market Infrastructures in the Digital Era," Chapter 13, https://publications.banque-france.fr/en/economic-and-financialpublications/book-payments-and-market-infrastructures-digital-era	n/a	n/a		AUTH, F, H, IR
3914	11/00/2020	EU Securities Markets: ESMA Annual Statistical Report," https://www.esma.europa.eu/sites/default/files/library/esma50-165-1355_mifid_asr.pdf	n/a	n/a		AUTH, F, H, IR
3915	2/00/2018	Bank of England Prudential Regulation Authority, "Statement of Policy, Pillar 2 Liquidity," June 2019 Updating February 2018, https://www.bankofengland.co.uk/-/media/boe/files/prudential-regulation/statement-of-policy/2019/pillar-2-liquidity-sop-updatejune-2019.pdf?la=en&hash=78618B0A466B17BCF86952AAD06AC14AEE3E4FDE	n/a	n/a		AUTH, F, H, IR, 403, C
3916	2021	Cboe, "Our Vision for EU Equity Market Structure Reform," https://cdn.cboe.com/resources/participant_resources/KeyMiFIDIIViews_0521.pdf	n/a	n/a		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3917	Undated	Financial Conduct Authority, "Bastion Capital London Ltd," https://register.fca.org.uk/s/firm?id=001b000000MfPpoAAF	n/a	n/a		AUTH, F, H, IR
3918	Undated	Financial Conduct Authority, "Matched Principal Trading," https://www.handbook.fca.org.uk/handbook/glossary/G3544m.html	n/a	n/a		AUTH, F, H, IR
3919	Undated	Financial Industry Regulatory Authority, Inc., "FGC Securities, LLC," https://brokercheck.finra.org/firm/summary/158399	n/a	n/a		AUTH, F, H, IR
3920	09/11/2008	U.S. Senate Permanent Subcommittee on Investigations, "Dividend Tax Abuse: How Offshore Entities Dodge Taxes on U.S. Stock Dividends," https://www.hsgac.senate.gov/imo/media/doc/REPORT%20-%20Dividend%20Tax%20Abuse%20(Sept%2011%202008).pdf	n/a	n/a		AUTH, F, H, IR
3921	12/06/2011	London Stock Exchange, "Stock Exchange Notice: MF Global UK Limited – Revision of Hammer Prices to Reflect Securities Going Ex-Dividend," https://docs.londonstockexchange.com/sites/default/files/documents/n2411.pdf	n/a	n/a		AUTH, F, H, IR
3922	06/11/2015	GlobeNewswire, "Auriga, Distribution of Extraordinary Dividends," https://www.globenewswire.com/fr/news-release/2015/06/11/743743/0/en/Auriga-Distribution-of-extraordinary-dividends.html	n/a	n/a		AUTH, F, H, IR
3923	09/14/2017	European Banking Federation, "EBF Response to ESMA's CSDR Guidelines on Internalised Settlement Reporting," https://www.esma.europa.eu/pressnews/consultations/consultation-guidelines-internalised-settlement-reporting-under-article-9	n/a	n/a		AUTH, F, H, IR
3924	04/30/2019	ESMA, "Guidelines on Internalised Settlement Reporting Under Article 9 of CSDR," https://www.esma.europa.eu/sites/default/files/library/esma70-151-367_csdg_guidelines_on_internalised_settlement_reporting.pdf	n/a	n/a		AUTH, F, H, IR, 403
3925	07/01/2019	London Stock Exchange, "Rules of the London Stock Exchange: Rule Book," Effective July 1, 2019, https://docs.londonstockexchange.com/sites/default/files/documents/ruleslse.pdf	n/a	n/a		AUTH, F, H, IR
3926	03/06/2020	S&P Global, "Prosecutors Say Barclays, BofA Merrill Lynch Used in Cum-Ex Trade – Bloomberg," https://www.spglobal.com/marketintelligence/en/news-insights/latest-newsheadlines/prosecutors-say-barclays-bofa-merrill-lynch-used-in-cum-ex-trades-8211-bloomberg-57454212	n/a	n/a		AUTH, F, H, IR
3927	04/06/2021	"What is Archegos and How Did it Rattle the Stock Market?," The Wall Street Journal, Updated April 6, 2021	n/a	n/a		AUTH, F, H, IR
3928	02/01/2022	Euronext Securities, "Part 4 – VP Rule Book: Settlement Rules," https://www.vp.dk/Legal-Framework/VP-Rulebook	n/a	n/a		AUTH, F, H, IR
3929	02/10/2022	Danish Financial Supervisory Authority, "Published Net Short Positions," https://www.dfsa.dk/Rules-and-Practice/Short-selling/Published-net-short-positions	n/a	n/a		AUTH, F, H, IR
3930	05/00/2011	Clifford Chance, "ICMA Publishes 2011 Global Master Repurchase Agreement," https://www.cliffordchance.com/content/dam/cliffordchance/briefings/2011/05/icmapublishes-2011-global-master-repurchase-agreement.pdf	n/a	n/a		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3931	07/00/2021	ICE Futures U.S., "Wash Trade FAQ," https://www.theice.com/publicdocs/futures_us/Wash_Sale_FAQ.pdf	n/a	n/a		AUTH, F, H, IR
3932	2002	ISDA, 2002 Master Agreement, https://www.gbm.hsbc.com/financialregulation/2002-iswa-master-agreement	n/a	n/a		AUTH, F, H, IR
3933	2010	ISLA, "Guidance Notes to the Global Master Securities Lending Agreement (2010 Version)," https://www.islaemea.org/wpcontent/uploads/2019/03/GMSLA_2010_Guidance_Notes_Freshfields.pdf	n/a	n/a		AUTH, F, H, IR
3934	2011	ICMA, Global Master Repurchase Agreement (2011 Version), https://www.icmagroup.org/assets/documents/Legal/GMRA-2011/GMRA-2011/GMRA%202011_2011.04.20_formular.pdf	n/a	n/a		AUTH, F, H, IR
3935	2011	ICMA, Global Master Repurchase Agreement (2011 Version) Equities Annex, https://www.icmagroup.org/assets/documents/Legal/GMRA-2011/GMRA-2011/Equities-Annex-to-GMRA-2011_FINAL.pdf	n/a	n/a		AUTH, F, H, IR
3936	Undated	European Banking Federation, https://www.ebf.eu/	n/a	n/a		AUTH, F, H, IR
3937	Undated	London Stock Exchange, "UnaVista," https://www.lseg.com/markets-productsand-services/post-trade/unavista	n/a	n/a		AUTH, F, H, IR
3938	Undated	The Wayback Machine, Solo Capital (Securities Finance), https://web.archive.org/web/20131209035413/http://solo-capital.com/securitiesfinance.html	n/a	n/a		AUTH, F, H, IR
3939	Undated	The Wayback Machine, Solo Capital (About Us), https://web.archive.org/web/20141218100151/http://solo-capital.com/	n/a	n/a		AUTH, F, H, IR
3940	Undated	The Wayback Machine, Solo Capital (Global Securites Services), https://web.archive.org/web/20150223112418/http://www.solocapital.com:80/globalsecurities.html	n/a	n/a		AUTH, F, H, IR
3941	09/30/2004	Danmarks Nationalbank, "Review of VP Securities Services in relation to Recommendations for Securities Settlement Systems," https://www.finanstilsynet.dk/upload/finanstilsynet/mediafiles/newdoc/rapportert/4/assessment_rapport_eng.pdf	n/a	n/a		AUTH, F, H, IR
3942	10/01/2009	Putniņš, Tālis, "Naked Short Sales and Fails-to-Deliver: An Overview of Clearing and Settlement Procedures for Stock Trades in the USA," https://www.researchgate.net/publication/228260887	n/a	n/a		AUTH, F, H, IR
3943	03/09/2011	Euronext, "NYSE LIFFE's BCLEAR Service Registers One Billionth Contract," https://www.euronext.com/en/about/media/euronext-press-releases/nyseliffes-bclear-service-registers-one-billionth-contract	n/a	n/a		AUTH, F, H, IR
3944	09/27/2012	U.S. Securities and Exchange Commission, "Investor Bulletin: How to Read Confirmation Statements," https://www.investor.gov/introductioninvesting/general-resources/news-alerts/alerts-bulletins/investor-bulletins-62	n/a	n/a		AUTH, F, H, IR
3945	02/27/2014	A.P. Moller - Maersk, "Proposal for Issuance of Bonus Shares and for Election of New Members for the Board of Directors"	n/a	n/a		AUTH, F, H, IR
3946	03/04/2014	Danmarks Nationalbank, "The VP System," https://www.nationalbanken.dk/en/bankingandpayments/securities_trade_settlement/Pages/The-VP-System.aspx	n/a	n/a		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3947	05/15/2014	Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU Text with EEA Relevance, https://eurlex.europa.eu/eli/dir/2014/65/oj/eng	n/a	n/a		AUTH, F, H, IR, 403
3948	10/13/2015	European Central Depositories Association, "Account Segregation Practices at European CSDs," https://ecsda.eu/wpcontent/uploads/2015_10_13_ECSDA_Segregation_Report.pdf	n/a	n/a		AUTH, F, H, IR
3949	01/04/2016	FINRA, "Unraveling the Mystery of Over-the-Counter Trading," https://www.finra.org/investors/insights/unraveling-mystery-over-counter-trading	n/a	n/a		AUTH, F, H, IR
3950	08/23/2016	Baklanova, Victoria, Cecilia Caglio, Frank M. Keane, and Burt Porter, "A Pilot Survey of Agent Securities Lending Activity," OFR Working Paper 16-08, https://www.financialresearch.gov/working-papers/2016/08/23/pilot-survey-of-agentsecurities-lending-activity/	n/a	n/a		AUTH, F, H, IR
3951	12/08/2016	European Central Bank, "What is Securities Lending?," https://www.ecb.europa.eu/ecb/educational/explainers/tell-memore/html/securities_lending.en.html	n/a	n/a		AUTH, F, H, IR
3952	03/22/2017	U.S. Securities and Exchange Commission, "SEC Adopts T+2 Settlement Cycle for Securities Transactions," https://www.sec.gov/news/press-release/2017-68-0	n/a	n/a		AUTH, F, H, IR
3953	12/18/2019	ESMA, "Undue Short-Term Pressure on Corporations," https://www.esma.europa.eu/document/report-undue-short-term-pressure-corporationsfinancial-sector	n/a	n/a		AUTH, F, H, IR
3954	02/24/2020	IMF, "Markets: Exchange or Over-the-Counter," https://www.imf.org/external/pubs/ft/fandd/basics/markets.htm	n/a	n/a		AUTH, F, H, IR
3955	10/11/2021	Euronext Securities, "VP Rule Book, Book Entry Rules," https://www.vp.dk/Legal-Framework/VP-Rulebook	n/a	n/a		AUTH, F, H, IR
3956	10/14/2021	U.S. Securities and Exchange Commission, "SEC Staff Report on Equity and Options Market Structure Conditions in Early 2021," https://www.sec.gov/files/staff-report-equity-options-market-struction-conditions-early-2021.pdf	n/a	n/a		AUTH, F, H, IR
3957	11/18/2021	SEC Release No. 34-93613, "Reporting of Securities Loans," https://www.sec.gov/rules/proposed/2021/34-93613.pdf	n/a	n/a		AUTH, F, H, IR
3958	12/11/2021	Euronext Securities, "Euronext Securities – a New Brand for Euronext CSDs," https://www.vp.dk/News-and-Insights/News-List/2021/11/Euronext-Securities--a-new-brand-for-Euronext-CSDs	n/a	n/a		AUTH, F, H, IR
3959	02/00/2015	Association for Financial Markets in Europe, "Post Trade Explained -The Role of Post-Trade Services in the Financial Sector," February 2015	n/a	n/a		AUTH, F, H, IR
3960	03/00/2007	IMF, "Denmark: Financial Sector Assessment Program—Detailed Assessment of the Securities Clearance and Settlement Systems"	n/a	n/a		IR, F, MIL, H
3961	03/00/2012	Danmarks Nationalbank, "Assessment of the V.P. Settlement System," https://www.nationalbanken.dk/en/publications/Documents/2012/04/Assesment%20of%20the%20VP%20settlement%20system_2012.pdf	n/a	n/a		F, IR, MIL, H
3962	03/00/2021	ISLA - A&O, "Framing Securities Lending for the Sustainability Era," https://www.allenoverly.com/en-gb/global/news-and-insights/publications/framingsecurities-lending-for-the-sustainability-era-isla-ao-white-paper	n/a	n/a		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3963	04/00/2016	Danmarks Nationalbank, "Assessment of VP Securities," https://www.nationalbanken.dk/en/publications/Documents/2016/03/Assessment_of_VP_securities_april_2016.pdf	n/a	n/a		F, IF MIL, H
3964	05/00/2015	BlackRock, "Securities Lending: The Facts," May 2015	n/a	n/a		AUTH, F, H, IR
3965	06/00/2014	ISDA, "Central Clearing in the Equity Derivatives Market – An ISDA Study," https://www.isda.org/a/6PDDE/central-clearing-in-the-eqd-market-final.pdf	n/a	n/a		AUTH, F, H, IR
3966	07/00/2016	The Clearing House, "The Custody Services of Banks," https://www.theclearinghouse.org/-/media/tch/documents/research/articles/2016/07/20160728_tch_white_paper_the_custody_services_of_banks.pdf	n/a	n/a		AUTH, F, H, IR
3967	08/00/2007	Chan, Diana, Fontan, Florence, Rosati, Simonetta, and Russo, Daniela, "The Securities Custody Industry," European Central Bank Occasional Paper Series No. 68, August 2007	n/a	n/a		AUTH, F, H, IR
3968	08/00/2012	Morningstar ETF Research, "Securities Lending in Physical Replication ETFs: A Review of Providers' Practices," August 2012	n/a	n/a		AUTH, F, H, IR
3969	09/00/2015	ISLA Securities Lending Market Report, September 2015	n/a	n/a		AUTH, F, H, IR
3970	1/00/2006	IPE, "To Trade 'on' or 'off' Exchange?," https://www.ipe.com/totrade-on-or-off-exchange/18537.article	n/a	n/a		AUTH, F, H, IR
3971	1/00/2010	ISLA, "Global Master Securities Lending Agreement," https://www.islaemea.org/wpcontent/uploads/2019/03/GMSLA_2010_amendments_July_2012-1.pdf	n/a	n/a		AUTH, F, H, IR
3972	1/00/2016	Department for Business Innovation & Skills, "Exploring the Intermediated Shareholding Model," NIS Research Paper Number 261, https://www.uksa.org.uk/sites/default/files/BIS_RP261.pdf	n/a	n/a		AUTH, F, H, IR
3973	12/00/2012	Benos, Evangelos and Satchit Sagade, "High-frequency Trading Behaviour and its Impact on Market Quality: Evidence from the UK Equity Market," Working Paper No. 469, December 2012	n/a	n/a		AUTH, F, H, IR
3974	12/00/2014	Kirk, Adam, James McAndrews, Parinitha Sastry, Phillip Weed, "Matching Collateral Supply and Financing Demands in Dealer Banks," FRBNY Economic Policy Review, December 2014	n/a	n/a		AUTH, F, H, IR
3975	1955	Campbell, James A. and William Beranek, "Stock Price Behavior on Ex-Dividend Dates," Journal of Finance, 1955, 10(4), pp. 425-429	n/a	n/a		AUTH, F, H, IR
3976	1970	Elton, Edwin J. and Martin J. Gruber, "Marginal Stockholder Tax Rates and the Clientele Effect," The Review of Economics and Statistics, 1970, 52(1), pp. 68-74	n/a	n/a		AUTH, F, H, IR
3977	1970	Fama, Eugene, "Efficient Capital Markets: A Review of Theory and Empirical Work," Journal of Finance, 1970, 25(2), pp. 383-417	n/a	n/a		AUTH, F, H, IR
3978	1982	Kalay, Avner, "The Ex-Dividend Day Behavior of Stock Prices: A Reexamination of the Clientele Effect," Journal of Finance, 1982, 37(4), pp. 1059-1070	n/a	n/a		AUTH, F, H, IR
3979	1983	Cornell, Bradford and Kenneth R. French, "Taxes and the Pricing of Stock Index Futures," The Journal of Finance, 1983, 38(3), pp. 675-694	n/a	n/a		AUTH, F, H, IR
3980	1984	Brown, Keith C., and Scott L. Lummer, "The Cash Management Implications of a Hedged Dividend Capture Strategy," Financial Management, 1984, pp. 7-17	n/a	n/a		AUTH, F, H, IR
3981	1994	Boyd, John H. and Ravi Jagannathan, "Ex-Dividend Price Behavior of Common Stocks," Review of Financial Studies, 1994, 7(4), pp. 711-741	n/a	n/a		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3982	1995	Michaely, Roni and Maurizio Murgia, "The Effect of Tax Heterogeneity on Prices and Volumes around the Ex-Dividend Day: Evidence from the Milan Stock Exchange," Review of Financial Studies, 1995, 8(2), pp. 369-399	n/a	n/a		AUTH, F, H, IR
3983	1998	Frank, Murray, and Ravi Jagannathan, "Why Do Stock Prices Drop by Less than the Value of the Dividend? Evidence from a Country Without Taxes," Journal of Financial Economics, 1998, 47(2), pp. 161-188	n/a	n/a		AUTH, F, H, IR
3984	1998	Koski, Jennifer L. and John T. Scruggs, "Who Trades Around the Ex-Dividend Day? Evidence from NYSE Audit File Data," Financial Management, 1998, 27(3), pp. 58-72	n/a	n/a		AUTH, F, H, IR
3985	1999	Francotte, Pierre, "Chapter 10: Clearing and Settlement of Book-Entry Securities Transactions," International Monetary Fund, Current Developments in Monetary and Financial Law, Vol. 1, 1999	n/a	n/a		AUTH, F, H, IR
3986	2003	Graham, John R., Roni Michaely, and Michael R. Roberts, "Do Price Discreteness and Transactions Costs Affect Stock Returns? Comparing Ex-dividend Pricing Before and After Decimalization," Journal of Finance, 2003, 58(6), pp. 2611-2635	n/a	n/a		AUTH, F, H, IR
3987	2006	Graham, John R. and Alok Kumar, "Do Dividend Clienteles Exist? Evidence on Dividend Preferences of Retail Investors," Journal of Finance, 2006, 61(3), pp. 1305-1336	n/a	n/a		AUTH, F, H, IR
3988	2007	Faulkner, Mark C., "An Introduction to Securities Lending," (Spitalfields Advisors Ltd., 4th ed. 2007), https://www.sasla.co.za/index.php/en/document/27-an-introduction-to-securities-lending-4th-ed/file	n/a	n/a		AUTH, F, H, IR
3989	2008	Rantapaska, Elias, "Ex-Dividend Day Trading: Who, How, and Why?: Evidence from the Finnish Market," Journal of Financial Economics, 2008, 88(2), pp. 355-374	n/a	n/a		AUTH, F, H, IR
3990	2008	Zhang, Yi, Kathleen A. Farrell, and Todd A. Brown, "Ex-dividend Day Price and Volume: The Case of 2003 Dividend Tax Cut," National Tax Journal, 2008, 61(1), pp. 105-127	n/a	n/a		AUTH, F, H, IR
3991	2008	FIA, "International Uniform Brokerage Execution Services ('Give-Up') Agreement: Trader Version 2008," https://www.fiadocumentation.org/fia/attachment_dw.action?attkey=FRbANEucS95NMLRN47z%2BeeOgEFct8EGQJsWJiCH2WAUTleh6%2BAJHrgdju7MHJju&nav=FRbANEucS95NMLRN47z%2BeeOgEFct8EGQ2r3qtm6CZtU%3D&attdocparam=pB7HEsg%2FZ312Bk8OIuOIH1c%2BY4beLEAektndL8Rf%2Bo%3D&fromContentView=1	n/a	n/a		AUTH, F, H, IR
3992	2012	Hull, John C. "Options, Futures, and Other Derivatives," Eighth Edition, Prentice Hall, 2012	n/a	n/a		AUTH, F, H, IR
3993	2013	Chen, Hung-Ling, Edward H. Chow, and Cheng-Yi Sui, "Ex-Dividend Prices and Investor trades: Evidence from Taiwan," Pacific-Basin Finance Journal, 2013, 24, pp. 39-65	n/a	n/a		AUTH, F, H, IR
3994	2013	A.P. Moller - Maersk, Annual Report 2013, https://investor.maersk.com/staticfiles/f9126c88-9da0-4b11-8960-a70056e5b5d8	n/a	n/a		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
3995	2013/2014	Chr. Hansen, Annual Report 2013/14, https://www.chrhansen.com/en/investors/reports-and-presentations	n/a	n/a		AUTH, F, H, IR
3996	2014	Scholes, Myron, Mark A. Wolfson, Merle M. Erickson, Michelle L. Hanlon, Edward L. Maydew, and Terrence J. Shevlin, "Taxes and Business Strategy, A Planning Approach," 5th Edition, Prentice Hall, 2014	n/a	n/a		AUTH, F, H, IR
3997	2014	A.P. Moller - Maersk, Annual Report 2014, https://investor.maersk.com/staticfiles/a3ad7baa-8f79-43fa-8484-eeddb144f04d	n/a	n/a		AUTH, F, H, IR
3998	2015	Clearstream, "Market Standards for Corporate Actions Processing," Revised version 2012 (Updated 2015), https://www.clearstream.com/resource/blob/1292816/c8d2a31466a8202f48a8585d11830787/market-standards-ca-data.pdf	n/a	n/a		AUTH, F, H, IR
3999	2017	Henry, Tyler L. and Jennifer L. Koski, "Ex-Dividend Profitability and Institutional Trading Skill," Journal of Finance, 2017, 72(1), pp. 461-493	n/a	n/a		AUTH, F, H, IR
4000	2020	Le, Nguyen Ngoc Anh, Xiangkang Yin, and Jing Zhao, "Effects of Investor Tax Heterogeneity on Stock Prices and trading Behaviour Around the Ex-Dividend Day: The Case of Australia," Accounting & Finance, 2020, 60(4), pp. 3775-3812	n/a	n/a		AUTH, F, H, IR
4001	2020	A.P. Moller - Maersk, Annual Report 2020, https://www.maersk.com/year-inreview-2020	n/a	n/a		AUTH, F, H, IR
4002	2020/2021	Chr. Hansen, Annual Report 2020/21, https://www.chrhansen.com/en/investors/reports-and-presentations	n/a	n/a		AUTH, F, H, IR
4003	2021	Dixon, Peter N., Corbin A. Fox, and Eric K. Kelley, "To Own or Not to Own: Stock Loans Around Dividend Payments," Journal of Financial Economics, 2021, 140(2), pp. 540-559	n/a	n/a		AUTH, F, H, IR
4004	July/August 2006	FIA, "Industry Addresses Inefficiencies in the Give-Up Process," Futures Industry, https://secure.fia.org/downloads/fimag/2006/julaug06/julaug06_giveups.pdf	n/a	n/a		AUTH, F, H, IR
4005	Q3/2009	BNY Mellon, "Resetting the Roadmap: Managing in a New Securities Lending Environment for Beneficial Asset Holders," Third Quarter 2009	n/a	n/a		AUTH, F, H, IR
4006	Undated	A.P. Moller - Maersk, "About A.P. Moller - Maersk," https://www.maersk.com/about	n/a	n/a		AUTH, F, H, IR
4007	Undated	Bloomberg L.P., "The Terminal: Bloomberg Professional Services," https://www.bloomberg.com/professional/solution/bloomberg-terminal/	n/a	n/a		AUTH, F, H, IR
4008	Undated	CFA Institute, "CFA Institute Programs," https://www.cfainstitute.org/en/programs	n/a	n/a		AUTH, F, H, IR
4009	Undated	Chr. Hansen, "About Us," https://www.chr-hansen.com/en/about-us	n/a	n/a		AUTH, F, H, IR
4010	Undated	ESMA, "ESMA in Brief," https://www.esma.europa.eu/about-esma/esma-in-brief	n/a	n/a		AUTH, F, H, IR
4011	Undated	Euronext Securities, "How to Become a Customer," https://www.vp.dk/Legal-Framework/How-To-Become-a-Customer	n/a	n/a		AUTH, F, H, IR
4012	Undated	European Commission, "Securities Financing Transactions (SFTs)," https://ec.europa.eu/info/business-economy-euro/banking-and-finance/financial-markets/posttrade-services/securities-financing-transactions-sfts_en	n/a	n/a		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4013	Undated	FCA, "The Financial Services Register," https://register.fca.org.uk/s/firm?id=001b000000NMaQQAATandhttps://register.fca.org.uk/s/firm?id=001b000000MgBgIAAV	n/a	n/a		AUTH, F, H, IR
4014	Undated	FGC Securities, http://www.fgcsecurities.com	n/a	n/a		AUTH, F, H, IR
4015	Undated	Fidelity, "About Statements," https://www.fidelity.com/webcontent/ap002390-mlo-content/19.09/help/learn_portfolio_statements.shtml#accountrecords	n/a	n/a		AUTH, F, H, IR
4016	Undated	Fidelity, "Trading FAQs: Order Types," https://www.fidelity.com/trading/faqsorder-types	n/a	n/a		AUTH, F, H, IR
4017	Undated	Fidelity, "What is the Fully Paid Lending Program?," https://www.fidelity.com/trading/fully-paid-lending	n/a	n/a		AUTH, F, H, IR
4018	Undated	FINRA, "Trade Reporting Frequently Asked Questions," https://www.finra.org/filing-reporting/market-transparency-reporting/trade-reporting-faq	n/a	n/a		AUTH, F, H, IR
4019	Undated	International Capital Market Association, "CSDR: Migration to T+2," https://www.icmagroup.org/Regulatory-Policy-and-Market-Practice/marketinfrastructure/past-initiatives/csd-migration-to-t-2/	n/a	n/a		AUTH, F, H, IR
4020	Undated	ISLA, "GMSLA Title Transfer," https://www.islaemea.org/gmsla-title-transfer/	n/a	n/a		AUTH, F, H, IR
4021	Undated	NASDAQ, "Cum Dividend," https://www.nasdaq.com/glossary/c/cum-dividend	n/a	n/a		AUTH, F, H, IR
4022	Undated	NASDAQ, "Nordic Equities," https://www.nasdaq.com/solutions/nordic-equities	n/a	n/a		AUTH, F, H, IR
4023	Undated	Nordea, "Book-entry Account and Securities Custody," https://www.nordea.fi/en/personal/our-services/savings-investments/investments/book-entryaccount.html	n/a	n/a		AUTH, F, H, IR
4024	Undated	Nordea, "Who We Are," https://www.nordea.com/en/about-us/who-we-are	n/a	n/a		AUTH, F, H, IR
4025	Undated	RBC Investor & Treasury Services, "Market Profiles: Denmark," https://www.rbcits.com/en/gmi/global-custody/marketprofiles/market.page?dcr=templatedata/globalcustody/marketprofiles/data/denmark	n/a	n/a		AUTH, F, H, IR
4026	Undated	SWIFT, "SFTR -- The Securities Financing Transactions Regulation," https://www.swift.com/your-needs/capital-markets/sftr	n/a	n/a		AUTH, F, H, IR
4027	Undated	U.S. Securities and Exchange Commission, "Alternative Trading Systems (ATSS)," https://www.investor.gov/introduction-investing/investing-basics/glossary/alternativetrading-systems-atss	n/a	n/a		AUTH, F, H, IR
4028	Undated	U.S. Securities and Exchange Commission, "Dividend," https://www.investor.gov/introduction-investing/investing-basics/glossary/dividend	n/a	n/a		AUTH, F, H, IR
4029	Undated	U.S. Securities and Exchange Commission, "Ex-Dividend Dates: When Are You Entitled to Stock and Cash Dividends," https://www.investor.gov/introductioninvesting/investing-basics/glossary/ex-dividend-dates-when-are-you-entitled-stock-and	n/a	n/a		AUTH, F, H, IR
4030	Undated	U.S. Securities and Exchange Commission, "Executing an Order," https://www.investor.gov/introduction-investing/investing-basics/how-stock-marketswork/executing-order	n/a	n/a		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4031	Undated	U.S. Securities and Exchange Commission, "Listing Standards," https://www.investor.gov/introduction-investing/investing-basics/glossary/listing-standards	n/a	n/a		AUTH, F, H, IR
4032	01/01/2001	Tax Conversion with Denmark - General Effective Date Under Article 29: 1 January 2001	n/a	n/a	4019	F
4033	Undated	Handwritten Notes To Files RE Agree	n/a	n/a	4046	AUTH, F, H, IR, 403
4034	04/18/2016	Sanjay Shah - Danish tax receipts - flow of funds	n/a	n/a	5229	H, IR, 403, F, AUTH
4035	04/20/2005	Investor Publications: Day Trading - Your Dollars at Risk	n/a	n/a	5225	H, AUTH, F, IR, 403
4036	07/01/2019	London Stock Exchange: Rules of the London Stock Exchange - Rule Book	n/a	n/a	5223	MIL, H, AUTH, F, IR, 403
4037	09/00/2012	SEC: Investor Bulletin: How to Read Confirmation Statements	n/a	n/a	5221	H, AUTH, F, IR, 403
4038	10/14/2021	SEC: Staff Report on Equity and Options Market Structure conditions in Early 2021	n/a	n/a	5227	MIL, H, AUTH, F, IR, 403
4039	11/26/2012	FGC Securities, LLC - CRD #158399 - SEC #8-68897 - 11 Broadway, Suite 615, New York, NY 10004 - Established in Delaware since June 8, 2011	n/a	n/a	5220	H, AUTH, F, IR, 403
4040	Undated	SEC: Investor.gov - Stock Purchases and Sales: Long and Short	n/a	n/a	5224	H, AUTH, F, IR, 403
4041	Undated	17 CFR § 240.13d-3 Determination of Beneficial Owner	n/a	n/a	5228	H, AUTH, F, IR, 403
4042	03/31/2014	Dulberg SJM Decl. Ex. 098_Repy to the Defence of Mr. Knott and Mr. Hoogewerf	n/a	n/a		DNM, AUTH, F, IR
4043	07/23/2014	Dulberg SJM Decl. Ex. 003_Regulation (EU) No 909/2014	n/a	n/a		DNM, AUTH, F, IR, 403
4044	04/28/2021	Dulberg SJM Decl. Ex. 001_Plaintiff Skatteforvaltningen's Responses and Objections to Defendants' First Requests for Admissions	n/a	n/a		DNM, IR
4045	07/15/2021	Dulberg SJM Decl. Ex. 002_Plaintiff Skatteforvaltningen's Responses and Objections to Defendants' Second Requests for Admissions	n/a	n/a		DNM, IR
4046	03/14/2022	Dulberg SJM Decl. Ex. 040_ https://register.fca.org.uk/s/firm?id=001b000000NMaQQAAT (Solo Capital) (printed Mar. 14, 2022)	n/a	n/a		DNM, AUTH, F, IR, H
4047	03/14/2022	Dulberg SJM Decl. Ex. 041_ https://register.fca.org.uk/s/firm?id=001b000000MgBgIAAV (Old Park Lane) (printed Mar. 14, 2022)	n/a	n/a		DNM, AUTH, F, IR, H
4048	03/14/2022	Dulberg SJM Decl. Ex. 042_ https://register.fca.org.uk/s/firm?id=001b000000NMiEdAAL (Telesto) (printed Mar. 14, 2022)	n/a	n/a		DNM, AUTH, F, IR, H
4049	03/14/2022	Dulberg SJM Decl. Ex. 043_ https://register.fca.org.uk/s/firm?id=001b000000MfavWAAR (West Point) (printed Mar. 14, 2022)	n/a	n/a		DNM, AUTH, F, IR, H
4050	11/13/2020	Notice to Take Deposition of Skatteforvaltningen	n/a	n/a	3000	No objections
4051	03/26/2021	Plaintiff Skatteforvaltningen's Responses and Objections to Defendants' Second Set of Interrogatories to Plaintiff Skatteforvaltningen	n/a	n/a	3060	IR, C
4052	04/27/2021	Ansøgning om refusion af udbytteskat	n/a	n/a	3014	F, TR
4053	2015	Novo Nordisk Annual Report 2015	n/a	n/a	3026	AUTH, F, H, IR, C
4054	11/00/2015	"Beretning Om SKATs Forvaltning Af Og Skatte-Ministeriets Tilsyn Med Refusion Af Udbytteskat," Statsrevisorerne	n/a	n/a	1413	AUTH, F, H
4055	Undated	Hanne Villemoes Hald Resume	n/a	n/a	1403	IR, TR
4056	Undated	Translation Document - Speaker, Time, Danish, English	n/a	n/a	3966	H, F, IR, 403, MIL

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4057	04/27/2021	Printout of SKAT's website listing requirements for claiming refund of Danish dividend tax (with translation)	N/A	N/A	3014	F,TR
4058	Undated	Testimony of Jette Zester to Commission of Inquiry, Investigation Commission on Tax (with translation)	N/A	N/A	3948	H, TR, MIL, IR, 403
4059	12/31/2021	Defendant Robert Klugman's Responses and Objections to Plaintiff's First Set of Interrogatories	n/a			H
4060	11/09/2021	McCarthy SJM Decl. Ex. 01_The Goldstein Parties' Amended Notice of Deposition of Adam Piper	n/a	n/a		DNM, IR, H
4061	04/28/2022	McCarthy SJM Decl. Ex. 03_Danish Eastern High Court's judgment in the matter of Skatteforvaltningen v. Bech-Bruun I/S (with translation)	n/a	n/a		DNM, AUTH, F, IR, 403, H
4062	08/22/2015	Email from C. Ekstrand to A. Munksgaard re SV: til orienterringssagen	n/a	n/a	3332	H, TR
4063	07/30/2015	Commission of Inquiry on Tax, Partial report on the conditions regarding SKAT's payment of refund of dividend tax, Exhibit pp. 1690-1697, available at 06_ekstrakt_side_1613-1709.pdf	n/a	n/a		TR, H, F, MIL, IR, 403
4064	02/20/2018	Commission of Inquiry on Tax, Partial report on the conditions regarding SKAT's payment of refund of dividend tax, Exhibit pp. 3634-3661, available at 12_ekstrakt_side_3069-3644.pdf	n/a	n/a		TR, H, F, IR 403
4065	2021	Commission of Inquiry on Tax, Partial report on the conditions regarding SKAT's payment of refund of dividend tax, available at https://www.justitsministeriet.dk/wp-content/uploads/2022/08/1002535-Undersoegkom-SKAT-indhold-protokol-FINAL-a.pdf	n/a	n/a		TR, H, F
4066	06/05/1953	Pilgaard SJM Decl. Ex. 01_The Constitutional Act of Denmark	n/a	n/a		F, IR, 403, AUTH, DNM
4067	03/28/1984	Pilgaard SJM Decl. Ex. 02_L 1984-03-28 no. 131 State Accounting Act	n/a	n/a		F, IR, 403, AUTH, DNM
4068	03/28/1984	Pilgaard SJM Decl. Ex. 05_Provisions 2(2) and 3 of the State Account's Act	n/a	n/a		F, IR, 403, AUTH, DNM
4069	12/20/1995	Pilgaard SJM Decl. Ex. 85_L 1995-12-20 nr 1072 The Securities Trading Act	n/a	n/a		F, IR, 403, AUTH, DNM
4070	03/17/1996	Pilgaard SJM Decl. Ex. 76_Dividend Regulations	n/a	n/a		F, IR, 403, AUTH, DNM
4071	05/19/1998	Pilgaard SJM Decl. Ex. 61_Directive 98/26/EC of the European Parliament and of the Council	n/a	n/a		F, IR, 403, AUTH, DNM
4072	08/19/1999	Pilgaard SJM Decl. Ex. 40_Protocol Amending Convention	n/a	n/a		F, 403, AUTH, DNM
4073	12/12/2000	Pilgaard SJM Decl. Ex. 77_Share loans according to the Financial Council's standard conditions, dividends, bearish trading, equity gains, loan to own	n/a	n/a		F, IR, 403, AUTH, DNM
4074	01/01/2001	Pilgaard SJM Decl. Ex. 39_Tax Convention with Denmark	n/a	n/a		F, 403, AUTH, DNM
4075	01/01/2001	Pilgaard SJM Decl. Ex. 41_Department of Treasury Technical Explanation of the Convention	n/a	n/a		F, IR, 403, AUTH, DNM
4076	04/09/2001	Pilgaard SJM Decl. Ex. 54_TCapital gains taxation	n/a	n/a		F, IR, 403, AUTH, DNM
4077	07/12/2002	Pilgaard SJM Decl. Ex. 57_TTime of taxation on sale of shares - exercise of sale and buyer's right	n/a	n/a		F, IR, 403, AUTH, DNM
4078	08/01/2002	Pilgaard SJM Decl. Ex. 47_A.A.12.3 Interest on refunds from the Tax Administration	n/a	n/a		F, IR, 403, AUTH, DNM
4079	02/14/2003	Pilgaard SJM Decl. Ex. 72_Rental employment - interpretation - double taxation agreement - USA and Canada	n/a	n/a		F, IR, 403, AUTH, DNM
4080	03/28/2003	Pilgaard SJM Decl. Ex. 75_Danish Sale of Goods Act	n/a	n/a		F, IR, 403, AUTH, DNM
4081	12/19/2003	Pilgaard SJM Decl. Ex. 79_Order of the National Tax Tribunal of 19 December 2003, j.no. 2-21819-1023	n/a	n/a		F, IR, 403, AUTH, DNM
4082	12/07/2005	Pilgaard SJM Decl. Ex. 53_TSale of shares - waiver date - buyer's right	n/a	n/a		F, IR, 403, AUTH, DNM

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4083	12/21/2005	Pilgaard SJM Decl. Ex. 62_ Comments on 23 Section 1 of the Capital Gains Tax Act	n/a	n/a		F, IR, 403, AUTH, DNM
4084	06/26/2006	Pilgaard SJM Decl. Ex. 26 Local Income Tax Act, Provision 14	n/a	n/a		F, IR, 403, AUTH, DNM
4085	10/31/2007	Pilgaard SJM Decl. Ex. 30 UfR 2009,58 Ø	n/a	n/a		F, IR, 403, AUTH, DNM
4086	09/26/2008	Pilgaard SJM Decl. Ex. 60 TOption Agreement	n/a	n/a		F, IR, 403, AUTH, DNM
4087	10/07/2008	Pilgaard SJM Decl. Ex. 59 TTransfer of shares / assets	n/a	n/a		F, IR, 403, AUTH, DNM
4088	10/15/2008	Pilgaard SJM Decl. Ex. 56 TShares - disposal date	n/a	n/a		F, IR, 403, AUTH, DNM
4089	10/17/2008	Pilgaard SJM Decl. Ex. 58 TTax-free disposal of shares	n/a	n/a		F, IR, 403, AUTH, DNM
4090	02/03/2009	Pilgaard SJM Decl. Ex. 80_Share loans- minimum distribution - extension of maturity	n/a	n/a		F, IR, 403, AUTH, DNM
4091	04/13/2010	Pilgaard SJM Decl. Ex. 55_TShare capital gain - acquisition cost - acquisition date - deferred delivery date	n/a	n/a		F, IR, 403, AUTH, DNM
4092	04/15/2010	Pilgaard SJM Decl. Ex. 81_Open-ended share loan - shares not resold	n/a	n/a		F, IR, 403, AUTH, DNM
4093	04/16/2010	Pilgaard SJM Decl. Ex. 65_Withholding of dividend tax relating to dividends to foreign parent company	n/a	n/a		F, IR, 403, AUTH, DNM
4094	06/22/2010	Pilgaard SJM Decl. Ex. 09 Consolidated Act no. 849 of 22 June 2010	n/a	n/a		F, IR, 403, AUTH, DNM
4095	11/17/2010	Pilgaard SJM Decl. Ex. 68_Obligation to withhold interest tax on interest paid to the parent company in Luxembourg	n/a	n/a		F, IR, 403, AUTH, DNM
4096	12/07/2010	Pilgaard SJM Decl. Ex. 36_Kildeskatteloven Sec. 69B Translation (00694823x9E8E9)	n/a	n/a		F, IR, 403, AUTH, DNM
4097	01/27/2011	Pilgaard SJM Decl. Ex. 64_Obligation to withhold interest tax on interest paid to the parent company in Sweden	n/a	n/a		F, IR, 403, AUTH, DNM
4098	08/19/2011	Pilgaard SJM Decl. Ex. 52_TEntering into an agreement - share option agreements - employment deadlines	n/a	n/a		F, IR, 403, AUTH, DNM
4099	01/13/2012	Pilgaard SJM Decl. Ex. 69_Withholding tax on dividends, cf. the Coporation Tax Act, section 2, subsection 1, letter c, cf. the Withholding Tax Act 65	n/a	n/a		F, IR, 403, AUTH, DNM
4100	02/13/2012	Pilgaard SJM Decl. Ex. 67_The Ministry of Taxation's comment on the Eastern High Cout's Judgment of 20 December 2011	n/a	n/a		F, IR, 403, AUTH, DNM
4101	02/24/2012	Pilgaard SJM Decl. Ex. 66_Rightful owner - withholding tax on dividends	n/a	n/a		F, IR, 403, AUTH, DNM
4102	08/17/2012	Pilgaard SJM Decl. Ex. 49 Consolidating Act no. 855 of 17 August 2012	n/a	n/a		F, IR, 403, AUTH, DNM
4103	05/17/2013	Pilgaard SJM Decl. Ex. 38_Reset - Dividend tax - A-income - main shareholder and spouse	n/a	n/a		F, IR, 403, AUTH, DNM
4104	03/29/2014	Pilgaard SJM Decl. Ex. 27_The Act Regulating the Economy of the State Church (Lov om Folkekirkens Økonomi)	n/a	n/a		F, IR, 403, AUTH, DNM
4105	01/12/2015	Pilgaard SJM Decl. Ex. 28_Collection of Debt Act	n/a	n/a		F, IR, 403, AUTH, DNM
4106	06/11/2015	Pilgaard SJM Decl. Ex. 70_Limited tax liability - dividend - correct in - come recipient - dividend tax - liability	n/a	n/a		F, IR, 403, AUTH, DNM
4107	01/29/2016	Pilgaard SJM Decl. Ex. 22_Tax at Source Act, Provision 54	n/a	n/a		F, IR, 403, AUTH, DNM
4108	01/29/2016	Pilgaard SJM Decl. Ex. 23_Tax at Source Act, Provision 55A	n/a	n/a		F, IR, 403, AUTH, DNM
4109	01/29/2016	Pilgaard SJM Decl. Ex. 24_Tax at Source Act, Provision 85	n/a	n/a		F, IR, 403, AUTH, DNM
4110	01/29/2016	Pilgaard SJM Decl. Ex. 25_Tax at Source Act, Provision 114	n/a	n/a		F, IR, 403, AUTH, DNM
4111	12/14/2016	Pilgaard SJM Decl. Ex. 08_Agreement between the Ministry of Finance and Danmarks Nationalbank on the division of tasks in the area of government debt	n/a	n/a		F, IR, 403, AUTH, DNM
4112	12/19/2016	Pilgaard SJM Decl. Ex. 82_Transfer of shares to children with succession and reserved the right to dividends. taxation of dividends. There was no supported practice	n/a	n/a		F, IR, 403, AUTH, DNM

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4113	03/15/2017	Pilgaard SJM Decl. Ex. 86_LFF 2017-03-15 nr 155 Capital Markets	n/a	n/a		F, IR, 403, AUTH, DNM
4114	12/19/2017	Pilgaard SJM Decl. Ex. 18_Danish Tax Control Act, Provision 8	n/a	n/a		F, IR, 403, AUTH, DNM
4115	12/19/2017	Pilgaard SJM Decl. Ex. 19_Danish Tax Control Act, Provision 14	n/a	n/a		F, IR, 403, AUTH, DNM
4116	12/19/2017	Pilgaard SJM Decl. Ex. 20_Danish Tax Control Act, Provision 41	n/a	n/a		F, IR, 403, AUTH, DNM
4117	12/19/2017	Pilgaard SJM Decl. Ex. 21_Danish Tax Control Act, Provision 52	n/a	n/a		F, IR, 403, AUTH, DNM
4118	01/31/2018	Pilgaard SJM Decl. Ex. 50_The Legal Guide - a contribution to due process	n/a	n/a		F, IR, 403, AUTH, DNM
4119	02/19/2018	Pilgaard SJM Decl. Ex. 03_Service regulation (regnskabsbekendtgørelsen)	n/a	n/a		F, IR, 403, AUTH, DNM
4120	02/19/2018	Pilgaard SJM Decl. Ex. 06_Provision 11 of Order No. 116	n/a	n/a		F, IR, 403, AUTH, DNM
4121	02/19/2018	Pilgaard SJM Decl. Ex. 07_Provision 4 of Order No. 116	n/a	n/a		F, IR, 403, AUTH, DNM
4122	05/31/2018	Pilgaard SJM Decl. Ex. 14_Danish Tax Administration Act, Provision 8	n/a	n/a		F, IR, 403, AUTH, DNM
4123	05/31/2018	Pilgaard SJM Decl. Ex. 15_Danish Tax Administration Act, Provision 15A	n/a	n/a		F, IR, 403, AUTH, DNM
4124	05/31/2018	Pilgaard SJM Decl. Ex. 16_Danish Tax Administration Act, Provision 49	n/a	n/a		F, IR, 403, AUTH, DNM
4125	05/31/2018	Pilgaard SJM Decl. Ex. 17_Danish Tax Administration Act, Provision 64	n/a	n/a		F, IR, 403, AUTH, DNM
4126	10/11/2018	Pilgaard SJM Decl. Ex. 31_Guidelines for the Ministry of Taxation's legal proceeding (Kammeradvokaten)	n/a	n/a		F, IR, 403, AUTH, DNM
4127	01/22/2019	Pilgaard SJM Decl. Ex. 10_Danish Tax Assessment Act, Provision 14G(6-7)	n/a	n/a		F, IR, 403, AUTH, DNM
4128	01/22/2019	Pilgaard SJM Decl. Ex. 11_Danish Tax Assessment Act, Provision 32D	n/a	n/a		F, IR, 403, AUTH, DNM
4129	01/22/2019	Pilgaard SJM Decl. Ex. 12_Danish Tax Assessment Act, Provision 33A(4)	n/a	n/a		F, IR, 403, AUTH, DNM
4130	01/22/2019	Pilgaard SJM Decl. Ex. 13_Danish Tax Assessment Act, Provision 34	n/a	n/a		F, IR, 403, AUTH, DNM
4131	02/28/2019	Pilgaard SJM Decl. Ex. 43_U.S. IRA Pension Schemes - Tax Law - Relief	n/a	n/a		F, IR, 403, AUTH, DNM
4132	11/12/2021	Pilgaard SJM Decl. Ex. 48_Danish Consolidated Act No. 322 of April 11, 2011	n/a	n/a		F, IR, 403, AUTH, DNM
4133	11/12/2021	Pilgaard SJM Decl. Ex. 78_Share Loan Agreements - Dividend Compensation and Remuneration for the Borrower, traders and others, respectively - Binding advance notice	n/a	n/a		F, IR, 403, AUTH, DNM
4134	1/00/2022	Pilgaard SJM Decl. Ex. 45_CF9.1 General information on double taxation agreements and international agreements	n/a	n/a		F, IR, 403, AUTH, DNM
4135	2/00/2014	Pilgaard SJM Decl. Ex. 42_CF9.2.20.5.1 USA - Background, Purpose and History of the DBO	n/a	n/a		F, IR, 403, AUTH, DNM
4136	2/00/2014	Pilgaard SJM Decl. Ex. 46_CF8.2.2.10.3.2 Tax Law for the country in which the distributing company is domiciled (country of service)	n/a	n/a		F, IR, 403, AUTH, DNM
4137	2010	Pilgaard SJM Decl. Ex. 73_OECD Model Treaty Commentaries	n/a	n/a		F, IR, 403, AUTH, DNM
4138	2014	Pilgaard SJM Decl. Ex. 74_OECD Model Treaty Commentaries	n/a	n/a		F, IR, 403, AUTH, DNM
4139	2017	Pilgaard SJM Decl. Ex. 44_OECD Model Tax Convention (Full Version)(2017)	n/a	n/a		F, IR, 403, AUTH, DNM
4140	2019	Pilgaard SJM Decl. Ex. 04_Overview section of 2019 Finance Act	n/a	n/a		F, IR, 403, AUTH, DNM
4141	Undated	Pilgaard SJM Decl. Ex. 29_Henrik Zahle, Dansk Forfatningsret (Danish constitutional law)	n/a	n/a		F, IR, 403, AUTH, DNM
4142	Undated	Pilgaard SJM Decl. Ex. 32_“Forvaltningsret” (Administrative law) by Niels Fenger on page 904 under the heading “Særligt om myndigheder som sagsøger”	n/a	n/a		F, IR, 403, AUTH, DNM
4143	Undated	Pilgaard SJM Decl. Ex. 34_'Den Civile Retspleje' (Civil Procedure) by Ulrik Rammeskov Bang-Pedersen et al., 4th ed. at 214 238-39	n/a	n/a		F, IR, 403, AUTH, DNM

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4144	Undated	Pilgaard SJM Decl. Ex. 37 _ The Danish Corporation Tax Act	n/a	n/a		F, IR, 403, AUTH, DNM
4145	Undated	Pilgaard SJM Decl. Ex. 84 _Claim refund of Danish dividend tax	n/a	n/a		F, IR, 403, MIL, AUTH, DNM, SRM
4146	06/11/2012	Pilgaard SJM Reply Decl. Ex. 01 _Parliament of Denmark - Bill Proposal No. L 173 - Proposal for a bill regarding amendments to the Companies Tax Act, Withholding Tax Act, the Tax Control Act, The Administration Act and various other laws	n/a	n/a		F, IR, 403, AUTH, DNM
4147	01/26/2021	Stipulation and [Proposed] Order Limiting Waiver Pursuant to Rule 502(d) of the Federal Rules of Evidence (ECF No. 520)	n/a	n/a		IR
4148	09/06/2024	Pretrial Order No. 42 (ECF No. 1172)	n/a	n/a		IR, 403
4149	09/26/2024	Defendants Richard Markowitz and John van Merkensteijn's Offer of Proof Regarding Advice of Counsel	n/a	n/a		IR, 403, MIL
4150	12/06/1991	Short-Selling Activity in the Stock Market: Market Effects and the Need for Regulation (Part 1), Eleventh Report by the Committee on Government Operations, H.R. Rep. No. 102-414, 102d Congress, 1st Session	n/a	n/a		AUTH, F, H, IR
4151	03/14/2012	Regulation (EU) No 236/2012 of the European Parliament and of the Council of 14 March 2012 on short selling and certain aspects of credit swaps	n/a	n/a		AUTH, F, H, IR, 403
4152	02/04/2013	European Securities and Markets Authority, Guidelines - Exemption for making activities and primary market operations under Regulation (EU) 236/2012 of the European Parliament and the Council on short selling and certain aspects of Credit Default Swaps	n/a	n/a		AUTH, F, H, IR, 403
4153	03/08/2018	European Central Bank T2S Corporate Actions Standards - Frequently Asked Questions (FAQs)	n/a	n/a		AUTH, F, H, IR
4154	06/20/2021	Partial report on the conditions regarding SKAT's payment of refund of dividend tax [Pages 4290-4294] (Organizational Charts)	n/a	n/a		TR, F, H, INC
4155	04/11/2024	European Commission, Finance - Short selling - EU rules regulating short selling and certain aspects of credit default swaps	n/a	n/a		AUTH, F, H, IR
4156	Multiple Dates	Commission Exhibits Pages with Notes of Lars Nording (Pages 3672-3674)	n/a	n/a		TR, F, H, IR, 403
4157	Multiple Dates	Commission Exhibits Pages with Notes of Lars Nording (Pages 3672-3674) (Google Translate)	n/a	n/a		TR, F, H, IR, 403
4158	Undated	SEC, Division of Market Regulation - Responses to Frequently Asked Questions Concerning Regulation SHO	n/a	n/a		AUTH, F, H, IR
4159	05/11/2021	USDC Southern District of New York - Subpoena to Testify at a Deposition in a Civil Action on June 3, 2021 to Lisbeth Romer	n/a	n/a	3152	No Objections
4160	12/21/2006	Email from Unspecified to Romer RE Unspecified (With Attachment RE Scan001.PDF)	n/a	n/a	3179	MIL, IR, 403
4161	Undated	R1006 - Pages from R1006 - Amounts Received by Defendants (revised)	n/a	n/a		Subject to meet and confer
4162	Undated	R1006 - Summary of Trading Fees to Custodians	n/a	n/a		Subject to meet and confer
4163	Undated	R1006 – Summary of Hours and Fees Billed by Kaye Scholer	n/a	n/a		Subject to meet and confer
4164	Undated	R1006 - Tolling Agreement Analysis	n/a	n/a		Subject to meet and confer
4165	Undated	R1006 - Defense Sample Trades	n/a	n/a		Subject to meet and confer
4166	Undated	R1006 - DWT Reclaims Excluding Reclaims Filed Before January 1, 2015 (JVM and RJM)	n/a	n/a		Subject to meet and confer
4167	Undated	R1006 - DWT Reclaims Excluding Reclaims Subject To the November 2019 Complaint	n/a	n/a		Subject to meet and confer

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4168	Undated	R1006 - Equity Price Analysis of Sample Plan Transactions	n/a	n/a		Subject to meet and confer
4169	Undated	R1006 - Summary of the RJM Plan's April-June 2013 Transactions Related to Maersk	n/a	n/a		Subject to meet and confer
4170	Undated	R1006 - Summary of the RJM Plan's March-June 2014 Transactions Related to TDC	n/a	n/a		Subject to meet and confer
4171	Undated	R1006 - Summary of Trading and Clearing Fees for March 2014 RJM Plan TDC Transactions	n/a	n/a		Subject to meet and confer
4172	Undated	R1006 - Summary of Trading and Clearing Fees for RJM Capital April 2013 Maersk Transaction	n/a	n/a		Subject to meet and confer
4173	Undated	R1006 - Statute of Limitations Analysis (RJM and JVM)	n/a	n/a		Subject to meet and confer
4174	Undated	R1006 - Markowitz and Van Merkensteijn Total Deposits Into Custody Accounts	n/a	n/a		Subject to meet and confer
4175	Undated	R1006 - Summary of Trading Fees to Custodians	n/a	n/a		Subject to meet and confer
4176	Undated	R1006 - Defense Sample Trades (Grayed Out)	n/a	n/a		Subject to meet and confer
4177	01/22/2010	Barclays Capital Memo from Unspecified to SCM Approvals Committee RE Approvals Notification - Project Cumex 2010	n/a	n/a	5019	H, AUTH, F, IR, 403
4178	04/30/2019	European Securities and Markets Authority: Guidelines on Internalised Settlement Reporting under Article 9 of CSDR	n/a	n/a	5114	MIL, H, AUTH, F, IR, 403
4179	06/00/2019	Bank of England Prudential Regulation Authority: Statement of Policy - Pillar 2 liquidity	n/a	n/a	5123	MIL, H, AUTH, F, IR, 403
4180	07/22/2014	United States Senate: Permanent Subcommittee on Investigations - Part 4 of 4 Exhibits - Hearing on Abuse of Structured Financial Products: Misusing Basket Options to Avoid Taxes and Leverage Limits	n/a	n/a	5008	H, AUTH, F, IR, 403
4181	11/12/2010	Office of Chief Counsel - Internal Revenue Service Memorandum No. AM2010-005 from Associate Chief Counsel to Area Counsel RE Hedge Fund Basket Option Contracts	n/a	n/a	5010	H, AUTH, F, IR, 403
4182	Undated	DTCC: 871(M) Tax Event Announcements	n/a	n/a	5124	H, AUTH, F, IR, 403
4183	Undated	Translation Document - Speaker, Time, Danish, English	n/a	n/a	3954	H, TR, MIL, IR, 403
4184	06/11/2012	Screenshot on "How to get a refund of dividend tax" from June 11, 2012	Page125,3.1.1,Ekstrand30(b)(6)	Page125,3.1.1,Ekstrand30(b)(6)		F
4185	01/17/2013	Screenshot on "How to avoid double taxation on dividends" from January 17, 2013	Page126,3.1.2,Ekstrand30(b)(6)	Page126,3.1.2,Ekstrand30(b)(6)		F
4186	09/16/2014	Screenshot on "Claim for refund on Danish dividend tax" from September 16, 2014	Page127,3.1.3,Ekstrand30(b)(6)	Page127,3.1.3,Ekstrand30(b)(6)		F
4187	07/05/1905	Legal guidelines for SKAT	Page145,3.4,Ekstrand30(b)(6)	Page177,3.4,Ekstrand30(b)(6)		F
4188	03/04/2021	Working group in Tax 'solved' the problems: At the same time, dividend billions flowed out of the Treasury, <i>www.dr.dk, Money Section</i> , by Mathias Sommer	Public		4530	H, AUTH, F, IR, 403
4189	10/5/2018	Where in the World Is Denmark's \$2 Billion, <i>New York Times</i> , by David Segal	Public		4532	AUTH, F, H
4190	05/26/2015	Minutes of Meeting of the Management Committee of Solo Capital Partners	SCPADMINISTRATORS_00013560	SCPADMINISTRATORS_00013917		H, F, AUTH
4191	05/00/2013	J.P. Morgan FX confirms	SCPADMINISTRATORS_00041860	SCPADMINISTRATORS_00042757		H, F, AUTH
4192	04/11/2013	Dulberg SJM Decl. Ex. 048 J.P. Morgan Account	SCPADMINISTRATORS_00042114	SCPADMINISTRATORS_00042114		H, F, AUTH
4193	06/13/2013	Dulberg SJM Decl. Ex. 049 J.P. Morgan Account	SCPADMINISTRATORS_00042440	SCPADMINISTRATORS_00042440		H, F, AUTH
4194	01/16/2014	Schoenfeld SJM Opp. Decl. Ex. 21_Solo Capital Letter from Aylott to Wade attaching documentation responsive to Bclear Trades audit	SCPADMINISTRATORS_00048547	SCPADMINISTRATORS_00048580		H, F, AUTH
4195	10/02/2014	Email from D. Pannerup Madsen to S. Nielsen copying J. Nielsen re Dividend tax refund (with translation)	SKAT_MDL_001_00253430	SKAT_MDL_001_00253430	3986	TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4196	06/03/2016	Report No 11/2015 of the State Audit Office on the administration of dividend tax by SKAT and the supervision by the Ministry of Finance of the refund of dividend tax (with translation)	SKAT_MDL_001_00272468	SKAT_MDL_001_00272472	3055	H, 403, AUTH, IR, MIL, SRM, TR
4197	08/08/2014	Note authored by D. Panterup re Final accounting approval - period 07.2014 (with translation)	SKAT_MDL_001_00278652	SKAT_MDL_001_00278659	3005	H, TR
4198	06/27/2014	Report authored by J. Bjørn re SKAT's acquisition on SIRs recommendations (with translation)	SKAT_MDL_001_00281191	SKAT_MDL_001_00281194	3027	MIL, IR, 403, TR
4199	09/06/2015	Email from D. Panterup Madsen to R. Frahm Jørgense re Access to documents No 100000 14 (with translation)	SKAT_MDL_001_00288931	SKAT_MDL_001_00288933	3995	H, TR
4200	02/02/2015	Email from D. Panterup Madsen to J. Nielsen and M. Dyhl-Kristoffersen re rate of return - withholding tax (with translation)	SKAT_MDL_001_00289042	SKAT_MDL_001_00289043	3988	H, TR
4201	08/22/2015	Email from D. Madsen to S. Nielsen re Further questions: Urgent: Specific case - need for number of dispatches /cases (with translation)	SKAT_MDL_001_00290744	SKAT_MDL_001_00290745	3990	H, TR
4202	01/08/2016	Note authored by C. Ekstrand and J. Christiansen re Operation Reclaim - comments on dividend tax refunds on A.P. Miller shares (with translation)	SKAT_MDL_001_00432034	SKAT_MDL_001_00432037	3057	H, AUTH, TR
4203	12/10/2015	Email from C. Ekstrand to A. Munksgaard re Confidential (with translation)	SKAT_MDL_001_00434855	SKAT_MDL_001_00434857	3050	H, 403, TR
4204	09/01/2016	Note authored by C. Ekstrand and J. Christiansen re Operation Reclaim - comments o the reimbursement of the dividend tax on TDC shares (with translation)	SKAT_MDL_001_00439953	SKAT_MDL_001_00439957	3056	H, TR
4205	08/31/2016	Note authored by Christian Ekstrand and John Christiansen re Operation Reclaim - comments on the reimbursement of dividend tax on Danish Bank shares (with translation)	SKAT_MDL_001_00439991	SKAT_MDL_001_00439994	3058	H, TR
4206	06/15/2016	Note authored by C. Ekstrand J. Christiansen re Operation Reclaim - comments on the reimbursement of taxes on the dividend on TRYG shares (with translation)	SKAT_MDL_001_00440027	SKAT_MDL_001_00440030	3059	H, TR
4207	11/07/2016	Problem Catalogue, Dividend Tax, 2006 (with translation)	SKAT_MDL_001_00517918	SKAT_MDL_001_00517939	3052	MIL, IR, 403, TR
4208	08/21/2017	Letter from Gitte Kierkegaard & Katrine Basballe to Monomer Industries LLC Roth 401k Plan	SKAT_MDL_001_00052634	SKAT_MDL_001_00052744	3015	TR
4209	03/11/2017	Foreign language e-mail from Daniel Bujandric to Lars Lindencrone RE Advokatundersøgelsen af udbyttesagen - fremsendelse af SI Rs e-mail til RR Z (and attachment)	SKAT_MDL_001_00269490	SKAT_MDL_001_00269491	3010	H, F, AUTH, TR, MIL
4210	06/27/2014	Foreign language Memo RE SKA Ts opfølgning på SIRs anbefalinger og identifikerede, ikke-korrigerede fejl fra tidligere år	SKAT_MDL_001_00281191	SKAT_MDL_001_00281194	3027	MIL, IR, 403, TR
4211	03/18/2013	Minutes of the sitting of 6 March 1994 February 2013 concerning the revision of dividend and royalty tax	SKAT_MDL_001_00365010	SKAT_MDL_001_00365018	3159	MIL, TR
4212	08/07/2015	Email from Framn to Sørensen, Madsen, Munksgaard RE Notification - dividend tax (with attachment)	SKAT_MDL_001_00366362	SKAT_MDL_001_00366363	3301	H, TR
4213	12/31/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Danske Bank A/S	SKAT_MDL_001_00450429	SKAT_MDL_001_00450429	3011	IR, F, TR
4214	12/31/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Novo Nordisk A/S	SKAT_MDL_001_00450444	SKAT_MDL_001_00450444	3023	IR, F, TR
4215	03/17/2016	E-mail from J. Vangkilde to J. Brochner et al. RE Som aftalt - SKAT's pm om udbetalinger. Godkendt af NAB (and attachment)	SKAT_MDL_001_00521041	SKAT_MDL_001_00521042	3021	H, TR
4216	09/28/2015	E-mail from J. Breck to J. Brochner RE Til Berlingske	SKAT_MDL_001_00521335	SKAT_MDL_001_00521336	3022	H, MIL, TR
4217	04/09/2013	E-mail from K. Foss to JP-Postkasse RE Reorganisering af Skatteministeriets Interne Revision (and attachment)	SKAT_MDL_001_00521663	SKAT_MDL_001_00521666	3001	H, IR, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4218	08/24/2015	Letter from Syntax to SKAT re Danish Dividend Tax Reclaim for Bareroot Capital Investments	SKAT_MDL_001_00047854	SKAT_MDL_001_00047860		No Objections
4219	11/06/2015	Letter from SKAT to Bareroot Capital Investments LLC 401K Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_00047871	SKAT_MDL_001_00047880		No Objections
4220	12/04/2015	Letter from [redacted], United States Competent Authority to Head of Office Danish Tax and Custom Administration in response to November 2, 2015 request pursuant to income tax treaty	SKAT_MDL_001_00056082	SKAT_MDL_001_00056221		H, IR
4221	08/22/2014	Bahnsen SJM Decl. Ex. 81_Roadcraft Technologies LLC Roth 401(K) Plan Power of Attorney	SKAT_MDL_001_00056868	SKAT_MDL_001_00056869		No Objections
4222	04/13/2018	Dulberg SJM Decl. Ex. 006_Decision - Revocation of previous decision on the refund of dividend taxes	SKAT_MDL_001_00056924	SKAT_MDL_001_00056935		TR
4223	04/13/2018	Dulberg SJM Decl. Ex. 006T_Decision - Revocation of previous decision on the refund of dividend taxes [ENGLISH TRANSLATION]	SKAT_MDL_001_00056924	SKAT_MDL_001_00056935		TR
4224	04/13/2018	Dulberg SJM Decl. Ex. 007_Decision - Revocation of previous decision on the refund of dividend taxes	SKAT_MDL_001_00057166	SKAT_MDL_001_00057177		TR
4225	04/13/2018	Dulberg SJM Decl. Ex. 007T_Decision - Revocation of previous decision on the refund of dividend taxes [ENGLISH TRANSLATION]	SKAT_MDL_001_00057166	SKAT_MDL_001_00057177		TR
4226	04/13/2018	Dulberg SJM Decl. Ex. 008_Decision - Revocation of previous decision on the refund of dividend taxes	SKAT_MDL_001_00059474	SKAT_MDL_001_00059485		TR
4227	04/13/2018	Dulberg SJM Decl. Ex. 008T_Decision - Revocation of previous decision on the refund of dividend taxes [ENGLISH TRANSLATION]	SKAT_MDL_001_00059474	SKAT_MDL_001_00059485		TR
4228	12/04/2015	Dulberg SJM Decl. Ex. 026_Letter Re: Exchange of Information Provisions of Income Tax Treaty	SKAT_MDL_001_00082801	SKAT_MDL_001_00082870		H, IR
4229	12/04/2015	Dulberg SJM Decl. Ex. 027_Letter Re: Exchange of Information Provisions of Income Tax Treaty	SKAT_MDL_001_00088872	SKAT_MDL_001_00088933		H, IR
4230	08/22/2015	Email from J. Nielsen to C. Hansen, D. Pannerup Madsen, and S. Nielsen, copying S. Thorhaug re Draft minutes of Friday's sitting (with translation)	SKAT_MDL_001_00252768	SKAT_MDL_001_00252768	4002	H, SRM, MIL, IR, TR
4231	10/02/2014	Email from D. Madsen to S. Nielsen re Dividend tax refund	SKAT_MDL_001_00253430	SKAT_MDL_001_00253430	3986	TR
4232	05/02/2014	Email calendar invite from D. Madsen to J. Knudsen, B. Grevy, S. Nielsen, K. Hashem re MEET: Dividentd tax	SKAT_MDL_001_00253500	SKAT_MDL_001_00253500	3983	TR
4233	04/14/2014	Email from D. Pannerup Madsen to S. Nielsen re Claim of Danish Withholding Tax (with translation)	SKAT_MDL_001_00253508	SKAT_MDL_001_00253508	3999	TR
4234	08/25/2015	Email from Rikke Madsen Subject: RITZAU: Expert: Billion-dollar tax fraud is hugely unusual	SKAT_MDL_001_00259459_T	SKAT_MDL_001_00259459_T		H, TR
4235	07/29/2004	Letter from National Audit Office re Accounting clearance for June 2014 (with translation)	SKAT_MDL_001_00278056	SKAT_MDL_001_00278061	3006	H, TR
4236	07/15/2014	Note authored by D. Pannerup Madsen re Final accounting approval - period 06.2014 (with translation)	SKAT_MDL_001_00278619	SKAT_MDL_001_00278627	3985	H, TR
4237	07/15/2014	Final Accounting Approval- period 06.2014	SKAT_MDL_001_00278619_T	SKAT_MDL_001_00278627		H, AUTH, TR
4238	08/08/2014	Foreign document: Memo titled "Endelig regnskabsgodkendelse - periode 07.2014"	SKAT_MDL_001_00278652	SKAT_MDL_001_00278659	3005	H, TR
4239	01/30/2006	SKAT Internal Audit Report re the dividend tax administration at Tax Centre Ballerup (with translation)	SKAT_MDL_001_00281025	SKAT_MDL_001_00281029	3002	MIL, IR, 403, TR
4240	05/10/2010	SKAT Internal Audit Report re Investigation of the proceeds from withholding tax on foreign shareholders - dividend tax (with translation)	SKAT_MDL_001_00281058	SKAT_MDL_001_00281080	3003	MIL, IR, 403, TR
4241	05/10/2010	Letter from Skatteministeriet to Peter Loft- Investigation of the Proceeds from withholding tax on foreign shareholders- dividend tax	SKAT_MDL_001_00281058_T	SKAT_MDL_001_00281058_T		MIL, IR, 403, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4242	05/30/2013	Ministry of Taxation Report re Audit of dividend and royalty tax for 2012 (with translation)	SKAT_MDL_001_00281103	SKAT_MDL_001_00281120	3009	MIL, IR, 403, TR
4243	01/05/2015	Email from I. von Hallas to D. Panterup Madsen, J. Nielsen, and J. Zester re Quarterly follow-up to SIR reports - Audit dividend and royalty tax, attaching 2014_10 Action Plan dividend royalty tax 2012 (with translation)	SKAT_MDL_001_00288339	SKAT_MDL_001_00288351	3926	H, MIL, IR, 403, TR
4244	Undated	Foreign document re Beskrivelse af systemmaessige problemstillinger ved indberetning og registrering af udbytteskat og udbyttmodtagere	SKAT_MDL_001_00288464	SKAT_MDL_001_00288473		H, F, AUTH, TR, IR
4245	08/24/2015	Email from R. Frahm Jørgensen to D. Panterup Madsen re 3S control (with translation)	SKAT_MDL_001_00288914	SKAT_MDL_001_00288914	3991	H, MIL SRM, TR, IR, 403
4246	09/04/2015	Email from D. Panterup Madsen to H. Gudmann copying R. Frahm Jørgensen re Access to documents No 100000 15 Recovery is responsible (with translation)	SKAT_MDL_001_00288934	SKAT_MDL_001_00288936	3994	H, TR
4247	02/16/2014	Email from D. Madsen to J. Nielsen, J. Knudsen re SY: Counting dividend reports in TastSelv Erhverv for December 2013	SKAT_MDL_001_00289314	SKAT_MDL_001_00289316	3998	H, IR, TR, 403
4248	03/07/2014	Email from D. Panterup Madsen to R. Frahm Jørgensen re Dividend tax refunds to foreign shareholders (with translation)	SKAT_MDL_001_00289366	SKAT_MDL_001_00289367	3984	H, TR
4249	08/22/2015	Email from D. Madsen to S. Nielsen re VS: VS: Further questions: Urgent: Specific case - need for number of dispatches/cases	SKAT_MDL_001_00290744	SKAT_MDL_001_00290745	3990	H, TR
4250	08/22/2015	Email from R. Jørgensen to J. Nielson re SV: Yderligere spørgsmål : Haster: Konkret sag - behov for antal ekspeditioner/sager	SKAT_MDL_001_00259506	SKAT_MDL_001_00259507	3306	H, TR
4251	08/21/2015	Email from R. Jørgensen to A. Munksgaard re SV: til orienteringssagen	SKAT_MDL_001_00322540	SKAT_MDL_001_00322541	3304	H, MIL, TR, IR, 403
4252	10/09/2013	Email from J. Nielsen to D. Panterup Madsen and L. Rømer copying J. Zester and L. Nissen re internal audit report meeting (with translation)	SKAT_MDL_001_00332534	SKAT_MDL_001_00332536	3927	MIL, TR, IR, 403
4253	03/29/2005	Skatteministeriet- Internal Audit- SKAT's Administration of dividend tax and dividend tax refunds	SKAT_MDL_001_00341014_T	SKAT_MDL_001_00341014_T		MIL, IR, 403, AUTH, TR
4254	09/24/2015	Follow-up to the SIR report of 24 March 2005 on the implementation of the 2004-20 September 2015	SKAT_MDL_001_00341150_T	SKAT_MDL_001_00341150_T		H, SRM, MIL, IR, 403, AUTH, TR
4255	12/06/2013	Email from J. Nielsen to J. Zester re Dividend Jeanette Summary of Topics to Aarsultimo (with translation)	SKAT_MDL_001_00343458	SKAT_MDL_001_00343460	3929	H, IR, TR
4256	01/09/2012	Email from B. Daugaard to L. Rømer re Dividend tax (with translation)	SKAT_MDL_001_00346017	SKAT_MDL_001_00346018	3153	H, MIL, 403, TR
4257	11/27/2013	Email from Lisbeth Rømer to E. Sørensen re. Tasks (with translation)	SKAT_MDL_001_00346028	SKAT_MDL_001_00346028	3981	H, 403, TR
4258	01/23/2012	Email from J. Zester to J. Schou, R. Hanlov, L. Rømer, and B. Fridberg, copying L. Nielsen, A. Larsen, and L. Bardrum re Draft net holding of dividends (with translation)	SKAT_MDL_001_00348122	SKAT_MDL_001_00348123	3930	H, IR, TR
4259	01/11/2012	Email from J. Schou to R. Hanlov, J. Zester, L. Rømer, and B. Fridberg, copying L. Nielsen and A. Larsen re Draft net holding of dividends (with translation)	SKAT_MDL_001_00348126	SKAT_MDL_001_00348128	3931	H, IR, TR
4260	02/15/2011	Note authored by L. Rømer re A lack of reconciliation between profit participation and reporting of beneficiaries (with translation)	SKAT_MDL_001_00349334	SKAT_MDL_001_00349338	3053	MIL, IR, 403, TR
4261	02/14/2012	Email from L. Cramer to J. Zester, copying L. Rømer, S. Nielsen, and B. Klein Fridberg re Continuous reporting Tax reform (with translation)	SKAT_MDL_001_00349739	SKAT_MDL_001_00349740	3932	H, 403, TR
4262	03/31/2011	Email from L. Rømer to A. Larsen, B. Fridberg, F. Jensen, J. Zester, K. Andersen, L. Nørding, L. Cramer, P. Nielsen, S. Nielsen, and T. Holst Larsen re Dividend tax (with translation)	SKAT_MDL_001_00353223	SKAT_MDL_001_00353230	3933	MIL, IR, 403, TR
4263	04/27/2011	Meeting Minutes of Working Group of the dividend tax (with translation)	SKAT_MDL_001_00353377	SKAT_MDL_001_00353381	3154	H, IR, 403, MIL, TR
4264	01/28/2013	Note authored by L. Rømer re. Dividend Tax (with translation)	SKAT_MDL_001_00360465	SKAT_MDL_001_00360465	3155	H, IR, 403, MIL, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4265	01/17/2011	Memo authored by L. Rømer re Dividend tax - Proposals for improvement - Indication (with translation)	SKAT_MDL_001_00364811	SKAT_MDL_001_00364811	3156	H, MIL, IR, 403, TR
4266	02/14/2011	Email from L. Rømer to T. Larsen copying L. Nørding and F. Høj Jensen re Participation in the TRACE PROOF OF Concept Kick-Off Workshop and meeting on the test process (with translation)	SKAT_MDL_001_00364821	SKAT_MDL_001_00364823	3157	H, MIL, IR, 403, TR
4267	04/04/2011	Email from L. Rømer to L. Nørding re Free card marking, dividend tax, attaching Reporting by free card owner, Apr 2011 (with translation)	SKAT_MDL_001_00364828	SKAT_MDL_001_00364828	3158	H, TR
4268	03/19/2013	SKAT Memo re Minutes of the sitting of 6 February 2013 concerning the revision of dividend and royalty tax (with translation)	SKAT_MDL_001_00365010	SKAT_MDL_001_00365018	3159	MIL, IR, 403, TR
4269	06/19/2012	Email from A. Larsen to J. Zester, L. Rømer, K. Tangaa, B. Fridberg, P. Danielsen, P. Lohmann, and L. Cramer re Dividend tax - changes in the law (with translation)	SKAT_MDL_001_00371645	SKAT_MDL_001_00371650	3934	H, MIL, IR, TR, 403
4270	11/15/2004	Note authored by L. Rømer and E. Jungersen re Problems in the control and recovery of profit tax (with translation)	SKAT_MDL_001_00375531	SKAT_MDL_001_00375535	3173	H, MIL, IR, 403
4271	03/16/2005	TOLD SKAT: Udbytteskatteadministrationen - Notat	SKAT_MDL_001_00375548	SKAT_MDL_001_00375566	3150	H, MIL, IR, 403
4272	09/16/2015	Email from F. Jørgensen to I. von Hallas re Before 2020 - example of notificaiton to HC problem, attaching note authored by L. Christensen re Distribution of dividends from TDC to beneficiary in France (with translation)	SKAT_MDL_001_00375581	SKAT_MDL_001_00375586	3151	H, MIL
4273	10/04/2011	Note authored by L. Rømer re Dividend tax - foreign beneficiaries - net settlement or refund (with translation)	SKAT_MDL_001_00375736	SKAT_MDL_001_00375739	3004	H, MIL, IR, 403
4274	11/14/2007	SKAT Note re repayment of Danish dividend tax to limited taxpayers (with translation)	SKAT_MDL_001_00376847	SKAT_MDL_001_00376849	3177	H, IR, MIL, 403
4275	11/08/2008	Note authored by L. Rømer re Lack of reconciliation between profit delcaration and reporting of beneficiaries (with translation)	SKAT_MDL_001_00376851	SKAT_MDL_001_00376851	3178	H, MIL, IR, 403
4276	09/17/2015	Email from R. Frahm Jørgensen to I. von Hallas re Before 2010: The Department confirms a meeting of the Dividend Administration on Monday 15/9 at 9 (with translation)	SKAT_MDL_001_00376853	SKAT_MDL_001_00376853	3176	H, IR, 403
4277	11/23/2009	Internal Audit Report re Study of the proceeds from the withholding tax on foreigners - dividend tax (with translation)	SKAT_MDL_001_00376855	SKAT_MDL_001_00376856	3170	H, IR, 403, MIL
4278	12/07/2009	SKAT Memo re Audit study of the proceeds of the source taxation of foreigners involving Lisbeth Rømer, Laurits Cramer, Lars Nerding, Bo Daugaard, Tina Laustsen, Jens Lundgaard, and Linda Severinsen (with translation)	SKAT_MDL_001_00376858	SKAT_MDL_001_00376861	3172	H, IR, 403, MIL
4279	12/14/2009	SKAT Notes of meeting involving L. Rømer, J. Zester, B. Daugaard, T. Lausten, J. Lundgaard, and L. Severinsen re of the tax on foreigners (with translation)	SKAT_MDL_001_00376865	SKAT_MDL_001_00376868	3350	H, IR, 403, MIL
4280	09/16/2015	Email from R. Frahm Jørgenson to I. von Hallas re 2010 review: Internal Audit: Dividend tax study - various questions (with translation)	SKAT_MDL_001_00376870	SKAT_MDL_001_00376872	3361	H, IR, 403, MIL
4281	09/21/2015	Email from R. Frahm Jørgensen to I. von Hallas re 2010 Audit VS: Internal Audit: Dividend tax inquiry - various questions (with translation)	SKAT_MDL_001_00376874	SKAT_MDL_001_00376876	3362	H, IR, 403, MIL
4282	04/20/2010	SKAT Internal Audit Report re Examination of the proceeds of the withholding tax on foreigners - dividend tax (with translation)	SKAT_MDL_001_00376878	SKAT_MDL_001_00376889	3363	MIL, IR, 403
4283	09/16/2015	Email from R. Jørgensen to I. von Hallas re dividend management note - organizational change (with translation)	SKAT_MDL_001_00376981	SKAT_MDL_001_00376981	3174	H, IR, 403
4284	10/07/2011	Note authored by A. Olsen and P. Eder re Dividend Management (with translation)	SKAT_MDL_001_00376982	SKAT_MDL_001_00376983	3175	H, F, AUTH, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4285	11/20/2013	Note authored by L. Rømer re Dividend tax - voting issue	SKAT_MDL_001_00377020	SKAT_MDL_001_00377022	3054	H, MIL, IR, 403
4286	Undated	Foreign document re Beskrivelse af systemmaessige problemstillinger ved indberetning og registrering af udbytteskat og udbyttmodtagere	SKAT_MDL_001_00377414	SKAT_MDL_001_00377423		H, F, AUTH, IR, 403, TR
4287	08/07/2014	SKAT Note - Recovery Payment and Accounting - Processing task from Dividend Tax	SKAT_MDL_001_00379339	SKAT_MDL_001_00379341	4001	H, 403, TR, IR
4288	10/28/2010	Note authored by L. Rømer re Problems with Dividend tax when the custodian is not identical to the owner of the custodian content (with translation)	SKAT_MDL_001_00381601	SKAT_MDL_001_00381602	3160	H, MIL, IR, 403, TR
4289	11/23/2011	Email from L. Rømer to L. Nørding and F. Høj Jensen re Dividend tax (with translation)	SKAT_MDL_001_00381606	SKAT_MDL_001_00381606	3161	H, IR, 403, MIL, TR
4290	01/05/2011	Email from L. Rømer to J. Sørensen, L. Nørding, and F. Jensen re Formal request for participation in the Proof Concept - follow-up to TRACE IT Expert meeting 6-7 December, Paris (with translation)	SKAT_MDL_001_00381614	SKAT_MDL_001_00381619	3162	H, MIL, IR, TR, 403
4291	02/11/2016	Email from S. THorhauge to J. Nielsen, L. Pedersen, S. Jensen, J. Hansen re SV: Kundeservice: Bidrag til undersøgelse om udbytteområdet [Multi-documents]	SKAT_MDL_001_00384884	SKAT_MDL_001_00384988		H, MIL, IR, 403, TR
4292	03/07/2011	Email from L. Nørding to F. Høj Jensen re Workgroup (with translation)	SKAT_MDL_001_00391602	SKAT_MDL_001_00391603	3163	H, MIL, IR, TR
4293	05/14/2014	Email from D. Madsen to M. Hansen, H. Hald re SV: Withholding tax refund: OECD TRACE project	SKAT_MDL_001_00403135	SKAT_MDL_001_00403136	4000	H, MIL, IR, TR
4294	08/03/2015	Appointment by D. Madsen to D. Madsen, S. Nielsen, C. Ekstrand, J. Nielsen, F. Simonsen, Maj-Britt Nielsen RE MOD: Refusion af udbytteskat	SKAT_MDL_001_00404831	SKAT_MDL_001_00404831	3066	TR
4295	08/25/2015	Email from D. Madsen to A. Villumsen, B. Grevy, D. Madsen, E. Kuradag, G. Rasmussen, H. Wismer H. Vinther, I. Meyer, J. Knudsen, K. Hashem, K. Sørensen, K. Jensen, K. Andersen, L. Andersen, L. Jarlkov, M. Sørensen, P. Madsen, S. Bidstrup, S. Petersen, S. Jensen, S. Nielsen, V. Gildesberg re Dividend tax	SKAT_MDL_001_00405876	SKAT_MDL_001_00405877	3993	H, TR
4296	07/31/2015	Email from C. Ekstrand to L. Nielsen RE VS: Tilbagesøgning udbytteskat	SKAT_MDL_001_00405922	SKAT_MDL_001_00405922	3065	TR
4297	12/01/2016	Email from C. Ekstrand to G. Kierkegaard, K. Basballe, J. Christensen, L. Nielson, D. Frederiksen, M. Nielsen, M. Thorup, T. Andersen re Meeting with Advice Capital 7/12 (course)	SKAT_MDL_001_00406789	SKAT_MDL_001_00406790	4033	H, IR, TR
4298	04/05/2016	Email from S. Buus to K. Bergen re Refusion udbytteskat - status på de stoppede sager - orientering af Jesper	SKAT_MDL_001_00409450	SKAT_MDL_001_00409451	3328	H, TR
4299	02/00/2016	SKAT - Faktuel redegørelse om administrationen af udbytterefusion i perioden 2010-2015 [Translation - Factual Statement on the administration of dividend refunds 2010-2015]	SKAT_MDL_001_00410498	SKAT_MDL_001_00410557		H, F, AUTH, MIL, IR, 403, TR
4300	05/18/2016	Email from A. Munksgaard to C. Ekstrand, L. Drost, K. Tolstrup re SV: Udkast til orientering	SKAT_MDL_001_00410573	SKAT_MDL_001_00410580	4045	H, TR
4301	09/09/2015	Email from A. Munksgaard to C. Ekstrand re SV: sagen	SKAT_MDL_001_00411402	SKAT_MDL_001_00411402	3311	H, TR, 403
4302	09/07/2015	Email from M. Hyttel to A. Munksgaard re VS: Notat om cum/ex	SKAT_MDL_001_00411456	SKAT_MDL_001_00411483	3313	H, IR, TR
4303	06/18/2015	Bahnsen SJM Decl. Ex. 84_Email from [] to Munksgaard re Fraud involving disbursement of dividend taxes [certified translation added]	SKAT_MDL_001_00411550	SKAT_MDL_001_00411553	3064	TR
4304	06/16/2015	Email from C. Ekstrand to A. Munksgaard RE Svidnel med udbetaling af udbytteskatter	SKAT_MDL_001_00411777	SKAT_MDL_001_00411778	3062	TR
4305	03/04/2016	Email from K. Tolstrup to P. Hornsoj re VS: Opfølgning på SIRs rapport af 24. september 2015	SKAT_MDL_001_00413152	SKAT_MDL_001_00413152		H, SRM, TR
4306	09/09/2015	Email from M. Hansen to A. Munksgaard re VS: Ang. Udbytte	SKAT_MDL_001_00418474	SKAT_MDL_001_00418474	3315	H, 403, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4307	08/23/2015	Email from R. Jorgensen to A. Munksgaard re SV: Input pressemeddelelse	SKAT_MDL_001_00418727	SKAT_MDL_001_00418727	3307	H, 403, TR
4308	09/23/2015	SKAT Note re Reply to early warning in connection with securities (with translation)	SKAT_MDL_001_00420463	SKAT_MDL_001_00420473	3012	MIL, 403, IR, AUTH, TR
4309	05/03/2016	Email from L. Drost to A. Munksgaard re Sv: Sv: Reclaim	SKAT_MDL_001_00424299	SKAT_MDL_001_00424307	4029	H, IR, TR
4310	02/29/2016	Email from M. Pedersen to A. Munksgaard re Sv: She warned against dividend tax fraud - after which the Excheguer was drained of L9.lbn of dividend tad. Kr.	SKAT_MDL_001_00424851_T	SKAT_MDL_001_00424851_T		H, TR
4311	09/23/2015	Email from A. Munksgaard to J. Sorensen re Fwd: udbytteskat Danmarks Statistik	SKAT_MDL_001_00428590	SKAT_MDL_001_00428590	3327	H, TR
4312	09/20/2015	Email from A. Munksgaard to J. Lund re VS: statsrevisorernes anmodning om en undersøgelse af udbytteskat	SKAT_MDL_001_00428659	SKAT_MDL_001_00428662	3329	H, TR
4313	09/18/2015	Email from H. From to J. Lund re VS: Orientering: VS: Besvarelse af spørgsmål	SKAT_MDL_001_00428670	SKAT_MDL_001_00428674	3322	H, TR
4314	09/18/2015	Email from K. Tolstrup to H. From, A. Munksgaard re SV: SV: Ministerbetjening - Udbytte - faktaark	SKAT_MDL_001_00428829	SKAT_MDL_001_00428832	3323	H, SRM, TR
4315	09/16/2015	Email from A. Munksgaard to K. Tolstrup re SV: Haster	SKAT_MDL_001_00428929	SKAT_MDL_001_00428931	3320	H, IR, TR
4316	09/16/2015	Email from H. From to A. Munksgaard, K. Tolstrup re SV: Notat fra Jura	SKAT_MDL_001_00428978	SKAT_MDL_001_00428981	3321	H, MIL, IR, 403, TR
4317	09/08/2015	Email from P. Fiig to A. Munksgaard re Spørgsmål til møde	SKAT_MDL_001_00429538	SKAT_MDL_001_00429539	3317	H, IR, TR
4318	08/26/2015	Email from A. Munksgaard to A. Munksgaard re Revisionsrapporter	SKAT_MDL_001_00430008	SKAT_MDL_001_00430009	3310	H, (or otherwise MIL -except with respect to the MIL re 2010 report re SKAT's ability to very the refund applications), TR
4319	08/12/2015	Email from J. Sorensen to A. Munksgaard re SV: Svindel med ubytteskatter - udkast til VIP-orientering	SKAT_MDL_001_00430435	SKAT_MDL_001_00430435	3303	H, TR
4320	08/10/2015	Email from A. Munksgaard to J. Sorensen re SV: VS: FORTROLIGT - Ubytteskat	SKAT_MDL_001_00430447	SKAT_MDL_001_00430448	3302	TR
4321	06/19/2015	Email from A. Munksgaard to V. Larsen re VS Svindel med udbetaiing af udbytteskatter	SKAT_MDL_001_00430454	SKAT_MDL_001_00430454	3300	TR
4322	01/08/2016	SKAT: Notat - SK-3 - SOIK - Operation Reclaim	SKAT_MDL_001_00432034	SKAT_MDL_001_00432037	3057	H, TR
4323	08/19/2015	Email from A. Munksgaard to C. Baden re Fwd: Regnearkslosninegen mv	SKAT_MDL_001_00433693	SKAT_MDL_001_00433694	3069	H, TR
4324	09/13/2016	Email from Christian Baden Ekstrand to Gitte Jeanette Kierkegaard Subject: Bundter Attachments: 35315.pdf; 39015.pdf; 40115.pdf	SKAT_MDL_001_00436525	SKAT_MDL_001_00436607		H, TR
4325	05/15/2015	Letter from Goal to SKAT Re: A/C The Stor Capital Consulting LLC 401k Plan STO01 [Reclaim Form]	SKAT_MDL_001_00436590	SKAT_MDL_001_00436607		DNM, IC
4326	09/18/2015	Email from SKAT to A. Munksgaard, L. Drost, J. Christiansen re SV: Answering questions	SKAT_MDL_001_00437345	SKAT_MDL_001_00437348	4013	H, TR
4327	06/17/2015	Email from Munksgaard to Ekstrand, Drost, Haslev, Larsen RE Fwd: SV: Svindel med udbetaling af udbytteskatter	SKAT_MDL_001_00437466	SKAT_MDL_001_00437468	3063	TR
4328	09/01/2016	SKAT: Notat - SK-3 - SOIK - Operation Reclaim	SKAT_MDL_001_00439953	SKAT_MDL_001_00439957	3056	H, TR
4329	01/25/2013	Email from L. Rømer to L. Kørvell re Dividend Tax (with translation)	SKAT_MDL_001_00442194	SKAT_MDL_001_00442194	3165	H, IR, TR
4330	10/03/2012	Email from A. Larsen to L. Rømer re TRACE Schema meeting, 9-10 Oct: Document for comment (with translation)	SKAT_MDL_001_00443148	SKAT_MDL_001_00443150	3164	H, IR, TR
4331	09/07/2015	Email from K. Tolstrup to H. From, A. Munksgaard re Status udbyttesagen	SKAT_MDL_001_00447137	SKAT_MDL_001_00447140	3316	H, MIL, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4332	05/16/2013	Email from L. Rømer to A. Birkjaer, D. Pannerup Madsen, E. Bach, E. Sørensen, F. Høj Jensen, H. Villemoes Hald, J. Lauritsen, K. Steen, L. Nykjaer, L. Olesen, O. Nørgaard Olesen, O. Kristensen, R. Frahm Jørgensen, and T. Olesen re Dividend tax returns - key (with translation)	SKAT_MDL_001_00447624	SKAT_MDL_001_00447624	3166	H, IR, TR
4333	01/04/2015	Email from R. Frahm to D. Madsen, H. Hald, L. Nykjaer, E. Sørensen re SV: Dividend tax - certificates	SKAT_MDL_001_00447670	SKAT_MDL_001_00447670	3987	H, MIL, IR, TR
4334	07/20/2012	Email from L. Rømer to I. Nordland re Danish taxation on interests and dividends (with translation)	SKAT_MDL_001_00450011	SKAT_MDL_001_00450013	3167	TR
4335	05/21/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re Pandora A/S	SKAT_MDL_001_00450412	SKAT_MDL_001_00450412		IR, F, TR
4336	05/05/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Pandora A/S	SKAT_MDL_001_00450413	SKAT_MDL_001_00450413		IR, F, TR
4337	04/07/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re Pandora A/S	SKAT_MDL_001_00450414	SKAT_MDL_001_00450414		IR, F, TR
4338	08/26/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re TDC A/S	SKAT_MDL_001_00450415	SKAT_MDL_001_00450416		IR, F, TR
4339	10/13/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2013.07.01 - 2014.06.30 re IC Group A/S	SKAT_MDL_001_00450417	SKAT_MDL_001_00450417		IR, F, TR
4340	04/16/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re Carlsberg A/S	SKAT_MDL_001_00450418	SKAT_MDL_001_00450418		IR, F, TR
4341	02/08/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.09.01 - 2012.08.31 re Chr. Hansen Holdings A/S	SKAT_MDL_001_00450419	SKAT_MDL_001_00450419		IR, F, TR
4342	12/31/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2012.09.01 - 2013.03.31 re Chr. Hansen Holdings A/S	SKAT_MDL_001_00450420	SKAT_MDL_001_00450420		IR, F, TR
4343	12/09/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2013.09.01 - 2014.08.31 re Chr. Hansen Holdings A/S	SKAT_MDL_001_00450421	SKAT_MDL_001_00450421		IR, F, TR
4344	01/11/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2011.10.01 - 2012.09.30 re Coloplast A/S	SKAT_MDL_001_00450422	SKAT_MDL_001_00450422		IR, F, TR
4345	12/19/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.10.01 - 2013.09.30 re Coloplast A/S	SKAT_MDL_001_00450423	SKAT_MDL_001_00450424		IR, F, TR
4346	07/02/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2013.10.01 - 2014.09.30 re Coloplast A/S	SKAT_MDL_001_00450425	SKAT_MDL_001_00450426		IR, F, TR
4347	12/20/2016	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2015 - 2014.10.01 - 2015.09.30 re Coloplast A/S	SKAT_MDL_001_00450427	SKAT_MDL_001_00450428		IR, F, TR
4348	04/30/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re Danske Bank A/S	SKAT_MDL_001_00450430	SKAT_MDL_001_00450430		IR, F, TR
4349	04/30/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2011.01.01 - 2012.12.31 re DSV Panalpina A/S	SKAT_MDL_001_00450431	SKAT_MDL_001_00450431		IR, F, TR
4350	04/08/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re DSV Panalpina A/S	SKAT_MDL_001_00450432	SKAT_MDL_001_00450432		IR, F, TR
4351	03/26/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re DSV Panalpina A/S	SKAT_MDL_001_00450433	SKAT_MDL_001_00450433		IR, F, TR
4352	04/23/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re Fldsmidth & Co. A/S	SKAT_MDL_001_00450434	SKAT_MDL_001_00450434		IR, F, TR
4353	04/18/2016	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2015 - 2015.01.01 - 2015.12.31 re Fldsmidth & Co. A/S	SKAT_MDL_001_00450435	SKAT_MDL_001_00450435		IR, F, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4354	08/13/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Fldsmidth & Co. A/S	SKAT_MDL_001_00450436	SKAT_MDL_001_00450436		IR, F, TR
4355	04/13/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2013.01.01 - 2014.12.31 re Fldsmidth & Co. A/S	SKAT_MDL_001_00450437	SKAT_MDL_001_00450437		IR, F, TR
4356	04/12/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re Gn Store Nord A/S	SKAT_MDL_001_00450438	SKAT_MDL_001_00450438		IR, F, TR
4357	04/28/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Gn Store Nord A/S	SKAT_MDL_001_00450439	SKAT_MDL_001_00450439		IR, F, TR
4358	04/27/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re Gn Store Nord A/S	SKAT_MDL_001_00450440	SKAT_MDL_001_00450440		IR, F, TR
4359	05/14/2012	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2011 - 2011.01.01 - 2011.12.31 re H Lundbeck A/S	SKAT_MDL_001_00450441	SKAT_MDL_001_00450441		IR, F, TR
4360	05/16/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re H Lundbeck A/S	SKAT_MDL_001_00450442	SKAT_MDL_001_00450442		IR, F, TR
4361	04/11/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re H Lundbeck A/S	SKAT_MDL_001_00450443	SKAT_MDL_001_00450443		IR, F, TR
4362	04/29/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Novo Nordisk A/S	SKAT_MDL_001_00450444	SKAT_MDL_001_00450444		IR, F, TR
4363	10/21/2020	Screenshot of SKAT 3S system showing Novo Nordisk withholding tax report	SKAT_MDL_001_00450445	SKAT_MDL_001_00450445	3061	IR, F, TR
4364	04/17/2012	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2011 - 2011.01.01 - 2011.12.31 re Novozymes A/S	SKAT_MDL_001_00450446	SKAT_MDL_001_00450446		IR, F, TR
4365	04/22/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re Novozymes A/S	SKAT_MDL_001_00450447	SKAT_MDL_001_00450447		IR, F, TR
4366	03/21/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Novozymes A/S	SKAT_MDL_001_00450448	SKAT_MDL_001_00450448		IR, F, TR
4367	03/26/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re Novozymes A/S	SKAT_MDL_001_00450449	SKAT_MDL_001_00450449		IR, F, TR
4368	05/30/2012	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re TDC A/S	SKAT_MDL_001_00450450	SKAT_MDL_001_00450452		IR, F, TR
4369	09/05/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re TDC A/S	SKAT_MDL_001_00450453	SKAT_MDL_001_00450454		IR, F, TR
4370	05/06/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re TRYG A/S	SKAT_MDL_001_00450455	SKAT_MDL_001_00450456		IR, F, TR
4371	04/09/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re TRYG A/S	SKAT_MDL_001_00450457	SKAT_MDL_001_00450457		IR, F, TR
4372	04/10/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re Vestas Wind System A/S	SKAT_MDL_001_00450458	SKAT_MDL_001_00450458		IR, F, TR
4373	05/14/2012	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2011 - 2011.01.01 - 2011.12.31 re Novo Nordisk A/S	SKAT_MDL_001_00450459	SKAT_MDL_001_00450459		IR, F, TR
4374	06/18/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re Novo Nordisk A/S	SKAT_MDL_001_00450460	SKAT_MDL_001_00450460		IR, F, TR
4375	04/22/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re Carlsberg A/S	SKAT_MDL_001_00450461	SKAT_MDL_001_00450461		IR, F, TR
4376	04/23/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Carlsberg A/S	SKAT_MDL_001_00450462	SKAT_MDL_001_00450462		IR, F, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4377	07/29/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re A.P. Moller - Maerska A/S	SKAT_MDL_001_00450463	SKAT_MDL_001_00450463		IR, F, TR
4378	04/28/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re A.P. Moller - Maerska A/S	SKAT_MDL_001_00450464	SKAT_MDL_001_00450464		IR, F, TR
4379	04/10/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re A.P. Moller - Maerska A/S	SKAT_MDL_001_00450465	SKAT_MDL_001_00450465		IR, F, TR
4380	04/29/2016	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2015 - 2015.01.01 - 2015.12.31 re A.P. Moller - Maerska A/S	SKAT_MDL_001_00450466	SKAT_MDL_001_00450466		IR, F, TR
4381	05/08/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re Damp SkibS Sel Skabert Norden A/S	SKAT_MDL_001_00450467	SKAT_MDL_001_00450467		IR, F, TR
4382	05/04/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re ISS A/S	SKAT_MDL_001_00450468	SKAT_MDL_001_00450468		IR, F, TR
4383	04/30/2013	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2012 - 2012.01.01 - 2012.12.31 re NKT A/S	SKAT_MDL_001_00450469	SKAT_MDL_001_00450469		IR, F, TR
4384	04/28/2014	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2013 - 2013.01.01 - 2013.12.31 re NKT A/S	SKAT_MDL_001_00450470	SKAT_MDL_001_00450470		IR, F, TR
4385	04/24/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re NKT A/S	SKAT_MDL_001_00450471	SKAT_MDL_001_00450471		IR, F, TR
4386	03/27/2015	Foreign language screenshot of Indberetning af udbytteangivelse for indkomstar 2014 - 2014.01.01 - 2014.12.31 re Syndbank A/S - Skattile og Koncme	SKAT_MDL_001_00450472	SKAT_MDL_001_00450472		IR, F, TR
4387	04/27/2016	Email from A. Munksgaard to B. Frellesen re Sv: Decisions	SKAT_MDL_001_00451312	SKAT_MDL_001_00451313	4028	H, TR
4388	06/27/2016	SKAT Note - Statusnotat for arbejdet i den tvaerministerielle arbejdsgruppe om udbytteskat	SKAT_MDL_001_00451549	SKAT_MDL_001_00451554		H, SRM, MIL, TR
4389	09/13/2016	Email from Christian Baden Ekstrand to Gitte Jeanette Kierkegaard Subject: Bundter Attachments: 35315.pdf; 39015.pdf; 40115.pdf	SKAT_MDL_001_00452079	SKAT_MDL_001_00452161		H, TR
4390	04/27/2015	Letter from Goal to SKAT Re: A/C The Stor Capital Consulting LLC 401k Plan STO01 [Reclaim Form]	SKAT_MDL_001_00452098	SKAT_MDL_001_00452143		DNM, IC
4391	05/15/2015	Letter from Goal to SKAT Re: A/C The Stor Capital Consulting LLC 401k Plan STO01 [Reclaim Form]	SKAT_MDL_001_00452144	SKAT_MDL_001_00452161		DNM, IC
4392	10/03/2013	Chart re Action Plan on Review of the 2012 dividend and royalty tax (with translation)	SKAT_MDL_001_00454123	SKAT_MDL_001_00454127	3168	MIL, IR, 403, TR
4393	07/09/2013	Email from L. Rømer to I. Hallas, copying F. Jensen re Dividend tax (with translation)	SKAT_MDL_001_00455574	SKAT_MDL_001_00455574	3169	MIL, IR, 403, TR
4394	01/29/2016	Email from C. Ekstrand to A. Munksgaard re SV:	SKAT_MDL_001_00461256	SKAT_MDL_001_00461257	4018	H, TR
4395	02/04/2016	Email from L. Drost to A. Munksgaard, C. Ekstrand, H. Braendemose, H. From re Vs: Meeting minutes of task force steering group meeting on 1/2/2016 - INTERNAL MEMO	SKAT_MDL_001_00463645	SKAT_MDL_001_00463649	4022	H, TR
4396	11/30/2015	SKAT Project - Task Force Dividend Tax (Reclaim)	SKAT_MDL_001_00463805	SKAT_MDL_001_00463810	4016	H, SRM, MIL, TR
4397	09/14/2015	Schoenfeld SJM Opp. Decl. Ex. 01_Email from Drost to Munksgaard re Status control dividend cases (translation included)	SKAT_MDL_001_00463951	SKAT_MDL_001_00463956		H, SRM, MIL, TR
4398	10/22/2015	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_004641	SKAT_MDL_001_004643		No Objections

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4399	11/19/2015	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_004674	SKAT_MDL_001_004676		No Objections
4400	02/03/2016	Email from L. Nielsen to L. Anderson, B. Bertelsen, L. Drost, C. Ekstrand RE OPLAEG til indlaeg US SCHEME - Reclaim on withhold dividend tax.pptx (With Attachment RE SCHEME - Reclaim on withhold dividend tax.pptx)	SKAT_MDL_001_00467931	SKAT_MDL_001_00467943	3075	H, TR
4401	02/03/2016	Email from SKAT to L. Andersen, B. Bertelsen, L. Drost, C. Ekstrand re PRESENTATION to post US SCHEME - Reclaim on withhold dividend tax	SKAT_MDL_001_00468547	SKAT_MDL_001_00468547	4020	H, TR
4402	06/08/2016	Email from A. Munksgaard to L. Drost, C. Ekstrand re Vs: Notable trading in shares around the time of dividends	SKAT_MDL_001_00471879	SKAT_MDL_001_00471888	4030	H, TR
4403	05/27/2016	SKAT Note re Notable Trading in Shares Around the Time of Dividends	SKAT_MDL_001_00471881	SKAT_MDL_001_00471881		H, TR
4404	05/00/2016	Danish FSA Revenue Per Day Compared Dividend Date	SKAT_MDL_001_00471889	SKAT_MDL_001_00471909		H, TR
4405	03/00/2016	Foreign document re Omsetning pr. Dag sammenholdt udbyttedato	SKAT_MDL_001_00471889	SKAT_MDL_001_00471909		H, TR
4406	03/15/2016	Email from A. Munksgaard to C. Ekstrand, L. Drost re VS: VS: Synd med udbytteskat i Belgien	SKAT_MDL_001_00472199	SKAT_MDL_001_00472200		H, IR, TR
4407	04/01/2016	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_004730	SKAT_MDL_001_004730		No Objections
4408	09/30/2016	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Forslag til afgorelse- Afslag pa ansogning om tilbagebetaling af udbytteskat dateret 24. august 2015	SKAT_MDL_001_004747	SKAT_MDL_001_004759		TR
4409	02/04/2016	Email from C. Ekstrand to A. Munksgaard, L. Drost RE SV: Afsnit	SKAT_MDL_001_00475569	SKAT_MDL_001_00475570	3071	H, MIL, 403, IR, TR
4410	12/13/2013	Email from S. Thorhauge to R. Frahm Jorgensen re Working Group on Dividends - Internal Audit Report (with translation)	SKAT_MDL_001_00476601	SKAT_MDL_001_00476603	3982	H, MIL, IR, 403, TR
4411	09/14/2015	Email from K. Tolstrup to H. From, A. Munksgaard re VS: Materialeanmodning	SKAT_MDL_001_00477553	SKAT_MDL_001_00477562		H, TR
4412	Undated	Email from SKAT to C. Ekstrand re status	SKAT_MDL_001_00480740	SKAT_MDL_001_00480740	4039	H, TR
4413	09/08/2016	Email from A. Munksgaard to L. Drost re VS: for the information	SKAT_MDL_001_00482105	SKAT_MDL_001_00482105	3330	H, TR
4414	09/08/2016	Email from A. Munksgaard to L. Drost re for the information (with translation)	SKAT_MDL_001_00482105	SKAT_MDL_001_00482107	3305	H, TR
4415	12/14/2015	Email from L. Nielsen to A. Munksgaard re [Captial] Draft agenda meeting US-DK (DOR137750730)(S)	SKAT_MDL_001_00482850	SKAT_MDL_001_00482852	4017	H, TR
4416	02/09/2016	Email from M. Pedersen to L. Korvell re Problemstilling vedr. anmodning af refusion men for udbytteskat, der ikke er indbetalt	SKAT_MDL_001_00483167	SKAT_MDL_001_00483167		H, F, AUTH, TR
4417	Undated	Excel Spreadsheet	SKAT_MDL_001_00483168	SKAT_MDL_001_00483168		H, F, AUTH, TR
4418	Undated	Excel Spreadsheet	SKAT_MDL_001_00483169	SKAT_MDL_001_00483169		H, F, AUTH, TR
4419	08/07/2015	Email from L. Drost to C. Ekstrand, A. Munksgaard RE Svindel med udbytteskat - Sanjay Shah og SOLO Capital	SKAT_MDL_001_00483489	SKAT_MDL_001_00483491	3068	H, TR
4420	08/17/2015	Email from L. Drost to C. Ekstrand re Vs: Dividend tax - status	SKAT_MDL_001_00483931	SKAT_MDL_001_00483932	4008	H, TR
4421	11/19/2015	Email from C. Ekstrand to A. Munksgaard re: National Audit Office inquiry into dividend tax fraud	SKAT_MDL_001_00484160	SKAT_MDL_001_00484162	4015	H, TR.,MIL, IR, 403
4422	11/16/2015	Email from A. Munksgaard to H. From, K. Tolstrup re VS: Rapportudkast til afklaring vedr. "Task Force - Udbytteskat"s undersogelse af berossatte refusionsanmodninger	SKAT_MDL_001_00485083	SKAT_MDL_001_00485083	3308	H, TR
4423	09/15/2015	Email from A. Munksgaard to A. Gade re SV:	SKAT_MDL_001_00486604	SKAT_MDL_001_00486605	3319	H, TR
4424	05/15/2017	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Afgorelse- Afslag pa anmodning om tilbagebetaling af udbytteskat	SKAT_MDL_001_004892	SKAT_MDL_001_004905		TR
4425	09/13/2015	Email from K. Tolstrup to H. From re Status kontrol udbyttessager	SKAT_MDL_001_00492779	SKAT_MDL_001_00492782	3314	H, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4426	09/09/2015	Email from K. Tolstrup to H. From re SV: SV: VS: Spørgsmål til møde	SKAT_MDL_001_00497106	SKAT_MDL_001_00497111	3318	H, TR
4427	08/04/2015	Email from C. Ekstrand to A. Munksgaard RE SV: Svindel med udbetaling af udbytteskatter	SKAT_MDL_001_00503329	SKAT_MDL_001_00503333	3067	H, TR
4428	11/07/2006	Problemkatalog Udbytteskatten 2006	SKAT_MDL_001_00517918	SKAT_MDL_001_00517939	3052	MIL, IR, 403, TR
4429	11/07/2006	Problem Catalogue Dividend Tax	SKAT_MDL_001_00517918_T	SKAT_MDL_001_00517939		MIL, IR, 403, AUTH, TR
4430	09/13/2015	Email from L. Kristensen to D. Pannerup Madsen re News from SKAT (with translation)	SKAT_MDL_001_00524142	SKAT_MDL_001_00524145	4003	H, TR
4431	12/02/2009	Email from L. Rømer to B. Daugaard and L. Nørding, copying J. Zester and A. Bo Larsen re Internal Audit Meeting, attaching Note re Dividend tax - especially refunds (with translation)	SKAT_MDL_001_00524599	SKAT_MDL_001_00524604	3171	H, MIL, IR, 403, TR
4432	08/04/2014	Bech-Bruun Danish Opinion for North Channel Bank GmbH & Co.	SKAT_MDL_001_00533266	SKAT_MDL_001_00533279		H, MIL, IR, 403
4433	04/27/2015	Letter from Goal to SKAT Re: A/C The Stor Capital Consulting LLC 401k Plan STO01 [Reclaim Form]	SKAT_MDL_001_00436544	SKAT_MDL_001_00436589		DNM, IC
4434	12/05/2006	Note authored by L. Rømer re Dividend tax - Changes in the law on dividend tax reports, interest attribution, position and discretionary employment (with translation)	SKAT_MDL_001_00559966	SKAT_MDL_001_00559968	3938	H, MIL, IR, 403, TR
4435	12/05/2006	Email from L. Rømer to S. Reinholdt, K. Borup, and E. Christensen, copying J. Zester and B. Sukstorf re dividend tax - amendments to the law, attaching Law Modification Note (with translation)	SKAT_MDL_001_00559992	SKAT_MDL_001_00559995	3967	H, MIL, IR, 403, TR
4436	04/05/2016	Email from K. Jakobsen to A. Munksgaard, T. Baden, L. Drost, C. Steinmetz, M. Sorensen, V. Larsen, C. Gronggaard, S. Soegaard re Competent Authority - Production 1 quarter 2015	SKAT_MDL_001_00568171	SKAT_MDL_001_00568171	4026	H, TR
4437	01/08/2016	Email from Lars Moller Nielsen to Bent Bertelsen CC: Christian Baden Ekstrand Subject: Indscannede reclaims til Bent- 3, Indscannede reclaims til Bent -4, Indscannede reclaims til Bent -5, Indscannede reclaims til Bent -1, Indscannede reclaims til Bent -2 Attachments: ndscannede reclaims til Bent- 3, Indscannede reclaims til Bent -4, Indscannede reclaims til Bent -5, Indscannede reclaims til Bent -1, Indscannede reclaims til Bent -2	SKAT_MDL_001_00576563	SKAT_MDL_001_00576877		TR
4438	12/02/2016	SKAT Note (from C. Ekstrand) re Bemaerkelsesvaerdig handel med aktier omkring udbyttestidspunktet	SKAT_MDL_001_00581100	SKAT_MDL_001_00581101		H, TR
4439	03/28/2012	Email from L. Rømer to J. Zester and A. Bo Larsen, copying L. Bardrum, A. Kubert and K. Tangaa Jul Andersen re Ongoing reporting (with translation)	SKAT_MDL_001_00596459	SKAT_MDL_001_00596462	3939	IR, MIL, 403, TR
4440	10/15/2015	Email from G. Kierkegaard to L. Drost re [Captia] CASE NOTAT - PROCEEDINGS - SURPLUS (DOC130306016)	SKAT_MDL_001_00597418	SKAT_MDL_001_00597427	4014	H, TR
4441	08/22/2016	Email from C. Ekstrand to L. Drost re SV	SKAT_MDL_001_00599444	SKAT_MDL_001_00599452	4032	H, TR
4442	03/03/2016	Email from C. Ekstrand to A. Munksgaard re VS: Overall Status 03-03-2016	SKAT_MDL_001_00599497	SKAT_MDL_001_00599500	4023	H, TR
4443	03/15/2016	Email from M. Bertelsen to L. Nielsen, L. Andersen, C. Ekstrand, L. Drost re I have made the consolidated minutes of the meetings	SKAT_MDL_001_00599699	SKAT_MDL_001_00599704	4024	H, TR
4444	08/15/2007	Email from L. Jeppesen to J. Jensen, copying J. Zester re Share loans, etc. (with translation)	SKAT_MDL_001_00603715	SKAT_MDL_001_00603717	3940	H, MIL, IR, 403, TR
4445	07/08/2007	Email from Rasmussen to Jeppesen re VS: (pkt. 53 pa opfolgningslisten fra KG lov og proces)	SKAT_MDL_001_00603716	SKAT_MDL_001_00603717	3978	H, MIL, IR, 403, TR
4446	01/26/2007	Email from L. Jeppesen to J. Zester re [redacted] (with translation)	SKAT_MDL_001_00603730	SKAT_MDL_001_00603734	3941	H, MIL, IR, 403, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4447	01/25/2007	Email from L. Jeppesen to L. Rømer, S. Reinholdt Andersen, K. Borup, E. Christensen, and J. Zester, copying N. Andersen, H. Møller Nielsen, M. Bjørn, L. Rasmussen, M. Rasmussen, and E. Blegvad Andersen re [REDACTED], attaching The Equity Loan Proposal (with translation)	SKAT_MDL_001_00603735	SKAT_MDL_001_00603738	3968	H, MIL, IR, 403, TR
4448	01/19/2007	Email from J. Zester to L. Jeppesen re [No Subject] (with translation)	SKAT_MDL_001_00603748	SKAT_MDL_001_00603755	3969	H, MIL, IR, 403, TR
4449	01/18/2007	Email from E. Christensen to L. Normann Jeppesen, copying L. Rømer, S. Reinholdt Andersen, K. Borup, J. Zester, H. Møller Nielsen, M. Bjørn Hansen, L. Rasmussen, A. Holten Lutzhoft, and M. Rasmussen re dividend tax	SKAT_MDL_001_00603756	SKAT_MDL_001_00603760	3970	H, MIL, 403, IR, TR
4450	01/18/2007	Email from Ema Christensen to Leif Normann Jeppesen CC: Lisbeth Rømer, Susanne Reinholdt Andersen; Keld Borup, Jette Zester, Niels Andersen, Henrik Møller Nielsen, Michael Bjørn Hansen, Lisbeth Rasmussen, Anders Holten Lutzhoft, Mogens Rasmussen Subject: Sv: Re: Attachment: EW.doc	SKAT_MDL_001_00603756_T	SKAT_MDL_001_00603756_T		H, MIL, 403, Auth, TR
4451	10/02/2016	Email from K. Gausland to J. Zester re. Dividend tax, attaching Note re Dividend Control 2003 (with translation)	SKAT_MDL_001_00606333	SKAT_MDL_001_00606337	3942	H, IR, 403, TR
4452	10/17/2006	Email from L. Jeppesen to S. Reinholdt, copying J. Zester and L. Rasmussen re Control of refund of dividend tax (with translation)	SKAT_MDL_001_00606338	SKAT_MDL_001_00606340	3943	H, MIL, IR, 403, TR
4453	11/10/2016	Email from L. Christensen to S. Andersen, J. Zester, and L. Rømer, copying L. Jeppesen, K. Lohse, L. Rasmussen, and M. Rasmussen re TDC., distribution of dividends to France (with translation)	SKAT_MDL_001_00606374	SKAT_MDL_001_00606374	3944	H, MIL, IR, TR
4454	11/10/2006	Note authored by L. Christensen re Distribution of dividends from TDC to beneficiary in France (with translation)	SKAT_MDL_001_00606375	SKAT_MDL_001_00606379	3971	H, MIL, IR, F, 403, TR
4455	11/11/2015	Email from C. Ekstrand to A. Munksgaard re SK:KOI	SKAT_MDL_001_00612648	SKAT_MDL_001_00612650	3331	H, IR, TR
4456	06/25/2010	Email from B. Daugaard to L. Rømer, L. Nørding, and M. Blokhuis re Dividend tax (with translation)	SKAT_MDL_001_00613698	SKAT_MDL_001_00613699	3360	H, TR
4457	11/10/2011	Email from J. Zester to A. Larsen, copying L. Rømer and L. Bardrum re Dividend tax (with translation)	SKAT_MDL_001_00614547	SKAT_MDL_001_00614548	3945	H, IR, 403, MIL, TR
4458	09/14/2007	Email from K. Gausland to L. Normann, copying J. Zester, L. Rømer, E. Christensen, N. Andersen, P. Seidenfaden, L. Rasmussen, and S. Andersen re Dividend tax (with translation)	SKAT_MDL_001_00617019	SKAT_MDL_001_00617020	3946	H, MIL, IR, TR
4459	11/20/2006	Email from L. Rømer to J. Zester re dividend tax, attaching Note dated August 23, 2006 authored by S. Reinholdt re dividend tax (with translation)	SKAT_MDL_001_00617074	SKAT_MDL_001_00617079	3947	H, MIL, IR, 403, TR
4460	02/15/2010	Email from J. Zester to B. Daugaard re Internal Audit: Dividend tax (with translation)	SKAT_MDL_001_00617375	SKAT_MDL_001_00617388	3355	H, TR
4461	03/24/2011	Email from B. Daugaard to L. Severinsen, J. Lundgaard, and T. Laustsen re Dividend tax (with translation)	SKAT_MDL_001_00617477	SKAT_MDL_001_00617477	3356	H, IR, TR
4462	12/21/2010	Email from T. Lausten to B. Daugaard, J. Lundgaard, and L. Severinsen re material from our meeting with the Accounting yesterday (with translation)	SKAT_MDL_001_00617503	SKAT_MDL_001_00617503	3358	H, IR, 403, MIL, TR
4463	11/26/2010	Email from B. Daugaard to L. Rømer re Dividend tax (with translation)	SKAT_MDL_001_00617513	SKAT_MDL_001_00617514	3359	H, IR, 403, MIL, TR
4464	09/07/2010	Email from A. Engmose Larsen to L. Severinsen, copying K. Jacobsen, T. Lausten, B. Daugaard, and J. Lundgaard re special study on the revenue from the taxation of foreign nationals at source (with translation)	SKAT_MDL_001_00617524	SKAT_MDL_001_00617524	3367	H, IR, 403, MIL, TR
4465	08/24/2010	Email from K. Jacobsen to L. Severinsen copying B. Daugaard, T. Laustsen, and J. Lundgaard re study of the income from the withholding tax on foreign nationals - dividend tax (with translation)	SKAT_MDL_001_00617526	SKAT_MDL_001_00617526	3357	H, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4466	11/24/2009	Email from B. Daugaard to L. Rømer copying T. Lausten, L. Severinsen, and J. Lundgaard re Dividend tax (with translation)	SKAT_MDL_001_00617534	SKAT_MDL_001_00617536	3354	H, TR, IR
4467	06/25/2010	Email from L. Rømer to B. Daugaard re Dividend tax (with translation)	SKAT_MDL_001_00617537	SKAT_MDL_001_00617538	3368	H, IR, TR
4468	04/26/2010	Email from L. Bo Nielsen to B. Daugaard re Internal Audit: Dividend tax report - consultation (with translation)	SKAT_MDL_001_00617615	SKAT_MDL_001_00617616	3365	H, TR, IR
4469	04/09/2010	Email from J. Zester to B. Daugaard re Internal Audit: Dividend tax, can you help? (with translation)	SKAT_MDL_001_00617636	SKAT_MDL_001_00617638	3353	H, IR, TR
4470	01/29/2010	Email from J. Zester to B. Daugaard re Internal Audit: Dividend tax (with translation)	SKAT_MDL_001_00617816	SKAT_MDL_001_00617820	3352	H, IR, TR
4471	01/19/2010	Email from L. Rømer to B. Daugaard re Internal Audit: Minutes of the sitting of 14 December 2009 (with translation)	SKAT_MDL_001_00617839	SKAT_MDL_001_00617843	3366	H, TR, IR, MIL
4472	01/05/2010	Email from B. Daugaard to L. Rømer copying J. Lundgaard, L. Severinsen, and T. Laustsen re Internal Audit: Minutes of the sitting of 14 December 2009 (with translation)	SKAT_MDL_001_00617851	SKAT_MDL_001_00617854	3351	H, MIL, IR, 403, TR
4473	06/04/2013	Email from D. Madsen to A. Villumsen, A. Lie Hanse, A. Milling, A. Pærlus, B. Pedersen, B. Grevy, D. Valentin, D. Madsen, H. Wismer, I. Meyer, I. Andersen, I. Baumann, K. Jensen, K. Hoy-Nielsen, K. Jensen, K. Olsen, K. Andersen, L. Juhl, L. Jarikov, M. Sørensen, P. Madsen, R. Petersen, S. Krog, T. Orregaard re Accounting tasks - dividend tax	SKAT_MDL_001_00624358	SKAT_MDL_001_00624359	3980	TR
4474	08/24/2015	Email from R. Frahm to R. Jørgensen, D. Madsen re Conversation with René Frahm Jørgensen	SKAT_MDL_001_00624884	SKAT_MDL_001_00624884	3992	H, TR
4475	10/22/2012	Email from J. Christensen to J. Zester Sv: Current earnings a. h. t. Dividend Management	SKAT_MDL_001_00626678	SKAT_MDL_001_00626678	3957	H, 403, TR
4476	05/27/2013	Email from L. Kørvell to J. Zester copying S. Kristensen re Review of the 2012 dividend and royalty tax (with translation)	SKAT_MDL_001_00627327	SKAT_MDL_001_00627327	3956	H, MIL, IR, TR
4477	10/26/2006	Email from F. Mikkelsen to L. Jeppesen, L. Rasmussen, and M. Bjørn Hansen re meeting with SC Ballerup on dividend tax (with translation)	SKAT_MDL_001_00629103	SKAT_MDL_001_00629104	3972	H, MIL, IR, 403, TR
4478	10/17/2006	Email from E. Andersen to L. Jeppesen re Control of reimbursement of dividend tax (with translation)	SKAT_MDL_001_00629112	SKAT_MDL_001_00629113	3973	H, MIL, IR, 403, TR
4479	01/25/2007	Email from K. Hvelplund to L. Jeppesen re the proposed share loan (with translation)	SKAT_MDL_001_00629942	SKAT_MDL_001_00629943	3976	H, MIL, IR, 403, TR
4480	03/27/2006	Email from L. Jeppesen to M. Hansen copying L. Rasmussen, A. Lützhøft, and M. Bouraima re See enclosed text of Internal Audit. dividend tax! (with translation)	SKAT_MDL_001_00630330	SKAT_MDL_001_00630333	3977	H, IR, 403, TR
4481	04/06/2018	Dulberg SJM Decl. Ex. 009 Decision - Revocation of previous decision on the refund of dividend taxes	SKAT_MDL_001_0064473	SKAT_MDL_001_0064495		TR
4482	04/06/2018	Dulberg SJM Decl. Ex. 009T Decision - Revocation of previous decision on the refund of dividend taxes [ENGLISH TRANSLATION]	SKAT_MDL_001_0064473	SKAT_MDL_001_0064495		TR
4483	04/17/2018	Letter from SKAT to Headsail Manufacturing LLC Roth 401K Plan	SKAT_MDL_001_0066162	SKAT_MDL_001_0066174		TR
4484	04/17/2018	Letter from SKAT to Headsail Manufacturing LLC Roth 401K Plan	SKAT_MDL_001_0066202	SKAT_MDL_001_0066214		TR
4485	07/04/2018	Email from Johan Sidelman Basnov to Thomas Bogelund Norvold Subject: SV: Udbyttesag - Anmodning om supplerende aktindsigt - The Random Holdings-mit j.nr: 11127 (with attachments)	SKAT_MDL_001_0067111	SKAT_MDL_001_0067334		TR
4486	07/04/2018	Email from Johan Sidelman Basnov to Thomas Bogelund Norvold Subject: SV: Udbyttesag - Anmodning om supplerende Aktindsigt - Aerovane Logistics LLC - mit j.nr: 11128 (with attachments)	SKAT_MDL_001_0067443	SKAT_MDL_001_0067892		TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4487	04/17/2018	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Afgorelse- Tilbagekaldelse af tidligere afgørelser om refusion af udbytteskatter	SKAT_MDL_001_0067918	SKAT_MDL_001_0067929		TR
4488	03/23/2018	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Forslag til afgorelse- Tilbagekaldelse af SKATs tidligere afgørelser om refusion af udbytteskatter	SKAT_MDL_001_0067936	SKAT_MDL_001_0067947		TR
4489	07/04/2018	Email from Johan Sidelman Basnov to Thomas Bogelund Norvold Subject: SV: Udbyttesag - Anmodning om supplerende aktindsigt. STOR Capital Consulting LLC - mit j.nr 11162 (with attachments)	SKAT_MDL_001_0067952	SKAT_MDL_001_0068326		TR
4490	08/24/2015	Letter from Goal to SKAT Re: A/C The Stor Capital Consulting LLC 401k Plan STO01 [Reclaim Form]	SKAT_MDL_001_0067987	SKAT_MDL_001_0068083		DNM
4491	07/30/2015	Dulberg SJM Decl. Ex. 013_Email from Birkemose to Ekstrand (Cc: Jensen) with Subject: VS: Bistandsanmodning fra England - captia 15-2196324 - Sartjay Shah/Solo Capital Partners	SKAT_MDL_001_00680771	SKAT_MDL_001_00680779		TR
4492	08/06/2015	Dulberg SJM Decl. Ex. 015_Email from Collett to Ekstrand (Cc: Johal, Kerr) with Subject: URGENT: OP FLYPAST 15 - Dividend Arbitrage Fraud (Solo) - Denmark. Next Dividend Payment Date	SKAT_MDL_001_00680817	SKAT_MDL_001_00680832		TR
4493	07/04/2018	Letter from Fabritius Tegnagel & Heine to Skatteankestyrelsen Vedr: Klage over SKATs afgorelse af 17. april 2018- Captia-j.nr. 16-1673017	SKAT_MDL_001_0068333	SKAT_MDL_001_0068372		TR
4494	01/13/2016	Dulberg SJM Decl. Ex. 014_Notat om forl0bet i SKAT efter modtagelse af anmeldelser om formodet svindel med refusion af udbytteskat	SKAT_MDL_001_00683939	SKAT_MDL_001_00683942		TR
4495	10/12/2012	Claim to Relief from Danish Dividend Tax- Michelle Investments Pension Plan	SKAT_MDL_001_00726490 (STOR Docs Only)	SKAT_MDL_001_00729766		DNM, IC
4496	02/01/2016	Report to the Danish Public Accounts Committee on SKAT's administration of and the Danish Ministry of Taxation's supervision of refund of dividend tax (with translation)	SKAT_MDL_001_0075124	SKAT_MDL_001_0075161	3008	MIL, IR, 403
4497	02/16/2024	The National Audit Office of Denmark- Report to the Danish Public Accounts Committee on SKAT's administration of and the Danish Ministry of Taxation's supervision of refund of dividend tax	SKAT_MDL_001_0075124_T	SKAT_MDL_001_0075124_T		MIL, IR 403
4498	12/01/2017	Bech-Brunn report re Attorney Investigation into the circumstances regarding SKAT's payment of dividend tax refunds (with translation)	SKAT_MDL_001_0075162	SKATMDL_001_0075774	3051	H, 403, MIL, F
4499	12/01/2017	Report on Attorney Investigation into the circumstances regarding SKAT's payment of dividend tax refunds re processing of refunds, launch of SIR study, and Early warnings on withholding tax on intra-group interest payments (with translation)	SKAT_MDL_001_0075325	SKAT_MDL_001_0075329	3955	H, 403, F, MIL
4500	10/22/2015	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_00754611	SKAT_MDL_001_00754613		No Objections
4501	08/24/2015	Letter from Goal to SKAT Re: A/C The Stor Capital Consulting LLC 401k Plan STO01 [Reclaim Form]	SKAT_MDL_001_00754614	SKAT_MDL_001_00754622		No Objections
4502	10/22/2015	Letter from SKAT to Goal Taxback Limited Re: In reference to your client The Stor Capital Consulting LLC 401K Plan	SKAT_MDL_001_00754624	SKAT_MDL_001_00754629		No Objections
4503	10/22/2015	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_00754630	SKAT_MDL_001_00754641		No Objections
4504	11/19/2015	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_00754642	SKAT_MDL_001_00754644		No Objections
4505	11/19/2015	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_00754646	SKAT_MDL_001_00754657		No Objections

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4506	11/19/2015	Letter from SKAT to Goal Taxback Limited Re: In reference to your client The Stor Capital Consulting LLC 401K Plan	SKAT_MDL_001_00754658	SKAT_MDL_001_00754661		No Objections
4507	10/22/2015	Scanned Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_00754685	SKAT_MDL_001_00754697		No Objections
4508	04/01/2016	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_00754698	SKAT_MDL_001_00754698		No Objections
4509	09/24/2015	SKAT Internal Audit Report re SKAT's administration of dividend tax and dividend tax refunds (with translation)	SKAT_MDL_001_0075835	SKAT_MDL_001_0075957	3007	MIL, IR, 403
4510	Undated	Bahnsen SJM Decl. Ex. 37 Foreign Documents [Have the proposed acts improved the administration of dividend tax? - translation added]	SKAT_MDL_001_0075845	SKAT_MDL_001_0075905		DNM, MIL, IR, 403, C, IC, AUTH
4511	06/13/2016	Dulberg SJM Decl. Ex. 097_Exchange of Information Provisions of Income Tax Treaty	SKAT_MDL_001_00828252	SKAT_MDL_001_00828282		H
4512	06/03/2013	Hannes Snellman Danish Tax Opinion	SKAT_MDL_001_00834549	SKAT_MDL_001_00834558		H, MIL, IR, 403
4513	05/23/2024	Day 19MT Transcript (excerpt)	SKAT_MDL_001_00838246	SKAT_MDL_001_00838246		No Objections
4514	04/27/2011	SKAT Working Group of the Dividend Tax - Minutes of meetings	SKAT_MDL_001_00847656	SKAT_MDL_001_00847657		H, MIL, IR, 403
4515	Undated	SKAT - 7. Calculations - Dividends tax and refunds	SKAT_MDL_001_00847696	SKAT_MDL_001_00847721		F, AUTH, IR, 403
4516	01/17/2007	Email from E. Christensen to A. Romer, L. Jeppesen, S. Andersen, J. Zester, M. Rasmussen re VERY URGENT - OBS - BT Opera Trading - Refund of approx. DKK 405 million dividend tax on TDC shares	SKAT_MDL_001_00848879	SKAT_MDL_001_00848881		H, MIL, IR, 403
4517	05/10/2010	SKAT Audit Report re Investigations of the proceeds from withholding tax on foreign shareholders - dividend tax	SKAT_MDL_001_00848896	SKAT_MDL_001_00848918		MIL, IR, 403
4518	05/30/2013	SKAT Ministry of Taxation Internal Audit Report re Audit of dividend and royalty tax for 2012	SKAT_MDL_001_00848919	SKAT_MDL_001_00848936		MIL, IR, 403
4519	09/24/2015	Internal Audit - SKAT's Administration of Dividend Tax and Dividend Tax Refunds	SKAT_MDL_001_00848960	SKAT_MDL_001_00849116		MIL, Auth, TR, IR, 403
4520	10/02/2015	Email from I. Street to M. Dyhl-Kristoffersen, A. Bitsdal, M. Hansen re VS: Draft report on dividend tax banding - "consultation"	SKAT_MDL_001_00850219	SKAT_MDL_001_00850251		H, SRM, F, AUTH, 403, MIL
4521	12/07/2017	Sven Jargen Nielson Judgment	SKAT_MDL_001_00850298	SKAT_MDL_001_00850322		IR, 403, F
4522	09/29/2006	SKAT Tas Note re Recovery of Danish dividend tax - France	SKAT_MDL_001_00850488	SKAT_MDL_001_00850488		H, MIL, IR, 403
4523	11/08/2006	Email from L. Jeppesen to K. Lohse re reimbursement of Danish dividend tax - Double taxation treaties	SKAT_MDL_001_00850489	SKAT_MDL_001_00850495		H, MIL, IR, 403
4524	11/08/2006	Email from L. Jeppesen to K. Lohse re HASTER - krav om refusion af dansk udbytteskat - dobbeltbeskatningsoverenskomster	SKAT_MDL_001_00850499	SKAT_MDL_001_00850505		H, MIL, IR, 403
4525	02/14/2012	Email from L. Cramer to J. Zester, L. Romer, B. Fridberg re SV: Ongoing reporting tax reform	SKAT_MDL_001_00850530	SKAT_MDL_001_00850531		H, 403, C
4526	Undated	Dividend tax in SC Ballerup has received a request for a refund from	SKAT_MDL_001_00851135	SKAT_MDL_001_00851136		F, AUTH, IR, MIL, H, 403
4527	01/30/2007	Email from E. Christensen to A. Romer, S. Andersen, L. Jeppesen, K. Borup, J. Zester, N. Andersen re BT Opera Trading	SKAT_MDL_001_00851143	SKAT_MDL_001_00851145		H, MIL, IR, 403
4528	Undated	SKAT - C.B.3.1 - The term "tax dividends"	SKAT_MDL_001_00851208	SKAT_MDL_001_00851213		F, AUTH
4529	12/20/2016	Podcast Transcript	SKAT_MDL_001_00852678	SKAT_MDL_001_00852691		H, AUTH, F
4530	06/06/2012	Solo Capital - Organisation Structure	SKAT_MDL_001_00854038	SKAT_MDL_001_00854043		F, AUTH
4531	07/16/2013	Email from G. Pitts to S. Shah re GSS business and scope of US clients (as raised by Anupe)	SKAT_MDL_001_00860109	SKAT_MDL_001_00860115		H
4532	09/25/2023	FCA No Action Notice - FCA Investigation - Lindisfarne Partners LLP	SKAT_MDL_001_00872996	SKAT_MDL_001_00872996		IR, F, H
4533	05/31/2017	FCA Notice - Notice of Appointment of Investigators - Lindisfarne Partners LLP	SKAT_MDL_001_00872997	SKAT_MDL_001_00873003		IR, F, H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4534	09/25/2020	FCA Notice - FCA Investigation - Notice to Provide Information and Documents - Lindisfarne Partners LLP	SKAT_MDL_001_00873009	SKAT_MDL_001_00873018		IR, F, H
4535	Undated	Lindisfarne Partner LLP Answers to FCA	SKAT_MDL_001_00873019	SKAT_MDL_001_00873025		IR, F, H
4536	Undated	Lindisfarne Partners LLP Comments - Response to the Introduction and Relevant Background	SKAT_MDL_001_00873056	SKAT_MDL_001_00873063		IR, F, H
4537	06/04/2015	Email from A. Stratford-Martin to A. Hadley re ONVC - Large trades	SKAT_MDL_001_00874233	SKAT_MDL_001_00874234		H
4538	06/05/2015	Email from A. Hadley to M. Ward re ONVC - Large trades	SKAT_MDL_001_00874238	SKAT_MDL_001_00874244		H
4539	08/07/2013	Solo Capital Partners LLP Minutes of a Meeting of the Management Committee of the Firm	SKAT_MDL_001_00875362	SKAT_MDL_001_00875365		F, AUTH
4540	08/13/2013	Email from S. Shah to A. Wagner re CFTC Issues Cross-Border Swaps Regulation Guidance and Exemptive Order	SKAT_MDL_001_00875419	SKAT_MDL_001_00875434		H
4541	08/12/2013	Email from S. Shah to H. West, A. Wagner re CFTC Issues Cross-Border Swaps Regulation Guidance and Exemptive Order	SKAT_MDL_001_00875437	SKAT_MDL_001_00875453		H
4542	08/08/2013	Email from A. Dwala to C. Yau re FW: CFTC Issues Cross-Border Swaps Regulation Guidance and Exemptive Order	SKAT_MDL_001_00875511	SKAT_MDL_001_00875517		H
4543	04/12/2013	Email from C. Aylott to A. Stratford-Martin re FW: CFTC Issues Cross-Border Swaps Regulation Guidance and Exemptive Order	SKAT_MDL_001_00875565	SKAT_MDL_001_00875574		H
4544	Undated	Solo File Note re How the GSS service operates	SKAT_MDL_001_00877257	SKAT_MDL_001_00877259		F, AUTH
4545	07/06/2010	Bank of America Dividend Credit Advice to Broadgate Ireland Funds plc re Basf SE	SKAT_MDL_001_00878645	SKAT_MDL_001_00878647		H, AUTH, F, IR, 403
4546	10/26/2013	Fourth Witness Statement of Daniel Fletcher - Exhibit "DF1", SKAT v. Solo Capital Partners LLP (High Court of Justice)	SKAT_MDL_001_00879988	SKAT_MDL_001_00880294		H
4547	09/11/2012	Email from S. Shah to R. McGill re Belgian claims	SKAT_MDL_001_00880604	SKAT_MDL_001_00880605		H
4548	02/14/2014	Hannes Snellman Danish Tax Opinion	SKAT_MDL_001_00886337	SKAT_MDL_001_00886346		H, MIL, IR, 403
4549	03/23/2018	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Forslag til afgørelse- Tilbagekaldelse af SKATs tidligere afgørelser om refusion af udbytteskatter	SKAT_MDL_001_016552	SKAT_MDL_001_016562		No Objections
4550	04/17/2018	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Afgørelse- Tilbagekaldelse af tidligere afgørelser om refusion af udbytteskatter	SKAT_MDL_001_016564	SKAT_MDL_001_016575		No Objections
4551	06/07/2017	Letter from Dept. of the Treasury to Head of Office, Danish Customs and Tax Administration re Headsail Manufacturing	SKAT_MDL_001_040686	SKAT_MDL_001_040716		H
4552	03/23/2018	Letter from SKAT to Headsail Manufacturing LLC Roth 401K Plan	SKAT_MDL_001_040718	SKAT_MDL_001_040728		No Objections
4553	04/17/2018	Letter from SKAT to Headsail Manufacturing LLC Roth 401K Plan	SKAT_MDL_001_040729	SKAT_MDL_001_040740		No Objections
4554	05/09/2018	Email from Johan Sidelman Basnov to Thomas Bogelund Norvold CC: Lisa Anderson Subject: SV: Udbyttesag- Captia-j.nr.:18-0013835- anmodning om aktindsigt mv. - vores j.nr. 11126 (with attachments)	SKAT_MDL_001_045052	SKAT_MDL_001_045117		No Objections
4555	04/17/2018	Letter from SKAT to Headsail Manufacturing LLC Roth 401K Plan	SKAT_MDL_001_045087	SKAT_MDL_001_045098		No Objections
4556	03/23/2018	Letter from SKAT to Headsail Manufacturing LLC Roth 401K Plan	SKAT_MDL_001_045100	SKAT_MDL_001_045110		No Objections
4557	04/17/2018	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Afgørelse- Tilbagekaldelse af tidligere afgørelser om refusion af udbytteskatter	SKAT_MDL_001_045260	SKAT_MDL_001_045271		No Objections
4558	03/23/2018	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Forslag til afgørelse- Tilbagekaldelse af SKATs tidligere afgørelser om refusion af udbytteskatter	SKAT_MDL_001_045273	SKAT_MDL_001_045283		No Objections
4559	05/15/2017	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Afgørelse- Afslag pa anmodning om tilbagebetaling af udbytteskat	SKAT_MDL_001_045494	SKAT_MDL_001_045507		No Objections

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4560	04/01/2016	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_045621	SKAT_MDL_001_045621		No Objections
4561	09/30/2016	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Forslag til afgørelse- Afslag pa ansøgning om tilbagebetaling af udbytteskat dateret 24. august 2015	SKAT_MDL_001_045638	SKAT_MDL_001_045650		No Objections
4562	10/22/2015	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_045687	SKAT_MDL_001_045689		No Objections
4563	11/19/2015	Letter from SKAT to The Stor Capital Consulting LLC 401k Plan Re: Your Claim to Relief from Danish Dividend Tax	SKAT_MDL_001_045721	SKAT_MDL_001_045723		No Objections
4564	04/06/2018	Dulberg SJM Decl. Ex. 005_Decision - Revocation of previous decision on the refund of dividend taxes	SKAT_MDL_001_046749	SKAT_MDL_001_046771		No Objections
4565	04/06/2018	Dulberg SJM Decl. Ex. 005T_Decision - Revocation of previous decision on the refund of dividend taxes [ENGLISH TRANSLATION]	SKAT_MDL_001_046749	SKAT_MDL_001_046771		No Objections
4566	08/11/2008	Manglende afstemning mellem udbytteangivelse og indberetning af udbytteindtagere [Lack of reconciliation between profit declaration and reporting of beneficiaries]	SKAT_MDL_001-00376851	SKAT_MDL_001-00376851	3178	H, MIL, IR, 403
4567	03/16/2015	Note authored by L. Romer and J. Zester re Dividend tax administration (with translation)	SKAT_MDL_001_00375548	SKAT_MDL_001_00375566	3150	H, MIL, IR, 403
4568	10/22/2012	Email from J. Christensen to J. Zester re Current earnings a. h. t. Dividend Management (with translation)	SKAT_MDL_001_00626678	SKAT_MDL_001_00626678	3957	H, 403, TR
4569	05/10/2010	"Undersøgelse Af Provenuet Fra Kildebeskatningen Af Udlændinge - Udbytteskat," Skatteministeriet (Means "Examination of the Proceeds from the Withholding Tax of Foreigners")	SKAT_MDL_001_00281058	SKAT-MDL-001-00281080	1409	MIL, IR, 403, TR
4570	11/17/2013	Email from R. Jorgensen to H. Hald re SV: IRS	SKAT_MDL_001_00333082	SKAT_MDL_001_00333083	1410	H, IR, TR
4571	11/20/2013	Email from J. Knudsen to S. Thorhauge and rj@skatk.dk re Fejl i 3 S/skattekonto	SKAT_MDL_001_00341997	SKAT_MDL_001_00341998	1411	H, F, TR
4572	11/28/2013	Email from L. Romer to H. Hald re VS: Opgaver	SKAT_MDL_001_00346027	SKAT_MDL_001_00346027	1412	H, 403, TR
4573	12/00/2017	"Advokatundersøgelse," prepared Bech-Bruun	SKAT_MDL_001_0075162	SKAT_MDL_001_0075774	1406	H, 403, F
4574	02/00/2016	"Faktuel Redegørelse Om Administrationen Af Udbytterefusion I Perioden 2010-2015," Skat	SKAT_MDL_001_0075775	SKAT_MDL_001_0075834	1407	MIL, C
4575	08/29/2015	"Intern Revision - SKATs Administration Af Udbytteskat Og Refusion Af Udbytteskat," Skatteministeriet	SKAT_MDL_001_0075835	SKAT_MDL_001_0075957	1408	MIL, IR, 403, C
4576	11/27/2014	Letter from Goal to SKAT Re: A/C Aerovane Logistics LLC Roth 401(k) Plan AERO1	SKSK00000041-0001	SKSK00000041-0006	1801	No Objections
4577	08/19/2014	Acupay letter to SKAT RE Michelle Investments Pension Plan RE reclaim application	SKSK00000829-0001	SKSK00000829-0005	3132	No Objections
4578	06/19/2013	Form W-9 re Calypso Investments Pension Plan Trust	WH_MDL_00000124	WH_MDL_00000122		DNM, H
4579	02/01/2013	Trust Agreement under the RJM Capital Pension Plan	WH_MDL_00000346	WH_MDL_00000365		H
4580	06/19/2013	Certificate of Trust - signed by Jocelyn Markowitz	WH_MDL_00000388	WH_MDL_00000388		H
4581	02/01/2013	RJM Capital Pension Plan Trust Certificate of Trust	WH_MDL_00000391	WH_MDL_00000391		H
4582	01/20/2017	Calypso Investments LLC Solo 401k Plan	WH_MDL_00000884	WH_MDL_00001041		H
4583	Multiple Dates	Bahnsen SJM Decl. Ex. 86_First Republic Bank Account Statement - RJM Capital Pension Plan Trust [Nov. 1, 2013 - Nov. 30, 2013]	WH_MDL_00002397	WH_MDL_00002400		No Objections
4584	Multiple Dates	Bahnsen SJM Decl. Ex. 85_First Republic Bank Account Statement - RJM Capital Pension Plan Trust [Dec. 1, 2013 - Dec. 31, 2013]	WH_MDL_00002401	WH_MDL_00002404		No Objections
4585	2015	2015 Form 5500-EZ - Avanix Capital Management LLC Roth 401k Plan	WH_MDL_00002713	WH_MDL_00002714		H
4586	2014	2014 Form 5500-EZ - Batavia Capital Pension Plan	WH_MDL_00002719	WH_MDL_00002720		H
4587	2013	2013 Form 5500-EZ - RJM Capital Pension Plan	WH_MDL_00002741	WH_MDL_00002742		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4588	2014	2014 Form 5500-EZ - RJM Capital Pension Plan	WH_MDL_00002743	WH_MDL_00002744		H
4589	2015	2015 Form 5500-EZ - RJM Capital Pension Plan	WH_MDL_00002745	WH_MDL_00002746		H
4590	2016	2016 Form 5500-EZ - RJM Capital Pension Plan	WH_MDL_00002747	WH_MDL_00002748		H
4591	01/09/2015	Old Park Lane Capital Custody Invoice for Quarter 4 2014 for Fairlie Pension Plan	WH_MDL_00008995	WH_MDL_00008997		H
4592	01/09/2015	Old Park Lane Invoice Breakdown for Quarter 4 2014 for Monomer Pension Plan	WH_MDL_00008999	WH_MDL_00009001		H
4593	01/09/2015	Old Park Lane Invoice Breakdown for Quarter 4 2014 for Pinax Pension Plan	WH_MDL_00009003	WH_MDL_00009005		H
4594	01/09/2015	Old Park Lane Capital Invoice Breakdown for Quarter 4 2014 for Sternway Pension Plan	WH_MDL_00009007	WH_MDL_00009009		H
4595	04/23/2015	Telesto Custody Invoice for Quarter 1 2015 for Ballast Pension Plan	WH_MDL_00009877	WH_MDL_00009883		H
4596	04/23/2015	Telesto Invoice Breakdown for Quarter 1 2015 for Pinax Pension Plan	WH_MDL_00009885	WH_MDL_00009891		H
4597	04/23/2015	Old Park Lane Custody Invoice for Quarter 1 2015 for Ballast Pension Plan	WH_MDL_00009902	WH_MDL_00009904		H
4598	04/23/2015	Old Park Lane Custody Invoice for Quarter 1 2015 for Fairlie Pension Plan	WH_MDL_00009906	WH_MDL_00009908		H
4599	04/23/2015	Old Park Lane Capital Invoice Breakdown for Quarter 1 2015 for Sternway Pension Plan	WH_MDL_00009910	WH_MDL_00009911		H
4600	04/24/2015	Old Park Lane Invoice Breakdown for Quarter 1 2015 for Monomer Pension Plan	WH_MDL_00009913	WH_MDL_00009921		H
4601	04/24/2015	West Point Custody Invoice for Quarter 1 2015 for Fairlie Pension Plan	WH_MDL_00009923	WH_MDL_00009931		H
4602	04/24/2015	West Point Capital Invoice Breakdown for Quarter 1 2015 for Sternway Pension Plan	WH_MDL_00009933	WH_MDL_00009941		H
4603	07/06/2015	Telesto Custody Invoice for Quarter 2 2015 for Ballast Pension Plan	WH_MDL_00011036	WH_MDL_00011052		H
4604	07/06/2015	Telesto Invoice Breakdown for Quarter 2 2015 for Pinax Pension Plan	WH_MDL_00011054	WH_MDL_00011070		H
4605	07/06/2015	West Point Custody Invoice for Quarter 2 2015 for Fairlie Pension Plan	WH_MDL_00011084	WH_MDL_00011096		H
4606	07/06/2015	West Point Capital Invoice Breakdown for Quarter 2 2015 for Sternway Pension Plan	WH_MDL_00011098	WH_MDL_00011115		H
4607	07/15/2015	Old Park Lane Invoice Breakdown for Quarter 2 2015 for Monomer Pension Plan	WH_MDL_00011227	WH_MDL_00011244		H
4608	10/29/2015	Old Park Lane Capital Custody Invoice for Quarter 3 2015 for Eclouge Pension Plan	WH_MDL_00013208	WH_MDL_00013213		H
4609	10/13/2015	West Point Capital Custody Invoice for Quarter 3 2015 invoice Trailing Edge Pension Plan	WH_MDL_00013720	WH_MDL_00013726		H
4610	08/07/2014	Email from tradeapprovals@oldplc.com to Trading@RoadcraftPension.com re Account (ROA01) - trade matched	WH_MDL_00020216	WH_MDL_00020216		H
4611	08/07/2014	Email from tradeapprovals@oldplc.com to Trading@RoadcraftPension.com re Account (ROA01) - trade approved	WH_MDL_00020232	WH_MDL_00020232		H
4612	08/12/2014	Trade Confirmation to Roadcraft Technologies LLC Roth 401K Plan re TDC DC	WH_MDL_00020284	WH_MDL_00020284		H, INC
4613	10/06/2014	Old Park Lane Capital Custody Invoice for Quarter 3 2014 for PAB Facilities Global LLC Roth 401(K) Plan	WH_MDL_00020358	WH_MDL_00020360		H
4614	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@RoadcraftPension.com re Account (ROA01) - trade matched	WH_MDL_00020482	WH_MDL_00020482		H
4615	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@RoadcraftPension.com re Account (ROA01) - trade approved	WH_MDL_00020494	WH_MDL_00020494		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4616	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@RoadcraftPension.com re Account (ROA01) - trade approved	WH_MDL_00020505	WH_MDL_00020505		H
4617	01/09/2015	Old Park Lane Capital Custody Invoice for Quarter 4 2014 for PAB Facilities Global LLC Roth 401(K) Plan	WH_MDL_00020554	WH_MDL_00020556		H
4618	03/16/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade approved	WH_MDL_00020781	WH_MDL_00020781		H
4619	03/18/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade approved	WH_MDL_00020834	WH_MDL_00020834		H
4620	03/18/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade matched	WH_MDL_00020838	WH_MDL_00020838		H
4621	03/18/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade approved	WH_MDL_00020845	WH_MDL_00020845		H
4622	03/18/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade matched	WH_MDL_00020850	WH_MDL_00020850		H
4623	03/20/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade approved	WH_MDL_00020978	WH_MDL_00020978		H
4624	03/20/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade approved	WH_MDL_00020990	WH_MDL_00020990		H
4625	03/25/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade matched	WH_MDL_00021075	WH_MDL_00021075		H
4626	03/25/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade approved	WH_MDL_00021076	WH_MDL_00021076		H
4627	03/26/2015	Dulberg SJM Decl. Ex. 075_Email from Octave to TJM Partners Trading (Cc: RoadCraft Pension Trading) with Subject: New Equity Order for 887,986 of CARLSBERG AS-B	WH_MDL_00021131	WH_MDL_00021131		DNM, H
4628	03/26/2015	Dulberg SJM Decl. Ex. 076_Email from Solo Trade Approvals to RoadCraft Pension Trading (Cc: TJM Partners Trading; Solo Trade Approvals) with Subject: Account {ROA01} - trade approved	WH_MDL_00021154	WH_MDL_00021154		DNM, H
4629	03/27/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade approved	WH_MDL_00021211	WH_MDL_00021211		H
4630	04/23/2015	Telesto Custody Invoice for Quarter 1 2015 for Bareroot Pension Plan	WH_MDL_00021495	WH_MDL_00021501		H
4631	04/23/2015	Telesto Custody Invoice for Quarter 1 2015 for First Ascent Pension Plan	WH_MDL_00021503	WH_MDL_00021509		H
4632	Q1/2015	Solo Capital Custody Invoice for Quarter 1 for Loggerhead Pension Plan	WH_MDL_00021511	WH_MDL_00021515		H
4633	04/23/2015	Old Park Lane Custody Invoice for Quarter 1 2015 for Bareroot Pension Plan	WH_MDL_00021523	WH_MDL_00021525		H
4634	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for First Ascent Pension Plan	WH_MDL_00021525	WH_MDL_00021527		H, AUTH, F, DNM
4635	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Loggerhead Pension Plan	WH_MDL_00021529	WH_MDL_00021531		H, AUTH, F, DNM
4636	04/23/2015	Old Park Lane Capital Invoice Breakdown for Quarter 1 2015 for Roadcraft Pension Plan	WH_MDL_00021533	WH_MDL_00021539		H, DNM
4637	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Trailing Edge Pension Plan	WH_MDL_00021541	WH_MDL_00021543		H, AUTH, F, DNM
4638	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Eclouge Pension Plan	WH_MDL_00021546	WH_MDL_00021544		H
4639	04/24/2015	Solo Capital Invoice Breakdown for Quarter 1 2015 for Roadcraft Pension Plan	WH_MDL_00021551	WH_MDL_00021554		H, DNM

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4640	04/24/2015	West Point Capital Custody Invoice for Quarter 1 2015 invoice Trailing Edge Pension Plan	WH_MDL_00021556	WH_MDL_00021564		H
4641	05/06/2015	Email from tradeapprovals@solo.com to Trading@RoadcraftPension.com re Account (ROA01) - trade approved	WH_MDL_00021596	WH_MDL_00021596		H
4642	05/06/2015	Email from tradeapprovals@solo.com to Trading@RoadcraftPension.com re Account (ROA01) - trade matched	WH_MDL_00021597	WH_MDL_00021597		H
4643	05/08/2015	Email from tradeapprovals@solo.com to Trading@RoadcraftPension.com re Account (ROA01) - trade approved	WH_MDL_00021631	WH_MDL_00021631		H
4644	05/28/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade approved	WH_MDL_00021758	WH_MDL_00021758		H
4645	05/28/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade matched	WH_MDL_00021759	WH_MDL_00021759		H
4646	05/28/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade approved	WH_MDL_00021760	WH_MDL_00021760		H
4647	06/10/2015	Email from custody@solo.com to Trading@LoggerheadPension.com re Trade Amendment	WH_MDL_00022030	WH_MDL_00022030		H
4648	06/17/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade matched	WH_MDL_00022313	WH_MDL_00022313		H
4649	06/17/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade matched	WH_MDL_00022318	WH_MDL_00022318		H
4650	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade matched	WH_MDL_00022344	WH_MDL_00022344		H
4651	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade matched	WH_MDL_00022347	WH_MDL_00022347		H
4652	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade matched	WH_MDL_00022351	WH_MDL_00022351		H
4653	06/30/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade approved	WH_MDL_00022673	WH_MDL_00022673		H
4654	06/30/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade matched	WH_MDL_00022674	WH_MDL_00022674		H
4655	06/30/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade approved	WH_MDL_00022675	WH_MDL_00022675		H
4656	07/06/2015	Telesto Custody Invoice for Quarter 1 2015 for Bareroot Pension Plan	WH_MDL_00022784	WH_MDL_00022793		H
4657	07/06/2015	Telesto Custody Invoice for Quarter 2 2015 for First Ascent Pension Plan	WH_MDL_00022795	WH_MDL_00022810		H
4658	07/06/2015	West Point Capital Custody Invoice for Quarter 2 2015 invoice Trailing Edge Pension Plan	WH_MDL_00022812	WH_MDL_00022831		H
4659	07/07/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade matched	WH_MDL_00022848	WH_MDL_00022848		H
4660	07/07/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade approved	WH_MDL_00022849	WH_MDL_00022849		H
4661	07/07/2015	Email from solotradeapprovals@solo.com to Trading@LoggerheadPension.com re Account (LOG01) - trade approved	WH_MDL_00022850	WH_MDL_00022850		H
4662	07/08/2015	Email from tradeapprovals@solo.com to Trading@RoadcraftPension.com re Account (ROA01) - trade approved	WH_MDL_00022874	WH_MDL_00022874		H
4663	07/08/2015	Email from tradeapprovals@solo.com to Trading@RoadcraftPension.com re Account (ROA01) - trade matched	WH_MDL_00022875	WH_MDL_00022875		H
4664	07/08/2015	Email from tradeapprovals@solo.com to Trading@RoadcraftPension.com re Account (ROA01) - trade approved	WH_MDL_00022876	WH_MDL_00022876		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4665	07/10/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade approved	WH_MDL_00022929	WH_MDL_00022929		H
4666	07/10/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade approved	WH_MDL_00022931	WH_MDL_00022931		H
4667	07/15/2015	Old Park Lane Capital Custody Invoice for Quarter 2 2015 for Eclouge Pension Plan	WH_MDL_00022978	WH_MDL_00022989		H
4668	08/03/2015	Solo Capital Custody Invoice for Quarter 2 2015 for Loggerhead Capital	WH_MDL_00023156	WH_MDL_00023169		H
4669	08/03/2015	Solo Capital Invoice Breakdown for Quarter 2 2015 for Roadcraft Pension Plan	WH_MDL_00023171	WH_MDL_00023183		H
4670	12/31/2015	Telesto Markets LLP Statement Summary for Bareroot Capital Investments LLC 401(K) Plan	WH_MDL_00023457	WH_MDL_00023483		H
4671	07/21/2014	Bahnsen SJM Decl. Ex. 74 Roadcraft Tech LLC Roth 401(K) Plan	WH_MDL_00025060	WH_MDL_00025160		DNM, H, AUTH, F
4672	Multiple Dates	Table of Contents with Executed Documents for Bareroot Capital LLC Roth 401(k) Plan Certification of Trust, Operating Agreement, Certificate of Formation, IRS Employer Identification Number, Roth 401(k) Plan IRS Employer Identification Number, Roth 401(k) Plan W-9 Request for Taxpayer Identification Number and Certification, Roth 401(k) Plan Limited Power of Attorney, Roth 401(k) Plan, Formal Record of Action	WH_MDL_00028536	WH_MDL_00028686		MIL, H, AUTH, F
4673	06/16/2014	Bahnsen SJM Decl. Ex. 52_Operating Agreement of Roadcraft Technologies LLC	WH_MDL_00029161	WH_MDL_00029186		DNM, H, AUTH, F
4674	2014	Bahnsen SJM Decl. Ex. 80_Agreement between GOAL Taxback Limited and Roadcraft Technologies LLC Roth 401(K) Plan	WH_MDL_00029587	WH_MDL_00029601		DNM, MIL, H AUTH, F, 4 403, IR
4675	03/12/2015	Trade Confirmation to Bareroot Capital Investments LLC 401(K) Plan re DSV DC	WH_MDL_00029732	WH_MDL_00029732		H
4676	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BarerootPension.com re Account (BAR01) - trade matched	WH_MDL_00029807	WH_MDL_00029807		H
4677	02/06/2015	Email from admin@RouttPension to John Van Merkensteijn, Robert Klugman Subject: Re: Cash Statements From OPL as of Jan 31st	WH_MDL_00030366	WH_MDL_00030366		H, INC
4678	04/23/2015	Solo Capital Custody Invoice for Quarter 1 2015 for Avanix Pension Plan	WH_MDL_00030468	WH_MDL_00030472		H
4679	04/23/2015	Old Park Lane Custody Invoice for Quarter 1 2015 for Avanix Pension Plan	WH_MDL_00030474	WH_MDL_00030476		H
4680	10/09/2015	West Point Custody Invoice for Quarter 3 2015 for Hadron Pension Plan	WH_MDL_00031301	WH_MDL_00031307		H
4681	10/23/2015	Old Park Lane Capital Invoice Breakdown for Quarter 3 2015 for Routt Pension Plan	WH_MDL_00031313	WH_MDL_00031318		H
4682	09/12/2012	Solo Capital Custody Invoice for August 2012 for Lion Advisory Pension Plan	WH_MDL_00031393	WH_MDL_00031394		H
4683	09/12/2012	Solo Capital Custody Invoice for August 2012 for Delvian Pension Plan	WH_MDL_00031397	WH_MDL_00031398		H
4684	10/10/2012	Solo Capital Custody Invoice for September 2012 for Lion Advisory Pension Plan	WH_MDL_00031538	WH_MDL_00031539		H
4685	10/10/2012	Solo Capital Custody Invoice for September 2012 for Delvian Pension Plan	WH_MDL_00031542	WH_MDL_00031543		H
4686	11/02/2012	Solo Capital Custody Invoice for October 2012 for Delvian Pension Plan	WH_MDL_00031843	WH_MDL_00031843		H
4687	11/02/2012	Solo Capital Custody Invoice for October 2012 for Lion Advisory Pension Plan	WH_MDL_00031844	WH_MDL_00031844		H
4688	12/10/2012	Solo Capital Custody Invoice for November 2012 for Lion Advisory Pension Plan	WH_MDL_00032015	WH_MDL_00032016		H
4689	01/08/2013	Solo Capital Custody Invoice December 2012 for Michelle Investments Pension Plan	WH_MDL_00032138	WH_MDL_00032139		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4690	01/08/2013	Solo Capital Custody Invoice for December 2012 for Lion Advisory Pension Plan	WH_MDL_00032144	WH_MDL_00032145		H
4691	01/08/2013	Solo Capital Custody Invoice for December 2012 for Delvian Pension Plan	WH_MDL_00032148	WH_MDL_00032149		H
4692	01/08/2013	Solo Capital Custody Invoice for December 2013 for Raubritter Pension Plan	WH_MDL_00032152	WH_MDL_00032153		H
4693	02/06/2013	Solo Capital Custody Invoice for January 2013 for Lion Advisory Pension Plan	WH_MDL_00032175	WH_MDL_00032176		H
4694	02/11/2013	Solo Capital Custody Invoice for November 2012 for Delvian Pension Plan	WH_MDL_00032186	WH_MDL_00032187		H
4695	02/13/2013	Solo Capital Custody Invoice for January 2013 for Delvian Pension Plan	WH_MDL_00032289	WH_MDL_00032290		H
4696	03/13/2013	Solo Capital Custody Invoice for February 2013 for Davin Pension Plan	WH_MDL_00032435	WH_MDL_00032436		H
4697	04/16/2013	Solo Capital Custody Invoice for March 2013 for Davin Pension Plan	WH_MDL_00032490	WH_MDL_00032491		H
4698	04/16/2013	Solo Capital Custody Invoice for March 2013 for Laegeler Pension Plan	WH_MDL_00032492	WH_MDL_00032493		H
4699	04/16/2013	Solo Capital Custody Invoice for March 2013 for Lion Advisory Pension Plan	WH_MDL_00032494	WH_MDL_00032496		H
4700	03/31/2013	Solo Capital: Open Position Statement to Bernina Pension Plan	WH_MDL_00032533	WH_MDL_00032543	5222	H
4701	04/17/2013	Solo Capital Custody Invoice for March 2013 for DFL Investments Pension Plan	WH_MDL_00032544	WH_MDL_00032545		H
4702	04/17/2013	Solo Capital Custody Invoice for March 2013 for Bernina Pension Plan	WH_MDL_00032546	WH_MDL_00032547		H
4703	04/22/2013	Schoenfeld SJM Opp. Decl. Ex. 13_Ganymede Cayman Limited Invoice No. 00031	WH_MDL_00032712	WH_MDL_00032712		H
4704	05/03/2013	Solo Capital Custody Invoice for April 2013 for Bernina Pension Plan	WH_MDL_00032756	WH_MDL_00032757		H
4705	05/03/2013	Solo Capital Custody Invoice for April 2013 for Davin Pension Plan	WH_MDL_00032760	WH_MDL_00032761		H
4706	05/03/2013	Solo Capital Custody Invoice for April 2013 for Delvian Pension Plan	WH_MDL_00032762	WH_MDL_00032763		H
4707	05/03/2013	Solo Capital Custody Invoice for April 2013 for DFL Investments Pension Plan	WH_MDL_00032764	WH_MDL_00032765		H
4708	05/03/2013	Solo Capital Custody Invoice for April 2013 for Laegeler Pension Plan	WH_MDL_00032766	WH_MDL_00032767		H
4709	05/03/2013	Solo Capital Custody Invoice for April 2013 for Lion Advisory Pension Plan	WH_MDL_00032768	WH_MDL_00032769		H
4710	06/12/2013	Solo Capital Custody Invoice for May 2013 for 2321 Capital Pension Plan	WH_MDL_00033223	WH_MDL_00033224		H
4711	06/12/2013	Solo Capital Custody Invoice for May 2013 for Davin Pension Plan	WH_MDL_00033225	WH_MDL_00033226		H
4712	06/12/2013	Solo Capital Custody Invoice for May 2013 for Delvian Pension Plan	WH_MDL_00033227	WH_MDL_00033228		H
4713	06/12/2013	Solo Capital Custody Invoice for May 2013 for DFL Investments Pension Plan	WH_MDL_00033229	WH_MDL_00033230		H
4714	06/12/2013	Solo Capital Custody Invoice for May 2013 for Laegeler Pension Plan	WH_MDL_00033231	WH_MDL_00033232		H
4715	06/12/2013	Solo Capital Custody Invoice for May 2013 for Lion Advisory Pension Plan	WH_MDL_00033233	WH_MDL_00033234		H
4716	07/03/2013	Solo Capital Custody Invoice for June 2013 for Bernina Pension Plan	WH_MDL_00033304	WH_MDL_00033305		H
4717	07/03/2013	Solo Capital Custody Invoice for June 2013 for 2321 Capital Pension Plan	WH_MDL_00033308	WH_MDL_00033309		H
4718	07/03/2013	Solo Capital Custody Invoice for June 2013 for Davin Pension Plan	WH_MDL_00033310	WH_MDL_00033311		H
4719	07/03/2013	Solo Capital Custody Invoice for June 2013 for Delvian Pension Plan	WH_MDL_00033312	WH_MDL_00033313		H
4720	07/03/2013	Solo Capital Custody Invoice for June 2013 for DFL Investments Pension Plan	WH_MDL_00033314	WH_MDL_00033315		H
4721	07/03/2013	Solo Capital Custody Invoice for June 2013 for Lion Advisory Pension Plan	WH_MDL_00033318	WH_MDL_00033319		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4722	08/05/2013	Solo Capital Custody Invoice for July 2013 for 2321 Capital Pension Plan	WH_MDL_00033380	WH_MDL_00033380		H
4723	08/05/2013	Solo Capital Custody Invoice for July 2013 for Davin Pension Plan	WH_MDL_00033381	WH_MDL_00033381		H
4724	08/05/2013	Solo Capital Custody Invoice for July 2013 for DFL Investments Pension Plan	WH_MDL_00033382	WH_MDL_00033382		H
4725	08/05/2013	Solo Capital Custody Invoice for July 2013 for Laegeler Pension Plan	WH_MDL_00033383	WH_MDL_00033383		H
4726	08/05/2013	Solo Capital Custody Invoice for July 2013 for Lion Advisory Pension Plan	WH_MDL_00033384	WH_MDL_00033384		H
4727	08/05/2013	Solo Capital Custody Invoice for July 2013 for Delvian Pension Plan	WH_MDL_00033394	WH_MDL_00033394		H
4728	09/12/2013	Solo Capital Custody Invoice for June 2013 for Bernina Pension Plan	WH_MDL_00033445	WH_MDL_00033446		H
4729	09/12/2013	Solo Capital Custody Invoice for August 2013 for 2321 Capital Pension Plan	WH_MDL_00033449	WH_MDL_00033450		H
4730	09/12/2013	Solo Capital Custody Invoice for August 2013 for Davin Pension Plan	WH_MDL_00033451	WH_MDL_00033452		H
4731	09/12/2013	Solo Capital Custody Invoice for August 2013 for Delvian Pension Plan	WH_MDL_00033453	WH_MDL_00033454		H
4732	09/12/2013	Solo Capital Custody Invoice for August 2013 for DFL Investments Pension Plan	WH_MDL_00033455	WH_MDL_00033456		H
4733	09/12/2013	Solo Capital Custody Invoice for August 2013 for Laegeler Pension Plan	WH_MDL_00033457	WH_MDL_00033458		H
4734	09/12/2013	Solo Capital Custody Invoice for August 2013 for Lion Advisory Pension Plan	WH_MDL_00033459	WH_MDL_00033460		H
4735	10/11/2013	Solo Capital Custody Invoice for September 2013 for Delvian Pension Plan	WH_MDL_00033542	WH_MDL_00033543		H
4736	10/11/2013	Solo Capital Custody Invoice for September 2013 for 2321 Capital Pension Plan	WH_MDL_00033548	WH_MDL_00033549		H
4737	10/16/2013	Solo Capital Custody Invoice for September 2013 for Laegeler Pension Plan	WH_MDL_00033558	WH_MDL_00033559		H
4738	11/18/2013	Solo Capital Custody Invoice for October 2013 for Laegeler Pension Plan	WH_MDL_00033603	WH_MDL_00033605		H
4739	11/18/2013	Solo Capital Custody Invoice for October 2013 for 2321 Capital Pension Plan	WH_MDL_00033606	WH_MDL_00033608		H
4740	11/18/2013	Solo Capital Custody Invoice for October 2013 for Davin Pension Plan	WH_MDL_00033615	WH_MDL_00033617		H
4741	11/18/2013	Solo Capital Custody Invoice for October 2013 for Lion Advisory Pension Plan	WH_MDL_00033621	WH_MDL_00033623		H
4742	2014	Form 8802 Application for United States Residency Certification - RJM Capital Pension Plan	WH_MDL_00033719	WH_MDL_00033721		AUTH, F, H
4743	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Delvian Pension Plan	WH_MDL_00034108	WH_MDL_00034111		H
4744	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Lion Advisory Pension Plan	WH_MDL_00034112	WH_MDL_00034115		H
4745	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for 2321 Capital Pension Plan	WH_MDL_00034136	WH_MDL_00034139		H
4746	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Davin Pension Plan	WH_MDL_00034140	WH_MDL_00034143		H
4747	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Bernina Pension Plan	WH_MDL_00034144	WH_MDL_00034147		H
4748	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for DFL Investments Pension Plan	WH_MDL_00034148	WH_MDL_00034151		H
4749	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Laegeler Pension Plan	WH_MDL_00034152	WH_MDL_00034155		H
4750	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Azalea Pension Plan	WH_MDL_00034176	WH_MDL_00034179		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4751	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Batavia Pension Plan	WH_MDL_00034180	WH_MDL_00034183		H
4752	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Calypso Pension Plan	WH_MDL_00034184	WH_MDL_00034187		H
4753	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Clove Pension Plan	WH_MDL_00034188	WH_MDL_00034191		H
4754	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Bowline Pension Plan	WH_MDL_00034192	WH_MDL_00034195		H
4755	04/21/2014	Solo Capital Custody Invoice for Quarter 1 2014 for Tarvos Pension Plan	WH_MDL_00034196	WH_MDL_00034199		H
4756	08/07/2014	Dulberg SJM Decl. Ex. 070 Email from DivArb Trader to Adrian Milne; Patrick Milne with Subject: Request for Liquidity - TDC DC	WH_MDL_00034875	WH_MDL_00034875		DNM, H
4757	08/07/2014	Email from trading@BasaltPension.com to Adrian Milne and Patrick Milne (Bastion Capital) re Request for Liquidity - TDC DC	WH_MDL_00034875	WH_MDL_00034875		DUPLICATE, H
4758	08/07/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade entry acknowledged	WH_MDL_00034909	WH_MDL_00034909		H
4759	08/07/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade entry acknowledged	WH_MDL_00034927	WH_MDL_00034927		H
4760	08/07/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade entry acknowledged	WH_MDL_00035080	WH_MDL_00035080		H
4761	08/07/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00035128	WH_MDL_00035128		H
4762	08/07/2015	Email from tradeapprovals@oldplc.com to Trading@AvanixPension.com re Account (AVA01) - trade matched	WH_MDL_00035144	WH_MDL_00035144		H
4763	08/07/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00035160	WH_MDL_00035160		H
4764	08/07/2014	Email from tradeapprovals@oldplc.com to Trading@AvanixPension.com re Account (AVA01) - trade approved	WH_MDL_00035165	WH_MDL_00035165		H
4765	08/07/2014	Bastion Capital London Ltd Equities Trade Confirmation to Basalt Ventures LLC Roth 401K Plan re TDC A/S	WH_MDL_00035237	WH_MDL_00035237		H
4766	08/07/2014	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re August 7, 2014 Trade (Buy)	WH_MDL_00035237	WH_MDL_00035237		DUPLICATE, H
4767	08/07/2014	Bastion Capital London Ltd Equities Trade Confirmation to Roadcraft Technologis LLC Roth 401K Plan re TDC A/S	WH_MDL_00035254	WH_MDL_00035254		AUTH, F, H
4768	08/12/2014	Email from trading@BasaltPension.com to Bhupendra Mistry re TDC DC Borrow	WH_MDL_00035269	WH_MDL_00035269		H, INC
4769	08/12/2015	Trade Confirmation to Avanix Management LLC Roth 401(k) re TDC DC	WH_MDL_00035342	WH_MDL_00035342		H, INC
4770	08/12/2014	Email from trading@BasaltPension.com to Bhupendra Mistry re TDC DC Borrow	WH_MDL_00035344	WH_MDL_00035344		H, INC
4771	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 5, 2015 Trade (Buy)	WH_MDL_00035345	WH_MDL_00035345		H, INC
4772	08/12/2014	Email from trading@BasaltPension.com to Bhupendra Mistry re TDC DC Borrow	WH_MDL_00035347	WH_MDL_00035347		H, INC
4773	08/13/2014	Confirmation of Loan of Securities by Basalt Ventures LLC Roth 401k Plan to Gnosis Capital Limited re TDC DC (Issuer)	WH_MDL_00035348	WH_MDL_00035348		H, INC
4774	10/06/2014	Old Park Lane Custody Invoice for Quarter 3 2014 for Aerovane Pension Plan	WH_MDL_00035610	WH_MDL_00035612		H
4775	10/06/2014	Old Park Lane Capital Custody Invoice for Quarter 3 2014 for Edgepoint Pension Plan	WH_MDL_00035614	WH_MDL_00035616		H
4776	10/06/2014	Old Park Lane Capital Custody Invoice for Quarter 3 2014 for Headsail Pension Plan	WH_MDL_00035618	WH_MDL_00035620		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4777	10/06/2014	Old Park Lane Invoice Breakdown for Quarter 3 2014 for Random Holdings Pension Plan	WH_MDL_00035622	WH_MDL_00035624		H
4778	10/06/2014	Remittance breakdownfor Basalt Ventures from Old Park Lane Q3/2014	WH_MDL_00035630	WH_MDL_00035632		H
4779	10/06/2014	Old Park Lane Capital Custody Invoice for Quarter 3 2014 for Starfish Pension Plan	WH_MDL_00035678	WH_MDL_00035680		H
4780	10/06/2014	Old Park Lane Capital Custody Invoice for Quarter 3 2014 for Omineca Pension Plan	WH_MDL_00035690	WH_MDL_00035692		H
4781	10/29/2014	Solo Capital Custody Invoice for Quarter 3 2014 for Bernina Pension Plan	WH_MDL_00035891	WH_MDL_00035896		H
4782	10/29/2014	Solo Capital Remittance Breakdown for Quarter 3 2014 breakdown Tarvos Pension Plan	WH_MDL_00035963	WH_MDL_00035968		H
4783	11/05/2014	Email from trading@BasaltPension.com to brokerage@ballygatecapital re Request for Liquidity - TDC DC	WH_MDL_00036066	WH_MDL_00036066		H
4784	11/05/2014	Email from trading@BasaltPension.com to brokerage@ballygatecapital re Request for Liquidity - TDC DC	WH_MDL_00036077	WH_MDL_00036077		H
4785	11/05/2014	Email from Ballygate Brokerage to trading@BasaltPension.com re Request for Liquidity - TDC DC	WH_MDL_00036093	WH_MDL_00036093		H
4786	11/05/2014	Email from Ballygate Brokerage to trading@BasaltPension.com re Request for Liquidity - TDC DC	WH_MDL_00036150	WH_MDL_00036150		H
4787	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade entry acknowledged	WH_MDL_00036274	WH_MDL_00036274		H
4788	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@AvanixPension.com re Account (AVA01) - trade entry acknowledged	WH_MDL_00036281	WH_MDL_00036281		H
4789	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade entry acknowledged	WH_MDL_00036374	WH_MDL_00036374		H
4790	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade entry acknowledged	WH_MDL_00036406	WH_MDL_00036406		H
4791	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@AvanixPension.com re Account (AVA01) - trade matched	WH_MDL_00036416	WH_MDL_00036416		H
4792	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00036420	WH_MDL_00036420		H
4793	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00036465	WH_MDL_00036465		H
4794	11/05/2014	Email from tradeapprovals@oldplc.com to brokerage@ballygatecapital.com re: Account (BCL01) - trade approved	WH_MDL_00036466	WH_MDL_00036466		H
4795	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@AvanixPension.com re Account (AVA01) - trade approved	WH_MDL_00036481	WH_MDL_00036481		H
4796	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00036499	WH_MDL_00036499		H
4797	11/05/2014	Email from tradeapprovals@oldplc.com to Trading@AvanixPension.com re Account (AVA01) - trade approved	WH_MDL_00036501	WH_MDL_00036501		H
4798	12/17/2014	IRS Letter to RJM Capital LLC re Form 5500-EZ	WH_MDL_00037127	WH_MDL_00037128		H
4799	01/09/2015	Old Park Lane Capital PLC Invoice to Basalt Ventures LLC Roth 401(K) Plan re Quarter 4 - 2014	WH_MDL_00037273	WH_MDL_00037275		H
4800	01/09/2015	Old Park Lane Capital Custody Invoice for Quarter 4 2014 for Omineca Pension Plan	WH_MDL_00037331	WH_MDL_00037333		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4801	02/25/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com re Equity Liquidity Order Filled for 777,153 of NOVOZYMES A/S-B	WH_MD_L_00038161	WH_MD_L_00038161		H
4802	02/15/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com re Forward Order Filled for 777,153 of NOVOZYMES A/S-B	WH_MD_L_00038162	WH_MD_L_00038162		DNM, H
4803	02/25/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 25th February, 2015	WH_MD_L_00038185	WH_MD_L_00038186		H
4804	02/25/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MD_L_00038219	WH_MD_L_00038219		H
4805	02/26/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@allitsenasset.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MD_L_00038303	WH_MD_L_00038303		H
4806	02/27/2015	Email from octave@hydracapitallimited.com to info@tranceservices.com copying Trading@BasaltPension.com re New Stock Loan Order for 777,153 of NOVOZYMES A/S-B	WH_MD_L_00038342	WH_MD_L_00038342		H
4807	02/27/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@tranceservices.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MD_L_00038352	WH_MD_L_00038352		H
4808	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MD_L_00038526	WH_MD_L_00038526		H
4809	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 5, 2015 Trade (Buy)	WH_MD_L_00038528	WH_MD_L_00038528		H
4810	03/12/2015	TJM Partnership PLC Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 12, 2015 (Buy)	WH_MD_L_00038759	WH_MD_L_00038759		H
4811	03/12/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MD_L_00038802	WH_MD_L_00038802		H
4812	03/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com re Stock Loan Order Filled for 765,908 of DSV A/S	WH_MD_L_00038850	WH_MD_L_00038850		H
4813	03/18/2015	Email from octave@hydracapitallimited.com to sunrise@sunrisebrokers.com re New Equity Order for 450,631 of PANDORA A/S	WH_MD_L_00038976	WH_MD_L_00038976		H
4814	03/18/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Equity Liquidity Order Filled for 450,631 of PANDORA A/S	WH_MD_L_00038980	WH_MD_L_00038980		H
4815	03/18/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com re Forward Order Filled for 450,631 of PANDORA A/S	WH_MD_L_00038985	WH_MD_L_00038985		H
4816	03/18/2015	Email from octave@hydracapitallimited.com to sunrise@sunrisebrokers.com copying Trading@BasaltPension.com re New Equity Order for 3,271,664 of Danske Bank A/S	WH_MD_L_00038999	WH_MD_L_00038999		H
4817	03/18/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension copying sunrise@sunrisebrokers.com re Equity Liquidity Order Filled for 3,271,664 of Danske Bank A/S	WH_MD_L_00039002	WH_MD_L_00039002		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4818	03/18/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com re Forward Order Filled for 3,271,664 of Danske Bank A/S	WH_MDL_00039004	WH_MDL_00039004		H
4819	03/18/2015	Email from octave@hydracapitallimited.com to trading@RAKTrust.com CC: sunrise@sunrisebrokers.com Subject: Equity Liquidity Order Filled for 486,634 of PANDORA A/S	WH_MDL_00039021	WH_MDL_00039021		H
4820	03/18/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: execution@connaught-global.com Subject: Forward Order Filled for 486,634 of PANDORA A/S	WH_MDL_00039026	WH_MDL_00039026		H
4821	03/18/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com re Account (BVL01) - trade approved	WH_MDL_00039341	WH_MDL_00039341		H
4822	03/18/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00039345	WH_MDL_00039345		H
4823	03/18/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00039345	WH_MDL_00039345		H
4824	03/18/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com re Account (BVL01) - trade matched	WH_MDL_00039349	WH_MDL_00039350		H
4825	03/18/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00039356	WH_MDL_00039356		H
4826	03/18/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00039356	WH_MDL_00039356		H
4827	03/19/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 18th March 2015	WH_MDL_00039499	WH_MDL_00039500		H
4828	03/19/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 18th March 2015	WH_MDL_00039503	WH_MDL_00039504		H
4829	03/19/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 596,146 of GN STORE NORD A/S	WH_MDL_00039554	WH_MDL_00039554		H
4830	03/19/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 596,146 of GN STORE NORD A/S	WH_MDL_00039558	WH_MDL_00039558		H
4831	03/19/2015	Email from octave@hydracapitallimited.com to trading@tjpartners.com re New Equity Order for 605,292 of GN STORE NORD A/S	WH_MDL_00039564	WH_MDL_00039564		H
4832	03/19/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Equity Liquidity Order Filled for 605,292 of GN STORE NORD A/S	WH_MDL_00039571	WH_MDL_00039571		H
4833	03/19/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com re New Forward Order for 605,292 of underlying GN STORE NORD A/S	WH_MDL_00039572	WH_MDL_00039572		H
4834	03/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com re Forward Order Filled for 605,292 of GN STORE NORD A/S	WH_MDL_00039574	WH_MDL_00039574		H
4835	03/19/2015	Email from octave@hydracapitallimited.com to trading@tjpartners.com copying Trading@BasaltPension.com re New Equity Order for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00039591	WH_MDL_00039591		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4836	03/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@tjmpartners.com re Equity Liquidity Order Filled for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00039604	WH_MDL_00039604		H
4837	03/19/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com copying Trading@BasaltPension.com re New Forward Order for 6,448,211 of underlying NOVO NORDISK A/S-B	WH_MDL_00039607	WH_MDL_00039607		H
4838	03/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@gartsideglobal.com re Forward Order Filled for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00039619	WH_MDL_00039619		H
4839	03/19/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 6,350,778 of NOVO NORDISK A/S-B	WH_MDL_00039691	WH_MDL_00039691		H
4840	03/19/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 6,350,778 of NOVO NORDISK A/S-B	WH_MDL_00039693	WH_MDL_00039693		H
4841	03/19/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@tjmpartners.com re Account (BVL01) - trade approved	WH_MDL_00039786	WH_MDL_00039786		H
4842	03/19/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00039791	WH_MDL_00039791		H
4843	03/19/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@gartsideglobal.com re Account (BVL01) - trade matched	WH_MDL_00039805	WH_MDL_00039805		H
4844	03/19/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00039808	WH_MDL_00039808		H
4845	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00039868	WH_MDL_00039868		H
4846	03/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00039871	WH_MDL_00039871		H
4847	03/19/2015	TJM Partnership PLC Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 19, 2015 (Buy)	WH_MDL_00039879	WH_MDL_00039879		H
4848	03/19/2015	TJM Partnership PLC Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 19, 2015 (Buy)	WH_MDL_00039886	WH_MDL_00039886		H
4849	03/20/2015	Email from octave@hydracapitallimited.com to info@princesolutionsltd.com copying Trading@BasaltPension.com re New Stock Loan Order for 3,271,664 of Danske Bank A/S	WH_MDL_00039931	WH_MDL_00039931		H
4850	03/20/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Stock Loan Order Filled for 3,271,664 of Danske Bank A/S	WH_MDL_00039936	WH_MDL_00039936		H
4851	03/20/2015	Email from octave@hydracapitallimited.com to trading@RAKTrust.com CC: info@princesolutionsltd.com Subject: Stock Loan Order Filled for 486,634 of PANDORA A/S	WH_MDL_00039949	WH_MDL_00039949		H
4852	03/20/2015	Email from octave@hydracapitallimited.com to info@princesolutionsltd.com re New Stock Loan Order for 450,631 of PANDORA A/S	WH_MDL_00039999	WH_MDL_00039999		H
4853	03/20/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Stock Loan Order Filled for 450,631 of PANDORA A/S	WH_MDL_00040006	WH_MDL_00040006		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4854	03/20/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Account (BVL01) - trade approved	WH_MDL_00040106	WH_MDL_00040106		H
4855	03/20/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00040107	WH_MDL_00040107		H
4856	03/20/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00040107	WH_MDL_00040107		H
4857	03/23/2015	Email from octave@hydracapitallimited.com to info@treehurst.com re New Stock Loan Order for 605,292 of GN STORE NORD A/S	WH_MDL_00040186	WH_MDL_00040186		H
4858	03/23/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Stock Loan Order Filled for 605,292 of GN STORE NORD A/S	WH_MDL_00040187	WH_MDL_00040187		H
4859	03/23/2015	Email from octave@hydracapitallimited.com to info@treehurst.com copying Trading@BasaltPension.com re New Stock Loan Order for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00040218	WH_MDL_00040218		H
4860	03/23/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@treehurst.com re Stock Loan Order Filled for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00040220	WH_MDL_00040220		H
4861	03/23/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00040306	WH_MDL_00040306		H
4862	03/23/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@treehurst.com re Account (BVL01) - trade approved	WH_MDL_00040307	WH_MDL_00040307		H
4863	03/25/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk copying Trading@BasaltPension.com re New Equity Order of TRYG A/S	WH_MDL_00040412	WH_MDL_00040412		H
4864	03/25/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Equity Liquidity Order Filled for 35,209 of TRYG A/S	WH_MDL_00040414	WH_MDL_00040414		H
4865	03/25/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 35,209 of underlying TRYG A/S	WH_MDL_00040415	WH_MDL_00040415		H
4866	03/25/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Forward Order Filled for 35,209 of TRYG A/S	WH_MDL_00040418	WH_MDL_00040418		H
4867	03/25/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Account (BVL01) - trade approved	WH_MDL_00040539	WH_MDL_00040539		H
4868	03/25/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@lyallcapital.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00040540	WH_MDL_00040540		H
4869	03/25/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 25, 2015 Trade (Buy)	WH_MDL_00040565	WH_MDL_00040565		H
4870	03/26/2015	Email from octave@hydracapitallimited.com to sunriseccross@sunrisebrokers.com copying Trading@BasaltPension.com re New Equity Order for 178,044 of CARLSBERG AS-B	WH_MDL_00040596	WH_MDL_00040596		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4871	03/26/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com re Equity Liquidity Order Filled for 178,044 of CARLSBERG AS-B	WH_MD_L_00040598	WH_MD_L_00040598		H
4872	03/26/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com copying Trading@BasaltPension.com re New Forward Order for 178,044 of underlying CARLSBERG AS-B	WH_MD_L_00040599	WH_MD_L_00040599		H
4873	03/26/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@connaught-global.com re Forward Order Filled for 178,044 of CARLSBERG AS-B	WH_MD_L_00040602	WH_MD_L_00040602		H
4874	03/26/2015	TJM Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 26, 2015 Trade (Buy)	WH_MD_L_00040895	WH_MD_L_00040896		H
4875	03/26/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension copying trading@tjpartners.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MD_L_00040946	WH_MD_L_00040946		H
4876	03/26/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@gartsidglobal.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MD_L_00040947	WH_MD_L_00040947		H
4877	03/26/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com re Account (BVL01) - trade matched	WH_MD_L_00040948	WH_MD_L_00040948		H
4878	03/26/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com re Account (BVL01) - trade approved	WH_MD_L_00040949	WH_MD_L_00040949		H
4879	03/27/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 26th March 2015	WH_MD_L_00041030	WH_MD_L_00041031		H
4880	03/27/2015	Email from octave@hydracapitallimited.com to info@diversevision.com copying Trading@BasaltPension.com re New Stock Loan Order for 35,209 of TRYG A/S	WH_MD_L_00041076	WH_MD_L_00041076		H
4881	03/27/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@diversevision.com re Stock Loan Order Filled for 35,209 of TRYG A/S	WH_MD_L_00041077	WH_MD_L_00041077		H
4882	03/27/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@diversevision.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MD_L_00041082	WH_MD_L_00041082		H
4883	03/30/2015	Email from octave@hydracapitallimited.com to info@princesolutionsltd.com copying Trading@BasaltPension.com re New Stock Loan Order for 178,044 of CARLSBERG AS-B	WH_MD_L_00041154	WH_MD_L_00041154		H
4884	03/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Stock Loan Order Filled for 178,044 of CARLSBERG AS-B	WH_MD_L_00041155	WH_MD_L_00041155		H
4885	03/30/2015	Email from octave@hydracapitallimited.com to sunrise@sunrisebrokers.com re New Equity Order for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MD_L_00041259	WH_MD_L_00041259		H
4886	03/30/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Equity Liquidity Order for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MD_L_00041283	WH_MD_L_00041283		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4887	03/30/2015	Email from octave@hydracapitallimited.com to trading@gartside.com re New Forward Order for 373,474 of underlying VESTAS WIND SYSTEMS A/S	WH_MDL_00041284	WH_MDL_00041284		H
4888	03/30/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 7,903 of AP MOELLER MAERSK A/S-B	WH_MDL_00041303	WH_MDL_00041303		H
4889	03/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com re Forward Order Filled for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00041311	WH_MDL_00041311		H
4890	03/30/2015	Email from octave@hydracapitallimited.com to sunriseccross@sunrisebrokers.com copying Trading@BasaltPension.com re New Equity Order for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00041330	WH_MDL_00041330		H
4891	03/30/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 7,903 of AP MOELLER MAERSK A/S-B	WH_MDL_00041343	WH_MDL_00041343		H
4892	03/30/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com re New Forward Order for 7,877 of underlying AP MOELLER-MAERSK A/S-B	WH_MDL_00041363	WH_MDL_00041363		H
4893	03/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@allitsenasset.com re Forward Order Filled for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00041378	WH_MDL_00041378		H
4894	03/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com re Stock Loan Order Filled for 80,009 of FLSMIDTH & CO A/S	WH_MDL_00041387	WH_MDL_00041387		H
4895	03/30/2015	Email from octave@hydracapitallimited.com to sunriseccross@sunrisebrokers.com copying Trading@BasaltPension.com re New Equity Order for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00041449	WH_MDL_00041449		H
4896	03/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying sunriseccross@sunrisebrokers.com re Equity Liquidity order filled for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00041459	WH_MDL_00041459		H
4897	03/30/2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com copying Trading@BasaltPension.com re New Forward Order for 7,873 of underlying AP MOELLER-MAERSK A/S - A	WH_MDL_00041460	WH_MDL_00041460		H
4898	03/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@allitsenasset.com re Forward Order Filled for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00041465	WH_MDL_00041465		H
4899	03/30/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 7,905 of AP MOELLER-MAERSK A/S-A	WH_MDL_00041531	WH_MDL_00041531		H
4900	03/30/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 7,905 of AP MOELLER-MAERSK A/S-A	WH_MDL_00041538	WH_MDL_00041538		H
4901	03/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying sunriseccross@sunrisebrokers.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00041675	WH_MDL_00041675		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4902	03/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying sunriseccross@sunrisebrokers.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00041676	WH_MDL_00041676		H
4903	03/30/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00041677	WH_MDL_00041677		H
4904	03/30/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00041678	WH_MDL_00041678		H
4905	03/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00041679	WH_MDL_00041679		H
4906	03/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Account (BVL01) - trade approved	WH_MDL_00041680	WH_MDL_00041680		H
4907	03/30/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com copying info@treehurst.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00041681	WH_MDL_00041681		H
4908	03/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@allitsenasset.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00041682	WH_MDL_00041682		H
4909	03/30/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00041704	WH_MDL_00041704		H
4910	03/30/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00041706	WH_MDL_00041706		H
4911	03/31/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 30th March 2015	WH_MDL_00041889	WH_MDL_00041890		H
4912	03/31/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 30th March 2015	WH_MDL_00041913	WH_MDL_00041914		H
4913	04/01/2015	Email from octave@hydracapitallimited.com to info@princesolutionsltd.com copying Trading@BasaltPension.com re New Stock Loan Order for 7,873 of AP MOELLER-MAERSK A/S - A	WH_MDL_00041994	WH_MDL_00041994		H
4914	04/01/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Stock Loan Order Filled for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00041996	WH_MDL_00041996		H
4915	04/01/2015	Email from octave@hydracapitallimited.com to info@tranceservices.com copying Trading@BasaltPension.com re New Stock Loan Order for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00042062	WH_MDL_00042062		H
4916	04/01/2015	Email from octave@hydracapitallimited.com to info@tranceservices.com re New Stock Loan Order for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00042064	WH_MDL_00042064		H
4917	04/01/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@tranceservices.com re Stock Loan Order Filled for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00042066	WH_MDL_00042066		H
4918	04/01/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Stock Loan Order Filled for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00042068	WH_MDL_00042068		H
4919	04/01/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@princesolutionsltd.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00042105	WH_MDL_00042105		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4920	04/01/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@tranceservices.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00042106	WH_MDL_00042106		H
4921	04/01/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00042107	WH_MDL_00042107		H
4922	04/20/2015	Bastion Capital London Ltd Invoice to The Stor Capital Consulting 401k Plan	WH_MDL_00042695	WH_MDL_00042695		H
4923	04/23/2015	Telesto Custody invoice for Quarter 1 2015 for Fulcrum Pension Plan	WH_MDL_00042735	WH_MDL_00042741		H
4924	04/23/2015	Telesto Custody Invoice for Quarter 1 2015 for Limelight Pension Plan	WH_MDL_00042743	WH_MDL_00042747		H
4925	04/23/2015	Telesto Invoice Breakdown for Quarter 1 2015 for The Stor Capital Consulting Pension Plan	WH_MDL_00042749	WH_MDL_00042753		H
4926	04/23/2015	Solo Capital Custody Invoice for Quarter 1 2014 for Battu Holdings Pension Plan	WH_MDL_00042755	WH_MDL_00042759		H
4927	04/23/2015	Solo Capital Custody Invoice for Quarter 1 2015 for Green Scale Pension Plan	WH_MDL_00042761	WH_MDL_00042765		H
4928	04/23/2015	Solo Capital Custody Invoice for Quarter 1 2015 for Headsail Pension Plan	WH_MDL_00042767	WH_MDL_00042771		H
4929	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Omineca Pension Plan	WH_MDL_00042773	WH_MDL_00042777		H
4930	04/23/2015	Solo Capital Custody Invoice for Quarter 1 2015 for Vanderlee Pension Plan	WH_MDL_00042779	WH_MDL_00042783		H
4931	04/23/2015	Old Park Lane Custody Invoice for Quarter 1 2015 for Battu Holdings Pension Plan	WH_MDL_00042785	WH_MDL_00042787		H
4932	04/23/2015	Old Park Lane Custody Invoice for Quarter 1 2015 for Basalt Ventures LLC Roth 401(K) Plan	WH_MDL_00042789	WH_MDL_00042791		H
4933	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Edgepoint Pension Plan	WH_MDL_00042797	WH_MDL_00042799		H
4934	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Dicot Technologies Pension Plan	WH_MDL_00042801	WH_MDL_00042803		H
4935	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Fulcrum Pension Plan	WH_MDL_00042805	WH_MDL_00042806		H
4936	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Green Scale Pension Plan	WH_MDL_00042808	WH_MDL_00042810		H
4937	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Headsail Pension Plan	WH_MDL_00042812	WH_MDL_00042813		H
4938	04/23/2015	Old Park Lane Invoice Breakdown for Quarter 1 2015 for Random Holdings Pension Plan	WH_MDL_00042826	WH_MDL_00042827		H
4939	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for True Wind Investments Pension Plan	WH_MDL_00042832	WH_MDL_00042833		H
4940	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Tumba Pension Plan	WH_MDL_00042835	WH_MDL_00042836		H
4941	04/23/2015	Solo Capital Custody Invoice for Quarter 1 2015 for Vanderlee Pension Plan	WH_MDL_00042838	WH_MDL_00042839		H
4942	04/24/2015	Old Park Lane Custody Invoice for Quarter 1 2015 for Aerovane Pension Plan	WH_MDL_00042841	WH_MDL_00042845		H
4943	04/24/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Cedar Hill Pension Plan	WH_MDL_00042847	WH_MDL_00042855		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4944	04/24/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Cantata Pension Plan	WH_MDL_00042857	WH_MDL_00042865		H
4945	04/24/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Crucible Pension Plan	WH_MDL_00042867	WH_MDL_00042875		H
4946	04/24/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Keystone Pension Plan	WH_MDL_00042877	WH_MDL_00042885		H
4947	04/24/2015	Old Park Lane Invoice Breakdown for Quarter 1 2015 for Plumrose Pension Plan	WH_MDL_00042887	WH_MDL_00042895		H
4948	04/24/2015	Old Park Lane Capital Invoice Breakdown for Quarter 1 2015 for Routt Pension Plan	WH_MDL_00042897	WH_MDL_00042904		H
4949	04/24/2015	Old Park Lane Capital Invoice Breakdown for Quarter 1 2015 for Voojo Pension Plan	WH_MDL_00042906	WH_MDL_00042910		H
4950	04/24/2015	West Point Capital Custody Invoice for Quarter 1 2015 for Dicot Technologies Pension Plan	WH_MDL_00042912	WH_MDL_00042920		H
4951	04/24/2015	West Point Capital Custody Invoice for Quarter 1 2015 for Edgepoint Pension Plan	WH_MDL_00042922	WH_MDL_00042930		H
4952	04/24/2015	West Point Invoice Breakdown for Quarter 1 2015 for Random Holdings Pension Plan	WH_MDL_00042938	WH_MDL_00042942		H
4953	04/24/2015	West Point Custody Invoice for Quarter 1 2015 for True Wind Investments Pension Plan	WH_MDL_00042944	WH_MDL_00042952		H
4954	04/24/2015	West Point Custody Invoice for Quarter 1 2015 Tumba Pension Plan	WH_MDL_00042954	WH_MDL_00042962		H
4955	05/06/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 293,201 of COLOPLAST-B	WH_MDL_00043071	WH_MDL_00043071		H
4956	05/06/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 293,201 of COLOPLAST-B	WH_MDL_00043075	WH_MDL_00043075		H
4957	05/06/2015	Email from octave@hydracapitallimited.com to trading@tjmpartners.com copying Trading@BasaltPension.com re New Equity Order for 294,679 of COLOPLAST-B	WH_MDL_00043096	WH_MDL_00043096		H
4958	05/06/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@tjmpartners.com re Equity Liquidity Order Filled for 294,679 of COLOPLAST-B	WH_MDL_00043101	WH_MDL_00043101		H
4959	05/06/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 296,679 of underlying COLOPLAST-B	WH_MDL_00043102	WH_MDL_00043102		H
4960	05/06/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Forward Order Filled for 294,679 of COLOPLAST-B	WH_MDL_00043103	WH_MDL_00043103		H
4961	05/06/2015	TJM Partners Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re May 6, 2015 COLOPLAST-B	WH_MDL_00043207	WH_MDL_00043207		H
4962	05/06/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@tjmpartners.com re Account (BVL01) - trade approved	WH_MDL_00043216	WH_MDL_00043216		H
4963	05/06/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Account (BVL01) - trade matched	WH_MDL_00043217	WH_MDL_00043217		H
4964	05/06/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00043265	WH_MDL_00043265		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4965	05/08/2015	Email from octave@hydracapitallimited.com to martin.smith@colbrooklimited.com and alex.smith@colbrooklimited.com copying Trading@BasaltPension.com re New Stock Loan Order for 294,679 of COLOPLAST-B	WH_MDL_00043330	WH_MDL_00043330		H
4966	05/08/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying martin.smith@colbrooklimited.com and alex.smith@colbrooklimited.com re Stock Loan Order Filled for 294,679 of COLOPLAST-B	WH_MDL_00043331	WH_MDL_00043331		H
4967	05/08/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying martin.smith@colbrooklimited.com and alex.smith@colbrooklimited.com re Account (BVL01) - trade approved	WH_MDL_00043415	WH_MDL_00043415		H
4968	05/14/2015	Email from traking@RAKTrust.com to Ryan Marsh Subject: Fwd: SUNRISE BROKERS LONDON Monthly Statement for 2015-3 (EFTELE-[146801]) Attachments: EFTELE 2015-3 EF Stor Capital.pdf	WH_MDL_00043526	WH_MDL_00043534		H
4969	05/26/2015	Email from Ganymede@ganymedecayman.com to trading@RAKTrust.com Subject: Ganymede Invoice 00788 Attachments: Invoice 00788- The Stor Capital Consulting LLC 401k Plan.pdf	WH_MDL_00043795	WH_MDL_00043796		H
4970	05/28/2015	Email from octave@hydracapitallimited.com to trading@RAKTrust.com CC: info@princesolutionsltd.com Subject: Equity Liquidity Order Filled for 486,634 of PANDORA A/S	WH_MDL_00043984	WH_MDL_00043984		H
4971	05/28/2015	Email from octave@hydracapitallimited.com to trading@RAKTrust.com CC: info@princesolutionsltd.com Subject: Stock Loan Order RECALLED for 486,634 of PANDORA A/S	WH_MDL_00043988	WH_MDL_00043988		H
4972	05/28/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: execution@connaught-global.com Subject: Forward Order Filled for 486,634 of PANDORA A/S	WH_MDL_00043989	WH_MDL_00043989		H
4973	05/28/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 789,233 of NOVOZYMES A/S-B	WH_MDL_00044089	WH_MDL_00044089		H
4974	05/28/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 789,233 of NOVOZYMES A/S-B	WH_MDL_00044096	WH_MDL_00044096		H
4975	05/28/2015	Email from trading@RAKTrust.com to GSS@telesto.com Subject: Invoice to Pay AttachmentsL Invoice 00788- The Stor Capital Consulting LLC 401k Plan.pdf	WH_MDL_00044241	WH_MDL_00044242		H
4976	05/26/2015	Ganymede Cayman Limited Invoice # 00788 to Stor Capital Consulting	WH_MDL_00044242	WH_MDL_00044242		H
4977	05/28/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00044252	WH_MDL_00044252		H
4978	06/01/2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com copying Trading@BasaltPension.com re New Equity Order for 777,153 of NOVOZYMES A/S-B	WH_MDL_00044297	WH_MDL_00044297		H
4979	06/01/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Equity Liquidity Order Filled for 777,153 of NOVOZYMES A/S-B	WH_MDL_00044298	WH_MDL_00044298		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4980	06/01/2015	Email from octave@hydracapitallimited.com to info@tranceservices.com copying trading@basaltpension.com re New Stock Loan Order for 777,153 of NOVOZYMES A/S-B	WH_MDL_00044299	WH_MDL_00044299		H
4981	06/01/2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com copying Trading@BasaltPension.com re New Forward Order for 7,873 of underlying NOVOZYMES A/S-B	WH_MDL_00044300	WH_MDL_00044300		DNM, H
4982	06/01/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@allitsenasset.com re Forward Order Filled for 777,153 of NOVOZYMES A/S-B	WH_MDL_00044301	WH_MDL_00044301		H
4983	06/01/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@tranceservices.com re Stock Loan Order RECALLED for 777,153 of NOVOZYMES A/S-B	WH_MDL_00044302	WH_MDL_00044302		H
4984	06/01/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@allitsenasset.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00044383	WH_MDL_00044383		H
4985	06/01/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Account (BVL01) - trade approved	WH_MDL_00044384	WH_MDL_00044384		H
4986	06/01/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@tranceservices.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00044385	WH_MDL_00044385		H
4987	06/01/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401(K) Plan re Novozymes Trade (Sell)	WH_MDL_00044394	WH_MDL_00044394		H
4988	06/03/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk copying Trading@BasaltPension.com re New Equity Order for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00045034	WH_MDL_00045034		H
4989	06/03/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Equity Liquidity Order Filled for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00045048	WH_MDL_00045048		H
4990	06/03/2015	Email from octave@hydracapitallimited.com to info@treehurst.com copying Trading@BasaltPension.com re New Stock Loan Order for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00045049	WH_MDL_00045049		H
4991	06/03/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com copying Trading@BasaltPension.com re New Forward Order for 6,448,211 of underlying NOVO NORDISK A/S-B	WH_MDL_00045050	WH_MDL_00045050		H
4992	06/03/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@treehurst.com re Stock Loan Order RECALLED for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00045057	WH_MDL_00045057		H
4993	06/03/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@gartsideglobal.com re Forward Order Filled for 6,448,211 of NOVO NORDISK A/S-B	WH_MDL_00045058	WH_MDL_00045058		H
4994	06/03/2015	Email from octave@hydracapitallimited.com to trading@tjpartners.com copying Trading@BasaltPension.com re New Equity Order for 3,271,664 of Danske Bank A/S	WH_MDL_00045064	WH_MDL_00045064		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
4995	06/03/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@tjmpartners.com re Equity Liquidity Order Filled for 3,271,664 of Danske Bank A/S	WH_MDL_00045065	WH_MDL_00045065		H
4996	06/03/2015	Email from octave@hydracapitallimited.com to info@princesolutionsltd.com copying Trading@BasaltPension.com re New Stock Loan Order for 3,271,664 of Danske Bank A/S	WH_MDL_00045066	WH_MDL_00045066		H
4997	06/03/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com copying Trading@BasaltPension.com re New Forward Order for 3,271,664 of underlying Danske Bank A/S	WH_MDL_00045067	WH_MDL_00045067		H
4998	06/03/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Stock Loan Order RECALLED for 3,271,664 of Danske Bank A/S	WH_MDL_00045068	WH_MDL_00045068		H
4999	06/03/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@connaught-global.com re Forward Order Filled for 3,271,664 of Danske Bank A/S	WH_MDL_00045069	WH_MDL_00045069		H
5000	06/03/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@tjmpartners.com re Account (BVL01) - trade approved	WH_MDL_00045100	WH_MDL_00045100		H
5001	06/03/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Account (BVL01) - trade approved	WH_MDL_00045101	WH_MDL_00045102		H
5002	06/03/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@gartsideglobal.com re Account (BVL01) - trade matched	WH_MDL_00045103	WH_MDL_00045103		H
5003	06/03/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com re Account (BVL01) - trade matched	WH_MDL_00045104	WH_MDL_00045104		H
5004	06/03/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Account (BVL01) - trade approved	WH_MDL_00045105	WH_MDL_00045105		H
5005	06/03/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@treehurst.com re Account (BVL01) - trade approved	WH_MDL_00045106	WH_MDL_00045106		H
5006	06/03/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401(K) Plan re June 3, 2015 Trade (Sell)	WH_MDL_00045133	WH_MDL_00045133		H
5007	03/06/2015	TJM Partners Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re March 06, 2015 DANSKE DC Trade (Sell)	WH_MDL_00045134	WH_MDL_00045134		H
5008	06/10/2015	Email from custody@telesto.com to trading@RAKTrust.com CC: custody@telesto.com Subject: Re: Trade Amendment	WH_MDL_00045588	WH_MDL_00045588		H
5009	06/12/2015	BVL01 USD Account Statement	WH_MDL_00045679	WH_MDL_00045680		H, F
5010	06/16/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 176,045 of underlying TRYG A/S	WH_MDL_00045879	WH_MDL_00045879		H
5011	06/16/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com re New Forward Order for 605,292 of underlying GN STORE NORD A/S	WH_MDL_00045918	WH_MDL_00045918		H
5012	06/16/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com copying Trading@BasaltPension re New Forward Order for 7,877 of underlying AP MOELLER-MAERSK A/S-B	WH_MDL_00045982	WH_MDL_00045982		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5013	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Forward Order Filled for 176,045 of TRYG A/S	WH_MDL_00045999	WH_MDL_00045999		H
5014	06/16/2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com copying Trading@BasaltPension.com re New Forward Order for 7,873 of underlying AP MOELLER-MAERSK A/S - A	WH_MDL_00046003	WH_MDL_00046003		H
5015	06/16/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com re New Forward Order for 605,292 of underlying GN STORE NORD A/S	WH_MDL_00046064	WH_MDL_00046064		H
5016	06/16/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com copying Trading@BasaltPension re New Forward Order for 7,877 of underlying AP MOELLER-MAERSK A/S-B	WH_MDL_00046103	WH_MDL_00046103		H
5017	06/16/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com re New Forward Order for 450,631 of underlying PANDORA A/S	WH_MDL_00046108	WH_MDL_00046108		H
5018	06/16/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com copying Trading@BasaltPension.com re New Forward Order for 178,044 of underlying CARLSBERG AS-B	WH_MDL_00046152	WH_MDL_00046152		H
5019	06/16/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com copying Trading@BasaltPension.com re New Forward Order for 178,044 of underlying CARLSBERG AS-B	WH_MDL_00046213	WH_MDL_00046213		H
5020	06/16/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 3,283,965 of underlying TDC A/S	WH_MDL_00046219	WH_MDL_00046219		H
5021	06/16/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 176,045 of underlying TRYG A/S	WH_MDL_00046221	WH_MDL_00046221		H
5022	06/16/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com re New Forward Order for 373,474 of underlying VESTAS WIND SYSTEMS A/S	WH_MDL_00046258	WH_MDL_00046258		H
5023	06/16/2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com copying Trading@BasaltPension.com re New Forward Order for 7,873 of underlying AP MOELLER-MAERSK A/S - A	WH_MDL_00046259	WH_MDL_00046259		H
5024	06/16/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com re New Forward Order for 450,631 of underlying PANDORA A/S	WH_MDL_00046260	WH_MDL_00046260		H
5025	06/16/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 3,283,965 of underlying TDC A/S	WH_MDL_00046261	WH_MDL_00046261		H
5026	06/16/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com copying Trading@BasaltPension.com re New Forward Order for 373,474 of underlying VESTAS WIND SYSTEMS A/S	WH_MDL_00046268	WH_MDL_00046268		H
5027	06/16/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 605,292 of GN STORE NORD A/S	WH_MDL_00046286	WH_MDL_00046286		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5028	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@connaught-global.com re Forward Order Filled for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00046390	WH_MDL_00046390		H
5029	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@allitsenasset.com re Forward Order Filled for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00046409	WH_MDL_00046409		H
5030	06/16/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 765,908 of DSV A/S	WH_MDL_00046465	WH_MDL_00046465		H
5031	06/16/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 605,292 of GN STORE NORD A/S	WH_MDL_00046480	WH_MDL_00046480		H
5032	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@connaught-global.com re Forward Order Filled for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00046509	WH_MDL_00046509		H
5033	06/16/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 450,631 of PANDORA A/S	WH_MDL_00046515	WH_MDL_00046515		H
5034	06/16/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 80,009 of FLSMIDTH & CO A/S	WH_MDL_00046520	WH_MDL_00046520		H
5035	06/16/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 765,908 of DSV A/S	WH_MDL_00046534	WH_MDL_00046534		H
5036	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@connaught-global.com re Forward Order Filled for 178,044 of CARLSBERG AS-B	WH_MDL_00046552	WH_MDL_00046552		H
5037	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@connaught-global.com re Forward Order Filled for 178,044 of CARLSBERG AS-B	WH_MDL_00046617	WH_MDL_00046617		H
5038	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Forward Order Filled for 3,283,965 of TDC A/S	WH_MDL_00046619	WH_MDL_00046619		H
5039	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Forward Order Filled for 176,045 of TRYG A/S	WH_MDL_00046624	WH_MDL_00046624		H
5040	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@allitsenasset.com re Forward Order Filled for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00046649	WH_MDL_00046649		H
5041	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@gartsideglobal.com re Forward Order filled for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00046650	WH_MDL_00046650		H
5042	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Forward Order Filled for 3,283,965 of TDC A/S	WH_MDL_00046651	WH_MDL_00046651		H
5043	06/16/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 450,631 of PANDORA A/S	WH_MDL_00046652	WH_MDL_00046652		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5044	06/16/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@gartsideglobal.com re Forward Order filled for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00046660	WH_MDL_00046660		H
5045	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@lyallcapital.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00046984	WH_MDL_00046984		H
5046	06/17/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00046985	WH_MDL_00046985		H
5047	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00046987	WH_MDL_00046987		H
5048	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com re Account (BVL01) - trade matched	WH_MDL_00046987	WH_MDL_00046987		H
5049	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00046988	WH_MDL_00046988		H
5050	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@allitsenasset.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00046989	WH_MDL_00046989		H
5051	06/17/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00046990	WH_MDL_00046990		H
5052	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00046991	WH_MDL_00046991		H
5053	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com re Account (BVL01) - trade matched	WH_MDL_00046991	WH_MDL_00046991		H
5054	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00046992	WH_MDL_00046992		H
5055	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@gartsideglobal.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00046994	WH_MDL_00046994		H
5056	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@lyallcapital.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00046995	WH_MDL_00046995		H
5057	06/17/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re: Account (BVL01) - trade matched	WH_MDL_00046996	WH_MDL_00046996		H
5058	06/17/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00046997	WH_MDL_00046997		H
5059	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@allitsenasset.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00046998	WH_MDL_00046998		H
5060	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@gartsideglobal.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00046999	WH_MDL_00046999		H
5061	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@lyallcapital.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00047000	WH_MDL_00047000		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5062	06/17/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00047001	WH_MDL_00047001		H
5063	06/17/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00047002	WH_MDL_00047002		H
5064	06/17/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@lyallcapital.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00047003	WH_MDL_00047003		H
5065	06/19/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk copying Trading@BasaltPension.com re New Equity Order for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00047320	WH_MDL_00047320		H
5066	06/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension copying brokerageaudit@bastioncapital.co.uk re Equity Liquidity Order Filled for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00047326	WH_MDL_00047326		H
5067	06/19/2015	Email from octave@hydracapitallimited.com to info@tranceservices.com copying Trading@BasaltPension.com re New Stock Loan Order for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00047327	WH_MDL_00047327		H
5068	06/19/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com copying Trading@BasaltPension.com re New Forward Order for 373,474 of underlying VESTAS WIND SYSTEMS A/S	WH_MDL_00047328	WH_MDL_00047328		H
5069	06/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@gartsideglobal.com re Forward Order filled for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00047337	WH_MDL_00047337		H
5070	06/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@tranceservices.com re Stock Loan Order RECALLED for 373,474 of VESTAS WIND SYSTEMS A/S	WH_MDL_00047338	WH_MDL_00047338		H
5071	06/19/2015	Email from octave@hydracapitallimited.com to trading@tjmpartners.com copying Trading@BasaltPension.com re New Equity Order for 3,283,965 of TDC A/S	WH_MDL_00047371	WH_MDL_00047371		H
5072	06/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying sunriseccross@sunrisebrokers.com re Equity Liquidity order filled for 3,283,965 of TDC A/S	WH_MDL_00047377	WH_MDL_00047377		H
5073	06/19/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 3,283,965 of underlying TDC A/S	WH_MDL_00047378	WH_MDL_00047378		H
5074	06/19/2015	Email from octave@hydracapitallimited.com to info@diversevision.com copying Trading@BasaltPension.com re New Stock Loan Order for 3,283,965 of TDC A/S	WH_MDL_00047379	WH_MDL_00047379		H
5075	06/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Forward Order Filled for 3,283,965 of TDC A/S	WH_MDL_00047382	WH_MDL_00047382		H
5076	06/19/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@diversevision.com re Stock Loan Order RECALLED for 3,283,965 of TDC A/S	WH_MDL_00047383	WH_MDL_00047383		H
5077	06/19/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Account (BVL01) - trade approved	WH_MDL_00047445	WH_MDL_00047445		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5078	06/19/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@tjpartners.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00047446	WH_MDL_00047446		H
5079	06/19/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@lyallcapital.com and tradeapprovals@telesto.com	WH_MDL_00047447	WH_MDL_00047447		H
5080	06/19/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@gartsidglobal.com re Account (BVL01) - trade matched	WH_MDL_00047448	WH_MDL_00047448		H
5081	06/19/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@tranceservices.com re Account (BVL01) - trade approved	WH_MDL_00047449	WH_MDL_00047449		H
5082	06/19/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@diversevision.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00047450	WH_MDL_00047450		H
5083	06/19/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401(K) Plan re June 19, 2015 Trade (Sell)	WH_MDL_00047496	WH_MDL_00047496		H
5084	06/19/2015	TJM Trade Confirmation for Basalt Ventures LLC Roth 401(K) Plan for June 19, 2015 TDC DC (Sell)	WH_MDL_00047501	WH_MDL_00047501		H
5085	06/22/2015	Email from octave@hydracapitallimited.com to trading@tjpartners.com copying Trading@BasaltPension.com re New Equity Order for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00047580	WH_MDL_00047580		H
5086	06/22/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@tjpartners.com re Equity Liquidity Order Filled for 7,873 of AP-MOELLER-MAERSK A/S-A	WH_MDL_00047589	WH_MDL_00047589		H
5087	06/22/2015	Email from octave@hydracapitallimited.com to execution@allitsenasset.com copying Trading@BasaltPension.com re New Forward Order for 7,873 of underlying AP MOELLER-MAERSK A/S - A	WH_MDL_00047590	WH_MDL_00047590		H
5088	06/22/2015	Email from octave@hydracapitallimited.com to info@princesolutionsltd.com copying Trading@BasaltPension.com re New Stock Loan Order for 7,873 of AP MOELLER-MAERSK A/S - A	WH_MDL_00047591	WH_MDL_00047591		H
5089	06/22/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Stock Loan Order RECALLED for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00047593	WH_MDL_00047593		H
5090	06/22/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@allitsenasset.com re Forward Order Filled for 7,873 of AP MOELLER-MAERSK A/S-A	WH_MDL_00047594	WH_MDL_00047594		H
5091	06/22/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@allitsenasset.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00047675	WH_MDL_00047675		H
5092	06/22/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@tjpartners.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00047676	WH_MDL_00047676		H
5093	06/22/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@princesolutionsltd.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00047677	WH_MDL_00047677		H
5094	06/22/2015	Trade Confirmation to Avanix Management LLC Roth 401(k) re Coloplast -B	WH_MDL_00047807	WH_MDL_00047807		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5095	06/22/2015	TJM Partners Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re June 22, 2015 MAERSKA Trade (Sell)	WH_MDL_00047812	WH_MDL_00047812		H
5096	06/24/2015	Email from octave@hydracapitallimited.com to sunrise@sunrisebrokers.com copying Trading@BasaltPension.com re New Equity Order for 176,045 of TRYG A/S	WH_MDL_00047931	WH_MDL_00047931		H
5097	06/24/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 176,045 of underlying TRYG A/S	WH_MDL_00047935	WH_MDL_00047935		H
5098	06/24/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com re Equity Liquidity Order Filled for 176,045 of TRYG A/S	WH_MDL_00047936	WH_MDL_00047936		H
5099	06/24/2015	Email from octave@hydracapitallimited.com to info@diversevision.com copying Trading@BasaltPension.com re New Stock Loan Order for 176,045 of TRYG A/S	WH_MDL_00047937	WH_MDL_00047937		H
5100	06/24/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@diversevision.com re Stock Loan Order RECALLED for 176,045 of TRYG A/S	WH_MDL_00047940	WH_MDL_00047940		H
5101	06/24/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying trading@lyallcapital.com re Forward Order Filled for 176,045 of TRYG A/S	WH_MDL_00047941	WH_MDL_00047941		H
5102	06/24/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@lyallcapital.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00047967	WH_MDL_00047967		H
5103	06/24/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00047968	WH_MDL_00047968		H
5104	06/24/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@diversevision.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00047969	WH_MDL_00047969		H
5105	06/26/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk re New Equity Order for 605,292 of GN STORE NORD A/S	WH_MDL_00048250	WH_MDL_00048250		H
5106	06/26/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Equity Liquidity Order Filled for 605,292 of GN STORE NORD A/S	WH_MDL_00048251	WH_MDL_00048251		H
5107	06/26/2015	Email from octave@hydracapitallimited.com to trading@gartsideglobal.com re New Forward Order for 605,292 of underlying GN STORE NORD A/S	WH_MDL_00048252	WH_MDL_00048252		H
5108	06/26/2015	Email from octave@hydracapitallimited.com to info@treehurst.com re New Stock Loan Order for 605,292 of GN STORE NORD A/S	WH_MDL_00048253	WH_MDL_00048253		H
5109	06/26/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 605,292 of GN STORE NORD A/S	WH_MDL_00048254	WH_MDL_00048254		H
5110	06/26/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Stock Loan Order RECALLED FOR 605,292 of GN STORE NORD A/S	WH_MDL_00048255	WH_MDL_00048255		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5111	06/26/2015	Email from octave@hydracapitallimited.com to info@tranceservices.com copying Trading@BasaltPension.com re New Stock Loan Order for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00048259	WH_MDL_00048259		H
5112	06/26/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@tranceservices.com re Stock Loan Order RECALLED for 7,877 of AP MOELLER-MAERSK A/S-B	WH_MDL_00048261	WH_MDL_00048261		H
5113	06/26/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00048291	WH_MDL_00048291		H
5114	06/26/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Account (BVL01) - trade approved	WH_MDL_00048292	WH_MDL_00048292		H
5115	06/26/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00048293	WH_MDL_00048293		H
5116	06/26/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00048294	WH_MDL_00048294		H
5117	06/26/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re: Account (BVL01) - trade approved	WH_MDL_00048295	WH_MDL_00048295		H
5118	06/26/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@tranceservices.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00048296	WH_MDL_00048296		H
5119	06/26/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re August 7, 2014 Trade (Sale)	WH_MDL_00048325	WH_MDL_00048325		DNM, H
5120	06/30/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Stock Loan Order RECALLED for 80,009 of FLSMIDT & CO A/S	WH_MDL_00048379	WH_MDL_00048379		H
5121	06/30/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filled for 80,009 of FLSMIDT & CO A/S	WH_MDL_00048380	WH_MDL_00048380		H
5122	06/30/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk.com copying Trading@BasaltPension.com re New Equity Order Filled for 178,044 of CARLSBERG AS-B	WH_MDL_00048387	WH_MDL_00048387		H
5123	06/30/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com copying Trading@BasaltPension.com re New Forward Order for 178,044 of underlying CARLSBERG AS-B	WH_MDL_00048388	WH_MDL_00048388		H
5124	06/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk.com re Equity Liquidity Order Filled for 178,044 of CARLSBERG AS-B	WH_MDL_00048389	WH_MDL_00048389		H
5125	06/30/2015	Email from octave@hydracapitallimited.com to info@princesolutionsltd.com copying Trading@BasaltPension.com re New Stock Loan Order for 178,044 of CARLSBERG AS-B	WH_MDL_00048390	WH_MDL_00048390		H
5126	06/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying execution@connaught-global.com re Forward Order Filled for 178,044 of CARLSBERG AS-B	WH_MDL_00048391	WH_MDL_00048391		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5127	06/30/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Stock Loan Order RECALLED for 178,044 of CARLSBERG AS-B	WH_MDL_00048392	WH_MDL_00048392		H
5128	06/30/2015	Email from octave@hydracapitallimited.com to trading@tjpartners.com re New Equity Order for 450,631 of PANDORA A/S	WH_MDL_00048413	WH_MDL_00048413		H
5129	06/30/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Equity Liquidity Order Filled for 450,631 of PANDORA A/S	WH_MDL_00048414	WH_MDL_00048414		H
5130	06/30/2015	Email from octave@hydracapitallimited.com to execution@connaught-global.com re New Forward Order for 450,631 of underlying PANDORA A/S	WH_MDL_00048415	WH_MDL_00048415		H
5131	06/30/2015	Email from octave@hydracapitallimited.com to info@princesolutionsltd.com re New Stock Loan Order for 450,631 of PANDORA A/S	WH_MDL_00048416	WH_MDL_00048416		H
5132	06/30/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Stock Loan Order RECALLED for 450,631 of PANDORA A/S	WH_MDL_00048417	WH_MDL_00048417		H
5133	06/30/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Forward Order Filed for 450,631 of PANDORA A/S	WH_MDL_00048418	WH_MDL_00048418		H
5134	06/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00048450	WH_MDL_00048450		H
5135	06/30/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00048451	WH_MDL_00048451		H
5136	06/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying brokerageaudit@bastioncapital.co.uk re Account (BVL01) - trade approved	WH_MDL_00048452	WH_MDL_00048452		H
5137	06/30/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade matched	WH_MDL_00048453	WH_MDL_00048453		H
5138	06/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying execution@connaught-global.com re Account (BVL01) - trade matched	WH_MDL_00048454	WH_MDL_00048454		H
5139	06/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying trading@gartsideglobal.com and tradeapprovals@telesto.com re Account (BVL01) - trade matched	WH_MDL_00048455	WH_MDL_00048455		H
5140	06/30/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com copying info@treehurst.com and tradeapprovals@telesto.com re Account (BVL01) - trade approved	WH_MDL_00048456	WH_MDL_00048456		H
5141	06/30/2015	Email from tradeapprovals@telesto.com to trading@BasaltPension.com re Account (BVL01) - trade approved	WH_MDL_00048457	WH_MDL_00048457		H
5142	06/30/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying info@princesolutionsltd.com re Account (BVL01) - trade approved	WH_MDL_00048458	WH_MDL_00048458		H
5143	06/30/2015	Bastion Capital London Ltd Equities Trade Confirmation for Basalt Ventures LLC Roth 401(K) Plan re June 30, 2015 Trade (Sell)	WH_MDL_00048490	WH_MDL_00048490		H
5144	06/30/2015	TJM Partnership PLC Trade Confirmation for Basalt Ventures LLC Roth 401K Plan re June 30, 2015 (Sell)	WH_MDL_00048528	WH_MDL_00048528		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5145	07/01/2015	Email from octave@hydracapitallimited.com to trading@BasaltPension.com re Stock Loan Order RECALLED for 765,908 of DSV A/S	WH_MDL_00048612	WH_MDL_00048612		H
5146	06/30/2015	Sunrise Invoice to Avanix Management LLC Roth 401(K)	WH_MDL_00049330	WH_MDL_00049339		H
5147	07/06/2015	Telesto Account Statement for Basalt Ventures	WH_MDL_00049517	WH_MDL_00049519		H
5148	07/07/1905	Telesto Invoice to Basalt Ventures LLC Roth 401(K) Plan re Quarter 2 - 2015	WH_MDL_00049567	WH_MDL_00049583		H
5149	07/06/2015	Telesto Custody invoice for Quarter 2 2015 for Fulcrum Pension Plan	WH_MDL_00049598	WH_MDL_00049613		H
5150	07/06/2015	Telesto Custody Invoice for Quarter 2 2015 for Limelight Pension Plan	WH_MDL_00049615	WH_MDL_00049625		H
5151	07/06/2015	Telesto Invoice Breakdown for Quarter 2 2015 for The Stor Capital Consulting Pension Plan	WH_MDL_00049627	WH_MDL_00049643		H
5152	07/06/2015	West Point Capital Custody Invoice for Quarter 2 2015 for Dicot Technologies Pension Plan	WH_MDL_00049649	WH_MDL_00049660		H
5153	07/06/2015	West Point Capital Custody Invoice for Quarter 2 2015 for Edgepoint Pension Plan	WH_MDL_00049662	WH_MDL_00049674		H
5154	07/06/2015	West Point Invoice Breakdown for Quarter 2 2015 for Random Holdings Pension Plan	WH_MDL_00049690	WH_MDL_00049701		H
5155	07/06/2015	West Point Custody Invoice for Quarter 2 2015 for True Wind Investments Pension Plan	WH_MDL_00049706	WH_MDL_00049725		H
5156	07/06/2015	West Point Custody Invoice for Quarter 2 2015 Tumba Pension Plan	WH_MDL_00049727	WH_MDL_00049745		H
5157	07/07/2015	Ganymede Cayman Limited Invoice # 00898 to Stor Capital Consulting	WH_MDL_00049852	WH_MDL_00049852		H
5158	07/08/2015	Email from Ganymede@ganymedecayman.com to trading@RAKTrust.com Subject: Ganymede Invoice 00898 Attachments: Invoice 00898- The Stor Capital Consulting LLC 401k Plan.pdf	WH_MDL_00049877	WH_MDL_00049878		H
5159	07/14/2015	Email from Ganymede@ganymedecayman.com to trading@RAKTrust.com Subject: Ganymede Invoice 01000 Attachments: Invoice 01000- The Stor Capital Consulting LLC 401k Plan.pdf	WH_MDL_00050384	WH_MDL_00050385		H
5160	07/15/2015	Old Park Lane Custody Invoice for Quarter 2 2015 for Aerovane Pension Plan	WH_MDL_00050492	WH_MDL_00050504		H
5161	07/15/2015	Old Park Lane Capital Custody Invoice for Quarter 2 2015 for Cedar Hill Pension Plan	WH_MDL_00050506	WH_MDL_00050516		H
5162	07/15/2015	Old Park Lane Capital Custody Invoice for Quarter 2 2015 for Cantata Pension Plan	WH_MDL_00050518	WH_MDL_00050535		H
5163	07/15/2015	Old Park Lane Capital Custody Invoice for Quarter 2 2015 for Crucible Pension Plan	WH_MDL_00050537	WH_MDL_00050553		H
5164	07/15/2015	Old Park Lane Capital Custody Invoice for Quarter 2 2015 for Keystone Pension Plan	WH_MDL_00050555	WH_MDL_00050572		H
5165	07/15/2015	Old Park Lane Invoice Breakdown for Quarter 2 2015 for Plumrose Pension Plan	WH_MDL_00050574	WH_MDL_00050591		H
5166	07/15/2015	Old Park Lane Capital Invoice Breakdown for Quarter 2 2015 for Routt Pension Plan	WH_MDL_00050593	WH_MDL_00050603		H
5167	07/15/2015	Old Park Lane Capital Invoice Breakdown for Quarter 2 2015 for Voojo Pension Plan	WH_MDL_00050605	WH_MDL_00050614		H
5168	07/16/2015	Bastion Capital London Ltd Invoice to The Stor Capital Consulting 401k Plan	WH_MDL_00050857	WH_MDL_00050858		H
5169	07/07/2015	Letter from The Stor Capital Consulting LLC 401k Plan to Telesto Markets LLP	WH_MDL_00050998	WH_MDL_00050998		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5170	07/20/2015	Email from trading@RAKTrust.com to GSS@telesto Subject: Request for Payment of Fees owed to Canymede Cayman Limited Attachments: Invoice 00896- The Stor Capital Consulting LLC 401k Plan RFP.pdf; Invoice 00898- The Stor Capital Consulting LLC 401k Plan.pdf	WH_MDL_00051056	WH_MDL_00051058		H
5171	07/06/2015	Summary to Prepare Requests for Payment.xlsx	WH_MDL_00051395	WH_MDL_00051395		AUTH, F, H
5172	07/28/2015	Email from octave@hydracapitallimited.com to sunrise@sunrisebrokers.com copying Trading@BasaltPension.com re New Equity Order for 294,679 of COLOPLAST-B	WH_MDL_00051411	WH_MDL_00051411		H
5173	07/28/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com re Equity Liquidity Order Filled for 294,679 of COLOPLAST-B	WH_MDL_00051412	WH_MDL_00051412		H
5174	07/28/2015	Email from octave@hydracapitallimited.com to trading@lyallcapital.com copying Trading@BasaltPension.com re New Forward Order for 296,679 of underlying COLOPLAST-B	WH_MDL_00051413	WH_MDL_00051413		H
5175	07/28/2015	Email from octave@hydracapitallimited.com to martin.smith@colbrooklimited.com and alex.smith@colbrooklimited.com copying Trading@BasaltPension.com re New Stock Loan Order for 294,679 of COLOPLAST-B	WH_MDL_00051414	WH_MDL_00051414		H
5176	07/28/2015	Email from octave@hydracapitallimited.com to Trading@BasaltPension.com copying martin.smith@colbrooklimited.com and alex.smith@colbrooklimited.com re Stock Loan Order RECALLED for 294,679 of COLOPLAST-B	WH_MDL_00051415	WH_MDL_00051415		H
5177	07/28/2015	Email from tradeapprovals@telesto.com to Trading@BasaltPension.com copying sunrise@sunrisebrokers.com re Account (BVL01) - trade approved	WH_MDL_00051430	WH_MDL_00051430		H
5178	07/28/2015	Email from TradeApprovals@Telesto.com to Trading@BasaltPension.com, copying Trading@LyallCapital.com and TradeApprovals@Telesto.com re Account (BVL01) - trade matched	WH_MDL_00051431	WH_MDL_00051431		H
5179	07/28/2015	Email from TradeApprovals@Telesto.com to Trading@BasaltPension.com, copying Martin.Smith@ColbrookLimited.com, Alex.Smith@ColbrookLimited.com, and TradeApprovals@Telesto.com re Account (BVL01) - trade approved	WH_MDL_00051432	WH_MDL_00051432		H
5180	07/28/2015	Email from trading@RAKTrust.com to GSS@telesto.com CC: Ganymede@ganymedecayman.com Subject: Fwd: Ganymede Invoice 01000 Attachments: Invoice 01000- The Stor Capital Consulting LLC 401k Plan.pdf	WH_MDL_00051437	WH_MDL_00051438		H
5181	08/03/2015	Solo Capital Custody Invoice for Quarter 2 2015 for Avanix Pension Plan	WH_MDL_00051563	WH_MDL_00051576		H
5182	08/03/2015	Solo Capital Custody Invoice for Quarter 2 2014 for Battu Holdings Pension Plan	WH_MDL_00051578	WH_MDL_00051590		H
5183	08/03/2015	Solo Capital Custody Invoice for Quarter 2 2015 for Green Scale Pension Plan	WH_MDL_00051592	WH_MDL_00051604		H
5184	08/03/2015	Solo Capital Custody Invoice for Quarter 2 2015 for Headsail Pension Plan	WH_MDL_00051606	WH_MDL_00051620		H
5185	08/03/2015	Old Park Lane Capital Custody Invoice for Quarter 2 2015 for Omineca Pension Plan	WH_MDL_00051622	WH_MDL_00051634		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5186	08/03/2015	Solo Capital Custody Invoice for Quarter 2 2015 for Vanderlee Pension Plan	WH_MDL_00051636	WH_MDL_00051648		H
5187	08/18/2015	Letter from The Stor Capital Consulting LLC 401k Plan to Telesto Markets LLP	WH_MDL_00051897	WH_MDL_00051897		No Objections
5188	08/19/2015	Email from trading@RAKTrust.com to GSS@telesto.com Subject: Request for Payment from Our Account Attachments: August 18 Request Letters-STOR.pdf	WH_MDL_00051901	WH_MDL_00051902		No Objections
5189	07/07/1905	Bespoke Brokerage Invoice to Basalt Ventures LLC Roth 401K Plan re: Quarter 2	WH_MDL_00051912	WH_MDL_00051912		DNM, H, INC
5190	07/16/2015	Bastion Capital London Ltd. Invoice to Basalt Ventures LLC Roth 401K Plan re: Quarter 2	WH_MDL_00051913	WH_MDL_00051914		H
5191	09/01/2015	Telesto Stor Capital Consulting Cash Statement	WH_MDL_00052036	WH_MDL_00052038		H
5192	09/01/2015	Telesto Stor Capital Consulting Cash Statement	WH_MDL_00052060	WH_MDL_00052062		H
5193	10/12/2015	Email from trading@RAKTrust.com to GSS@telesto.com, learell.buys@sunrisebrokers.com Subject: Fwd: SUNRISE BROKERS LONDON Monthly Statement for 2015-9 (EFTELE- [150564]) Attachment: EFTELE 2015-9 EF Stor Capital.pdf	WH_MDL_00053221	WH_MDL_00053229		H
5194	10/13/2015	West Point Capital Custody Invoice for Quarter 3 2015 for Dicot Technologies Pension Plan	WH_MDL_00053305	WH_MDL_00053312		H
5195	10/13/2015	West Point Capital Custody Invoice for Quarter 3 2015 for Edgepoint Pension Plan	WH_MDL_00053313	WH_MDL_00053319		H
5196	10/13/2015	West Point Custody Invoice for Quarter 3 2015 for Fairlie Pension Plan	WH_MDL_00053320	WH_MDL_00053326		H
5197	10/13/2015	West Point Invoice Breakdown for Quarter 3 2015 for Random Holdings Pension Plan	WH_MDL_00053334	WH_MDL_00053341		H
5198	10/13/2015	West Point Capital Invoice Breakdown for Quarter 3 2015 for Sternway Pension Plan	WH_MDL_00053342	WH_MDL_00053350		H
5199	10/13/2015	West Point Custody Invoice for Quarter 3 2015 for True Wind Investments Pension Plan	WH_MDL_00053351	WH_MDL_00053358		H
5200	10/13/2015	West Point Custody Invoice for Quarter 3 2015 Tumba Pension Plan	WH_MDL_00053359	WH_MDL_00053366		H
5201	10/13/2015	Telesto Invoice Breakdown for Q2 2015 for Basalt Ventures	WH_MDL_00053788	WH_MDL_00053804		H
5202	10/29/2015	Old Park Lane Capital Invoice Breakdown for Quarter 3 2015 for Voojo Pension Plan	WH_MDL_00054003	WH_MDL_00054010		H
5203	10/29/2015	Old Park Lane Invoice Breakdown for Quarter 3 2015 for Plumrose Pension Plan	WH_MDL_00054017	WH_MDL_00054023		H
5204	10/29/2015	Old Park Lane Invoice Breakdown for Quarter 3 2015 for Monomer Pension Plan	WH_MDL_00054024	WH_MDL_00054029		H
5205	10/29/2015	Old Park Lane Capital Custody Invoice for Quarter 3 2015 for Keystone Pension Plan	WH_MDL_00054030	WH_MDL_00054036		H
5206	10/29/2015	Old Park Lane Capital Custody Invoice for Quarter 3 2015 for Crucible Pension Plan	WH_MDL_00054037	WH_MDL_00054044		H
5207	10/29/2015	Old Park Lane Capital Custody Invoice for Quarter 3 2015 for Cantata Pension Plan	WH_MDL_00054045	WH_MDL_00054052		H
5208	10/29/2015	Old Park Lane Capital Custody Invoice for Quarter 3 2015 for Cedar Hill Pension Plan	WH_MDL_00054053	WH_MDL_00054060		H
5209	10/29/2015	Old Park Lane Custody Invoice for Quarter 3 2015 for Aerovane Pension Plan	WH_MDL_00054061	WH_MDL_00054066		H
5210	10/29/2015	Random to Tens Rollover Payment (signed)	WH_MDL_00054074	WH_MDL_00054074		No Objections

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5211	11/09/2015	Remittance breakdown for Basalt Ventures from Old Park Lane Quarter 1 2015	WH_MDL_00054517	WH_MDL_00054523		H
5212	12/31/2015	Telesto Markets Statement Summary for The Stor Capital Consulting LLC 401k Plan	WH_MDL_00054818	WH_MDL_00054844		H
5213	05/11/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055120	WH_MDL_00055120		H
5214	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055124	WH_MDL_00055124		H
5215	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055128	WH_MDL_00055128		H
5216	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055132	WH_MDL_00055132		H
5217	03/31/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055136	WH_MDL_00055136		H
5218	03/31/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055140	WH_MDL_00055140		H
5219	03/30/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055144	WH_MDL_00055144		H
5220	03/24/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055148	WH_MDL_00055148		H
5221	03/24/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055152	WH_MDL_00055152		H
5222	03/23/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055156	WH_MDL_00055156		H
5223	03/23/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055159	WH_MDL_00055159		H
5224	03/17/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055164	WH_MDL_00055164		H
5225	03/10/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055168	WH_MDL_00055168		H
5226	03/02/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re NOVOZYMES AIS-B Security	WH_MDL_00055169	WH_MDL_00055169		H
5227	03/02/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00055172	WH_MDL_00055172		AUTH, F, H
5228	03/09/2016	Bernina Pension Plan Trust Profit & Loss Statement and General Ledger for January through December 2013	WH_MDL_00057432	WH_MDL_00057440		H
5229	08/07/2014	Email from Patrick Milne (Bastion Capital) to trading@BasaltPension.com and Adrian Milne (Bastion Capital) re Request for Liquidity - TDC DC	WH_MDL_00065432	WH_MDL_00065432		H
5230	08/07/2014	Email from Patrick Milne (Bastion Capital) to trading@BasaltPension.com and Adrian Milne (Bastion Capital) re Request for Liquidity - TDC DC	WH_MDL_00065463	WH_MDL_00065463		H
5231	08/07/2014	Email from trading@BasaltPension.com to Patrick Milne (Bastion Capital) re Request for Liquidity - TDC DC	WH_MDL_00065500	WH_MDL_00065500		H
5232	08/07/2014	Email from P. Milne to P. Milne, A. Milne re Request for Liquidity - TDC DC	WH_MDL_00065520	WH_MDL_00065521		H
5233	08/07/2014	Email from Patrick Milne (Bastion Capital) to trading@BasaltPension.com and Adrian Milne (Bastion Capital) re Request for Liquidity - TDC DC	WH_MDL_00065524	WH_MDL_00065525		H
5234	08/07/2014	Email from P. Milne to P. Milne, A. Milne re Request for Liquidity - TDC DC	WH_MDL_00065568	WH_MDL_00065569		H
5235	08/07/2014	Email from trading@BasaltPension.com to Patrick Milne (Bastion Capital) re Request for Liquidity - TDC DC	WH_MDL_00065588	WH_MDL_00065589		H
5236	08/07/2014	Dulberg SJM Decl. Ex. 078_Email from RoadCraft Pension Trading to Patrick Milne with Subject: Request for Liquidity - TDC DC	WH_MDL_00065626	WH_MDL_00065627		H, DNM
5237	11/05/2014	Ballygate Capital Limited Trade Confirmation to Basalt Ventures LLC Roth 401K Plan re: November 5, 2014 (Sale)	WH_MDL_00066647	WH_MDL_00066647		H
5238	11/05/2014	Ballygate Capital Limited Trade Confirmation to Roadcraft Technologies LLC Roth 401(k) Plan re TDC A/S	WH_MDL_00066663	WH_MDL_00066663		H
5239	10/09/2014	Bastion Capital Limited Invoice for Basalt Ventures for Q3 2014	WH_MDL_00066876	WH_MDL_00066877		H
5240	12/31/2014	Ballygate Capital Limited Invoice to Basalt Ventures LLC Roth 401K Plan re: Quarter 4	WH_MDL_00067607	WH_MDL_00067608		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5241	01/28/2015	Bastion Capital London Ltd Invoice to The Stor Capital Consulting 401k Plan	WH_MDL_00068494	WH_MDL_00068494		H
5242	04/16/2015	Email from Vicky Dean to Peter Wells CC: admin@RAKTrust.com, Sales EMEA Subject: The Stor Capital Consulting Ltd.	WH_MDL_00086087	WH_MDL_00086088		MIL, H, F, 403, IR, C
5243	04/16/2015	Email from Vicky Dean to Peter Wells CC: admin@RAKTrust.com, Sales EMEA Subject: RE: The Stor Capital Consulting Ltd.	WH_MDL_00086089	WH_MDL_00086090		MIL, H, F, 403, IR, C
5244	04/16/2015	Email from Vicky Dean to Peter Wells CC: admin@RAKTrust.com, Sales EMEA Subject: RE: The Stor Capital Consulting Ltd.	WH_MDL_00086091	WH_MDL_00086093		MIL, H, F, 403, IR
5245	04/21/2015	Email from Elena Dita to Trading@RAKTrust.com CC: Adriane Milne Subject: Invoice 6110 from Bastion Capital Attachments: The Stor Capital Consulting- Inv 6110 and schedule.pdf	WH_MDL_00086260	WH_MDL_00086262		H
5246	05/14/2015	Email from Elena Dita to trading@RAK3trust.com CC: Adrian Milne Subject: Statement of account- The Stor Attachments: The Stor Capital Consulting - Inv 6110 and schedule.pdf; The Stor Capital statement at 13 May 15.pdf	WH_MDL_00086438	WH_MDL_00086441		H
5247	05/14/2015	Email from trading@RAKTrust.com to Ryan Marsh Subject: Fwd: Statement of account- The Stor Attachments: The Stor Capital Consulting- Inv 6110 and schedule.pdf; The Stor Capital statement at 13 May 15.pdf	WH_MDL_00086650	WH_MDL_00086655		H
5248	05/14/2015	Email from trading@RAKTrust.com to Ryan Marsh Subject: Fwd: Invoice Attachment: TJM Statement of Charges- The Stor.pdf	WH_MDL_00086656	WH_MDL_00086659		H
5249	04/20/2015	Bastion Capital London Ltd. Invoice to Basalt Ventures LLC Roth 401K Plan re: Quarter 1	WH_MDL_00086696	WH_MDL_00086697		H
5250	07/07/1905	Bespoke Brokerage Invoice to Basalt Ventures LLC Roth 401K Plan re: Quarter 1	WH_MDL_00086716	WH_MDL_00086716		DNM, H, F, INC
5251	05/27/2015	Email from Elena Dita to Trading@RAKTrust CC: Adriane Milne Subject: Overdue Invoice- Inv 6110 Attachments: The Stor Capital Consulting- Inv 6110 and schedule.pdf	WH_MDL_00086915	WH_MDL_00086917		H
5252	06/25/2015	Email from Elena Dita to Trading@RAKTrust.com CC: Adriane Milne Subject: Statement of Account- The Stor Attachments: The Stor Capital Consulting- Inv 6110 and schedule.pdf; The Stor Capital Statement at 25 Jun 15.pdf	WH_MDL_00087232	WH_MDL_00087235		H
5253	07/07/2015	Letter from The Stor Capital Consulting LLC 401k Plan to Telesto Markets LLP	WH_MDL_00087324	WH_MDL_00087324		H
5254	07/19/2015	Email from trading@RAKTrust.com to GSS@telesto.com Subject: Request for Payment of Brokerage Invoice- Bastion Attachments: Bastion Q1 2015- STOR (RAK) Inv 6100 and schedule.pdf; Bastion Q1 2015-STOR (RAK) Inv 6110 RFP.pdf	WH_MDL_00087419	WH_MDL_00087422		H
5255	07/07/2015	Letter from The Stor Capital Consulting LLC 401k Plan to Telesto Markets LLP	WH_MDL_00087471	WH_MDL_00087471		H
5256	07/07/2015	Letter from The Stor Capital Consulting LLC 401k Plan to Telesto Markets LLP	WH_MDL_00087688	WH_MDL_00087688		H
5257	10/06/2015	TJM Statement of Commissions- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00087871	WH_MDL_00087871		H, INC
5258	07/16/2015	Bastion Capital London Ltd Invoice to The Stor Capital Consulting 401k Plan	WH_MDL_00087982	WH_MDL_00087983		H
5259	07/28/2015	Email from Octave@HydraCapitalLimited.com to Trading@BasaltPension.com, copying Trading@LyalCapital.com re Forward Order Filled for 294,679 of COLOPLAST-B	WH_MDL_00087999	WH_MDL_00087999		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5260	08/11/2015	Letter from RAK Investment Trust to Telesto Markets	WH_MDL_00088034	WH_MDL_00088034		No Objections
5261	08/11/2015	Letter from RAK Investment Trust to Telesto Markets	WH_MDL_00088041	WH_MDL_00088041		No Objections
5262	08/11/2015	Letter from RAK Investment Trust to Telesto Markets	WH_MDL_00088051	WH_MDL_00088051		No Objections
5263	11/05/2015	Letter from The Stor Capital Consulting LLC 401k Plan to Telesto Markets LLP	WH_MDL_00088916	WH_MDL_00088916		No Objections
5264	11/05/2015	Email from trading@RAK3Trust.com to Telesto Subject: Request to Transfer Funds and LOA Attachment: Stor LOA.pdf	WH_MDL_00088937	WH_MDL_00088938		No Objections
5265	12/31/2015	Solo Capital Partners LLP Statement Summary for Avanix Management LLC Roth 401(K) (AVA01)	WH_MDL_00089027	WH_MDL_00089052		H
5266	Undated	SKAT form to Claim Relief from Danish Dividend Tax	WH_MDL_00089256	WH_MDL_00089256	3016	No Objections
5267	03/06/2014	Email from Robert Klugman to Richard Markowitz Subject: Follow up	WH_MDL_00090810	WH_MDL_00090810		H
5268	07/07/2015	Letters from Michael Ben-Jacob to Solo Capital Partners, Old Park Lane, Telesto Markets regarding amounts due and owing	WH_MDL_00091164	WH_MDL_00091209		H
5269	10/02/2015	Email from Richard Markowitz to Robert Klugman Subject: Re: Danish Government publishes report on dividend withholding tax	WH_MDL_00092021	WH_MDL_00092021		H, 403
5270	10/29/2015	Email from Richard Markowitz to Robert Klugman Subject: Rollover to Tens (RAK3) Attachments: Random to Tens Rollover Payment Oct 29, 2015.docx	WH_MDL_00092025	WH_MDL_00092026		H
5271	11/06/2015	Email from R. Markowitz to R. Klugman re RAK (Stor), attaching signature pages for Forms TIC D and BQ-2	WH_MDL_00092140	WH_MDL_00092148		H, IR
5272	11/06/2015	Email from Richard Markowitz to Robert Klugman Subject: RAK3 (TENS) Attachments: Form BQ-2 sig page - TENS- December.pdf; Form BQ-2 sig page - TENS- June.pdf; Form BQ-2 sig page - TENS- March.pdf; Form BQ-2 sig page - TENS- Sept.pdf; Form TIC D - sig page - TENS - December.pdf; Form TIC D - sig page - TENS - June.pdf; Form TIC D - sig page - TENS - March.pdf; Form TIC D - sig page - TENS - Sept.pdf	WH_MDL_00092158	WH_MDL_00092166		H, IR
5273	11/18/2015	Email from R. Markowitz to S. Furr and J. van Merkensteijn re Signature Pages for Filing Fed Forms (for David), attaching signature pages for Forms TIC D and BQ-2	WH_MDL_00092329	WH_MDL_00092369		H, IR
5274	12/08/2015	Michelle Investments Pension Plan General Ledger	WH_MDL_00092540	WH_MDL_00092551		H
5275	12/09/2015	Email/Calendar invite from Jennifer Patterson to Jennifer Patterson, Nicolai Sorensen, Jakob Schilder-Knudsen, Gregory Wallace, Matthew Sachs, Michael ben-Jacob, Richard Markowitz, Jerome Lhote, Matthew Stein, Adam Larosa, John Van Merkensteijn, Robert Klugman Subject: Danish Tax Matter: Call to Discuss Strategy	WH_MDL_00092556	WH_MDL_00092556		H, IR, MIL, 403
5276	12/31/2015	Telesto Markets- Statement for The Stor Capital Consulting LLC 401k Plan	WH_MDL_00093010	WH_MDL_00093036		H
5277	2013	Form 5500-EZ - Annual Return of One-Participant (Owners and Their Spouses) Retirement Plan - R. Markowitz	WH_MDL_00093993	WH_MDL_00093994	4021	AUTH, F, H
5278	12/31/2015	Telesto Markets- Statement for The Stor Capital Consulting LLC 401k Plan	WH_MDL_00094157	WH_MDL_00094183		H, INC
5279	03/03/2016	Tarvos Pension Plan Trust Profit & Loss Statement and General Ledger 2013	WH_MDL_00095987	WH_MDL_00095990		H
5280	09/26/2016	Bernina Pension Plan Trust 12 31 2015 Reports	WH_MDL_00096022	WH_MDL_00096026		H
5281	07/08/1905	Telesto Statement for Basalt Ventures LLC Roth 401K Plan re: 2015 Buy and Sale	WH_MDL_00096091	WH_MDL_00096117		DNM, H
5282	02/15/2013	Limited Power of Attorney - David Colodner, Trustee of DFL Investments Pension Plan & Adam LaRosa of Argre Management LLC	WH_MDL_00096707	WH_MDL_00096724		DNM, H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5283	02/01/2013	General Partnership of Delvian General Partnership	WH_MDL_00096977	WH_MDL_00096986	5210	H
5284	03/11/2016	Bernina Pension Plan Trust Balance Sheet, Profit & Loss Statement, and General Ledger as of December 31, 2014	WH_MDL_00108502	WH_MDL_00108516		H
5285	03/31/2015	Roadcraft Technologies LLC Roth 401(K) Plan Form S - Monthly Report for the Federal Reserve Bank of New York: Purchases and Sales of Long-Term Securities by Foreign-Residents	WH_MDL_00110605	WH_MDL_00110615		H, IR
5286	08/30/2013	Form S for RJM Capital Pension Plan As Of August 30, 2013	WH_MDL_00112491	WH_MDL_00112501		H, IR
5287	08/30/2013	Dulberg SJM Decl. Ex. 033_Purchases and Sales of Long-Term Securities by Foreign Residents	WH_MDL_00112502	WH_MDL_00112512		H, IR, DNM
5288	09/30/2013	Form S for RJM Capital Pension Plan As Of September 30, 2013	WH_MDL_00112526	WH_MDL_00112536		H, IR
5289	10/31/2013	Form S for RJM Capital Pension Plan As Of October 31, 2013	WH_MDL_00112550	WH_MDL_00112560		H, IR
5290	11/29/2013	Form S for RJM Capital Pension Plan As Of November 29, 2013	WH_MDL_00112574	WH_MDL_00112584		H, IR
5291	03/31/2014	Form S for RJM Capital Pension Plan As Of March 31, 2014	WH_MDL_00112690	WH_MDL_00112700		H, IR
5292	05/30/2014	Form S for RJM Capital Pension Plan As Of May 30, 2014	WH_MDL_00112701	WH_MDL_00112711		H, IR
5293	12/31/2013	Form S for RJM Capital Pension Plan As Of December 13, 2013	WH_MDL_00112712	WH_MDL_00112722		H, IR
5294	04/30/2014	Form S for RJM Capital Pension Plan As Of April 30, 2014	WH_MDL_00112757	WH_MDL_00112767		H, IR
5295	06/30/2014	Form S for RJM Capital Pension Plan As Of June 30, 2014	WH_MDL_00112825	WH_MDL_00112835		H, IR
5296	07/31/2014	Form S for RJM Capital Pension Plan As Of July 31, 2014	WH_MDL_00112836	WH_MDL_00112846		H, IR
5297	08/29/2014	Form S for RJM Capital Pension Plan As Of August 29, 2014	WH_MDL_00112870	WH_MDL_00112880		H, IR
5298	2014	Schoenfeld SJM Opp. Decl. Ex. 14_2014 Solo Capital Partners LLP and RJM Capital LLC Pension Plan Client Custody Agreement	WH_MDL_00115097	WH_MDL_00115097		DNM, H, AUTH
5299	11/08/2013	Form W-9 re Calypso Investments Pension Plan Trust	WH_MDL_00115350	WH_MDL_00115353		H, C
5300	06/19/2013	Form W-9 re Calypso Investments Pension Plan Trust	WH_MDL_00115354	WH_MDL_00115355		AUTH, F, H
5301	2013	Form 5500-EZ Annual Return of One-Participant (Owners and Their Spouses) Retirement Plan - RJM Capital LLC Pension Plan	WH_MDL_00115436	WH_MDL_00115437		AUTH, F, H
5302	12/10/2014	Email from Robert Klugman to Alicia Colodner CC: Richard Markowitz, Adam Larosa Subject: TENS Services Invoices -Thank you Attachments: TENS Services - Delvian General Partnership.docx; TENS Services - Delvian General Partnership II.docx; TENS Services - Rajan Investments General Partnership II.docx; TENS Services - Bernina Pension Plan Trust.docx; TENS Services - Lion Advisory General Partnership II.docx; TENS Services - Michelle Investments Pension Plan Trust.docx; TENS Services - Tarvos Pension Plan Trust.docx; TENS Services - Rajan Investments General Partnership.docx; TENS Services - Lion Advisory General Partnership.docx	WH_MDL_00117772	WH_MDL_00117781		H, IR
5303	08/18/2015	Letters from Albedo Management LLC Roth 401(k) Plan; Ballast Ventures LLC Roth 401(k) Plan, Fairlie Investments LLC Roth 401(k) Plan, Pinax Holdings LLC Roth 401(k) Plan, Monomer Industries LLC Roth 401(k) Plan, Sternway Logistics LLC Roth 401(k) Plan, Keystone Technologies LLC Roth 401(k) Plan to Solo Capital Partners, Telesto Markets, West Point Derivatives, Ltd., Old Park Lane plc	WH_MDL_00123193	WH_MDL_00123206		H, F, AUTH
5304	03/17/2016	Letter from SKAT to Bareroot Capital Investments LLC 401K Plan Re: Your Claim to Relief from Danish Dividend Tax	WH_MDL_00123737	WH_MDL_00123752		H
5305	Undated	Schoenfeld SJM Opp. Decl. Ex. 12_Tax Reclaim Advisory Services Agreement between Ganymede Cayman Limited and Michelle Investments Pension Plan Trust	WH_MDL_00124962	WH_MDL_00124966		DNM, H
5306	03/12/2014	Solo Capital Dividend Credit Advice for Delvian LLC Pension Plan	WH_MDL_00129024	WH_MDL_00129024		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5307	11/30/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of November 30, 2015	WH_MDL_00133186	WH_MDL_00133196		H, IR
5308	12/31/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of December 31, 2015	WH_MDL_00134666	WH_MDL_00134676		H, IR
5309	03/18/2015	Bastion Capital London Ltd Equities Trade Confirmation to Albedo Management LLC Roth 401(K) Plan re Pandora A/S	WH_MDL_00136875	WH_MDL_00136875		H, F
5310	03/18/2015	Bastion Capital London Ltd Equities Trade Confirmation for Albedo Management LLC Roth 401K Plan re March 18, 2015 Trade (Buy)	WH_MDL_00136875	WH_MDL_00136875		H
5311	03/27/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 26th March 2015	WH_MDL_00136923	WH_MDL_00136924		H
5312	03/19/2015	Email from J. Chester to Trading@BasaltPension.com re Sunrise Trade Confirmation 18th March 2015	WH_MDL_00136934	WH_MDL_00136935		H
5313	03/26/2015	Dulberg SJM Decl. Ex. 077 Trade Confirmation	WH_MDL_00137241	WH_MDL_00137244		DNM, H
5314	06/29/2016	Email from B. Pollak to R. Altbach re. Plan Level FBARs	WH_MDL_00138885	WH_MDL_00138885		AUTH, F, H, INC, IR, MIL, 403
5315	03/09/2016	Letter from M. Piasek, Elite Pension Consultants to R. Altbach, Roadcraft Technologies LLC re Roadcraft Technologies LLC Roth 401(k) Plan	WH_MDL_00139331	WH_MDL_00139434		H, AUTH, F, IR, 403
5316	06/20/2014	Email from Michael Ben-Jacob to John Van merkensteijn, Adam Larosa, Matthew Stein, Jerome Lhote, Richard Markowitz CC: Peter Wells, Kathleen Wechter Subject: Michelle- Disqualified Persons Memorandum- Final Attachment: Exhibit A (Michelle's Memo).pdf; Michelle.pdf	WH_MDL_00140665	WH_MDL_00140681		H, IR, MIL, 403
5317	11/14/2012	Email from A. O'Donnell to P. Wells and A. LaRosa re Form SLT and Form S Filings, attaching Forms S and SLT for Free Street Partners LP, Pension and Retirement Plan Investments LP, Xiphias LLC Pension Plan, Michelle Investments Pension Plan, Mill River Capital Management Pension Plan, Lion Advisory Inc. Pension Plan, California Catalog Company Pension Plan, and Delvian LLC Pension Plan	WH_MDL_00141148	WH_MDL_00141159		AUTH, F, H, IR, MIL, 403, DNM
5318	04/30/2013	Form S for RJM Capital Pension Plan As Of April 30, 2013	WH_MDL_00141728	WH_MDL_00141738		AUTH, F, H, IR
5319	06/28/2013	Form S for RJM Capital Pension Plan As Of June 28, 2013	WH_MDL_00141994	WH_MDL_00142004		AUTH, F, H, IR
5320	06/23/2013	Richard Markowitz 2012 FBAR	WH_MDL_00143168	WH_MDL_00143171		DNM, F, H, IR, MIL
5321	06/27/2014	Jocelyn Markowitz 2013 FBAR	WH_MDL_00143682	WH_MDL_00143687		H, IR
5322	06/28/2014	Elizabeth Van Merkensteijn 2013 FBAR	WH_MDL_00162840	WH_MDL_00162845		H, IR
5323	07/01/2009	IRS Letter Broad Financial LLC re plan acceptance	WH_MDL_00169251	WH_MDL_00169252		AUTH, F, H
5324	03/15/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of March 31, 2015	WH_MDL_00190325	WH_MDL_00190335		H, IR
5325	06/30/2014	Spirit on the Water Pension Plan General Partnership 2013 FBAR	WH_MDL_00190674	WH_MDL_00190679		AUTH, F, H, IR
5326	03/31/2015	Monthly Report to Federal Reserve Banks	WH_MDL_00190884	WH_MDL_00190894	2314	H, IR
5327	05/11/2015	Email from S. Furr to P. Wells and J. van Merkensteijn re Form TIC S - Signature Pages attaching Form TIC S - JHvM	WH_MDL_00191206	WH_MDL_00191212		H, IR, MIL
5328	04/30/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of April 30, 2015	WH_MDL_00191254	WH_MDL_00191264		H, IR
5329	05/29/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of May 29, 2015	WH_MDL_00191306	WH_MDL_00191316		H, IR
5330	06/30/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of June 30, 2015	WH_MDL_00192293	WH_MDL_00192303		H, IR
5331	07/31/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of July 31, 2015	WH_MDL_00193422	WH_MDL_00193432		H, IR
5332	08/31/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of August 31, 2015	WH_MDL_00194027	WH_MDL_00194037		H, IR
5333	09/30/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of September 30, 2015	WH_MDL_00194038	WH_MDL_00194048		H, IR
5334	06/30/2016	Batavia Capital Pension Plan Trust 2015 FBAR	WH_MDL_00208388	WH_MDL_00208394		AUTH, F, H, IR
5335	06/30/2016	Calypso Investments Pension Plan Trust 2015 FBAR	WH_MDL_00208395	WH_MDL_00208401		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5336	06/30/2016	Ballast Ventures LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00208409	WH_MDL_00208415		AUTH, F, H, IR
5337	06/30/2016	Albedo Management LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00208416	WH_MDL_00208422		AUTH, F, H, IR
5338	06/30/2016	Fairlie Investments LLC Roth 401(k) 2015 FBAR	WH_MDL_00208437	WH_MDL_00208443		AUTH, F, H, IR
5339	06/30/2016	Pinax Holdings LLC Roth 401(k) 2015 FBAR	WH_MDL_00208451	WH_MDL_00208457		AUTH, F, H, IR
5340	06/30/2016	Monomer Industries LLC Roth 401(k) 2015 FBAR	WH_MDL_00208458	WH_MDL_00208464		AUTH, F, H, IR
5341	06/30/2016	Plumrose Industries LLC Roth 401(k) 2015 FBAR	WH_MDL_00208486	WH_MDL_00208492		AUTH, F, H, IR
5342	06/30/2016	Limelight Global Productions LLC Roth 401(k) 2015 FBAR	WH_MDL_00208493	WH_MDL_00208499		AUTH, F, H, IR
5343	06/30/2016	Crucible Ventures LLC Roth 401(k) 2015 FBAR	WH_MDL_00208500	WH_MDL_00208506		AUTH, F, H, IR
5344	06/30/2016	True Wind Investments LLC Roth 401(k) 2015 FBAR	WH_MDL_00208507	WH_MDL_00208513		AUTH, F, H, IR
5345	06/30/2016	Roadcraft Technologies LLC Roth 401(k) 2015 FBAR	WH_MDL_00208514	WH_MDL_00208520		AUTH, F, H, IR
5346	12/08/2015	Email from Pollak to Wells, Markowitz RE FW: Email to Ani - Federal Reserve request for information	WH_MDL_00212188	WH_MDL_00212189	3128	H, IR, MIL, 403
5347	11/18/2015	Email from S. Furr to B. Pollak, J. van Merkensteijn, and R. Markowitz re John van Merkensteijn's Fed Forms, attaching van Merkensteijn Fed Forms 1 of 2	WH_MDL_00213524	WH_MDL_00213540		H, IR, MIL
5348	11/18/2015	Email from S. Furr to B. Pollak, J. van Merkensteijn, and R. Markowitz re John van Merkensteijn's Fed Forms, attaching van Merkensteijn Fed Forms 2 of 2	WH_MDL_00213559	WH_MDL_00213575		H, IR, MIL
5349	01/14/2013	Email from P. Wells to A. Larosa re Raubritter LLC Pension Plan	WH_MDL_00217725	WH_MDL_00217729		H, INC, IR, MIL, 403
5350	04/16/2012	Email from A. Woodward to M. Stein, J. van Merkensteijn, A. LoRosa, R. Markowitz re 401(k) plan	WH_MDL_00218604	WH_MDL_00218604		H, IR, MIL, 403, DNM
5351	04/18/2012	Email from A. LaRosa to P. Wells re Custody - Pensiona and Retirement Plan Investments I, LP	WH_MDL_00218610	WH_MDL_00218612		H, IR, 403, INC, MIL
5352	04/25/2012	Email from J. van Merkensteijn to M. Ben-Jacob re Bank Regulatory and Tax Compliance Requirements	WH_MDL_00218670	WH_MDL_00218673		H, IR, MIL, 403
5353	Undated	Tax Reclaim Advisory Services Agreement Between Ganymede Cayman Limited and Michelle Investments Pension Plan Trust	WH_MDL_00219501	WH_MDL_00219506		H, AUTH, F
5354	10/16/2012	Email from J. van Merkensteijn to M. Ben-Jacob, M. Stein, J. Lhote, A. LaRosa, R. Markowitz RE Update on Investment Advisor Matters and My Travel Schedule	WH_MDL_00219632	WH_MDL_00219634	3103	H, IR, MIL, 403
5355	10/18/2012	Email from M. Ben-Jacob to A. LaRosa, J. Lhote, R. Markowitz, J. van Merkensteijn, M. Stein re Payments under Tax Reclaim Services Agreement	WH_MDL_00219656	WH_MDL_00219660	3104	H, IR, MIL, 403
5356	10/31/2012	Email from M. Ben-Jacob to J. van Merkensteijn, M. Stein, A. LaRosa, J. Lhote, R. Markowitz re Ex-Dividend Follow-up	WH_MDL_00219679	WH_MDL_00219679		H, IR, 403, MIL, DNM
5357	11/01/2012	Email from M. Ben-Jacob to jhvm@argremgt.com, J. Lhote, R. Markowitz RE Ex-Dividened Follow-up	WH_MDL_00219713	WH_MDL_00219715	3105	H, IR, MIL, 403
5358	11/19/2012	Email from Peter Wells to Richard Markowitz CC: Jerome Lhote, John Van merkensteijn, Matthew Stein, Adam Larosa, Michael Ben-Jacob Subject: RE Call with Duet	WH_MDL_00219798	WH_MDL_00219800	2238	H, IR, MIL, 403
5359	01/10/2013	Email from A. LaRosa to P. Wells, M. Ben-Jacob re FW Raubritter LLC Pension Plan (w/attachments)	WH_MDL_00220062	WH_MDL_00220063		H, INC, IR, MIL, 403
5360	01/10/2013	Attachment - Dec. 11, 2012 Acupay & Raubritter LLC Pension Plan Reclaim Agreement	WH_MDL_00220064	WH_MDL_00220068		AUTH, F, H, INC, IR, MIL, 403
5361	01/10/2013	Attachment - Acupay & Raubritter LLC Pension Plan Special Power of Attorney	WH_MDL_00220069	WH_MDL_00220069		H, AUTH, F
5362	01/10/2013	Attachment - Acupay & Raubritter LLC Pension Plan Denmark - Beneficial Owner Declaration Form	WH_MDL_00220070	WH_MDL_00220071		H, AUTH, F

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5363	01/14/2013	Email from P. Wells to A. LaRosa re Form SLT and Form S Filings	WH_MDL_00220263	WH_MDL_00220270		H, IR, MIL
5364	02/27/2013	Email from M. Stein to R. Markowitz, J. Lhote, J. van Merkensteijn RE Partnership Agreements with Pension Plans	WH_MDL_00220878	WH_MDL_00220879	2131	H
5365	03/14/2013	Email from R. Veillette to A. O'Donnell re Bernina LLC	WH_MDL_00221526	WH_MDL_00221527		AUTH, F, H, INC, IR, MIL, 403
5366	03/29/2013	Form S for RJM Capital Pension Plan As Of March 29, 2013	WH_MDL_00222368	WH_MDL_00222378		AUTH, F, H, IR
5367	05/11/2013	Email from A. LaRosa to P. Wells re FBAR Reporting (With Attachment RE Mill River Capital Management Pension Plan 18-12-12.pdf)	WH_MDL_00222915	WH_MDL_00222930	3108	H, IR, MIL, 403
5368	05/31/2013	Form S for RJM Capital Pension Plan As Of May 31, 2013	WH_MDL_00223085	WH_MDL_00223095		AUTH, F, H, IR
5369	08/02/2023	Email from P. Wells to J. Lhote re Pension Plans	WH_MDL_00223633	WH_MDL_00223643	2240	H, IR, MIL, 403, DNM
5370	08/12/2013	Email from P. Wells to J. van Merkensteijn re Pension Plans	WH_MDL_00223681	WH_MDL_00223685	2241	H, IR, MIL, 403
5371	07/31/2013	Form S for RJM Capital Pension Plan As Of July 31, 2013	WH_MDL_00223879	WH_MDL_00223889		AUTH, F, H, IR
5372	06/13/2014	Email from R. Markowitz to P. Wells, Gregory, M. Ben-Jacob re Fwd New Accounts (w/attachment)	WH_MDL_00225809	WH_MDL_00225813		H, MIL
5373	06/13/2014	Attachment - Solo Capital Partners LLP Global Securities & Execution - On-Boarding Questionnaire	WH_MDL_00225814	WH_MDL_00225822		H
5374	07/14/2014	Email from Richard Markowitz to Amy Gregory CC: Peter Wells, John H. Van merkensteijn, Michael Ben-Jacob, Mel Piasek Subject: Re: Pension Plan Formation Forms	WH_MDL_00227861	WH_MDL_00227864		No objections
5375	08/08/2014	Email from R. Markowitz to M. Ben-Jacob re Our Thanks to the Kaye Scholer Team	WH_MDL_00239795	WH_MDL_00239795		H, IR, 403, C, MIL
5376	11/30/2014	Email from M. Ben-Jacob to C. Bennetsen, S. Firth re Danish Securities Law Question	WH_MDL_00244744	WH_MDL_00244746		AUTH, F, IR, H, MIL, 403
5377	12/06/2014	Email from Michael Ben-Jacob to Claus Bennetsen CC: Peter Wells, Kristian Kaltoft Nielsen Subject: RE: Danish Securities Law Question	WH_MDL_00245083	WH_MDL_00245089		AUTH, F, IR, H, MIL, 403, DNM
5378	12/08/2014	Email from M. Ben-Jacob to C. Bennetsen re Danish Securites Law Question	WH_MDL_00245083	WH_MDL_00245089		AUTH, F, IR, H, MIL, 403, DNM, C
5379	02/18/2015	Email from custody@solo.com to Amy Gregory CC: Peter Wells, Richard Markowitz, Robert Klugman Subject: Solo- POA/ auth traders lists/ board mins Attachments: Appendix B- Trust.pdf; Meeting of Trustees Minutes Template SCP- Jan 2015.docx; Limited POA Custody Template SOLO (Draft) Jan 2015.docx	WH_MDL_00248035	WH_MDL_00248042		H, IR, MIL, 403
5380	04/16/2015	Email from A. Qosja to G. Weinkam re TIC S and TIC SLT reporting - January	WH_MDL_00250150	WH_MDL_00250155		AUTH, F, H, INC, IR, MIL, 403
5381	06/30/2016	Azalea Pension Plan Trust 2015 FBAR	WH_MDL_00262505	WH_MDL_00262511		AUTH, F, H, IR
5382	05/15/2015	Bastion Capital London Ltd Equities Trade Confirmations	WH_MDL_00264268	WH_MDL_00264320		DNM, H, IR
5383	08/00/2014	General Partnership of Roadcraft Technologies General Partnership	WH_MDL_00265119	WH_MDL_00265129	5211	H, AUTH, F
5384	03/12/2014	Solo Capital Dividend Credit Advice to RJM Capital LLC Pension Plan re TDC A/S	WH_MDL_00268468	WH_MDL_00268468		H
5385	10/30/2015	Form S for Basalt Ventures LLC Roth 401(K) Plan As Of October 30, 2015	WH_MDL_00269228	WH_MDL_00269238		H, IR
5386	06/29/2016	Email from B. Pollak to J. van Merkensteijn, E. van Merkensteijn, and S. Furr re Plan Level FBARs	WH_MDL_00272975	WH_MDL_00272975		H, IR, INC
5387	03/23/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re DANSKE Bank A/S Security	WH_MDL_00274195	WH_MDL_00274197		H
5388	02/09/2011	Email from R. Shah to J. Lhote, M. Ben-Jacob, L. Tuchman, S. Shah, G. Horn, G. Grant-Kar re German Dividend Transaction - US Charitable Foundation and US Pension Fund Structure	WH_MDL_00275757	WH_MDL_00275757		H, IR, 403, MIL

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5389	02/09/2011	Email from Sanjay Shah to Richard Markowitz, Guenther Grant-Klar, Matthew Stein CC: Raj Shah, Adam Larosa, Jerome Lhote, Robert Klugman, Michael Ben-Jacob, Louis Tuchman, Arthur Woodard Subject: Re: Exemption from German Withholding Tax for US pension funds and Charities	WH_MDL_00276036	WH_MDL_00276039		H, IR, 403, MIL
5390	03/16/2011	Email from R. Markowitz to M. Ben-Jacob, J. Lhote, L. Tuchman, P. Wells re Diagram for Transaction	WH_MDL_00276116	WH_MDL_00276118	2109	H, IR, MIL, 403, DNM
5391	03/17/2011	Email from R. Markowitz to M. Ben-Jacob re Detailed steps of a Transaction	WH_MDL_00276138	WH_MDL_00276140	4481	H, MIL
5392	03/17/2011	Sample Transaction Expected Cash Flows	WH_MDL_00276140	WH_MDL_00276140		H, MIL
5393	03/21/2011	Email from R. Markowitz to M. Ben-Jacob, L. Tuchman re FW: Opinion_Solo_US_Charitable.doc	WH_MDL_00276168	WH_MDL_00276182	4486	H, IR, MIL, 403
5394	03/13/2012	Email from R. Markowitz to M. Ben-Jacob re FW: Belgian entities - Domestic exemption for non-resident non-profits - Certificate	WH_MDL_00279093	WH_MDL_00279095		DNM, H, IR, 403, MIL
5395	04/12/2012	Email from R. Markowitz to M. Ben-Jacob, M. Stein, J. Lhote, A. LaRosa, J. van Merkensteijn, P. Wells, Veillette re Provision for Sub Loan and IMA	WH_MDL_00280988	WH_MDL_00280988		H, IR, 403, MIL
5396	04/13/2012	Email from Jonathan Sander to Richard Makowitz CC: Robert Klugman, Jerome Lhote, Adam Larosa, Matthew Stein, John van Merkensteijn, Michael Ben-Jacob, Peter Wells, Louis Tuchman, Rebecca Veillette, globalequity@duetgroup.net Subject: RE: Duet- update Attachment: Draft Opinion Duet- Pension Funds- US Treaty.docx	WH_MDL_00281229	WH_MDL_00281233		H, IR, 403, MIL
5397	04/13/2012	Freshfields Draft Tax Opinion Letter re Investment in Belgian shares	WH_MDL_00281234	WH_MDL_00281243		H, IR, 403, MIL
5398	04/15/2012	Email from R. Markowitz to M. Ben-Jacob re US Partnerships and Solo	WH_MDL_00281301	WH_MDL_00281301		H, IR, MIL
5399	04/17/2012	Email from M. Ben-Jacob to R. Markowitz re Comments on Solo Documents	WH_MDL_00281644	WH_MDL_00281645		H, C, IR, MIL, 403
5400	04/17/2012	Email from A. LaRosa to P. Wells, R. Markowitz re Pension and Retirement Plan Investments I, LP	WH_MDL_00282075	WH_MDL_00282076		H, IR, INC, 403, MIL
5401	04/17/2012	Email from M. Ben-Jacob to R. Markowitz re Comments on Solo Documents	WH_MDL_00282544	WH_MDL_00282548		H, IR, MIL, 403
5402	04/18/2012	Email from Michael Ben-Jacob to Richard Markowitz CC: Matthew Stein, Jerome Lhote, Adam Larosa, Peter Wells, Louis Tuchman, John H. Van Merkensteijn Subject: RE: Comments on Solo Documents	WH_MDL_00282562	WH_MDL_00282565	3102	H, C, IR, MIL, 403
5403	04/18/2012	Email from M. Ben-Jacob to R. Markowitz re Comments on Solo Documents	WH_MDL_00282562	WH_MDL_00282565	4510	H, C, IR, MIL, 403
5404	04/18/2012	Email from Richard Markowitz to Michael Ben-Jacob, Peter Wells, Rebecca Veillette, Louis Tuchman, Matthew Stein, Jerome Lhote, Adam Larosa, John H. Van Merkensteijn Subject: FW: GMSLA Attachments: Draft GMSLA.doc; Pension and Retirement Plan Investments I, LP Side Letter.doc; Free Street Partners, LP Side Letter.doc; Ezra Academy of Queens Side Letter.doc	WH_MDL_00282642	WH_MDL_00282690		H, MIL
5405	04/25/2012	Email from M. Ben-Jacob to R. Markowitz, M. Stein, J. Lhote, J. van Merkensteijn, P. Wells, L. Tuchman re Fwd: Limited Partnerships	WH_MDL_00283373	WH_MDL_00283374		H, C, IR, MIL, 403
5406	04/25/2012	Email from M. Ben-Jacob to M. Stein re Limited Partnerships	WH_MDL_00283381	WH_MDL_00283383		H, IR, MIL, 403
5407	04/25/2012	Email from R. Markowitz to R. Shah re Limited Partnerships	WH_MDL_00283387	WH_MDL_00283388		H
5408	05/02/2012	Email from M. Ben-Jacob to R. Markowitz re Ezra - Partial Responses	WH_MDL_00283759	WH_MDL_00283761		H, IR, MIL, 403
5409	05/03/2012	Email from J. Lhote to M. Ben-Jacob re FW: Draft Belgian opinions - US Pension PLand & US Charity	WH_MDL_00283828	WH_MDL_00283830		H, IR, MIL, 403

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5410	05/08/2012	Email M. Ben-Jacob to J. Lhote RE Draft Belgian opinions - US Pension Pland & US Charity with attached Belgian Draft (Charity).pdf; Belgian Draft (Pension).pdf	WH_MDL_00283884	WH_MDL_00283909	2236	H, IR, MIL, 403
5411	05/18/2012	Email from M. Ben-Jacob to J. Lhote re Draft Belgian opinions - US Pension Pland & US Charity	WH_MDL_00284197	WH_MDL_00284202		H, IR, MIL, 403
5412	05/21/2012	Email from J. Lhote to E. Maes re Draft Belgian opinions - US Pension Pland & US Charity	WH_MDL_00284363	WH_MDL_00284368		H, IR, MIL, 403
5413	06/13/2012	Email from R. Shah to M. Ben-Jacob re Ezra	WH_MDL_00285163	WH_MDL_00285165		AUTH, F, H, IR, MIL, 403
5414	06/13/2012	Email from P. Wells to A. LaRosa and R. Markowitz, copying M. Ben-Jacob re Form S Reporting, attaching TIC Forms S for Free Street Partners LP, Pension and Retirement Investments LP, Xiphias LLC Pension Plan, Michelle Investments Pension Plan, Mill River Capital Management Pension Plan, Lion Advisory Inc. Pension Plan, and California Catalog Company Pension Plan	WH_MDL_00285263	WH_MDL_00285343		H, IR, MIL, 403
5415	06/14/2012	Email from A. O'Donnell to A. LaRosa and P. Wells, copying M. Ben-Jacob re Form S Reporting, attaching Purchases and Sales of Long-Term Securities by Foreign-Residents for Free Street Partners LP, Pension and Retirement Investments LP, Xiphias LLC Pension Plan, Michelle Investments Pension Plan, Mill River Capital Management Pension Plan, Lion Advisory Inc. Pension Plan, and California Catalog Company Pension Plan	WH_MDL_00285593	WH_MDL_00285663		AUTH, F, H, IR, MIL, 403
5416	06/14/2012	Email from A. O'Donnell to P. Wells, copying A. LaRosa and M. Ben-Jacob re Form S Reporting, attaching Purchases and Sales of Long-Term Securities by Foreign Residents - Lion Advisory Inc.	WH_MDL_00285680	WH_MDL_00285695		AUTH, F, H, IR, MIL, 403
5417	06/18/2012	Email from M. Ben-Jacob to J. Lhote, R. Markowitz, P. Wells	WH_MDL_00285751	WH_MDL_00285752		H, IR, MIL
5418	10/18/2012	Email from Michael Ben-Jacob to Adam Larosa, Jerome Lhote, Richard Markowitz, John H. Van Merkensteijn, Matthew Stein, CC: Alicia Colodner, Peter Wells Subject: Payments Under Tax Reclaim Services Agreement Attachment: (not included) Patriot Act Certification.docx	WH_MDL_00286571	WH_MDL_00286572		H, INC, IR, MIL, 403
5419	11/27/2012	Email from P. Wells to A. LaRosa re Duet / Argre / Scotia	WH_MDL_00288664	WH_MDL_00288665		AUTH, F, H, IR, MIL, 403
5420	11/30/2012	Email from J. Sander to P. Wells, R. Markowitz, A. Anand re Due Diligence in London	WH_MDL_00288877	WH_MDL_00288880		H, IR, MIL, 403
5421	11/30/2012	Freshfields Draft Opinion Letter re Investments in Belgian shares	WH_MDL_00288881	WH_MDL_00288892		H, IR, MIL, 403
5422	12/02/2012	Email from M. Ben-Jacob to R. Markowitz re FW: Due Diligence in London	WH_MDL_00288961	WH_MDL_00288965		H, IR, MIL, 403
5423	12/19/2012	Email from R. Markowitz to M. Ben-Jacob, P. Wells re Fwd: Belgium opinion	WH_MDL_00289640	WH_MDL_00289641		H, IR, MIL, 403
5424	01/04/2013	Email from M. Ben-Jacob to R. Markowitz re German ex-dividend transaction	WH_MDL_00289950	WH_MDL_00289950		H, IR, MIL, 403
5425	03/11/2013	Email from Richard Markowitz to Michael Ben-Jacob, Peter Wells CC: Jerome Lhote, John Van Merkensteijn, Matthew Stein, Adam Larosa Subject: Potential Transaction with Duet (attachments not included)	WH_MDL_00290779	WH_MDL_00290779		H, IR, MIL
5426	03/11/2013	Email from R. Markowitz to M. Ben-Jacob, P. Wells re Potential Transaction with Duet	WH_MDL_00290779	WH_MDL_00290779		H, IR, C, MIL
5427	06/24/2013	John Van Merkensteijn, III 2012 FBAR	WH_MDL_00296403	WH_MDL_00296406		AUTH, F, H, DNM, IR, MIL
5428	01/14/2014	Email from A. LaRosa to P. Wells re Form SLT Info, attaching Settlement Day Conversions - Form S Data	WH_MDL_00296777	WH_MDL_00296811		H, IR, MIL

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5429	05/27/2014	Email from P. Wells to J. Lhote, J. van Merkensteijn, R. Moskowitz, M. Stein, A. LaRosa re FBARS	WH_MDL_00297289	WH_MDL_00297290	3110	H, IR, MIL, 403
5430	06/27/2014	Email from R. Veillette to R. Markowitz, copying A. LaRosa, A. Colodner, and P. Wells re. FBARS, attaching "Rich - 2013 FBAR" and "Jocelyn - 2013 FBAR"	WH_MDL_00300258	WH_MDL_00300277		H, IR, MIL, 403
5431	06/27/2014	Email from R. Markowitz to R. Veillette re FBARS	WH_MDL_00300282	WH_MDL_00300284	2232	H, IR, MIL
5432	06/27/2014	Richard Markowitz 2013 FBAR	WH_MDL_00300369	WH_MDL_00300379		AUTH, F, H, IR
5433	06/28/2014	John Van Merkensteijn, III 2013 FBAR	WH_MDL_00300393	WH_MDL_00300404		AUTH, F, H, IR, MIL, 403
5434	07/00/2014	Bareroot Capital Investments LLC Roth 401(k) Plan Summary Plan Description	WH_MDL_00303907	WH_MDL_00303922		H, AUTH, F
5435	07/25/2014	Email from P. Wells to R. Markowitz RE Fw: Brokerage Services with Ballygate (With Attachment RE Cavus Systems LLC-BallyGate Brokerage Form.docx)	WH_MDL_00308672	WH_MDL_00308678	3115	H, IR, MIL, 403
5436	07/28/2014	Email from Robert Klugman to Rebecca Veillette CC: Richard Markowitz, Amy Gregory, John Van Merkensteijn Subject: Re: Correct Addresses for 8802s/6166s	WH_MDL_00308801	WH_MDL_00308802		H, IR, MIL, 403
5437	07/29/2014	Email from Richard Markowitz to Robert Klugman CC: Peter Wells, Amy Gregory, Rebecca Veillette, John Van merkensteijn Subject: Re: Actual Forward Contract that Routt Entered Into	WH_MDL_00308908	WH_MDL_00308908		H, MIL
5438	08/07/2014	Email from R. Veillette to R. Carlen, copying A. Larosa, M. Stein, J. van Merkensteijn, R. Markowitz, J. Lhote, M. Ben-Jacob, and P. Wells re 2013 FBARS, attaching copies of 2013 FBARS	WH_MDL_00312892	WH_MDL_00313053		AUTH, F, H, IR, MIL
5439	11/24/2014	Email from Richard Markowitz to Peter Wells, Michael Ben-Jacob CC: John Van Merkensteijn, Robert Klugman Subject: Danish Securities Law Question	WH_MDL_00316537	WH_MDL_00316537		H, IR, MIL, 403
5440	12/02/2014	Email from Michael Ben-Jacob to Richard Markowitz, Peter Wells, CC: John Van Merkensteijn, Robert Klugman Subject: RE: Danish Securities Law Question	WH_MDL_00316803	WH_MDL_00316805		H, IR, MIL, 403, DNM
5441	12/02/2014	Email from M. Ben-Jacob to R. Markowitz, P. Wells re Danish Securities Law Question	WH_MDL_00316807	WH_MDL_00316809		H, IR, MIL, 403
5442	12/08/2014	Email from Claus Bennetsen to Michael Ben-Jacob CC: Peter Wells, Kristian Kaltoft Nielsen Subject: SV: Danish Securities Law Question Attachments: (not included) Standard Form_Major Shareholder_Notification.pdf; Major Shareholder Notification Process-Denmark (FSA).pptx	WH_MDL_00317141	WH_MDL_00317148		AUTH, F, H, INC, IR, MIL, 403
5443	12/10/2014	Email from M. Ben-Jacob to C. Bennetsen re Danish Securities Law Question	WH_MDL_00317272	WH_MDL_00317281		AUTH, F, H, IR, MIL, 403
5444	12/12/2014	Email from M. Ben-Jacob to R. Makowitz, P. Wells re Danish Securities Law Question	WH_MDL_00317341	WH_MDL_00317346		H, INC, IR, MIL, 403
5445	12/12/2014	Email from Michael Ben-Jacob to Richard Markowitz, Peter Wells, CC: John Van Merkensteijn, Robert Klugman Subject: RE: Danish Securities Law Question Attachments: Standard Form_Major Shareholder_Notification.pdf; Major Shareholder Notification Process - Denmark (FSA).pptx	WH_MDL_00317347	WH_MDL_00317375		H, IR, MIL, 403
5446	01/21/2015	Email from P. Wells to R. Markowitz, R. Veillette, A. Gregory re New Custodians for Exisiting Plans	WH_MDL_00317984	WH_MDL_00317985		H, IR, MIL, 403

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5447	02/11/2015	Email from Robert Klugman to Peter Wells, CC: Richard Markowitz, John Van Merkensteijn, Michael Ben-Jacob, Matt Cooper, Ira Reibeisen Subject: Re: Professional Client Opt-Up Update	WH_MDL_00319676	WH_MDL_00319681		H, MIL
5448	02/19/2015	Email from custody@oldplc.com to Amy Gregory, CC: Peter Wells, Richard Markowitz, Robert Klugman Subject: RE: OPL- POA/traders list/ Board Mins	WH_MDL_00320025	WH_MDL_00320026		H, IR, MIL, 403
5449	02/23/2015	Email from R. Markowitz to P. Wells and J. van Merkensteijn re Telesto - POA/Traders list/Board Mins	WH_MDL_00320507	WH_MDL_00320510		H, MIL
5450	05/14/2015	Email from R. Markowitz to P. Wells re Herman Signature Pages, attaching Form TIC S - Signature Pages - Herman-Signed	WH_MDL_00321956	WH_MDL_00321960		IR, 403, MIL
5451	05/14/2015	Email from R. Markowitz to P. Wells re Jones Signature Pages, attaching Form TIC S signature pages	WH_MDL_00321963	WH_MDL_00321966		H, IR, 403, MIL
5452	05/29/2015	Form SLT: Monthly Report to Federal Reserve Banks RE AvaniX Management LLC Roth 401(K) Plan from Ben-Jacob	WH_MDL_00322943	WH_MDL_00322965	3122	H, IR
5453	04/25/2012	Email from M. Ben-Jacob to R. Markowitz re Bank Regulatory and Tax Compliance Requirements	WH_MDL_00323687	WH_MDL_00323770		AUTH, F, H, IR, MIL, 403
5454	07/07/2015	Letters from Michael Ben-Jacob to Solo Capital Partners, Old Park Lane, Telesto Markets regarding amounts due and owing	WH_MDL_00323900	WH_MDL_00324023		AUTH, F, H, INC, IR, MIL, 403
5455	10/19/2015	Email from M. Ben-Jacob to P. Wells, M. Stein, J. Lhote, McGee, J. van Merkensteijn, R. Markowitz, R. Klugman re Update Regarding Federal Reserve (w/attachments)	WH_MDL_00325966	WH_MDL_00325966		H, INC, IR, MIL, 403
5456	10/19/2015	Attachment - Exemption Levels for TIC Reporting	WH_MDL_00325967	WH_MDL_00325967		AUTH, F, H, INC, IR, MIL, 403
5457	10/19/2015	Attachment - TIC Form BQ-2, Part 1	WH_MDL_00325968	WH_MDL_00325974		AUTH, F, H, INC, IR, MIL, 403
5458	11/18/2015	Email from R. Markowitz to J. van Merkensteijn and S. Furr re Signature Pages for Filing Fed Forms (for John), attaching signature pages for Forms TIC D and BQ-2	WH_MDL_00326376	WH_MDL_00326408		H, IR
5459	03/31/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re CARLSBERG AS-B	WH_MDL_00326543	WH_MDL_00326543		H
5460	05/11/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re COLOPLAST-B	WH_MDL_00326544	WH_MDL_00326544		H
5461	03/23/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re Danske Bank A/S	WH_MDL_00326545	WH_MDL_00326545		H
5462	03/31/2015	Telesto Credit Advice to Basalt Ventures Roth 401(K) plan re FLSMIDTH & CO A/S	WH_MDL_00326547	WH_MDL_00326547		H
5463	03/24/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re GN STORE NORD A/S	WH_MDL_00326548	WH_MDL_00326548		H
5464	04/07/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re AP MOELLER-MAERSK A/S-A	WH_MDL_00326549	WH_MDL_00326549		H
5465	04/07/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re AP MOELLER-MAERSK A/S-B	WH_MDL_00326550	WH_MDL_00326550		H
5466	03/23/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re NOVO NORDISK A/S-B	WH_MDL_00326551	WH_MDL_00326551		DNM, H
5467	03/23/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re PANDORA A/S	WH_MDL_00326553	WH_MDL_00326553		H
5468	03/10/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re TDC A/S	WH_MDL_00326555	WH_MDL_00326555		H
5469	03/26/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re TRYG A/S	WH_MDL_00326556	WH_MDL_00326556		DNM, H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5470	07/04/2015	Telesto Credit Advice to Basalt Ventures LLC Roth 401(K) Plan re Vestas Wind System A/S	WH_MDL_00326557	WH_MDL_00326557		H
5471	05/08/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326719	WH_MDL_00326719		H
5472	06/03/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326720	WH_MDL_00326720		H
5473	05/19/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326721	WH_MDL_00326721		H
5474	05/19/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326722	WH_MDL_00326722		H
5475	05/13/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326723	WH_MDL_00326723		H
5476	06/04/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326724	WH_MDL_00326724		H
5477	06/04/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326725	WH_MDL_00326725		H
5478	05/08/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326726	WH_MDL_00326726		H
5479	05/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326727	WH_MDL_00326727		H
5480	05/06/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326728	WH_MDL_00326728		H
5481	05/06/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326729	WH_MDL_00326729		H
5482	05/05/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326730	WH_MDL_00326730		H
5483	05/06/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326731	WH_MDL_00326731		DNM, H
5484	05/20/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326732	WH_MDL_00326732		H
5485	06/16/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326734	WH_MDL_00326734		H
5486	03/31/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326735	WH_MDL_00326735		H
5487	05/11/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326736	WH_MDL_00326736		H
5488	03/23/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326737	WH_MDL_00326737		H
5489	03/17/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326738	WH_MDL_00326738		H
5490	03/31/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326739	WH_MDL_00326739		H
5491	03/24/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326740	WH_MDL_00326740		H
5492	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326741	WH_MDL_00326741		H
5493	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326742	WH_MDL_00326742		H
5494	03/24/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326743	WH_MDL_00326743		H
5495	03/02/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326744	WH_MDL_00326744		H
5496	03/23/2015	Telesto Credit Advice for The Stor Capital Consuling LLC 401k Plan Security-Pandora A/S	WH_MDL_00326745	WH_MDL_00326745		H
5497	08/12/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326746	WH_MDL_00326746		H
5498	03/10/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326747	WH_MDL_00326747		H
5499	03/30/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326748	WH_MDL_00326748		H
5500	04/07/2015	Telesto- Credit Advice to The Stor Capital Consulting LLC 401k Plan	WH_MDL_00326749	WH_MDL_00326749		H
5501	02/19/2016	Email from R. Carlen to R. Markowitz re Recipient copies	WH_MDL_00327129	WH_MDL_00327129		H, INC
5502	2015	2015 Form 1099-R - RJM Capital Pension Plan Trust	WH_MDL_00327143	WH_MDL_00327144		AUTH, F, H, IR
5503	06/30/2016	Cantata Industries LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329190	WH_MDL_00329196		AUTH, F, H, IR
5504	06/30/2016	Battu Holdings LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329197	WH_MDL_00329203		AUTH, F, H, IR
5505	06/30/2016	Bareroot Capital Investments LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329204	WH_MDL_00329210		AUTH, F, H, IR
5506	06/30/2016	Vanderlee Technologies Pension Plan 2015 FBAR	WH_MDL_00329211	WH_MDL_00329217		AUTH, F, H, IR
5507	06/30/2016	Dicot Technologies LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329218	WH_MDL_00329224		AUTH, F, H, IR
5508	06/30/2016	Starfish Capital Management LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329264	WH_MDL_00329270		AUTH, F, H, IR
5509	06/30/2016	The Omineca Pension Plan 2015 FBAR	WH_MDL_00329271	WH_MDL_00329277		AUTH, F, H, IR
5510	06/30/2016	Basalt Ventures LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329278	WH_MDL_00329284		AUTH, F, H, IR
5511	06/30/2016	Voojo Productions LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329285	WH_MDL_00329291		AUTH, F, H, IR
5512	06/30/2016	Bernina Pension Plan Trust 2015 FBAR	WH_MDL_00329292	WH_MDL_00329298		AUTH, F, H, IR
5513	06/30/2016	Tarvos Pension Plan 2015 FBAR	WH_MDL_00329299	WH_MDL_00329305		AUTH, F, H, IR
5514	06/30/2016	Loggerhead Services LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329364	WH_MDL_00329370		AUTH, F, H, IR
5515	06/30/2016	First Ascent Worldwide LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329371	WH_MDL_00329377		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5516	06/30/2016	Eclouge Industry LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329378	WH_MDL_00329384		AUTH, F, H, IR
5517	06/30/2016	Trailing Edge Productions LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329385	WH_MDL_00329391		AUTH, F, H, IR
5518	06/30/2016	PAB Facilities LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329392	WH_MDL_00329398		AUTH, F, H, IR
5519	06/30/2016	Green Scale Management LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329437	WH_MDL_00329443		AUTH, F, H, IR
5520	06/30/2016	Fulcrum Productions LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329444	WH_MDL_00329450		AUTH, F, H, IR
5521	06/30/2016	Cedar Hill Capital Investments LLC Roth 401(K) Plan 2015 FBAR	WH_MDL_00329451	WH_MDL_00329457		AUTH, F, H, IR
5522	06/30/2016	Tumba Systems LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329458	WH_MDL_00329464		AUTH, F, H, IR
5523	06/30/2016	Keystone Technologies LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329465	WH_MDL_00329471		AUTH, F, H, IR
5524	06/30/2016	Hadron Industries LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329515	WH_MDL_00329521		AUTH, F, H, IR
5525	06/30/2016	Cavus System LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329522	WH_MDL_00329528		AUTH, F, H, IR
5526	06/30/2016	Avanix Management LLC Roth 401(k) Plan 2015 FBAR	WH_MDL_00329529	WH_MDL_00329535		AUTH, F, H, IR
5527	06/30/2016	RJM Capital Pension Plan Trust 2015 FBAR	WH_MDL_00329536	WH_MDL_00329542		AUTH, F, H, IR
5528	2015	2015 Form 1096 - RJM Capital Pension Plan Trust	WH_MDL_00329622	WH_MDL_00329625		AUTH, F, H, IR
5529	Undated	Excel Spreadshet of Fees	WH_MDL_00329626	WH_MDL_00329626		AUTH, F, H
5530	06/04/2013	Email from A. LaRosa to P. Wells, M. Ben-Jacob re FW: MIL01 Balances 2012 (with hand notations)	WH_MDL_00336300	WH_MDL_00336305	4495	H, MIL
5531	11/11/2013	Email from K. Wechter to M. Ben-Jacobs Re Memo (with attachment - Pension Pland Trades Controlled Group Issues and Transactions with "Disqualified Persons")	WH_MDL_00369625	WH_MDL_00369646	4513	AUTH, F, H, IR, MIL, 403
5532	04/17/2012	Handwritten notes	WH_MDL_00340229	WH_MDL_00340229		H, AUTH, F, 403
5533	09/08/2010	Email from T. Rosenberg to R. Markowitz re Equity Arbitrage Transaction Agreement	WH_MDL_00342295	WH_MDL_00342295		H, IR, INC, MIL
5534	09/14/2010	Email from R. Markowitz to T. Rosenberg re Solo Agreement	WH_MDL_00342309	WH_MDL_00342310		H, IR, MIL
5535	09/14/2010	Email from R. Markowitz to T. Rosenberg re Solo Agreement	WH_MDL_00342313	WH_MDL_00342314		H, IR, MIL
5536	09/15/2010	Email from T. Rosenberg to R. Markowitz re Equity Arbitrage Transaction Agreement	WH_MDL_00342315	WH_MDL_00342315		H, IR, INC, MIL
5537	09/21/2010	Email from T. Rosenberg to R. Markowitz re Updated Equity Arbitrage Transaction Agreement	WH_MDL_00342329	WH_MDL_00342329		H, IR, INC, MIL
5538	09/26/2010	Email from Robert Klugman to Todd Rosenberg Subject: Re: Updated Draft Equity Arbitrage Transaction Agreement	WH_MDL_00342367	WH_MDL_00342367		H, IR, C, INC, MIL
5539	10/03/2010	Email from R. Markowitz to T. Rosenberg re Minor Comments/Questions	WH_MDL_00342369	WH_MDL_00342370		H, IR, MIL
5540	10/03/2010	Email from Robert Klugman to Todd Rosenberg cc: Richard Markowitz Subject: Re: Minor Comments/Questions	WH_MDL_00342371	WH_MDL_00342372		H, IR, C, MIL
5541	10/04/2010	Email from R. Markowitz to J. van Merkensteijn, T. Rosenberg, J. Lhote, A. Larosa, M. Stein re MOU	WH_MDL_00342375	WH_MDL_00342377		H, IR, MIL
5542	10/15/2010	Email from Richard Markowitz to Todd Rosenberg, cc: Robert Klugman, Matthew Stein Subject: FW: MOU	WH_MDL_00342378	WH_MDL_00342379		H, IR, MIL
5543	11/10/2010	Email from R. Markowitz to T. Rosenberg, M. Stein, A. Larosa re Equity Arbitrage Transaction: Draft Letter Agreement	WH_MDL_00342380	WH_MDL_00342380		H, IR, C, INC, MIL
5544	11/15/2010	Email from R. Markowitz to N. Bloomberg, T. Rosenberg re Comments on Document	WH_MDL_00342392	WH_MDL_00342392		H, IR, C, INC, MIL
5545	11/15/2010	Email from N. Bloomberg to R. Rosenberg re Angre's comments	WH_MDL_00342398	WH_MDL_00342398		H, IR, C, INC, MIL
5546	12/01/2010	Email from Richard Markowitz to Todd Rosenberg, Noah Bloomberg Subject: FW: Argre Capital Terms of Engagement Attachment: (not included) Argre Management LLC engagement letter-clean.docx; Argre Management LLC engagement letter.DOCX	WH_MDL_00342404	WH_MDL_00342404		H, IR, C, INC, MIL
5547	07/28/2014	Email from trading to P. Wells, Gregory, Veillette, J. van Merkensteijn re Fwd Counterparty for Forward Contacts (w/attachment)	WH_MDL_00342779	WH_MDL_00342780		H, MIL

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5548	07/28/2014	Attachment - 2014 Letter re Equity Forward Transaction	WH_MDL_00342781	WH_MDL_00342784		H, MIL
5549	12/02/2014	Email from Michael Ben-Jacob to Richard Markowitz, Peter Wells, CC: John Van Merkensteijn, Robert Klugman Subject: RE: Danish Securities Law Question	WH_MDL_00343241	WH_MDL_00343242		H, IR, MIL, 403
5550	12/31/2015	Telesto Markets- Statement for The Stor Capital Consulting LLC 401k Plan	WH_MDL_00354960	WH_MDL_00354986		H
5551	Multiple Dates	Kaye Scholer Bill and Payment Summary covering invoices dated March 4, 2010-August 21, 2015	WH_MDL_00355007	WH_MDL_00355135		C, F, H, INC, IR, MIL, 403
5552	Multiple Dates	Kaye Scholer Bill and Payment Summary covering invoices dated April 12, 2011-August 26, 2013	WH_MDL_00355136	WH_MDL_00355206		C, F, H, INC, IR, MIL, 403
5553	Multiple Dates	Kaye Scholer Bill and Payment Summary covering invoices dated March 31, 2012-May 14, 2012	WH_MDL_00355207	WH_MDL_00355222		C, F, H, IR, MIL, 403
5554	Multiple Dates	Kaye Scholer Bill and Payment Summary covering invoices dated May 14, 2012-February 26, 2015	WH_MDL_00355223	WH_MDL_00355364		C, F, H, INC, IR, MIL, 403
5555	Multiple Dates	Kaye Scholer Bill and Payment Summary covering invoices dated December 10, 2012-June 25, 2013	WH_MDL_00355365	WH_MDL_00355375		C, F, H, IR, MIL, 403
5556	Multiple Dates	Kaye Scholer Bill and Payment Summary covering invoices dated March 25, 2013-July 22, 2013	WH_MDL_00355376	WH_MDL_00355387		C, F, H, IR, MIL, 403
5557	Multiple Dates	Kaye Scholer Bill and Payment Summary covering invoices dated April 22, 2013-May 22, 2013	WH_MDL_00355388	WH_MDL_00355396		C, F, H, IR, MIL, 403
5558	Multiple Dates	Kaye Scholer Bill and Payment Summary covering invoices dated August 26, 2013-August 25, 2014	WH_MDL_00355397	WH_MDL_00355443		C, F, H, IR, MIL, 403
5559	10/22/2015	Arnold & Porter Kaye Scholer Bill and Payment Summary and Invoices 8/25/2014- 10/22/2015	WH_MDL_00355444	WH_MDL_00355703	3127	C, F, H, INC, IR, MIL, 403
5560	03/20/2015	Kaye Scholer Invoice (excerpt)	WH_MDL_00355489	WH_MDL_00355489		C, F, H, IR, MIL, 403
5561	03/20/2015	Kaye Scholer Invoice (excerpt)	WH_MDL_00355492	WH_MDL_00355493		C, F, H, INC, IR, MIL, 403
5562	03/20/2015	Kaye Scholer Invoice (excerpt)	WH_MDL_00355494	WH_MDL_00355494		C, F, H, INC, IR, MIL, 403
5563	03/20/2015	Kaye Scholer Invoice (excerpt)	WH_MDL_00355497	WH_MDL_00355497		C, F, H, INC, IR, MIL, 403
5564	06/19/2015	Kaye Scholer Invoice (excerpt)	WH_MDL_00355584	WH_MDL_00355584		C, F, H, INC, IR, MIL, 403
5565	06/30/2015	Kaye Scholer Invoice (excerpt)	WH_MDL_00355609	WH_MDL_00355610		C, F, H, INC, IR, MIL, 403
5566	07/31/2015	Kaye Scholer Invoice (excerpt)	WH_MDL_00355641	WH_MDL_00355641		C, F, H, INC, IR, MIL, 403
5567	09/30/2015	Kaye Scholer Invoice (excerpt)	WH_MDL_00355663	WH_MDL_00355663		C, F, H, INC, IR, MIL, 403
5568	04/14/2015	Kay Scholer Invoice for Professional Services Rendered Through 02/28/2015	WH_MDL_00355704	WH_MDL_00355713		C, F, H, IR, MIL, 403
5569	11/30/2015	Kaye Scholer Invoice to Richard Markowitz	WH_MDL_00355714	WH_MDL_00355724		C, F, H, INC, IR, MIL, 403, DNM
5570	01/22/2016	Kaye Scholer Invoices to Roult Capital Attn: Markowitz, re Ex-Dividend Trades - Pensions, dated January 22, 2016	WH_MDL_00355725	WH_MDL_00355741		C, F, H, INC, IR, MIL, 403
5571	03/03/2016	Kaye Scholer Invoice to Rossi Technologies	WH_MDL_00355742	WH_MDL_00355749		C, F, H, INC, IR, MIL, 403
5572	03/31/2016	Kaye Scholer Invoices to Rossi Technologies LLC Roth 401K Plan Attn: JvM, re Ex-Dividend Trades - Pensions, dated March 31, 2016	WH_MDL_00355750	WH_MDL_00355759		C, F, H, INC, IR, MIL, 403
5573	08/15/2016	Kaye Scholer Invoices to Rossi Technologies LLC Roth 401K Plan Attn: JvM, re Ex-Dividend Trades - Pensions, dated August 15, 2016	WH_MDL_00355760	WH_MDL_00355773		C, F, H, INC, IR, MIL, 403
5574	11/09/2015	Kaye Scholer Invoice to Rossi Technologies	WH_MDL_00355774	WH_MDL_00355781		C, F, H, INC, IR, MIL, 403
5575	03/00/2010	Draft German Tax Opinion from Norton Rose	WH_MDL_00356117	WH_MDL_00356129		AUTH, F, H, IR, 403, MIL
5576	04/04/2010	Handwritten Notes re To Do (as of 4/14/10)	WH_MDL_00356178	WH_MDL_00356178		AUTH, F, H
5577	03/12/2010	Handwritten Notes re German Dividend Trade / Solo Capital	WH_MDL_00356179	WH_MDL_00356179		AUTH, F, H, IR
5578	04/30/2010	Handwritten Notes re Disagreement w/ ML	WH_MDL_00356181	WH_MDL_00356181		AUTH, F, H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5579	05/08/2018	Email from A. Null, WilmerHale to S. Petshaft, Dept. of the Treasury re RJM Capital, LLC Pension Plan	WH_MDL_00356182	WH_MDL_00356199		DNM, AUTH, F, H, IR, 403, MIL
5580	01/01/2016	RJM Capital LLC Solo 401(k) Plan	WH_MDL_00356221	WH_MDL_00356374		H
5581	2016	2016 Form 5500-EZ - RJM Capital Pension Plan	WH_MDL_00356533	WH_MDL_00356534		AUTH, F, H
5582	2015	2015 Form 5500-EZ - RJM Capital Pension Plan	WH_MDL_00356535	WH_MDL_00356536		AUTH, F, H
5583	2015	2015 Form 5500-EZ - RJM Capital Pension Plan	WH_MDL_00356537	WH_MDL_00356538		AUTH, F, H
5584	05/22/2018	Email from S. Petshaft, IRS to A. Null, WilmerHale re RJM Capital Pension Plan	WH_MDL_00356591	WH_MDL_00356594		DNM, AUTH, F, H, IR, 403, MIL
5585	06/26/2018	Letter from A. Null, WilmerHale to Sharon Petshaft, IRS re RJM Capital, LLC Pension Plan	WH_MDL_00356595	WH_MDL_00356599		AUTH, F, H, IR, 403, MIL
5586	2016	2016 Form 5500-EZ - RJM Capital Pension Plan	WH_MDL_00356986	WH_MDL_00356987		AUTH, F, H
5587	10/04/2018	Letter from A. Null, WilmerHale to Sharon Petshaft, IRS re RJM Capital, LLC Pension Plan	WH_MDL_00356990	WH_MDL_00356998		AUTH, F, H, IR, 403, MIL
5588	02/25/2019	Letter from A. Null, WilmerHale to Sharon Petshaft, IRS re RJM Capital, LLC Pension Plan	WH_MDL_00357011	WH_MDL_00357027		AUTH, F, H, IR, 403, MIL
5589	Multiple Dates	First Republic Bank Simplified Business Checking Account (July 1, 2013 to July 31, 2013) re RJM Capital Pension Plan Trust	WH_MDL_00357050	WH_MDL_00357051		No Objections
5590	Multiple Dates	First Republic Bank Simplified Business Checking Account (May 1, 2014 to May 31, 2014) re RJM Capital Pension Plan Trust	WH_MDL_00357068	WH_MDL_00357069		No Objections
5591	Multiple Dates	First Republic Bank Simplified Business Checking Account (April 1, 2014 to April 30, 2014) re RJM Capital Pension Plan Trust	WH_MDL_00357083	WH_MDL_00357084		No Objections
5592	Multiple Dates	First Republic Bank Simplified Business Checking Account (May 1, 2013 to May 31, 2013) re RJM Capital Pension Plan Trust	WH_MDL_00357092	WH_MDL_00357094		No Objections
5593	Multiple Dates	First Republic Bank Simplified Business Checking Account (June 1, 2013 to June 30, 2013) re RJM Capital Pension Plan Trust	WH_MDL_00357099	WH_MDL_00357101		No Objections
5594	Undated	Dulberg SJM Decl. Ex. 029_RJM Cpaital LLC Pension Plan (RJM01)	WH_MDL_00357354	WH_MDL_00357355		DNM, H, F
5595	04/03/2019	Letter from A. Null, WilmerHale to Sharon Petshaft, IRS re RJM Capital, LLC Pension Plan	WH_MDL_00358598	WH_MDL_00358599		AUTH, F, H, IR, 403, MIL
5596	04/09/2019	Letter from A. Null, WilmerHale to Sharon Petshaft, IRS re RJM Capital, LLC Pension Plan	WH_MDL_00358600	WH_MDL_00358605		AUTH, F, H, IR, 403, MIL
5597	02/03/2020	Letter from C. Jones, IRS to A. Null, WilmerHale re RJM Capital Pension Plan	WH_MDL_00358606	WH_MDL_00358607		AUTH, F, H, IR, 403, MIL
5598	02/21/2020	IRS Letter to RJM Capital LLC re 5500-EZ Plan DD1	WH_MDL_00360716	WH_MDL_00360717		AUTH, F, H
5599	12/17/2020	IRS Letter to RJM Capital LLC re 5500-EZ Plan DD1	WH_MDL_00360872	WH_MDL_00360873		AUTH, F, H
5600	02/07/2019	SKAT Letter to Bareroot Capital Investments LLC Roth (K) Plan re For your information	WH_MDL_00361665	WH_MDL_00361680	4037	TR
5601	12/31/2012	Email from M. Ben-Jacob to T. Jesch re German Ex-Dividend Transaction	WH_MDL_00363224	WH_MDL_00363226		AUTH, F, H, IR, MIL, 403
5602	04/17/2014	E-mail from M. Ben-Jacob to M. Rootes re Argre Management/Ezra Academy	WH_MDL_00363906	WH_MDL_00363908		AUTH, F, H, IR, MIL, 403
5603	04/23/2011	Email from M. Ben-Jacobs to L. Tuchman re Document List	WH_MDL_00364011	WH_MDL_00364015	4493	AUTH, F, H, IR, MIL, 403
5604	10/14/2012	Email from A. Woodward to M. Ben-Jacobs, "Wachter" RE Tax Reclaim Advisory Servies Agreements	WH_MDL_00367357	WH_MDL_00367359	4531	AUTH, F, H, IR, MIL, 403
5605	03/01/2012	Email from M. Ben-Jacobs to A. Woodward RE Argre Memeo (with attachment)	WH_MDL_00368403	WH_MDL_00368418	4530	AUTH, F, H, IR, MIL, 403
5606	04/30/2013	RJM Capital Pension Plan Form S - Monthly Report for the Federal Reserve Bank of New York: Purchases and Sales of Long-Term Securities by Foreign-Residents	WH_MDL_00375621	WH_MDL_00375631		AUTH, F, H, IR, INC

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5607	07/10/2014	Email from P. Wells to S. Soloveichik re "FW: Forms S - cover pages," attaching Form S cover pages	WH_MDL_00390629	WH_MDL_00390661		H, IR, MIL
5608	07/30/2012	Email from P. Wells to R. Veillette re new DE LLC	WH_MDL_00391296	WH_MDL_00391298		AUTH, F, H, IR, MIL, 403
5609	04/16/2012	Email from Michael Ben-Jacob to Louis Tuchman Subject: SWAP form Attachment: (not included)Sample Forward Contract (Argre).doc	WH_MDL_00394151	WH_MDL_00394151		AUTH, F, H, INC, IR, MIL, 403
5610	04/16/2012	Draft Agreement re EUR Equity Forward Contract	WH_MDL_00394152	WH_MDL_00394162		AUTH, F, H, INC, IR, MIL, 403
5611	04/13/2015	Bareroot Capital LLC Roth 401(K) Plan Plan Form S - Monthly Report for the Federal Reserve Bank of New York: Purchases and Sales of Long-Term Securities by Foreign-Residents	WH_MDL_00396351	WH_MDL_00396361		H, IR
5612	09/30/2014	AcuPay DENMARK - Beneficial Owner Declaration Form	WH_MDL_00438435	WH_MDL_00438439	4503	AUTH, F, H, IR, 403
5613	11/24/2014	Email from S. Firth to D. Lewin, M. Ben-Jacob re Danish Securities Law Question	WH_MDL_00439818	WH_MDL_00439819		AUTH, F, H, IR, MIL, 403, DNM
5614	05/01/2015	Email from G. Weinkam to F. Adam, "Mail Services," K. Sawe, S. Theocharides, and A. Yarmark re Fax project (and attachments)	WH_MDL_00446457	WH_MDL_00446711		H, IR
5615	11/06/2015	Email from R. Markowitz to B. Pollak, copying G. Weinkam and M. Ben-Jacob re Routt Form BQ-2 and Form D Signature pages, attaching Routt Signatures for Forms D and BQ-2	WH_MDL_00447550	WH_MDL_00447558		H, IR, MIL
5616	11/06/2015	Email from R. Markowitz to B. Pollak, copying G. Weinkam and M. Ben-Jacob re Hadron Form BQ-2 and Form D Signature pages, attaching Hadron Signatures for Forms D and BQ-2	WH_MDL_00447559	WH_MDL_00447567		H, IR, MIL
5617	11/06/2015	Email from R. Markowitz to B. Pollak, copying G. Weinkam and M. Ben-Jacob re Cavus Form BQ-2 and Form D Signature pages, Cavus Signatures for Forms D and BQ-2	WH_MDL_00447568	WH_MDL_00447576		H, IR, MIL
5618	11/06/2015	Email from R. Markowitz to B. Pollak, copying G. Weinkam and M. Ben-Jacob re Avanix Form BQ-2 and Form D Signature pages, attaching Avanix Signatures for Forms D and BQ-2	WH_MDL_00447577	WH_MDL_00447585		H, IR, MIL
5619	10/01/2013	Dulberg SJM Decl. Ex. 036_Report of Foreign Bank and Financial Accounts	WH_MDL_00450239	WH_MDL_00450245		AUTH, F, H, IR
5620	06/30/2016	Routt Capital Trust 2015 FBAR	WH_MDL_00450747	WH_MDL_00450753		AUTH, F, H, IR
5621	06/30/2016	Sternway Logistics LLC Roth 401(K) Plan 2015 FBAR	WH_MDL_00450819	WH_MDL_00450825		AUTH, F, H, IR
5622	09/12/2018	SKAT Tolling Agreement	WH_MDL_00452946	WH_MDL_00452948		No Objections
5623	Undated	Extension Agreement - Annex	WH_MDL_00452949	WH_MDL_00452951		No Objections
5624	10/24/2018	SKAT Tolling Agreement	WH_MDL_00452953	WH_MDL_00452955		No Objections
5625	04/05/2018	SKAT Tolling Agreement	WH_MDL_00452956	WH_MDL_00452958		No Objections
5626	06/29/2018	SKAT Tolling Agreement	WH_MDL_00452959	WH_MDL_00452960		No Objections
5627	03/10/2010	Final Report re Solo Capital Limited	WH_MDL_00453832	WH_MDL_00453839		AUTH, F, H
5628	03/30/2010	Final Report re Rajen Shah	WH_MDL_00453840	WH_MDL_00453852		AUTH, F, H
5629	03/30/2010	Final Report re Sanjay Munsukhal Shah	WH_MDL_00453853	WH_MDL_00453853		AUTH, F, H
5630	03/31/2010	Final Report re Guenther Grant-Klar	WH_MDL_00453870	WH_MDL_00453885		AUTH, F, H
5631	04/09/2010	Due Diligence Questions from Argre Management	WH_MDL_00453948	WH_MDL_00453952		AUTH, F, H, IR
5632	04/00/2010	Updated Norton Rose April 2010 Draft Opinion letter re German Tax Opinon	WH_MDL_00453980	WH_MDL_00453994		AUTH, F, H, IR, 403, MIL
5633	04/19/2010	Broadgate Ireland Funds PLC Prospectus	WH_MDL_00454059	WH_MDL_00454109		AUTH, F, H, IR
5634	05/03/2010	Email to R. Markowitz, J. Lhote, J. van Merkensteijn, A. LaRosa, T. Rosenberg, F. Clancy re Broadgate Ireland Funds PLC	WH_MDL_00454199	WH_MDL_00454200		H, IR, 403, MIL
5635	Undated	Memorandum of Understanding	WH_MDL_00454228	WH_MDL_00454230		AUTH, F, H
5636	09/02/2010	Email from R. Markowitz to T. Rosenberg re Memorandum of Understanding - Draft 5	WH_MDL_00454233	WH_MDL_00454235		H, IR, INC, MIL

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5637	09/15/2010	Email from Todd Rosenberg to Sanjay Shah, Robert Klugman CC: Richard Markowitz Subject: Equity Arbitrage Transaction Agreement.DOC Attachment: 13188245_Equity Arbitrage Transaction Agreement.DOC	WH_MDL_00454256	WH_MDL_00454269		H, IR, INC, MIL
5638	09/22/2010	Email from Todd Rosenberg to Sanjay Shah, Raj Shah, Robert Klugman cc: Richard Markowitz, Noah Bloomberg, Bryan Brewer Subject: Updated Draft Equity Arbitrage Transaction Agreement. Attachment: 13188245_v4 Equity Arbitrage Transaction Agreement.DOC; Change-Pro Redline - 13188245-v2 Equity Arbitrage Transaction Agreement and 13188245_v4 Equity Arbitrage Transaction Agreement.DOC	WH_MDL_00454287	WH_MDL_00454317		H, IR, INC, MIL
5639	11/17/2010	Email from Richard Markowitz to Sanjay Shah, Raj Shah, Robert Klugman cc: Matthew Stein, Todd Rosenberg, Noah Bloomberg Subject: MOU Attachment: (not included) 13771357-v2-Equity Arbitrage Transaction Letter Agreement.DOCX	WH_MDL_00454318	WH_MDL_00454318		H, IR, C, INC, MIL
5640	12/03/2010	Email from R. Markowitz to R. Klugman, S. Shah, R. Shah re Executed MOU	WH_MDL_00454336	WH_MDL_00454336		H, IR, C, MIL
5641	12/06/2010	Email from Noah Bloomberg to Sanjay Shah, Richard Markowitz, Robert Klugman, Raj Shah CC: Todd Rosenberg Subject: Executed MOU Attachments: Execution Copy of MOU-c.pdf	WH_MDL_00454346	WH_MDL_00454351		H, MIL
5642	02/27/2012	Email from R. Markowitz to J. van Merkensteijn, J. Lhote, M. Stein re Duet Group	WH_MDL_00458464	WH_MDL_00458464		H, IR
5643	03/09/2012	Email from Richard Markowitz to Matthew Stein, Jerome Lhote, Adam Larosa, John H. Van Merkensteijn Subject: Duet Update	WH_MDL_00458732	WH_MDL_00458732		H, IR
5644	03/12/2012	Email from Jonathan Sander to Jonathan Sander, Eelco van der Stok, Richard Markowitz, John Van Merkensteijn, Adam Larosa, Matthew Stein, Jerome Lhote, Robert Klugman, Aneil Anand Subject: Duet- Netherlands	WH_MDL_00458765	WH_MDL_00458765		H, IR, 403, MIL
5645	03/12/2012	Email from Robert Neyt to Richard Markowitz, John Van Merkensteijn, Adam Larosa, Matthew Stein, Jerome Lhote, Robert Klugman CC: Jonathan Sander Subject: Belgian equities - Domestic exemption for non-resident non-profits - Certificate	WH_MDL_00458776	WH_MDL_00458777		H, IR, 403, MIL
5646	03/15/2012	Email from Aneil Anand to Richard Markowitz, Robert Klugman, John Van Merkensteijn, Jerome Lhote, Adam Larosa, Matthew Stein CC: globalequity Subject: Update Attachment: Re: Duet Belgium	WH_MDL_00458965	WH_MDL_00458967		H, IR, 403, MIL, INC
5647	03/15/2012	Email from A. Anand to R. Markowitz, R. Klugman, J. van Merkensteijn, J. Lhote, A. LaRosa, M. Stein re Update	WH_MDL_00458965	WH_MDL_00458970		H, IR, 403, MIL, INC
5648	03/15/2012	Email from Richard Markowitz to Aneil Anand, Robert Klugman, John Van Merkensteijn, Jerome Lhote, Adam Larosa, Matthew Stein CC: globalequity Subject: Re: Update	WH_MDL_00458977	WH_MDL_00458980		H, IR
5649	04/10/2012	Email from R. Markowitz to J. Lhote, M. Stein, J. van Merkensteijn re Call with Solo	WH_MDL_00460201	WH_MDL_00460201		H, IR
5650	04/11/2012	Email from R. Markowitz to R. Shah re Accounts at Solo	WH_MDL_00460219	WH_MDL_00460220		H, IR
5651	04/11/2012	Email from R. Markowitz to M. Stein, J. Lhote, A. LaRosa, J. van Merkensteijn FW: Belgium model (w/excel attachment)	WH_MDL_00460239	WH_MDL_00460240		H, IR
5652	04/11/2012	Excel Attachment - Belgium 2012.xlsm	WH_MDL_00460241	WH_MDL_00460241		H, IR
5653	04/20/2012	Email from R. Markowitz to A. LaRosa, A. O'Donnell re FW: Anti-money laundering regulation - Argre Management LLC	WH_MDL_00461722	WH_MDL_00461723		H, IR, 403, MIL
5654	04/27/2012	Email from R. Markowitz to M. Stein, J. Lhote, J. van Merkensteijn re Update on Trades	WH_MDL_00462425	WH_MDL_00462425		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5655	04/29/2012	Email from R. Markowitz to A. LaRosa Fwd Futures Listing for Cofinimmo	WH_MDL_00462482	WH_MDL_00462483		H, IR
5656	04/29/2012	Email from J. Lhote to A. Haeltermann re <no subject>	WH_MDL_00462484	WH_MDL_00462485		H, C, IR, 403, MIL
5657	05/02/2012	Email from E. Maes to J. Lhote re Draft Belgian opinions - US Pension Pland US Charity	WH_MDL_00462601	WH_MDL_00462603		H, IR, 403, MIL, INC
5658	05/02/2012	Freshfields Draft Opinion Letter re Hedged investments in Belgian shares	WH_MDL_00462604	WH_MDL_00462616		H, IR, 403, MIL, INC
5659	05/03/2012	Email from R. Markowitz to M. Stein, J. van Merkensteijn, J. Lhote, A. LaRosa re Fwd: Partial Responses	WH_MDL_00462661	WH_MDL_00462662		H, IR, 403, MIL, INC
5660	05/10/2012	Email from E. Shah to P. Wells re German Transaction	WH_MDL_00462802	WH_MDL_00462804		AUTH, F, H, IR, 403, MIL, DNM
5661	05/15/2012	Email from J. Lhote to E. Maes re Draft Belgian opinions - US Pension Pland & US Charity	WH_MDL_00463127	WH_MDL_00463129		H, IR, 403, MIL, INC
5662	05/18/2012	Freshfields Draft Opinion Letter re Hedged investments in Belgian shares	WH_MDL_00463412	WH_MDL_00463423		H, IR, 403, MIL, INC
5663	Undated	Freshfields Bruckhaus Deringer Dividend Taxation for cross-border transactions	WH_MDL_00463448	WH_MDL_00463527		C, AUTH, H, IR, 403, MIL
5664	11/00/2012	Freshfields Document - Tax: Dividend taxation for cross-border transations	WH_MDL_00463448	WH_MDL_00463527		AUTH, F, H, IR, 403, MIL
5665	08/17/2012	Email from R. Markowitz to M. Stein, J. Lhote, A. LaRosa, J. van Merkensteijn re Belgium Trades	WH_MDL_00464596	WH_MDL_00464596		H, IR
5666	08/31/2012	Email from R. Markowitz to A. LaRosa re Today's Trading Activity	WH_MDL_00464641	WH_MDL_00464641		H, IR
5667	09/18/2012	Email from A. O'Donnell to A. LaRosa, M. Stein, R. Markowitz re Invoice from Solo Capital Partners LLP to Michelle Investments Pension Plan Trust	WH_MDL_00464829	WH_MDL_00464830		H
5668	09/18/2012	Attachment - Excel re Expenses	WH_MDL_00464831	WH_MDL_00464831		AUTH, F, H
5669	10/24/2012	Email from J. Lhote to A. LaRosa, J. van Merkensteijn FW FBI structure / draft engagement letter	WH_MDL_00465270	WH_MDL_00465276		H, IR, 403, MIL
5670	11/27/2012	Email from R. Markowitz to J. van Merkensteijn, M. Stein, J. Lhote, A. LaRosa re Due Diligence in London	WH_MDL_00469176	WH_MDL_00469176		H, IR
5671	12/19/2012	Email from Jonathan Sander to Richard Markowitz, John Van Merkensteijn, Matthew Stein, Adam Larosa, Jerome Lhote, Robert Klugman CC: globalequity@duetgroup.net Subject: Belgium- opinion Attachment: 20121218105002960.pdf	WH_MDL_00470689	WH_MDL_00470758		H, IR, 403, MIL
5672	12/20/2012	Email from R. Markowitz to M. Stein, J. Lhote re Call with Duet	WH_MDL_00471206	WH_MDL_00471206		H, IR
5673	12/20/2012	Email from J. Sander to R. Markowitz re Duet / Argre	WH_MDL_00471208	WH_MDL_00471209		H, IR, C
5674	01/29/2013	Email from J. Lhote to A. LaRosa re US pension funds	WH_MDL_00471397	WH_MDL_00471398		H, IR
5675	01/29/2013	Email from R. Markowitz to A. LaRosa, J. van Merkensteijn, J. Lhote, O'Donnell re US pension funds	WH_MDL_00471399	WH_MDL_00471400		H, IR
5676	02/08/2013	Email from R. Markowitz to J. Sander, copying "globalequity," R. Klugman, J. van Merkensteijn, M. Stein, A. LaRosa, and J. Lhote re: "Duet / Argre"	WH_MDL_00471490	WH_MDL_00471492		H, IR
5677	02/20/2013	Email from M. Stein to R. Markowitz re Call with Duet	WH_MDL_00471977	WH_MDL_00471978		H, IR
5678	03/04/2013	Email R. Shah to A. Larosa copying M. Stein, R. Markowitz, J. van Merkensteijn, and J. Lhote re: "RE: Belgian further documentation request"	WH_MDL_00472620	WH_MDL_00472623		H, IR
5679	03/10/2013	Email from R. Markowitz to J. Lhote, M. Stein, J. van Merkensteijn, and A. LaRosa re: "FW: Re Denmark," attaching "Argre Denmark (contingent schedule)"	WH_MDL_00474114	WH_MDL_00474115		H
5680	03/10/2013	Argre Denmark (contingent schedule).xlsx	WH_MDL_00474118	WH_MDL_00474118		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5681	03/12/2013	Email from J. Lhote to R. Markowitz, J. van Merkensteijn, A. Larosa re Our call of today	WH_MDL_00474371	WH_MDL_00474372		H, IR, 403, MIL
5682	03/12/2013	Email from R. Markowitz to J. Lhote, J. van Merkensteijn, A. LaRosa, M. Stein re Our call of today	WH_MDL_00474384	WH_MDL_00474386		H, IR, 403, MIL
5683	11/18/2013	Solo Capital Custody Invoice for October 2013 for DFL Investments Pension Plan	WH_MDL_00480583	WH_MDL_00480585		H
5684	12/03/2013	Email from A. LaRosa to R. Markowitz re Cash Shortfalls	WH_MDL_00481659	WH_MDL_00481660		H
5685	01/15/2014	Solo Capital Custody Invoice for December 2013 for Delvian Pension Plan	WH_MDL_00482414	WH_MDL_00482416		H
5686	01/15/2014	Solo Capital Custody Invoice for December 2013 for Lion Advisory Pension Plan	WH_MDL_00482422	WH_MDL_00482424		H
5687	01/15/2014	Solo Capital Custody Invoice for December 2014 for Tarvos Pension Plan	WH_MDL_00482460	WH_MDL_00482462		H
5688	01/15/2014	Solo Capital Custody Invoice for December 2013 for Clove Pension Plan	WH_MDL_00482472	WH_MDL_00482474		H
5689	01/15/2014	Solo Capital Custody Invoice for December 2013 for Calypso Pension Plan	WH_MDL_00482480	WH_MDL_00482482		H
5690	01/15/2014	Solo Capital Custody Invoice for December 2013 for Bowline Pension Plan	WH_MDL_00482504	WH_MDL_00482506		H
5691	01/16/2014	Solo Capital Custody Invoice for December 2013 for Xiphias Pension Plan	WH_MDL_00482518	WH_MDL_00482520		H
5692	01/16/2014	Solo Capital Custody Invoice for December 2013 for 2321 Capital Pension Plan	WH_MDL_00482531	WH_MDL_00482533		H
5693	01/16/2014	Solo Capital Custody Invoice for December 2013 for Davin Pension Plan	WH_MDL_00482535	WH_MDL_00482538		H
5694	01/16/2014	Solo Capital Custody Invoice for December 2013 for Bernina Pension Plan	WH_MDL_00482557	WH_MDL_00482559		H
5695	01/16/2014	Solo Capital Custody Invoice for December 2013 for DFL Investments Pension Plan	WH_MDL_00482565	WH_MDL_00482567		H
5696	01/17/2014	Solo Capital Invoice for November 2013 for Azalea Pension Plan	WH_MDL_00482604	WH_MDL_00482606		H
5697	01/17/2014	Solo Capital Custody Invoice for November 2013 for Lion Advisory Pension Plan	WH_MDL_00482740	WH_MDL_00482742		H
5698	01/17/2014	Solo Capital Custody Invoice for November 2013 for Delvian Pension Plan	WH_MDL_00482744	WH_MDL_00482746		H
5699	01/17/2014	Solo Capital Custody Invoice for November 2013 for 2321 Capital Pension Plan	WH_MDL_00482773	WH_MDL_00482775		H
5700	01/17/2014	Solo Capital Custody Invoice for November 2013 for Davin Pension Plan	WH_MDL_00482781	WH_MDL_00482784		H
5701	01/17/2014	Solo Capital Custody Invoice for November 2013 for DFL Investments Pension Plan	WH_MDL_00482825	WH_MDL_00482827		H
5702	01/17/2014	Solo Capital Custody Invoice for November 2013 for Laegeler Pension Plan	WH_MDL_00482829	WH_MDL_00482831		H
5703	01/17/2014	Solo Capital Custody Invoice for December 2013 for Laegeler Pension Plan	WH_MDL_00482837	WH_MDL_00482839		H
5704	01/17/2014	Solo Capital Custody Invoice for November 2013 for Batavia Pension Plan	WH_MDL_00482929	WH_MDL_00482931		H
5705	01/17/2014	Solo Capital Custody Invoice for December 2013 for Azalea Pension Plan	WH_MDL_00482933	WH_MDL_00482935		H
5706	01/17/2014	Solo Capital Custody Invoice for December 2013 for Batavia Pension Plan	WH_MDL_00482937	WH_MDL_00482939		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5707	01/17/2014	Solo Capital Custody Invoice for November 2013 for Bowline Pension Plan	WH_MDL_00482969	WH_MDL_00482971		H
5708	01/17/2014	Solo Capital Custody Invoice for November 2013 for Calypso Pension Plan	WH_MDL_00482977	WH_MDL_00482979		H
5709	01/17/2014	Solo Capital Custody Invoice for November 2013 for Clove Pension Plan	WH_MDL_00482981	WH_MDL_00482983		H
5710	01/17/2014	Solo Capital Custody Invoice for November 2013 for Tarvos Pension Plan	WH_MDL_00482989	WH_MDL_00482991		H
5711	06/26/2014	Email from A. LaRosa to M. Stein, J. Lhote, R. Markowitz, and J. van Merkensteijn re "Fwd: ATTACHED IS A LIST OF THE PLANS, AND ALL OF THE ACCOUNTS FOR THE PLANS AND BANKS, WE'RE REPORTING," attaching list of pension plans	WH_MDL_00486849	WH_MDL_00486856		H
5712	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Batavia Pension Plan	WH_MDL_00487353	WH_MDL_00487358		H
5713	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Bernina Pension Plan	WH_MDL_00487360	WH_MDL_00487365		H
5714	07/16/2014	Solo Capital Custody Invoice for Quarter 4 2014 for Bowline Pension Plan	WH_MDL_00487371	WH_MDL_00487376		H
5715	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Clove Pension Plan	WH_MDL_00487385	WH_MDL_00487390		H
5716	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for 2321 Capital Pension Plan	WH_MDL_00487392	WH_MDL_00487397		H
5717	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Calypso Pension Plan	WH_MDL_00487399	WH_MDL_00487404		H
5718	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Davin Pension Plan	WH_MDL_00487406	WH_MDL_00487411		H
5719	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Delvian Pension Plan	WH_MDL_00487413	WH_MDL_00487418		H
5720	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for DFL Investments Pension Plan	WH_MDL_00487420	WH_MDL_00487425		H
5721	07/16/2014	Solo Capital Custody Invoice for Quarter 2 2014 for Laegeler Pension Plan	WH_MDL_00487434	WH_MDL_00487439		H
5722	07/06/1905	Agreement between Goal Taxback Limited and RAK Investment Trust	WH_MDL_00488534	WH_MDL_00488548		DNM, H
5723	10/06/2014	Old Park Lane Capital Invoice Breakdown for Quarter 3 2014 for The Stor Capital Consulting Pension Plan	WH_MDL_00489280	WH_MDL_00489282		H
5724	10/29/2014	Solo Capital Remittance Breakdown for Quarter 3 2014 for Azalea Pension Plan	WH_MDL_00490778	WH_MDL_00490783		H
5725	11/05/2014	Email from tradeapprovals@oldplc.com to brokerage@ballygatecapital.com CC: Trading@RAKTrust.com; tradeapprovals@oldplc.com Subject: Account (BCL01) - trade approved	WH_MDL_00491311	WH_MDL_00491311		H
5726	11/05/2014	Ballygate Capital Limited Trade Confirmation- The Stor Capital Consulting LLC 401k Plain- TDC DC	WH_MDL_00491321	WH_MDL_00491321		H
5727	11/05/2014	Ballygate Capital Limited Trade Confirmation - The TENS Services LLC 401k PlanTicker- TDC DC	WH_MDL_00491322	WH_MDL_00491322		H, IR
5728	11/11/2014	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00491473	WH_MDL_00491473		H
5729	12/17/2014	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00492303	WH_MDL_00492303		H
5730	12/17/2014	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- COLOPLAST A/S	WH_MDL_00492304	WH_MDL_00492304		H, IR
5731	03/05/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	WH_MDL_00493341	WH_MDL_00493341		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5732	03/12/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- DSV DC	WH_MDL_00493355	WH_MDL_00493355		H, IR
5733	03/18/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- DANSKE DC	WH_MDL_00493450	WH_MDL_00493450		H, IR
5734	03/18/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	WH_MDL_00493463	WH_MDL_00493463		H, IR
5735	03/19/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- NOVOB DC	WH_MDL_00493564	WH_MDL_00493564		H, IR
5736	03/19/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- GN STORE NORD A/S	WH_MDL_00493569	WH_MDL_00493569		H, IR
5737	03/25/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - TRYG DC	WH_MDL_00493696	WH_MDL_00493697		H, IR
5738	03/26/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- FLS DC	WH_MDL_00493779	WH_MDL_00493780		H, IR
5739	03/26/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- CARLB DC	WH_MDL_00493792	WH_MDL_00493792		H, IR
5740	03/30/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - VWS	WH_MDL_00493951	WH_MDL_00493952		H, IR
5741	03/31/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 30th March 2015-MAERSKB DC	WH_MDL_00494024	WH_MDL_00494025		H, IR
5742	04/22/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 21st April 2015- BELG BB	WH_MDL_00494574	WH_MDL_00494575		H, IR
5743	04/23/2015	Telesto Custody Invoice for Quarter 1 2015 for Cavus Pension Plan	WH_MDL_00494590	WH_MDL_00494596		H
5744	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Cavus Pension Plan	WH_MDL_00494692	WH_MDL_00494694		H
5745	04/23/2015	Old Park Lane Capital Custody Invoice for Quarter 1 2015 for Hadron Pension Plan	WH_MDL_00494700	WH_MDL_00494702		H
5746	04/23/2015	Old Park Lane Capital Invoice Breakdown for Quarter 1 2014 for The Stor Capital Consulting Pension Plan	WH_MDL_00494704	WH_MDL_00494705		H
5747	04/24/2015	West Point Custody Invoice for Quarter 1 2015 for Hadron Pension Plan	WH_MDL_00494845	WH_MDL_00494849		H
5748	04/29/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	WH_MDL_00495262	WH_MDL_00495262		H, IR
5749	05/04/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 69,061 of GROUPE BRUXELLES LAMBERT SA	WH_MDL_00496219	WH_MDL_00496219		H
5750	05/04/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 69,061 of GROUPE BRUXELLES LAMBERT SA	WH_MDL_00496226	WH_MDL_00496226		H
5751	05/04/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00496481	WH_MDL_00496481		H
5752	05/05/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 4th May 2015- GBLB BB	WH_MDL_00496506	WH_MDL_00496507		H, IR
5753	05/05/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 17,857 of BEFIMMO S.C.A.	WH_MDL_00496583	WH_MDL_00496583		H
5754	05/05/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 17,857 of BEFIMMO S.C.A.	WH_MDL_00496591	WH_MDL_00496591		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5755	05/05/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 209,165 of AGEAS	WH_MDL_00496914	WH_MDL_00496914		H
5756	05/05/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 209,165 of AGEAS	WH_MDL_00496932	WH_MDL_00496932		H
5757	05/06/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 5th May 2015	WH_MDL_00497446	WH_MDL_00497447		H, IR
5758	05/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00497454	WH_MDL_00497454		H
5759	05/05/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00497543	WH_MDL_00497543		H
5760	05/06/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- COLOPLAST-B	WH_MDL_00497659	WH_MDL_00497659		H, IR
5761	05/08/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 285,663 of KBC GROEP NV	WH_MDL_00498110	WH_MDL_00498110		H
5762	05/08/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 285,663 of KBC GROEP NV	WH_MDL_00498122	WH_MDL_00498122		H
5763	05/08/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00498278	WH_MDL_00498278		H
5764	05/08/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- KBC BB	WH_MDL_00498383	WH_MDL_00498383		H, IR
5765	05/14/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 56,055 of SOLVAY SA	WH_MDL_00498840	WH_MDL_00498840		H
5766	05/14/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 56,055 of SOLVAY SA	WH_MDL_00498848	WH_MDL_00498848		H
5767	05/14/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00499262	WH_MDL_00499262		H
5768	05/14/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	WH_MDL_00499263	WH_MDL_00499263		H, IR
5769	05/15/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- BPOST BB	WH_MDL_00499649	WH_MDL_00499649		H, IR
5770	05/26/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- NZYMB DC	WH_MDL_00500285	WH_MDL_00500285		H, IR
5771	05/29/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 22,697 of ACKERMANS & VAN HAAREN	WH_MDL_00500624	WH_MDL_00500624		H
5772	05/29/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 22,697 of ACKERMANS & VAN HAAREN	WH_MDL_00500627	WH_MDL_00500627		H
5773	05/29/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- ELI BB	WH_MDL_00500998	WH_MDL_00500998		H, IR
5774	05/29/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00501019	WH_MDL_00501019		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5775	06/01/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 19,070 of D'IETEREN SA/NV	WH_MDL_00501281	WH_MDL_00501281		H
5776	06/01/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 19,070 of D'IETEREN SA/NV	WH_MDL_00501291	WH_MDL_00501291		H
5777	06/01/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- DIE BB	WH_MDL_00501647	WH_MDL_00501647		H, IR
5778	06/01/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00501654	WH_MDL_00501654		H
5779	06/08/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- MAERSKB DC	WH_MDL_00502554	WH_MDL_00502554		H, IR
5780	06/10/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	WH_MDL_00502825	WH_MDL_00502825		H, IR
5781	06/11/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- AURIB DC	WH_MDL_00503203	WH_MDL_00503203		H, IR
5782	06/03/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 2nd June 2015- TDC DC	WH_MDL_00503589	WH_MDL_00503590		H, IR
5783	06/03/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 2nd June 2015- DANSKE DC	WH_MDL_00503592	WH_MDL_00503593		H, IR
5784	06/02/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 1st June 2015- DELB BB	WH_MDL_00503594	WH_MDL_00503595		H, IR
5785	05/15/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation- BEKB BB	WH_MDL_00503596	WH_MDL_00503597		H, IR
5786	02/25/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 25th FEB 2015- NZYMB DC	WH_MDL_00503598	WH_MDL_00503599		H, IR
5787	06/19/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 105,447 of DELHAIZE GROUP	WH_MDL_00504127	WH_MDL_00504127		H
5788	06/19/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 105,447 of DELHAIZE GROUP	WH_MDL_00504147	WH_MDL_00504147		H
5789	06/19/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 18th June 2015- GN DC	WH_MDL_00504605	WH_MDL_00504606		H, IR
5790	06/19/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00504610	WH_MDL_00504610		H
5791	06/22/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- BEKB BB	WH_MDL_00505426	WH_MDL_00505426		H, IR
5792	06/22/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- BPOST BB	WH_MDL_00505429	WH_MDL_00505429		H, IR
5793	06/22/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- DIE BB	WH_MDL_00505434	WH_MDL_00505434		H, IR
5794	06/22/2015	TJM Trade Confirmation for The TENS Services LLC 401k Plan - SOLB BB	WH_MDL_00505437	WH_MDL_00505437		H, IR
5795	06/22/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- ELI BB	WH_MDL_00505640	WH_MDL_00505640		H, IR
5796	06/26/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- KBC GROEP NV	WH_MDL_00506277	WH_MDL_00506277		H, IR
5797	06/26/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 25th June 2015- TRYG DC	WH_MDL_00506286	WH_MDL_00506287		H, IR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5798	06/29/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- AURIB DC	WH_MDL_00506628	WH_MDL_00506628		H, IR
5799	06/30/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 29th June 2015- NOVOB DC	WH_MDL_00506754	WH_MDL_00506755		H, IR
5800	07/01/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- FLS DC	WH_MDL_00507076	WH_MDL_00507076		H, IR
5801	07/06/2015	Telesto Custody Invoice for Quarter 2 2015 for Cavus Pension Plan	WH_MDL_00507662	WH_MDL_00507673		H
5802	07/06/2015	West Point Custody Invoice for Quarter 2 2015 for Hadron Pension Plan	WH_MDL_00507724	WH_MDL_00507736		H
5803	07/06/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- DELB BB	WH_MDL_00507829	WH_MDL_00507829		H, IR
5804	07/07/2015	Email from octave@hydracapitallimited.com to brokerageaudit@bastioncapital.co.uk CC: Trading@RAKTrust.com Subject: New Equity Order for 128,226 of UCB SA	WH_MDL_00507925	WH_MDL_00507925		H
5805	07/07/2015	Email from octave@hydracapitallimited.com to Trading@RAKTrust.com CC: brokerageaudit@bastioncapital.co.uk Subject: Equity Liquidity Order Filled for 128,226 of UCB SA	WH_MDL_00507946	WH_MDL_00507946		H
5806	07/07/2015	Bastion Capital London Ltd Equities Trade Confirmation- The Stor Capital Consulting LLC 401k Plan	WH_MDL_00508058	WH_MDL_00508058		H
5807	07/07/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 6th July 2015- PNDORA DC	WH_MDL_00508241	WH_MDL_00508242		H, IR
5808	07/09/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- DSV A/S	WH_MDL_00508461	WH_MDL_00508461		H, IR
5809	07/09/2015	Email from Jon Chester to Trading@RAK3Trust.com Subject: Sunrise Trade Confirmation 8th July 2015- COLOB DC	WH_MDL_00508634	WH_MDL_00508635		H, IR
5810	07/16/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- CARLB DC	WH_MDL_00509789	WH_MDL_00509789		H, IR
5811	07/22/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- PROX BB	WH_MDL_00510778	WH_MDL_00510778		H, IR
5812	08/28/2015	Bastion Capital London Ltd Equities Trade Confirmation - The TENS Services LLC 401k Plan	WH_MDL_00511560	WH_MDL_00511560		DNM, H, IR
5813	07/28/2015	Bastion Capital London Equities Trade Confirmation for The TENS Services LLC 401k Plan- GBLB BB	WH_MDL_00511561	WH_MDL_00511561		DNM, H, IR
5814	07/28/2015	TJM Trade Confirmation for the TENS Services LLC 401k Plan- BEFB BB	WH_MDL_00511562	WH_MDL_00511562		DNM, H, IR
5815	06/04/2012	Email from M. Stein to R. Shah, O'Callaghan, Horn, J. Lhote, A. LaRosa re Outstanding Danish Issues	WH_MDL_00518104	WH_MDL_00518104		H, IR
5816	06/27/2012	Email from M. Stein to R. Markowitz, A. LaRosa, J. Lhote FW Denmark (Letter Attachment - Letter to Solo Capital re Danish Tax Opinion)	WH_MDL_00518141	WH_MDL_00518147		H
5817	08/20/2015	Email from trading@RAKTrust.com to GSS@telesto.com Subject: Request for Payment of Brokerage Fees from Our Account Attachments: The Stor Capital-Inv 6419 and schedule.pdf; TJM Statement of Charges- The Stor.pdf	WH_MDL_00051967	WH_MDL_00051970		H
5818	03/16/2015	Dulberg SJM Decl. Ex. 030_RJM Capital Pension Plan	WH_MDL_00524103	WH_MDL_00524140		DNM, H
5819	03/16/2015	Solo Capital Custodian Statements re RJM Capital Pension Plan	WH_MDL_00524103	WH_MDL_00524111		H
5820	04/30/2013	Solo Capital Custodian Statements re RJM Capital Pension Plan	WH_MDL_00524112	WH_MDL_00524129		H
5821	03/31/2013	Solo Custodian Statements re RJM Capital Pension Plan	WH_MDL_00524130	WH_MDL_00524140		H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5822	2014	2013 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Raubritter General Partnership II, February 1, 2013 to December 11, 2013,	WH_MDL_00524141	WH_MDL_00524141		AUTH, F, H
5823	2013	2013 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Lion Advisory General Partnership II, February 1, 2013 to December 11, 2013	WH_MDL_00524142	WH_MDL_00524142		AUTH, F, H
5824	2013	2013 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, DFL Investments General Partnership, February 1, 2013 to December 11, 2013	WH_MDL_00524143	WH_MDL_00524143		AUTH, F, H
5825	2013	2013 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, California Catalog General Partner II, February 1, 2013 to December 11, 2013	WH_MDL_00524144	WH_MDL_00524144		AUTH, F, H
5826	2013	2013 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Rajan Investments General Partnership II, February 1, 2013 to December 11, 2013	WH_MDL_00524145	WH_MDL_00524145		AUTH, F, H
5827	2013	2013 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Spirit on the Water General Partnership, February 4, 2013 to December 31, 2013	WH_MDL_00524146	WH_MDL_00524146		AUTH, F, H
5828	2013	2013 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Traden Investments General Partnership, February 1, 2013 to December 31, 2013	WH_MDL_00524147	WH_MDL_00524147		AUTH, F, H
5829	2014	2014 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Lion Advisory General Partnership II	WH_MDL_00524148	WH_MDL_00524148		AUTH, F, H
5830	2014	2014 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Spirit on the Water General Partnership	WH_MDL_00524149	WH_MDL_00524149		AUTH, F, H
5831	2014	2014 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Rajan Investments General Partnership II	WH_MDL_00524150	WH_MDL_00524150		AUTH, F, H
5832	2014	2014 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Traden Investments General Partnership II	WH_MDL_00524151	WH_MDL_00524151		AUTH, F, H
5833	2014	2014 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, Raubritter General Partnership II	WH_MDL_00524152	WH_MDL_00524152		AUTH, F, H
5834	2014	2014 RJM Capital Pension Plan Trust Partner Schedule K-1 Return, DFL Investments General Partnership II	WH_MDL_00524153	WH_MDL_00524153		AUTH, F, H
5835	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, Trailing Edge Productions General Partnership	WH_MDL_00524160	WH_MDL_00524160		AUTH, F, H
5836	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, Loggerhead Services General Partnership	WH_MDL_00524161	WH_MDL_00524161		AUTH, F, H
5837	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, Green Scale Management General Partnership	WH_MDL_00524162	WH_MDL_00524162		AUTH, F, H
5838	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, PAB Facilities Global General Partnership	WH_MDL_00524163	WH_MDL_00524163		AUTH, F, H
5839	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, Cedar Hill Capital Investments General Partnership	WH_MDL_00524164	WH_MDL_00524164		AUTH, F, H
5840	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, Eclouge Industry General Partnership	WH_MDL_00524165	WH_MDL_00524165		AUTH, F, H
5841	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, Keystone Technologies General Partnership	WH_MDL_00524166	WH_MDL_00524166		AUTH, F, H
5842	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, Bareroot Capital Investments General Partnership	WH_MDL_00524167	WH_MDL_00524167		AUTH, F, H

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5843	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, Roadcraft Technologies General Partnership	WH_MDL_00524168	WH_MDL_00524168		AUTH, F, H
5844	2015	2015 Roult Capital Trust Partner Schedule K-1 Return, First Ascent Worldwide General Partnership, 2015	WH_MDL_00524169	WH_MDL_00524169		AUTH, F, H
5845	Multiple Dates	Roult Capital Trust Bank Statements, January 1, 2016 to January 31, 2016	WH_MDL_00524170	WH_MDL_00524171		No Objections
5846	2016	2016 Roult Capital Trust Partner Schedule K-1 Return, Trailing Edge Productions General Partnership	WH_MDL_00524172	WH_MDL_00524172		AUTH, F, H
5847	2016	2016 Roult Capital Trust Partner Schedule K-1 Return, Eclouge Industry General Partnership	WH_MDL_00524173	WH_MDL_00524173		AUTH, F, H
5848	2016	2016 Roult Capital Trust Partner Schedule K-1 Return, First Ascent Worldwide General Partnership	WH_MDL_00524174	WH_MDL_00524174		AUTH, F, H
5849	2016	2016 Roult Capital Trust Partner Schedule K-1 Return, Loggerhead Services General Partnership	WH_MDL_00524175	WH_MDL_00524175		AUTH, F, H
5850	04/10/2012	Email from R. Markowitz to M. Stein, J. Lhote, A. LaRosa, J. van Merkensteijn FW: FSA Information	WH_MDL_00526638	WH_MDL_00526639		NP, H, INC, 403
5851	04/18/2012	Email from R. Markowitz to R. Shah, S. Shah, Horn re Call on Thursday	WH_MDL_00526640	WH_MDL_00526640		NP, H
5852	04/20/2012	Email from S. Shah to R. Markowitz, Horn re Disclosure Requirements on Shareholdings	WH_MDL_00526641	WH_MDL_00526642		NP, H
5853	04/20/2012	Email from E. Barac to R. Markowitz re Sunday Evening	WH_MDL_00526643	WH_MDL_00526643		NP, H
5854	04/22/2012	Email from R. Markowitz to Horn, R. Shah, S. Shah re A Few Questions	WH_MDL_00526644	WH_MDL_00526644		NP, H
5855	04/24/2012	Email from R. Markowitz to D. Vyas, J. Bains, A. Fortsyth re Stopping By Today	WH_MDL_00526645	WH_MDL_00526645		NP, H
5856	08/22/2012	Email from R. Markowitz to A. LaRosa re Thursday	WH_MDL_00526646	WH_MDL_00526647		NP, H
5857	10/03/2012	Email from J. van Merkensteijn to A. LaRosa re Good news from Denmark	WH_MDL_00526648	WH_MDL_00526649		NP, H
5858	10/04/2012	Email from S. Shah to R. Markowitz, Horn re Our Meeting Next Week and Acupay Reclaims	WH_MDL_00526650	WH_MDL_00526651		NP, H
5859	10/10/2012	Email from R. Markowitz to M. Stein, J. Lhote, A. LaRosa, J. van Merkensteijn re Danish Trades (w/excel attachment)	WH_MDL_00526652	WH_MDL_00526652		NP, H
5860	10/10/2012	Excel Attachment - Copenhagen Exchange Large Cap.xlsx	WH_MDL_00526653	WH_MDL_00526653		NP, AUTH, F, H
5861	06/26/2014	Email from M. Stein to R. Markowitz re Federal Reserve Inquiry	WH_MDL_00526654	WH_MDL_00526663		H, IR, MIL, 403, NP
5862	04/08/2010	P. Cox (Arthur Cox) Letter to R. Markowitz re Legal Advice Relating to Proposed Investment in an Irish Fund	WH_MDL_00526664	WH_MDL_00526672		NP, MIL, H, 403, IR
5863	11/16/2012	Scotia Bank / Duet Meeting Notes	WH_MDL_00526673	WH_MDL_00526674		NP, AUTH, F, H, IR
5864	04/21/2017	IRS Letter from Gail Jones to RJM Capital LLC re Request for Information - Form 5500-EZ	WH_MDL_00526675	WH_MDL_00526678		NP, AUTH, H, IR
5865	01/17/2018	IRS Letter from W. Dolce to RJM Capital LLC re compliance check closed	WH_MDL_00526679	WH_MDL_00526680		NP, AUTH, H, IR
5866	04/21/2017	IRS Letter from Gail Jones to RJM Capital LLC re Request for Information - Form 5500-EZ (Response on 5/11/17)	WH_MDL_00526681	WH_MDL_00526684		NP, AUTH, H, IR, C
5867	Multiple Dates	First Republic Bank Simplified Business Checking Account (May 1, 2012 to May 31, 2012) re Pension and Retirement Investments LLP	WH_MDL_00526685	WH_MDL_00526686		IR, NP
5868	2015	Denmark Ministry of Taxation Income List Monthly for 2015 (Danish)	WH_MDL_00526687	WH_MDL_00526687		NP, F, AUTH, IR, TR
5869	2014	Denmark Ministry of Taxation Income List Monthly for 2014 (Danish)	WH_MDL_00526688	WH_MDL_00526688		NP, F, AUTH, IR, TR
5870	2013	Denmark Ministry of Taxation Income List Monthly for 2013 (Danish)	WH_MDL_00526689	WH_MDL_00526689		NP, F, AUTH, IR, TR
5871	2012	Denmark Ministry of Taxation Income List Monthly for 2012 (Danish)	WH_MDL_00526690	WH_MDL_00526690		NP, F, AUTH, IR, TR
5872	10/09/2013	Solo Capital Invoice to DFL Investments Pension Plan for September 2013	WH_MDL_00526691	WH_MDL_00526692		H, NP

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5873	10/09/2013	Solo Capital Invoice to Lion Advisory Inc. Pension Plan for September 2014	WH_MDL_00526693	WH_MDL_00526694		H, NP
5874	Undated	4-6 Throgmorton Avenue Brochure, https://assets.savills.com/properties/F70FAD51-7D21-46F0-96E6-63011078D2DC/4-6%20Throgmorton%20Avenue%20Brochure.pdf	WH_MDL_00526695	WH_MDL_00526697		NP, AUTH, F, H, IR
5875	Multiple Dates	All Documents Related to RJM Capital Pension Plan Trading				NP
5876	02/24/2016	Statsrevisoreernes bemaerkning - Beretning om Skats Forvaltning af og Skatteministeriets Til-Syn Med Refusion af Udbytteskat	SKAT_MDL_001_00272477	SKAT_MDL_001_00272478		MIL, IR, 403, TR
5877	02/24/2016	Statement by the State Auditor - Report on the Adminstration of the Tax and the Ministry of Taxation	SKAT_MDL_001_00272477_T	SKAT_MDL_001_00272477_T		MIL, IR, 403, TR
5878	04/17/2016	Email from R. Markowitz to M. Ben-Jacob, P. Wells, R. Veillette re FW: Equity and Futures Trading	WH_MDL_00281868	WH_MDL_00281870		H, IR, MIL
5879	05/04/2018	Complaint, SKAT v. Random Holdings 401K Plan & Robert Klugman, Case No. 3:18-cv-00758-VAB (D. Conn.)	N/A	N/A		No Objections
5880	05/18/2018	Complaint, SKAT v. Stor Capital Consulting LLC 401K Plan & Michael Ben-Jacob, Case No. 1:18-cv-04434-UA (S.D.N.Y.)	N/A	N/A		No Objections
5881	10/02/2015	Email from R. Markowitz to R. Klugman re Danish Government publishes report on dividend withholding tax	WH_MDL_00092021	WH_MDL_00092021		H, INC, 403, C
5882	09/29/2015	EY Global Tax Alert Library - Global Tax Alert - Danish Government publishes report on dividend withholding tax	N/A	N/A		NP
5883	03/05/2019	Article from Politiken.dk by Kristian Corfixen and John Hansen, titled "Kun en blind ville ikke forstå vores advarsler"	N/A	N/A	3364	H, TR, 403, IR
5884	08/05/2015	Email from D. Madsen to C. Ekstrand re "Vs: VS: Confidential - dividend tax" dated August 5, 2021	SKAT_MDL_001_00433888; SKAT_MDL_001_00433888_T	SKAT_MDL_001_00433891; SKAT_MDL_001_00433888_T	3989	H, TR
5885	12/17/2012	Claims to Relief from Danish Dividend Tax filed by Acupay	SKAT_MDL_001_00432524	SKAT_MDL_001_00432553	3330	No Objections
5886	09/14/2016	Email from R. Markowitz to R. Jones re. "Need You to Sign a Power of Attorne	WH_MDL_00007775	WH_MDL_00007775	1190	H, IR
5887	03/04/2014	Indigo Securities Client Onboarding Application for Vanderlee Technologies Pension Plan	MPSKAT00052004	MPSKAT00052015	1452	H, F
5888	07/31/2015	Cantata Industries LLC Roth 401(K) Plan 2015 Form S	MBJ_0015761	MBJ_0015771	1550	H, IR
5889	Undated	The Sample Trades Summary - SKAT v. Solo Capital Partners LLP (High Court of Justice)	SKAT_MDL_001_00860330	SKAT_MDL_001_00860352		H
5890	03/00/2013	Solo Capital - Product Overview - EUREX - Crossing of Client Block Futures Trades	SKAT_MDL_001_00872901	SKAT_MDL_001_00872908		F, H
5891	02/13/2014	Email from M. Stein to J. Van Merkensteijn, J. Lhote, A. LaRosa re Fwd: Argre/Solo	JHVM_0019116	JHVM_0019117		MIL, H, IR, 403
5892	09/18/2015	Email from C. Ekstrand to A. Munksgaard, V. Esmann, L. Drost, J. Christiansen re SV: Besvarelse af sporgsmaal	SKAT_MDL_001_00435916	SKAT_MDL_001_00435920		H, IR, 403, MIL. TR
5893	09/18/2015	Translation - Email from C. Ekstrand to A. Munksgaard, V. Esmann, L. Drost, J. Christiansen re SV: Answering questions	SKAT_MDL_001_00435916_T	SKAT_MDL_001_00435916_T		H, IR, 403, MIL, TR
5894	09/11/2015	Email from J. Nielsen to L. Korvell re SV: Materialeanmodning	SKAT_MDL_001_00447929	SKAT_MDL_001_00447938		H, IR, 403, TR
5895	09/11/2015	Translation - Email from J. Nielsen to L. Korvell re SV: Material request	SKAT_MDL_001_00447929_T	SKAT_MDL_001_00447929_T		H, IR, 403, TR
5896	04/09/2014	Email from I. Pedersen to S. Nielsen re SV: Gron skaerm	SKAT_MDL_001_00377145	SKAT_MDL_001_00377179		H, IR, 403, TR
5897	04/09/2014	Translation - Email from I. Pedersen to S. Nielsen re SV: Green Screen	SKAT_MDL_001_00377145_T	SKAT_MDL_001_00377145_T		H, IR, 403, TR
5898	Undated	Genremgang af refusioner med Sven Nielsen	SKAT_MDL_001_00404864	SKAT_MDL_001_00404865		F, IR, 403, TR
5899	Undated	Translation - Review of refunds with Sven Nielsen	SKAT_MDL_001_00404864_T	SKAT_MDL_001_00404864_T		F, IR, 403, TR
5900	09/14/2015	Email from K. Tolstrup to H. From, A.Munksgaard re VS: Materialeanmodning	SKAT_MDL_001_00418426	SKAT_MDL_001_00418435		H, IR, 403, MIL, TR

DX	Date	Document Description	Beginning Bates No.	End Bates No.	Dep. Exh. No.	Plaintiff's Objections
5901	09/14/2015	Translation - Email from K. Tolstrup to H. From, A.Munksgaard re VS: Material request	SKAT_MDL_001_00418426_T	SKAT_MDL_001_00418426_T		H, IR, 403, MIL, TR
5902	09/14/2015	Email from K. Tolstrup to H. From, A.Munksgaard re VS: Materialeanmodning	SKAT_MDL_001_00496992	SKAT_MDL_001_00497001		H, IR, 403, MIL, TR
5903	09/14/2015	Translation - Email from K. Tolstrup to H. From, A.Munksgaard re VS: Material request	SKAT_MDL_001_00496992_T	SKAT_MDL_001_00496992_T		H, IR, 403, MIL, TR
5904	09/24/2015	Spreadsheet - Opfølgning på SIRs rapport af 24. september 2015	SKAT_MDL_001_00349267	SKAT_MDL_001_00349267		H, IR, 403, MIL, TR